Swelling-Induced Folding in Confined Nanoscale Responsive Polymer Gels

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Mechanical instabilities such as buckling, wrinkling, creasing, and folding are commonplace in both natural and synthetic systems over a wide range of length scales. In this study, we focus on the spontaneous folding behavior of the highly swellable confined nanoscale (thickness below 100 nm) gel films resulting in the formation of a network of regularly folded structures spontaneously emerging in the course of their swelling and drying. We suggest that regular self-folding is originated from periodic instabilities (wrinkles) caused by swelling-initiated stresses under confined conditions. Furthermore, folded gel structures can be organized into regular serpentine-like manner by imposing various boundary conditions on microimprinted surfaces. We suggest that this demonstration of uniform gel to mechanically mediate morphogenesis has far-reaching implications in the creation of complex, large-area, 3D gel nanostructures.

KEYWORDS: mechanical instabilities · polymer gels · spontaneous folding · responsive polymer · nanoimprinting

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rigid surfaces. This is especially true for understanding their ability to initiate the formation of out-of-plane periodic structures and complex morphologies and under restrictive and periodic boundary conditions.52

Gels, swelling uniaxially under external stimuli exhibit smooth buckles and cusp-shaped singularities, which were related to and discussed in terms of linear elastic buckling.43 Various aspects of the buckling patterns in these structures have been extensively studied to reveal the temporal evolution and mechanistic aspects of the buckling phenomenon.44–48 It has been demonstrated that buckling and folding are not independent phenomena and wrinkles appear as a first order linear response to external or internal stresses.49 Folding and crumpling of compliant structures result in localization of the strain energy into a network of ridges and sharp corners.50–52

Herein, we report ultrathin (20–100 nm) gel films tethered to solid substrates which exhibit unusual spontaneous and regular self-folding under swelling induced compressive stresses. In this system, the anchoring of the gel nanofilm to a stiff substrate constrains swelling and frustrates the buckling deformation in the course of swelling, leading to localized pinched folds and eventually to anisotropic folded nanosheets at multiple scale lengths. Spontaneous self-folding of tethered nanoscale gel films occurs in the course of their swelling followed by drying. In our recent publication, we suggested that regular self-folding is originated from periodic instabilities (wrinkles) caused by swelling-initiated stresses.53 In this paper, we further expand this intriguing phenomenon and demonstrate for the first time that folded gel structures can be organized into long-range regular serpentine-like topologies by imposing various boundary conditions via microprinted surfaces, which might have far-reaching implications in the creation of complex artificial 3D gel nanostructures.

RESULTS AND DISCUSSION

Responsive Gel Films. In this work we employed poly-2-vinylpyridine (P2 VP) to form nanoscale gel films tethered to a solid substrate. As known, P2 VP is a weak cationic polymer that exhibits dramatic globule—coil transformation due to the protonation of the pyridine group below pH 4.0.54–56 As a result of this transition, a significant and fast swelling might occur under proper solution conditions.

Cross-linked 90 nm thick P2 VP gel film grafted onto a silicon wafer with a native oxide layer of ~1 nm shows uniform and smooth surface morphology with local microroughness below 1 nm (Figure 1A). P2 VP exhibits strong interaction with the silicon oxide surface owing to the hydrogen bonding between the surface hydroxyl groups and nitrogen on the pyridine ring.57 In the protonated state (below pH 4.0), the electrostatic interaction between the positively charged pyridine units and negatively charged silicon oxide surface further promotes strong anchoring to the surface. Surprisingly, simple exposure of the cross-linked gel films to pH 2.0 solution resulted in the transformation of the initially smooth morphology into a network of highly anisotropic structures, which is a subject of the current in-depth study (Figure 1B).

Topology of the Folded Structures. Careful inspection of surface morphology of these anisotropic structures revealed that the observed structures are indeed regular folds in the gel film. As we observed, each of the fold structure comprises two distinct regions, the hinge and the limb, with slightly different thicknesses as depicted by the cross-section of the AFM image (inset of Figure 2A). While the hinge side is one straight edge connecting the nodes, the limb side is composed of either one or two vertices connected by straight ridges.

Each fold starts and ends in a sharp corner with an angle of ~30°, which in most of the cases overlaps with an adjacent fold. The overlapping sharp corner of the double fold aligns to the vertex of the other fold’s limb, indicating that the fold collision resulted in an inhibitory effect on the pinched buckle growth. The folded film exhibits surfaces with three distinct heights as depicted by the cross sections in Figure 2. The three distinct surfaces correspond to the stretched residual base gel layer tethered to the substrate (thickness of 22 nm), single folded region (~120 nm thick), and region corresponding to double folds (~250 nm thick).

