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NANOPARTICLE PHOSPHORS MANUFACTURED USING THE BICONTINUOUS CUBIC PHASE PROCESS

Background of the Invention

1. Field of the Invention

The present invention relates to generally to phosphors and more specifically to the production of nanoparticulate phosphors.

2. Description of the Background Art

There is a resurgence in phosphor research due to the recent interest in developing emissive flat panel displays, e.g. full-color low-voltage field emitter displays (FEDs), large area plasma displays (PDs), and electroluminescent displays (ELDs). For example, to make low-voltage FEDs, decreasing the required electron energy by two orders of magnitude from the 30KV range of CRTs while maintaining significant light output is quite challenging. In low-voltage FEDs, the incident electron mean free path in the phosphor is extremely short, and some researchers feel that the photon generation process should occur reasonably close to the electron-hole pair creation point to avoid non-radiative recombination at nearby defects. Furthermore, there is the belief that present day micron size phosphors are surrounded by a dead layer of defective material whose thickness is comparable to or greater than the mean free path of low energy electrons used in low voltage FEDs.
The defects in this surface layer, which are produced, for example, by ball milling and other harsh surface (and bulk) defect producing processes, are believed to be non-radiative recombination centers. These result in low optical output when these phosphors are bombarded by low energy electrons.

Research interest in the general field of nanoscale materials has been growing fast. New methods of developing nanoparticles are being developed, and their novel physical properties are being studied. For example, in Yang et al., U.S.S.N 08/841,957, filed April 7, 1997, the entirety of which is incorporated herein by reference, nanocrystalline semiconductor particles are produced within the mesoporous cavities of a bicontinuous cubic phase. The cavities contain at least one of the reactants required to form the semiconductor compound. Any other required reactants are diffused into the mesoporous cavities.

**Summary of the Invention**

Accordingly, it is an object of the present invention to provide phosphors having a high light output level.

It is another object of the present invention to provide nanocrystalline phosphors that have no or few bulk defects.

These and additional objects of the invention are accomplished by forming doped nanocrystalline semiconductor particles within the mesoporous cavities of a bicontinuous cubic
phase. The cavities contain at least one of the reactants required to form the semiconductor compound. Any other required reactants are diffused into the mesoporous cavities. Doped nanocrystals are produced by diffusing dopant or activation ions into the mesoporous cavities along with the other reactants or by including dopant or activation ions within the mesoporous cavities of the BCP under conditions such that the nanoparticles are doped with an average of one or less dopant ions per particle. For the purposes of the present specification and claims, the words “dopant” and “activator” are used interchangeably to describe the ion that, when placed within the interior of a nanocrystalline particle, provides the particles with phosphorescence.

Brief Description of the Drawings

A more complete appreciation of the invention will be readily obtained by reference to the following Description of the Preferred Embodiments and the accompanying drawings in which like numerals in different figures represent the same structures or elements, wherein:

Fig. 1 is a schematic representation of the synthetic approach used in the method of the present invention.

Fig. 2 is a comparison of the excitation and emission spectra of a nanocrystalline sample with a bulk reference.

Fig. 3 show the dependance of quantum yield on the manganese salt concentration. A freshly made Rhodamine-B solution was used as a calibration standard.
Fig. 4 shows is an EPR spectra taken at 10µW and at 20K for nanocrystals doped with 0.5 and 2.0 mole% manganese. Also, shown at the top is the spectrum taken for the reference standard.

Description of the Preferred Embodiments

The present invention is based upon the availability of stable mesoporous structure provided by Bicontinuous Cubic Phase (BCP) of lipids in the temperature range of 20-100°C. The formation of nanoparticulate materials is achieved by chemical reactions among precursors which are either all or in part embedded within the cubic matrix, or by physical transportation of one or more of the precursors from the outside environment into the mesoporous structure. The size of the grown crystals is limited by the size of the pores in the matrix and/or by chemical termination achieved by the limited amount precursor(s) available within the matrix. The solubility of surfactant in wide variety of solvents facilitates simple isolation and purification of formed nanoparticles from surfactant and remnant precursor chemicals. The stoichiometric composition of the nanoparticle can be controlled very accurately via the relative concentration of the precursor ions.

