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TITLE: “A Randomized Clinical Trial of the Collaborative Assessment and Management of Suicidality vs. Enhanced Care as Usual for Suicidal Soldiers”

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CONTRACTING ORGANIZATION: The Catholic University of America
Washington, DC 20064-0002

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4. TITLE AND SUBTITLE
A Randomized Clinical Trial of the Collaborative Assessment and Management of Suicidality vs. Enhanced Care as Usual for Suicidal Soldiers

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14. ABSTRACT
This Randomized Clinical Trial (RCT) compares the effectiveness of CAMS versus Enhanced Care As Usual (E-CAU) in a sample of n = 150 active-duty Army Soldiers who are experiencing suicidal ideation and/or behaviors. Research clinicians for both treatment conditions will be recruited from the Army Research Site (ARS), Fort Stewart, GA, and will be trained and monitored for fidelity and adherence to their respective treatment condition by the study staff. Participants are recruited from a number of sources at the ARS including the outpatient behavioral health clinic and the inpatient unit. Approvals from all IRB committees involved in the study have been obtained. Participant recruitment began in MAY 2012 for the training phase of the study; intent-to-treat phase of the study began in FEB 2013; recruitment is on-going.

15. SUBJECT TERMS
Suicide Risk Assessment, Suicide Risk Management, and Suicide-Specific Treatment

16. SECURITY CLASSIFICATION OF:

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19a. NAME OF RESPONSIBLE PERSON USAMRC
     USAMRMC

19b. TELEPHONE NUMBER
     (include area code)

Standard Form 298 (Rev. 8-98)
Prescribed by ANSI Std. Z39.18
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INTRODUCTION: Narrative that briefly (one paragraph) describes the subject, purpose and scope of the research.

This study is designed to investigate the effectiveness of a novel clinical intervention developed by the PI called the Collaborative Assessment and Management of Suicidality (CAMS). CAMS is not a new psychotherapy. Rather, CAMS is a therapeutic clinical framework with a distinct clinical philosophy and a set of structured procedures that enhance the therapeutic alliance and increase treatment motivation in the patient. This Randomized Clinical Trial (RCT) is comparing the effectiveness of CAMS versus Enhanced Care As Usual (E-CAU) in a sample of n = 150 active-duty US Army Soldiers who are experiencing suicidal ideation and/or behaviors. Research clinicians for both treatment conditions are recruited from the Army Research Site (ARS), Fort Stewart, GA, and have been trained and monitored for fidelity and adherence to their respective treatment condition by the study staff. Participants are being recruited from a number of sources at the ARS to include the behavioral health clinic and the inpatient unit. The goal of this study is to determine if CAMS is more effective than E-CAU in reducing suicidal ideation and behaviors (and various secondary variables such as overall symptom distress, Emergency Department utilization, etc.) in comparison to Soldiers who receive E-CAU at this ARS.
BODY: This section of the report shall describe the research accomplishments associated with each task outlined in the approved Statement of Work. Data presentation shall be comprehensive in providing a complete record of the research findings for the period of the report. Provide data explaining the relationship of the most recent findings with that of previously reported findings. Appended publications and/or presentations may be substituted for detailed descriptions of methodology but must be referenced in the body of the report. If applicable, for each task outlined in the Statement of Work, reference appended publications and/or presentations for details of result findings and tables and/or figures. The report shall include negative as well as positive findings. Include problems in accomplishing any of the tasks. Statistical tests of significance shall be applied to all data whenever possible. Figures and graphs referenced in the text may be embedded in the text or appended. Figures and graphs can also be referenced in the text and appended to a publication. Recommended changes or future work to better address the research topic may also be included, although changes to the original Statement of Work must be approved by the Army Contracting Officer Representative. This approval must be obtained prior to initiating any change to the original Statement of Work.