We suggest that the spontaneous formation of folds is caused by a competition between stress developed upon swelling and the boundary constraints similar to that observed for bulk gel structures.47,48 Indeed, it is well-known from the bulk structures (mm scale thick structures) that swollen gel constrained at the film—substrate interface is subjected to a gradient compressive stress, which decreases from the topmost surface to the bottom.41 While the gradient in compressive stress results in smooth sinusoidal buckles in the bulk gels, the same phenomenon in nanoscale thick gel, investigated here, resulted in buckling deformation as the way to release localized stresses. This initial buckling is immediately followed by localization of the deformation into a network of nonsinusoidal pinched buckles.
expanded across large surface areas. Pinched surface buckles were observed at the outermost rim of the wet surface, which was only briefly exposed as has been described elsewhere.\textsuperscript{53}

As the swelling proceeds further, the pinched surface buckles meander and meet, thereby forming nodes. During this stage, the gel nanofilm goes through massive transformations leading to micrometer scale lenticular surface structures due to strong shear forces at the film–substrate interface. The growth of the folded structures is arrested when the surrounding regions of the residual gel surface layer become too thin (~20 nm) to further shear (due to the strong anchoring to the substrate).\textsuperscript{53} The folded structures evolve via a series of fast and discrete “popping” steps. The intersection points guide the drying-induced folding and sudden release from substrate, which is evident by the majority of the intersections lying on top of the hinge side instead and not underneath the hinge-side of the buckle.

The confinement of the ultrathin gel films caused by strong adhesion to the substrate and high degree of swelling of the loosely cross-linked gel film on the top are important for inducing these unique folding patterns. As was mentioned above, P2 VP films exhibit strong interaction with the silicon oxide owing to the combined effect of hydrogen bonding and electrostatic interactions. To test the role of this bonding, P2 VP gel was deposited on a silicon wafer precoated with positively charged polyallyl amine hydrochloride (PAH), which removes hydrogen bonding and introduces Coulombic repulsion between protonated pyridine groups and the substrate. For this control system with greatly reduced interfacial strength, exposure of the gel films to acidic conditions at pH 2.0 resulted in complete delamination of the P2 VP layer with no folding phenomenon in contrast to the previous case. This difference suggests the critical role of strong adhesion between the gel nanofilm and the substrate in self-folding phenomenon.

On the other hand, high compliance of gel nanofilms plays an important role in the phenomenon ob-
served. Indeed, we observed that additional stiffening of gel nanofilms might suppress their ability to swell, buckle, and fold. To stiffen the gel nanofilm, we conducted *in situ* reduction of metal nanoparticles inside these films by exposing this film to gold salt (HAuCl₄) followed by reduction using sodium citrate according to the known approach. This treatment did not result in any noticeable changes in the initial morphology of the gel film except for the presence of well dispersed nanoparticles (Figure 3). The presence of these nanoparticles should significantly increase the stiffness of the gel films as was demonstrated earlier for similar films. This film stiffening and the reduction of their swelling ability completely suppressed the folding phenomenon thus confirming that high compliance combined with high swelling are both critical for the folding phenomenon observed here.

To further understand the origin of the observed lenticular folding patterns, we considered how the conditions for buckling patterns in swollen gels attached to the surface can initiate such a phenomenon. As discussed by Tanaka and co-workers, minimization of the potential energy of film with thickness *h* and elastic modulus *E₀* tethered to the substrate with the elastic modulus *E₂* leads to the condition for the first buckling mode, *λ₀*, given by

\[
\frac{2\pi}{\lambda₀} = \left(\frac{E₀}{E₂}\right)^{1/4} \sqrt{\frac{3}{h}}
\]  

(1)

The elastic modulus (*E₀*) of the films in the swollen state was measured to be 600 kPa using colloidal probe nanomechanical measurements as discussed in detail elsewhere. Taking the modulus (*E₂*) of the solid P2 VP film as about 2 GPa (glassy state) and using eq 1, the wavelength of the buckling pattern formed at the equilibrium swollen state was estimated to be around 3 μm. This value is close to the width of the folded structures observed here (2.8 μm) indicating that the folding can originate from stress-induced periodic wrinkles, thus preserving the original characteristics (wavelength and thickness dependence).

**Dimensions of the Folded Structures.** The folds discussed so far for a film with a thickness of 90 nm are about 3 μm wide and a few tens of micrometers long. The folding dimensions can be scaled down to a submicrometer dimension by decreasing the initial gel film thickness down to 20 nm. With the decrease in the thickness of the film, the length and the width of the folds decrease, while surface density of the folds increases dramatically (Figure 4). However, the characteristic shape of the folds remains the same while the dimensions and the density of the folded structures monotonously change with initial film thickness (Figure 5).