Nanoparticle phosphors solve both the bulk defect and surface dead layer non-radiative recombination problems. Many features associated with phosphor particles of 1-30 nm, and more often 2-10 nm size are truly unique and superior to conventional micron-size phosphor particles. Nanocrystalline phosphors are believed to have a more perfect lattice, and thus fewer bulk defects, as compared to conventional micron size phosphor particles. Lastly, emission studies of nanoparticle
phosphors appear to indicate that the intrinsic luminescence efficiency of doped phosphors can be drastically improved by reducing the particle size to nanometer dimensions.

The invention allows for a very narrow size distribution and physical particle isolation, and allows one to obtain well isolated activator ions which emit the visible light. That is, the present invention provides nanoparticles having an average of just one activator ion per nanoparticle phosphor grain. Self-assembled three dimensional structures which are comprised of mesoporous cavities are used for this purpose.

Any combination of surfactant and liquid hydrophilic phase, at any ratio that produces a bicontinuous cubic phase, may be employed. As stated earlier, the formation of bicontinuous phases has been well characterized in the prior art. Thus, the specifics of forming the bicontinuous phase will not be discussed here.

Bicontinuous cubic phases include mesoporous cavities that are interconnected by channels. The cells and the channels interconnecting the cells are filled with the liquid hydrophobic phase. In the present application, the liquid hydrophilic phase is typically exemplified by an aqueous phase. Another liquid hydrophilic phase such as ethanol or methanol, however, may be used in place of an aqueous phase according to the present invention. Thus, unless otherwise stated, teaching in the present application relating to the use of an aqueous phase are applicable to the use of any liquid hydrophilic phase used in place of an aqueous phase.

The size of the mesoporous cavities in a bicontinuous cubic phase is controlled, in an art-known and recognized manner, by selection and concentrations of the aqueous (or non-aqueous) phase and surfactant. Typically, mesoporous cavities (aka "pores") in a bicontinuous cubic phase
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have a diameter of about 2 nm to about 30 nm, and more often about 2.5 to about 10 nm. Of course, the only upper limit on the size of the mesoporous cavities useful in the method of the present invention is that they must be sufficiently small to restrict the diameter of semiconductor particles grown therein to about 30 nm or less. Therefore, bicontinuous cubic phases having mesoporous cavities as large as about 30 nm are useful according to the present invention.

Surfactants used to form the BCP structure have at least one long chain (C₈ or above) hydrophobic (typically alkyl) chain and at least one polar head group. These surfactants may be glycerated or non-glycerated, and may be nonionic, amphoteric, anionic, or cationic. These surfactants may also be lipids. Some typical surfactants useful in forming bicontinuous cubic phases include sodium diethyl hexylsulphosuccinate (AOT), potassium octanoate, decyltrimethylammonium chloride, dodecyltrimethylammonium chloride and mono-1-olein hexadecyllyosphatidylcholine.

The reactants used to form the semiconductor particles should be soluble in the phase (i.e., hydrophobic chain of the surfactant, the aqueous phase of a non-inverted bicontinuous cubic phase, or the non-aqueous phase of an inverted bicontinuous cubic phase) which fills the pores of the bicontinuous cubic phase, and should react, in solution, to form semiconductor particles that are insoluble in the pores of the bicontinuous cubic phase.

To form semiconductor particles according to the method of the present invention, at least one of the reactants required to form the semiconductor compound, but less than all of the required reactants, are usually included in the surfactant or aqueous phase used to make the bicontinuous cubic phase, so that the latter formed bicontinuous cubic phase includes that at least one reactant in solution within its pores. The remaining required reactants are then diffused into the pores of the
bicontinuous cubic phase. Once these remaining materials diffuse into the pores, they react with the
at least one reactant to form the desired semiconductor particles.