In the course of Year 1, the research team was primarily engaged in gaining IRB approvals from each of the IRB committees involved in this study: the Dwight D. Eisenhower Army Medical Center (DDEAMC), the Department of Veterans Affairs Veterans Integrated Service Network 19 Mental Illness Research, Education, and Clinical Center (VA VISN 19 MIRECC), the University of Washington (UW), and The Catholic University of America (CUA). The research team was successful in obtaining approval from all of the IRB committees, but this process took longer than anticipated and pushed back the hiring and training of staff and therapists, as well as the recruitment of participants, approximately one year later than initially proposed in the Statement of Work (SOW).
The initially proposed timeline of activities is included below:

<table>
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<tr>
<th>Timeline of Study Activities Over Four Years</th>
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The following table is a current updated timeline of the project. Due to the delays in gaining IRB approvals, initial difficulties with in-processing the study staff onto the ARS, administrative and practical challenges at the ARS, and difficulties with retention among the clinical research therapists due to the high turnover rate of staff at the ARS, the table below is an updated timeline of study activities that reflects the impact of these challenges to conducting the study as per the original proposed timeline:
## Timeline of Study Activities Over Four Years

<table>
<thead>
<tr>
<th>Activity</th>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
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<tr>
<td>Hiring and training of staff and therapists</td>
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<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
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<tr>
<td>Training of therapists</td>
<td></td>
<td>X</td>
<td>X</td>
<td></td>
<td></td>
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<tr>
<td>Recruitment of training cases</td>
<td>X</td>
<td>X</td>
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<tr>
<td>Supervision of therapists’ adherence</td>
<td>X</td>
<td>X</td>
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<tr>
<td>Recruitment of clinical trial cases</td>
<td>X</td>
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<td>Baseline assessments</td>
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<td>X</td>
<td>X</td>
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<tr>
<td>Clinical trial treatment conducted</td>
<td>X</td>
<td>X</td>
<td>X</td>
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<tr>
<td>Follow-up assessments</td>
<td>X</td>
<td>X</td>
<td>X</td>
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<td>X X X X</td>
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<tr>
<td>Data entry and cleaning</td>
<td>X</td>
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In the first quarter of Year 2, the CUA research team conducted several site visits to the ARS to provide initial orientation and training for the research clinicians who had volunteered to participate in the study. The CUA team provided a half-day orientation training to the research therapists who would be providing care in the E-CAU condition of the study. An initial Data Safety Monitoring Board (DSMB) meeting was held to provide guidance to the research team on any participant-related study concerns and the handling of adverse events going forward (the study’s second DSMB meeting was held 4 May 2013). A follow-up DSMB meeting was scheduled for 20 December but was cancelled due to two members not being able to be on the scheduled teleconference. While there have been considerable challenges to rescheduling, we anticipate the next DSMB meeting being held in the first quarter of the present year.
Dr. Katherine Comtois, the Co-PI from the University of Washington, and the on-site Participant Coordinator, Ms. Gretchen Ruhe, provide regular consultation to and have regular interactions with the E-CAU therapists to ensure that the study team is providing needed resources for them to successfully participate in the study. The CUA team view 10% of all E-CAU therapy sessions to ensure fidelity to treatment condition and make sure that research clinicians are in fact providing E-CAU to study participants as outlined in the project’s statement of work (SOW).

The CUA team also provided two separate 2-day trainings for the research therapists in the CAMS treatment condition to orient these clinicians to the study and to ensure that they were able to provide the experimental treatment as defined in the SOW. The CUA team and the on-site Participant Coordinator provide regular consultation to and have regular interaction with the CAMS therapists to ensure that the study team is providing needed resources for them to successfully participate in the study. Each week the CUA team views the CAMS therapy sessions to further ensure fidelity and satisfactory adherence to the CAMS intervention that is being provided to the study participants.

The study team holds bi-monthly conference calls to coordinate and evaluate study progress as an entire team. These calls have focused on further refining the procedures for administering the baseline and follow-up assessments with research participants, refining and making the implementation of the treatment protocols and the CAMS training manual more user-friendly, as well as problem-solving general
administrative and site-specific difficulties that have arisen at different points in the project year. In the third quarter of Year 3, a monthly recruitment call was instituted by a sub-set of study personnel to more closely monitor recruitment of study participants (and clinicians) and problem-solve ways to enhance existing recruitment procedures.

The study team has continued to recruit additional research therapists to account for turnover due to deployments and staff members leaving the ARS. The CUA team is planning to conduct another site visit to the ARS in the first quarter of Year 4 to provide additional orientation and training to newly recruited research clinicians for the experimental treatment condition.