In fact, the histograms of the length and the width distribution clearly reveal the change in the length and the width of the folds with the gel film thickness (Figure 5A–D). The length and width of the folded sheets linearly increase with the thickness of the gel film (Figure 5).
This change holds over an order of magnitude change in the length of the folds, 14 μm for 90 nm thick film to 500 nm for 23 nm thick film. On the other hand, the overall shape (aspect ratio (length to width ratio), ridges, vertices, and corners) of the folding structures is much less affected by the initial thickness. Indeed, the aspect ratio remains remarkably constant within 5 ± 0.2 for all gel morphologies generated here (Figure 5E).

The self-regulation of the size and shape is quite surprising, essentially indicating a consistent mechanical-based intrinsic folding mechanism.

Topography of Folding Structures and Influence of Boundary Conditions. To monitor the deformation of the gel film (local strains) during the folding process and the influence of boundary conditions on substrates, the P2 VP films were imprinted with periodic arrays of stripes and posts with

Figure 5. Histograms showing the length and width distribution of the folds in the P2 VP films with thickness (A) 90, (B) 55, (C) 33, and (D) 23 nm. (E) Plot showing the linear scaling of the average length and the width of the folds with the thickness of the film. The aspect ratio of the folds remains close to 5 ± 0.2 over the entire range of film thicknesses studied here.

Figure 6. Schematic showing the imprinting technique to create the film with nanostripe pattern, nanopost pattern, and one-dimensional structures in the P2 VP film.
predetermined microscopic spacing (see Figure 6 and Methods section). The nanostripe and nanopost patterns with predetermined periodicity can act as an internal ruler to monitor the strain and thus structural changes, whereas the larger scale microstripe pattern results in a one-dimensional confinement of P2 VP gel, thus imposing additional lateral boundary conditions.

Three different periodic patterns, namely nanostripe, nanopost, and microstripe patterns, were imprinted into the P2 VP film with a thickness of 90 nm according to the usual procedure (Figure 7). Figure 7 shows the AFM images of various patterns and the corresponding crossections. AFM image of the P2 VP film imprinted with the stripe pattern with a periodicity of 900 nm is shown in Figure 7A. The cross-section shown in the image clearly depicts the long-range uniformity of the stripe pattern. Similarly, the nanopost pattern comprises a 2D square array of nanoposts with a peri-

Figure 7. AFM images of (A) nanostripe pattern with a periodicity of 900 nm; (B) a square lattice of nanopost pattern with a periodicity of 900 nm, which act as internal rulers to monitor the subsequent deformation of the film; (C) one-dimensional P2 VP structures with a periodicity of 3 μm. Also shown are the corresponding cross-sections showing the nanostripe pattern imprinted into the P2 VP film that serve as a boundary condition for the swelling system.
odicity of 900 nm as well (Figure 7B). The cross-section of the image shows the uniform height of the nanoposts imprinted into the P2 VP film. Finally, the microstripe pattern resulted in well-separated (by 1.7 μm) one-dimensional P2 VP structures with a width of 1.3 μm for a total periodicity of 3 μm (Figure 7C).

Upon exposure to acidic conditions, the P2 VP film with stripe and the nanopost patterns (with a periodicity of 900 nm) exhibited organized lenticular structures popping up from nanoimprinted film similar to that observed in a uniform flat film (Figure 8). The folded film with nanostripe pattern exhibits a complex network of helices interconnected by a network of stripes, highlighting the complex topology of the folded film (Figure 8A). The helical structures in the image correspond to the individual folds, while the network of lines connecting them corresponds to the base layers described above. Similar regions (folded and base layers) can be indentified in the folded film with the imprinted nanopost pattern. These observations establish the similarity of the folding procedure in the smooth and imprinted films. However, the presence of the imprinted patterns with known initial spacing can be utilized to monitor the deformation in the folded gel film by using the micropattern as an internal ruler, which allows monitoring the localized strains.

Indeed, the periodicity of the embedded regular lattices increased from 900 nm in the initial undeformed gel film to ~1800 nm in the folded film indicating remarkably large (~100%) tensile strain arising in the course of swelling and folding (Figure 9). The large strain in the gel film should result in the significant plastic deformation of the swollen hydrogel structure thus “freezing” mechanical instabilities after drying. The stretching and thinning of the nanoscale gel film indicate that these interbuckle regions provide the material for the buckle growth and are eventually depleted enough to inhibit further buckling.