Alternatively, the at least one required reactant, but less than all the required reactants, are
initially diffused into the pores of a preformed bicontinuous cubic phase. After this initial diffusion
has completed, the remaining reactants are diffused into the pores, where they react with the
reactant(s) previously diffused into the pores, thus forming semiconductor particles. Most
semiconductor compounds are binary compositions, for example II-IV and IV-VI semiconductors.
Typically, binary semiconductors compositions are readily formed by reacting two reactants. In
these cases, one of the reactants is within the pores before the other reactant is diffused into the
pores. Some binary semiconductor nanocrystals that can be made according to the present invention
include doped semiconductors such as PbS, CdS, PbSe, CaS, SrS, and ZnS.

In another alternative, all the reactants may be placed within either the aqueous phase or the
surfactant, and the temperature of the aqueous phase and/or surfactant maintained below the reaction
temperature until after mixing the aqueous phase (or non-aqueous phase) and surfactant to form the
bicontinuous cubic phase.

The choice of which reactant(s) is provided in the bicontinuous cubic phase either during
formation of the phase or during initial diffusion depends upon the diffusion characteristics of the
reactants, and may vary depending upon the selection of the surfactant. Preferably, the reactants that
diffuses slowest through bicontinuous cubic phase are the reactants initially present inside the pores.
Otherwise, a substantial loss in yield may occur during diffusion of the final reactants into the
bicontinuous cubic phase, since the initial reactants will be diffused out of the pores as the final
reactants are diffusing in. Persons skilled in the art can predict the diffusion characteristics of many compounds for a variety of surfactants. Thus, the selection of appropriate combinations of solvents, reactants, and surfactants to form semiconductor nanoparticles of a specified composition should not be difficult. The reactants used to form the nanocrystalline semiconductor particles according to the present invention are generally either negatively or positively charged ions that react with at least one oppositely charged ionic reactant to form the desired semiconductor compound. Dopant/activator ions may also be provided in the matrix in the same manner as the reactants, i.e., either by diffusion or by inclusion in the solvents and/or surfactants mixed to form the bicontinuous cubic phase.

To form phosphors, the dopant ion should be an activator ion that possesses a high level empty state and a lower level empty state, both of which are within the bandgap of the host semiconductor material. Upon excitation, which may occur from exposure to kinetic (e.g., collision with a high energy subatomic particle) thermal, chemical, electrical, or electromagnetic energy (e.g., visible, u.v., or ionizing radiation) depending upon the combination of host and dopant, the excited electrons populate the upper level of the host material. A radiative transition from such a localized higher energy level to a lower empty level in the same activator ion is characterized by the emission of visible light. The dopants are typically transition metals or rare earth metals that act as the luminescent centers or phosphorescent centers. A number of different activator ions in different host materials have been measured. For example, Mn-doped ZnS is known to emit in the 580 nm range, whereas copper (or terbium) and silver doping will result in green and blue emission respectively.

Once the reactants have formed the doped semiconductor compound, the bicontinuous cubic phase is dissolved in solvent that in which the doped semiconductor compound is insoluble. The
doped semiconductor nanoparticles may then be subjected to a final wash in a solution including a passivating compound that serves as an anti-agglomeration agent. The passivating compound is a long chain hydrocarbon Y-R-SH, where R is a hydrocarbon carbon chain of at least two, and typically three or more, carbons and Y is a hydrophobic or hydrophilic end group, depending upon the dispersing solvent (i.e., hydrophobic for non-aqueous dispersants, and hydrophilic for aqueous dispersants). Typical Y groups include alkyl groups, -COOH, -OH, -SH and

\[
\text{R}_4 \quad \text{Si} \quad \text{R}_3 \\
\text{R}_2
\]

where \( \text{R}_2, \text{R}_3, \) and \( \text{R}_4 \) may be the same or different and are ethyl or methyl. These anti-agglomeration agents attach to the surface of the nanoparticles and protect them from agglomeration and reaction with the environment, for example, air. Semiconductor compounds passivated with compounds having \( Y = -SH \) have the potential to organize into new and interesting structures.