The PI made a site visit to the ARS in the second quarter of the project year to provide 6 hours of continuing education training in clinical ethics (not related to study content) to all study clinicians in an effort to further reinforce their participation in the study. During this visit, the PI was also able to further evaluate progress at the study site and provide consultation and support to the on-site study team. In addition, the UW Co-PI made a site visit to the ARS in the third quarter of the project year to further evaluate and aid in coordination and recruitment of Soldier participants and study clinicians. In addition she met with on-site Army leadership at the ARS to further problem-solve friction points seen at various clinics from which the study was recruiting.

There had been a plan to add Hunter Army Airfield (HAAF) as a potential research study site in Year 3, but space constraints, turnover in leadership, and lack of personnel engagement has delayed this prospect. However, a new experimental-arm research
therapist was trained and achieved adherence in second quarter of the year and a new E-CAU therapist was recruited added in the fourth quarter of the Year 3. Both clinicians are located in the same clinic site as our other research therapists and fidelity between the treatment conditions remains stable. One of the CAMS research therapists is now on maternity leave (first quarter of Year 4) but is scheduled to return and resume participation in the study at the end of her leave (second quarter). This research therapist was not referred any new study patients during the last two months of the fourth quarter of Year 3 and she completed her work with her last study patient prior to starting maternity leave (thus, no study patients experienced any interruption in treatment related to this leave time).

At the start of Year 3 the 1.0 FTE Participant Coordinator finished her remaining in-processing and became fully credentialed at the site. The study’s 1.0 FTE “Backfill Clinician” and the 0.8 FTE Backfill Clinician were also hired and became fully credentialed and began providing clinical services at the site during the 2\textsuperscript{nd} quarter of the project year. Recruitment and hiring of the 1.0 FTE Research Assistant was also conducted in Year 3 and staff member was hired in March of 2013 thereby completing the full study staff.

Thus all proposed study staff were hired and familiarized to ARS policies and procedures to maximize participant recruitment and optimize full study performance. The Participant Coordinator conducted intensive training with the UW team in Year 3 and continues to conduct daily conference calls with the project’s recruitment and
assessment team at UW. Additionally, the Participant Coordinator has regular contact with the study PI that includes regular phone calls to monitor the study’s progress and problem-solve any issues or pending concerns pertaining to the study.

The task list from the project’s SOW is listed below in an effort to provide a task by task status update on progress made in the study, as well as to provide updated revisions to the anticipated timeline of various tasks. Status updates and revised timelines are included in italics following the original task from the SOW.

Task 1: Prepare study manuals for CAMS and Enhanced Care as Usual (E-CAU) Groups. (Year 1, Months 1-6).

Completed. Following the initial trial implementation, minor revisions to these manuals have been made in accordance with feedback from the research clinicians and from the CUA fidelity and adherence team who have been evaluating all sessions in accordance with the SOW. These minor revisions have included obtaining IRB approval to have family members engaged in treatment if the provider determines that this is clinical indicated and to update the CAMS Rating Scale to better capture some aspects of the experimental treatment in the manner that the research clinicians are being evaluated for adherence to the treatment.

1a: Review existing written materials regarding CAMS. (Year 1 Months 1-3)

Completed.

1b: Review existing Usual Care Model at “Army Research Site” (hereafter referred to as ARS) (Year 1 Months 1-3)

Completed.

1c: Regular (e.g., 2 per month) group meetings regarding key manual components (Year 1 Months 1-5)

Completed.
**1d:** Condense key components and write text of first drafts (Year 1 Months 2-3)

*Completed.*

**1e:** Review of drafts by senior research team members, outside experts, and study clinicians for 1) readability, 2) comprehensiveness, and 3) feasibility (Year 1 Months 3-4)

*Completed.*

**1f:** Manual revision based upon feedback to produce final version (Year 1 Months 5-6)

*Completed.*

**Task 2: Hire and train study staff; modifications with training cases.** (Year 1 Months 1-6)

*On-going.* The 1.0 FTE Participant Coordinator, the 1.0 FTE Backfill Clinician, and the 0.8 FTE Backfill Clinician were all hired, in-processed at the ARS, became fully credentialed at the ARS, and received all necessary training during Year 2. The final study hire, the 1.0 FTE Research Assistant was hired in the final quarter of Year 2 and it was fully in-processed and credentialed at the ARS, as well as fully trained in her duties and responsibilities in Year 3.