The embedded patterns also enable the tracking of the folding behavior and demonstrate lateral shearing and stretching of the swollen tethered gel film (Figure 9B). This way, we observed that the contour of the nanostructures in the helix underscores the asymmetry of the folded structure with the curvature of the helices different on either side of the folds. Furthermore, in the case of the square lattice structure, shearing results in the transformation of the pattern to a hexagonal lattice indicating strong asymmetric in-plane shearing in the course of folding (Figure 9D). Thus, the internal rulers in the form of stripe and nanopost patterns clearly reveal large, 100%, in-plane strain and the complex shearing topology of the folded structures.

Long-Range Confined Folded Structures. The importance of long-range effects in the deformation mode was demonstrated using the larger scale pattern (3 μm periodicity). We like to point out that the 3 μm periodicity chosen here is close to the expected buckling wave-length and the observed 2.8 μm fold width. Interestingly, imposing this lateral constraint resulted in the inhibition of complete folding and the formation of individual, localized, ordered folded structures (Figure 10). Exposure of the patterned sample to acidic solution at pH 2.0 resulted in a dramatic transformation of the initial gel nanofilm to uniformly oriented, continuous “telephone-cord” structures (Figure 10). A closer observation of the AFM images and the cross-section analysis clearly reveals that the telephone cord structure is indeed periodic buckles transformed into twisted folds (Figure 10 B,C). Overall, the structure represents a chiral array with right-handed deformed helical structures which repeat themselves across macroscopic surface areas. Moreover, wider spaced patterned samples (to 7 μm, which is more than twice the width of the folds in flat films) resulted in the misalignment and randomiza-

Figure 8. AFM images of the folded structure of the film depicting the lenticular shape of the folded structure with (a) nanostripe pattern (Z scale: 400 nm) (B) nanopost pattern (Z scale: 300 nm).

Figure 9. Higher magnification topography showing (A) pristine nanostructure pattern; (B) helical morphology of a single fold in the film exposed to pH 2.0, highlighting the strain in the folded structure; (C) pristine nanopost pattern; and (D) transformed hexagonal lattice structure due to the lateral shear strain in the folding process.
We suggest that the spatial alignment of the folded structures comes from the stress—strain gradient across the thinner regions in between the tall stripes. In other words, the limited supply of material between the growing structures creates long-range spatial alignment of the features through local growth competition, which causes the film to self-regulate and avoid complete separation from the substrate such as that observed for flat films. These results indicate that pre-existing topological patterns acting as boundary conditions for mechanical stress—strain systems can reinforce and develop new topographical self-folded patterns.

Apart from obtaining uniform folding patterns over large areas of the films, the patterns can be localized to predetermined regions by localized exposure of the film to acidic conditions. To demonstrate the confinement of the folding patterns to predetermined regions, we employed capillary transfer lithography to deposit a polystyrene mask on the cross-linked P2 VP film (as described in the Methods section). Figure 12A shows the schematic representation of the steps involved in confining the folding patterns to localized regions of the P2 VP film. The process involved the deposition of a periodic polystyrene pattern (3 µm periodicity) followed by exposure to pH 2.0 solution and subsequent removal of polystyrene (dissolving in toluene).

The AFM images of the P2 VP gel film swollen on these substrates exhibit a uniform array of folded regions interleaved with pristine regions (Figure 12B,C). The higher magnification AFM image clearly reveals that the folding is confined with a high degree of fidelity to the original polystyrene mask. Furthermore, it can be clearly observed that the folded regions contain areas that are higher (folds) and lower (surface bound layer) compared to the pristine regions in complete agreement with the previous suggestion. Thus, confinement of the folding patterns clearly demonstrates the localization of the swelling-mediated mechanical stresses and the possibility of fine control of the surface features that can be achieved across macroscopically significant surface areas.

CONCLUSIONS

We have demonstrated the formation of regular folding patterns in an ultrathin pH responsive polymer gel bound to a rigid substrate. Furthermore, we have demonstrated that simple boundary conditions imposed onto the nanoscale gel film can lead to intriguing structures (such as telephone cord buckles) and spatial confinement of the folds to predetermined regions. The unique capabilities of the purely mechanical-based pattern formation demonstrated in this simple gel may provide insight into the
role of mechanics in biological pattern formation. The results demonstrate that de novo pattern formation via controlled mechanical stress can form discrete high-aspect folded structures with uniform feature sizes and scale-invariance. We suggest that the uniform sinusoidal buckles are insufficient to relieve the stress in nanoscale gel films causing the stress to localize into pinched buckles, which finally fold. The material system investigated here is in sharp contrast to conventional systems studied to date in that it is composed of an nanoscale compliant gel material tethered to rigid substrate. The large volumetric expansion of the swollen tethered gel films forces the system to explore modes beyond simple linear elastic buckling and into an out-of-plane deformation mode.