Of course, the reactants and materials used should be as free as possible of potential impurities that might significantly reduce the usefulness of the semiconductor nanocrystals produced according to the present invention as nanocrystalline semiconductor phosphors. The stoichiometric composition of the nanoparticle with respect to the dopant ion can also be controlled very accurately via the relative concentration of the precursor ions, as shown the accompanying examples.

Typical dopants/activators used in the present invention include Mn; Cu; Ag; Eu; Cu,Cl; Cu,Tb; Tt; Ag.Cl; Cl; Cu,Al; Ce; Er; Er,Cl; Zn. Where the dopant/activator is a mixture, such as
Cu, Cl, some nanoparticles are doped with Cu, while other nanoparticles are doped with Cl. Typical hosts include ZnS, ZnO, CaS, SrS, Zn,Cd,S, Y₂O₃, Y₂O₃-Si, Zn₂SiO₄, Y₃Al₅O₁₂, Y₃(AlGa)₅O₁₂, Y₅SiO₅, LaOCl, InBO₃, Gd₂O₂S, ZnGa₂O₄, yttrium niobate, TAG and YAGAG.

Some examples of host-activator/dopant pairs according to the method of the present invention include: ZnS: Mn; ZnS: Cu, Mn; ZnS: Cu; ZnS: Ag; ZnS: Cu, Cl; ZnS: Eu; ZnS: Cu, Tb; ZnS: Tb; ZnS: Ag, Cl; ZnS: Cu, Al; Zn₀Cd₁₋ₓS: Mn; Zn₀Cd₁₋ₓS: Ag, Cl; Zn₀MgₓS: Mn; SrS: Mn; SrS: Ce; CaS: Mn; CaS: Er, Cl; CaS: Tb; and ZnO: Zn. Other possible phosphors that may be made according to the present invention include: ZnS: Cu, Mn; ZnS: Cu; ZnS: Ag; ZnS: Cu, Cl; ZnS: Eu; ZnS: Cu, Tb; ZnS: Tb; ZnS: Ag, Cl; ZnS: Cu, Al; Zn₀Cd₁₋ₓS: Mn; Zn₀Cd₁₋ₓS: Ag, Cl; Zn₀MgₓS: Mn; SrS: Mn; SrS: Ce; CaS: Mn; CaS: Er, Cl; CaS: Tb.

Having described the invention, the following examples are given to illustrate specific applications of the invention including the best mode now known to perform the invention. These specific examples are not intended to limit the scope of the invention described in this application.

EXAMPLES

Example 1 - Synthesis of ZnS: Mn nanoparticles

Nanoparticles of zinc sulfide doped with manganese (ZnS: Mn) were prepared at room temperature in the BCP of a surfactant/water system. The nanoparticles were purified, isolated, and surface passivated (See Fig. 1) to prevent agglomeration. They were found to be highly stable under
a 200keV electron beam of a transmission electron microscope (TEM). The TEM micrographs showed the particles to have a size in the 2-3 nm range and were monodispersed. The average particle size (2.5 ± 0.5 nm) determined from x-ray diffraction studies agreed well with the TEM measurements. From high resolution TEM studies, it was determined that the nanoparticles were monocrystalline with a zinc-blende cubic lattice.