**2a:** Select or hire Participant Coordinator (PC), and study therapist FTE to supplement existing ARS staffing for study. University of Washington (UW) Co-PI and Research Coordinator (RC) hire research assistant (RA) for follow-up assessments. (Year 1 Month 1-3)

*Participant Coordinator, and study therapists (1.0 and 0.8 FTE Backfill Clinicians) have been hired and trained. The 1.0 FTE Research Assistant was hired and trained in the first quarter of Year 3.*

**2b:** UW CO-PI and RC train PC and RA in human subjects and other research protections, study policies and procedures, and administering study assessments. (Year 1 Month 2-3)

*Completed for PC. To be completed for RA in next quarter.*
2c: UW Co-PI and RC train ARS PC in recruiting procedures and develop adaptations to fit ARS context and environment (Year 1 Months 1-6)

Completed.

2d: Study therapists are matched to treatment condition and PI and CUA staff train CAMS therapists in CAMS as well as human subjects and other research protection and study policies and procedures (Year 1 Month 3)

Completed in first quarter of Year 2. As delineated in the SOW, this was anticipated to be an on-going process to account for research clinician turnover. Following from this consideration, the study team has continued to recruit additional study therapists, matching them to treatment condition, and will train the additional CAMS therapists in the first quarter of Year 4.

2e: PC begins recruitment and assessment procedures for training cases in CAMS. UW staff work with PC on effectiveness of recruitment procedures in ARS context and develop adaptations as needed prior to RCT intent to treat cases. (Year 1 Month 3-6)

Completed. Participant recruitment began in the 1st quarter of Year 2. Adaptations were made to recruitment procedures following lessons learned from the training cases and the study team began recruiting actual intent to treat (ITT) cases in the fourth quarter of Year 2. ITT recruitment is currently on-going.

2f. CAMS and E-CAU clinicians receive training with draft version of manuals and provide feedback to senior research team members (Year 1 Month 3)

Completed.

2f: CAMS study therapists see training cases with supervision and adherence ratings from PI and CUA staff. Modifications to CAMS appropriate to ARS context are identified, implemented, and codified in supplementary manual for clinical trial (Year 1 Month 3-6)

Completed.

2g: Enhanced Care as Usual (E-CAU) study therapists see training cases to pilot the intervention. Modifications to E-CAU appropriate to ARS context are identified, implemented, and codified into E-CAU treatment manual. (Year 1 Month 3-6)
Completed.

2h: UW RA begins follow-up assessments with training cases and UW Co-PI, and RC (with consultation from PI, co-PIs, and statistical consultant) develop any modifications to the tracking and assessment procedures, if needed. (Year 1 Month 4-6)

Completed. Follow-up assessments are on-going as the follow-up period is 12 months following recruitment.

2i: UW Co-PI and Denver VA MIRECC Co-PIs (with consultation from PI, ARS Co-PIs, RC, PC, and statistical consultant) evaluate feasibility and value of assessment battery as implemented with training cases and make needed changes in format, length, etc. to assure a viable assessment battery is established (Year 1 Month 3-6)

Completed.

2k: Final versions of CAMS and E-CAU manuals reviewed with study clinicians (Year 1 Months 5 -6)

Completed. The study team modified the adherence scale (CAMS Rating Scale) for the CAMS condition and submitted a revision for IRB approval which occurred in the second quarter of Year 3. The CAMS Rating Scale-3 is now fully implemented.

Task 3: Implementation of clinical trial and follow-up of Soldiers of Concern (SOC) (Year 1 Month 7 through Year 4 Month 12)

On-going. Implementation of the clinical trial (ITT phase), began in the 3rd quarter of Year 2 and will continue until n = 150 participants have been recruited.

3a: PC recruits study participants and assures fast and efficient randomization and matching to study therapists for first session (Year 1 Month 7 through Year 4 Month 12)

On-going.

3b: CAMS and E-CAU therapists follow their respective manuals to treat randomized participants (Year 1 Month 7 through Year 4 Month 12)

On-going.
3c: UW team conducts follow-up assessments using the University of Washington Risk Assessment Protocol (UWRAP) to address suicide risk during follow-up (Year 1 Month 8 through Year 4 Month 12).