Moreover, the stress distribution under confined conditions on micropatterned surfaces can be used to form organized folded structures, while simultaneously maintaining and effecting the overall pattern scale and alignment. It is interesting to note that the folds in these gel structures bear remarkable similarity to the folds observed in the developed brain of mammals, suggesting mechanical stress—strain systems during the growth processes. Furthermore, recent studies have provided strong evidence that the new growth of plant buds is highly affected by local stress—strain fields, which highlights the importance of stress—strain mechanics in pattern formation of swollen gels. In light of these findings, we suggest that this demonstration has far-reaching implications in the creation of complex, large-area, 3D gel nanostructures and serves as a model system for understanding the mechanically mediated morphogenesis.

**METHODS**

**P2 VP Gel Films.** The ultrathin P2 VP films were prepared as follows: 0.2 g of P2 VP (Mn = 144 kg/mol, Polymer Source, Inc.) and 0.2 mL of 1,4-diiodobutane (DIB) were dissolved in a 10 mL solvent mixture comprising 9 mL of nitromethane (NM) and 1 mL of tetrahydrofuran (THF). The resulting solution in a closed scintillation vial was kept at 60 °C (in an oil bath) for 2 h with magnetic stirring to accelerate the quaternization reaction between P2 VP and DIB. In the course of the reaction, DIB molecules attach mainly by one end enabling the chains to cross-link and form a gel. To alter the thickness of the PVP films, the quater-
nized 2% P2 VP solution (described above) was diluted by adding the solvent mixture (9 parts of NM and 1 part THF) to prepare 1%, 0.66%, and 0.5% solutions. The P2 VP films were exposed to aqueous pH 2.0 solution (nanopure water adjusted by HCl).

Silicon wafers cut to a typical size of 1 x 2 cm were cleaned in a piranha solution [1:3 (v/v) H2SO4/H2O2], according to a usual procedure adapted in our laboratory. Attention: Piranha solu-
tion is extremely dangerous and should be handled very carefully. Silicon wafers of the (100) orientation with one side polished (Semiconductor Processing Co.) were atomically smooth (micro-
roughness below 0.2 nm). After cleaning, the substrates were then rinsed thoroughly with nanopure water and dried with dry nitrogen before they were used. Spin coating was employed to deposit thin films P2 VP on the clean silicon substrate. The P2 VP films were cross-linked and tethered to the substrate by annealing at an elevated temperature of 120 °C for 2 h.

**Nanoimprinting of P2 VP Films.** For monitoring the deformation of the P2 VP film, stripe pattern and square lattice of micropost structures were imprinted into the film (Figure 7). A polydimethyl siloxane (PDMS) stamp with a negative replica of nanopost (square array of nanopore arrays) was fabricated using a SU8 master fabricated by interference lithography. For nano-
imprinting the PDMS stamp was swollen in THF and was brought into conformal contact with the P2 VP film and compressed for 1 min. The THF in the PDMS stamp softens the P2 VP film cast-
ing a faithful replication of the nanopost structure in the P2 VP gel film. The imprinted P2 VP films were cross-linked by annealing at 120 °C for 2 h.

**Capillary Transfer Lithography.** To localize and pattern the folding, patterns of polystyrene were employed as a physical mask on the P2 VP film to prevent the pH 2.0 exposure in the masked regions. Polystyrene (with 3 µm periodicity) was deposited using capillary transfer lithography (CTL) illustrated in Figure 12A. In brief, a PDMS stamp (with 10-mm periodicity) was soaked in toluene for 1 – 2 min and brought into conformal contact with the polystyrene film on the PDMS substrate (swollen in toluene) and pressed for 1 min. The polystyrene infiltrated the receding portions of the PDMS stamp by capillary action. The pattern was then transferred onto the P2 VP surface by contact of the PDMS stamp for 1 min.

**Atomic Force Microscopy.** The surface morphology of the gel films was studied with a Dimension 3000 atomic force micro-
scope (AFM) in a light tapping mode according to the pro-
cedure adapted in our lab. The thickness of the films were measured by AFM scratch test in which AFM scanning was performed along the edge of a scratch made in the film using a fine needle. The cross-section histograms (bearing analysis) were employed to measure the thickness of the film.

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Supporting Information Available: Real-time video showing the formation of the folds upon exposure of the responsive polymer film to acidic solution. This material is available free of charge via the Internet at http://pubs.acs.org.

REFERENCES AND NOTES