Example 2 - Photoluminescence studies

Excitation and emission spectra, measured using a fluorimeter, were compared with that of a 'bulk' sample, as shown in Fig. 2. The excitation peak from the nanoparticle phosphor, centered at about 300 nm (4.1 eV), was found to be narrower (25 nm or ~ 0.32eV) and blue shifted compared to the bulk micron size particle phosphor, due to the quantum confinement. On the other hand, the emission peak showed a slight red shift with decreasing particle size. This red shifting of the emission peak might be due to a distortion of the nanoparticle lattice, the creation of a slight compression or relaxation of the lattice, and/or a change in the unit cell volume. Interestingly, absorption measurements (not shown) indicate that the Mn²⁺ absorption peaks appear relatively unaffected by the nanocrystalline environment.

Example 3 - Effect of Manganese concentration on quantum yield

Using the BCP process, a number of ZnS:Mn nanoparticle samples were prepared by varying the Mn/Zn molar ratio in the initial salt solution. The quantum yield, measured as a function of Mn concentration in the initial solution, Fig. 3, shows that the photoluminescent efficiency is maximum
at about 0.7 mole%. EPR studies (discussed below) indicate that at this maximum efficiency, the effective doping level is about one manganese atom per nanoparticle.

Example 4 - Electroparamagnetic resonance studies

Electron paramagnetic resonance (EPR) studies were performed on the ZnS:Mn samples discussed above in Examples 1 through 3. EPR spectra for three representative samples are shown in Fig. 4. The spectra for manganese concentrations at and below 0.5% manganese doping, e.g. the middle curve, show the six-line hyperfine structure of isolated Mn$^{2+}$ ions. The hyperfine spectrum is due to interactions of the unpaired and isolated Mn electrons with the nuclear spin of the Mn nucleus. Mn has a nuclear spin, I, of $\frac{5}{2}$, resulting in a six-line hyperfine spectrum.

At high Mn concentrations (see the spectrum with 2% Mn), the smearing of the hyperfine lines and the presence of the broad underlying structure indicates that the interactions between neighboring Mn$^{2+}$ ions is significant (Y. Ishihara, *J. Phys. Soc. Jpn.*, 21, 1473 (1966); J. Kreissl, *Phys. Stat. Sol. A*, 97, 191(1986)). And this Mn-Mn interaction decreases the photo efficiency as shown in Fig. 3.

The presence of a broad underlying structure in the middle spectrum of Fig. 4, though not as significant as for the 2% sample, is indicative of the existence of exchange coupled Mn$^{2+}$ ions in this sample also. In other words, even at 0.5% Mn (based on the total number of Zn ions) loading many of the Mn atoms are not isolated from each other and as such are not participating as efficient visible light emitters. These results suggest that these exchange coupled Mn pairs might be located
outside the nanoparticles, perhaps on the surface of the nanoparticles, and their existence might even be a channel for non-radiative recombination. In fact, this data, coupled with the fact that the photoluminescence is maximum at this Mn concentration suggests that in each ZnS nanoparticle there is, on the average, one or less than one isolated Mn ion.

In summary of the results obtained in the Examples, the recently developed room temperature bicontinuous cubic phase (BCP) process was modified to synthesize uniformly small ZnS:Mn nanoparticles. The size of these nanoparticles was about 2.5±0.5 nm. Optical absorption and photoluminescence measurements confirmed an increased band-gap as a result of the quantum-size effect. Quantum yield measurements on ZnS nanoparticles as a function of Mn doping concentration coupled with EPR measurements suggest that only those nanoparticles doped with one isolated Mn atom contribute to the photoluminescence.

Another unique advantage of the nanoparticles according to the present invention is that they are not only photoluminescent, but they can also be cathodoluminescent and electroluminescent. The ability of nanoparticles according to the present invention to have these various forms of luminescence allows one particle to be used for several distinct applications. This versatility simplifies the design and production of luminescent displays and provides for improved economy of scale.

Obviously, many modifications and variations of the present invention are possible in light
of the above teachings. It is therefore to be understood that:

2 the invention may be practiced otherwise than as specifically described.
ABSTRACT

Nanocrystalline phosphors are formed within a bicontinuous cubic phase.