*On-going.*

3d: PI and CUA staff will conduct ongoing adherence evaluation of CAMS study therapists and provide feedback and supervision to assure CAMS therapists remain adherent—consultation by MIRECC Co-PI’s will be used on complex cases (e.g., TBI and PTSD) (Year 1 Month 7 through Year 4 Month 3).

*On-going.*

3e: With consultation from statistical consultant, the UW site establishes final database systems and data entry and cleansing procedures appropriate to data collected. All pretreatment and adherence data will be transported by HIPAA secure means to UW site to be entered and maintained. Data entry occurs in an ongoing basis (Year 1 Month 7 through Year 4 Month 12).

*On-going.*

3f: With assistance of the PC and ARS co-PIs establish and implement procedures for reviewing Army records for study participants and extracting this data which will be transported by HIPAA secure means to UW site. This data will be matched to study collected data in consultation with UW PI and statistical consultant. With consultation of PI, Co-PIs, and statistical consultant, the data and procedures used to extract medical records will be reviewed and modifications made, if needed, to assure viable data extraction access and procedures are established (Year 2 Month 1-12). This process is on-going and the initial policies and procedures that have been established in coordination with the Army personnel at the ARS will be updated as required during the implementation of the study.

**Task 4: Hiring and training of additional or replacement staff, if needed (Years 2-4)**

4a: PI provides CAMS training to any additional or replacement CAMS study therapists, if needed, to assure sufficient flow through clinical trial (Year 2 Month 1 and Year 3 Month 1). Supervision of CAMS therapists will continue. (Year 2 Month 1 through Year 4 Month 3).
On-going. Next planned training for CAMS condition therapists is scheduled for the 1st quarter of Year 4 (JUNE 2014). Supervision and consultation with CAMS therapists is on-going, with the CUA team providing 1-hour long, weekly conference calls to the CAMS therapists.

Task 5: Data analysis and dissemination of results (Years 3 and 4)

This task is currently scheduled to begin as originally planned in the upcoming project year, Year 3.

5a: Aim I: In consultation with PI, Co-PIs, and statistical consultant, Denver VA MIRECC Co-PIs will analyze data from ongoing follow-up of suicidal individuals enrolled in trial to establish a recommended assessment battery from the briefest possible screening tools through an expanded assessment. Data will be compared with that collected in Army record to evaluate the reliability and validity of Army measures as compared to full research battery. (Years 3 and 4)

5b: Presentations, reports, publications prepared reflecting analyses of Aim 1 (Years 3 and 4)

5c: Aim II: In consultation with PI, co-PIs, and statistical consultant, Denver VA MIRECC Co-PIs will analyze clinical trial data to evaluate effectiveness of CAMS from hypotheses (Year 4)

5d: Presentations, reports, and publications will be prepared reflecting the clinical trial results of Aim II hypotheses. (Year 4)

KEY RESEARCH ACCOMPLISHMENTS: Bulleted list of key research accomplishments emanating from this research.

- The research team finalized a new version of the “Suicide Status Form” (SSF) to be used in this study, the SSF-IV. The SSF is the primary clinical tool used in CAMS for assessing, managing, treating, and tracking suicidal risk in patients.

- The research team developed a revised manual for conducting CAMS with patients who are suicidal (tailored to a military population).

- The research team has developed a revised version of the “CAMS Rating Scale” (CRS-3) which is the key adherence tool used by the study team to ensure fidelity in the research design and adherence to CAMS in the experimental
condition. On-going psychometric research on the CRS-3 is underway with the
goal of publishing data on the validity and reliability of the tool.

REPORTABLE OUTCOMES: Provide a list of reportable outcomes that have resulted
from this research to include: manuscripts, abstracts, presentations; patents and
licenses applied for and/or issued; degrees obtained that are supported by this award;
development of cell lines, tissue or serum repositories; infomatics such as databases
and animal models, etc.; funding applied for based on work supported by this award;
employment or research opportunities applied for and/or received based on
experience/training supported by this award

There are not yet major reportable outcomes associated with this study as the intent-to-
treat phase of this project began in the 4\textsuperscript{th} quarter of the previous project year (FEB 2013)
and full study recruitment is on-going. However, in the coming year it is anticipated that a
preliminary baseline cross-sectional study will be conducted with a subset of combined
pilot and intent-to-treat cases to provide a dataset for a CUA study team member’s
doctoral dissertation. This preliminary investigation will be the first to directly use data
from the RCT and will provide a helpful means to further establish and refine our baseline
research methodology and provide some initial cross-sectional findings related to a sub-
set of the entire study sample.

Along these lines, various other baseline studies are now being developed from the
study data. For example, at this year’s annual conference of the American Association of
Suicidology (9-12 April 2014) the UW Co-PI led a Research Symposium entitled
“Predictors of Suicidality Among Help-Seeking Active Duty Military and OEF/OIF Veterans:
Analysis of Baseline Data from Current Clinical Trials” wherein the PI and another Co-PI
presented. To our knowledge this collaborative research effort is unique in the history of
suicide research in that PI’s across six DOD-funded studies have collaboratively “pooled” their de-identified subsets of their respective data into a larger dataset in an effort to better understand suicidal risk among cross section of active duty service members (across branches, including reserve components) and veterans (this collaborative research activity was approved by respective IRB’s involved in with these studies). By pooling shared data a total sample of n=1465 was created that will be further analyzed in relation to various quasi-independent variables developed by the PI’s of these studies. For example this research can investigate suicide ideation and behaviors in relation to gender effects, the role of suicide attempt behaviors (prior to and subsequent to enlistment and deployments), pre-enlistment behavioral health histories, and the potential impact of combat, trauma, and traumatic brain injuries. This collaborative baseline research should yield critical information to further inform our research efforts. But beyond research, this kind of pooled investigation will provide vital data relevant to clinical practices, systems of care, and may provide invaluable guidance to DOD and VA leadership as to how to best respond to the myriad challenges of preventing active duty service member and veteran suicides.

**CONCLUSION:** Summarize the results to include the importance and/or implications of the completed research and when necessary, recommend changes on future work to better address the problem. A "so what section" which evaluates the knowledge as a scientific or medical product shall also be included in the conclusion of the report.

In conclusion, this study—referred to as the “Operation Worth Living” (OWL) project—is poised to make valuable contribution to the scientific knowledge-base about the potential causal effectiveness of a relatively new suicide-specific intervention for treating suicidal Soldiers. The OWL study got off to a slow start due to considerable IRB-related concerns
and various administrative and practical challenges of setting up the study infrastructure and all the related study procedures. Having worked through these challenges, we are now fully engaged and operational as we actively enroll, randomize, treat, and conduct follow-up assessments of our intent-to-treat sample. We have now passed the half-way mark of our intent-to-treat recruitment (n=77 as of 24 March 2014). Based on the current rate of referrals and smooth study operations we anticipate being able to identify and treat our full proposed sample of n=150 participants within an additional no-cost extension (NCE) year of the study. Careful and prudent management of our budget will provide sufficient funding support to meet all study objectives stated in the SOW within the NCE final year of research. Beyond the potential effectiveness of CAMS as a suicide-specific intervention, this study is among the first to recruit and train on-site clinicians in a new approach where adherence to the intervention is typically achieved with their first CAMS patient in four sessions. While other evidence-based interventions show great promise for treating suicidal risk at military treatment facilities, none have the flexibility or ease of training to adherence that CAMS appears to have. Finally, beyond studying the potential effectiveness of CAMS, the promise of using our data in collaborative pooled research across other DOD-supported studies represents a potentially seminal contribution to the field of suicide prevention with significant implications for impacting suicide deaths among those who have served the nation as members of the United States military.
REFERENCES: List all references pertinent to the report using a standard journal format (i.e. format used in *Science, Military Medicine*, etc.).

None at this time.

APPENDICES: Attach all appendices that contain information that supplements, clarifies or supports the text. Examples include original copies of journal articles, reprints of manuscripts and abstracts, a curriculum vitae, patent applications, study questionnaires, and surveys, etc.

None at this time.

SUPPORTING DATA: All figures and/or tables shall include legends and be clearly marked with figure/table numbers.

None at this time.