Executive Summary
The Known Good Substrates (KGS) Phase II program was initiated 1 September 2007. There was a delay between the Phase I program end of over 6 months. All Phase II subcontractors were under contract by December 2007. Appendix 1 shows the subcontractors and their focus areas in the program.

Technical Progress
The following table documents the key program end metric goals.

<table>
<thead>
<tr>
<th>Metric</th>
<th>50th Percentile</th>
<th>20th Percentile</th>
</tr>
</thead>
<tbody>
<tr>
<td>MPD distribution 4H n+ 76 mm diameter (cm⁻²)</td>
<td>10</td>
<td>5</td>
</tr>
<tr>
<td>MPD distribution 4H n+ 100 mm diameter (cm⁻²)</td>
<td>20</td>
<td>10</td>
</tr>
<tr>
<td>Net scratch length by LLS relative to wafer diameter (%)</td>
<td>40</td>
<td>20</td>
</tr>
<tr>
<td>Equivalent Epitaxy Defect Density 76 mm diameter (cm⁻²)</td>
<td>&lt;10</td>
<td>&lt;5</td>
</tr>
<tr>
<td>Epitaxy Doping Target Accuracy</td>
<td>+/- 25%</td>
<td>+/-10%</td>
</tr>
<tr>
<td>Epitaxy Doping Variation within wafer (Max-Min/Min, %)</td>
<td>35%</td>
<td>10%</td>
</tr>
<tr>
<td>Substrate Resistivity Maximum 4H n+ 76mm</td>
<td>0.025</td>
<td>0.020</td>
</tr>
</tbody>
</table>

Progress Against Metrics
The following charts show early progress against the program metrics. Due to extended processing cycles, data tends to become available 4-6 weeks in the rears.
# Q1 Known Good Substrates Technical Report

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**Abstract:**
The Known Good Substrates (KGS) Phase II program was initiated 29 August 2007. Wafer, epitaxy, modeling and metrology work has been the main focus of efforts in Q1. This technical report summarizes the progress by all team members against the tasks and milestones.

**Subject Terms:**
SiC wafer, SiC epitaxy, SiC material metrology

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b. **Abstract** U  
c. **This page** U

**Limitation of Abstract:** UU

**Number of Pages:** 6

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With respect to metrics, MPD values are tracking down toward goals, polishing defects oscillate about goals. Resistivity is in range and epitaxy defectivity moving down toward goals. 4H n+ 100 mm and 4H UID 76mm growths are performed at about a 1:10 ratio to 4H n+ 76mm crystal growths. Details by task follow:

**Task 1: SiC Wafers Products**

**Highlights:**
- Pareto analysis performed on bulk SiC growth monthly has identified problems with the formation of pinholes as a primary defect. Failure analysis, and modeling at DCCSS identified undesirable radial gradient in the reaction cell resulted in pinhole and cluster micropipe formation at crystal perimeter. Alterations to the reaction cell were implemented in October. Results obtained on first dozen growths show desired improvements. Early results of MPD data for November are now tracking close to 10/cm².

**Roadblocks:**
- Pinhole problems in crystal growths during Sept limited material available for use in program. Supply of wafers into epitaxy for KGS projects is about 6-8 weeks behind schedule. Wafers stock is now replenishing and epitaxy growths for delivery of wafers to subs will begin in late December.

**Task 2: Continuous Improvements in SiC Substrates**

**Highlights**
- UID 4H material achieved ~5x10¹⁵ cm³ nitrogen concentration by SIMS measurements.
- Next generation SiC crystal growth process under development for the past year at DCCSS is now moving to pilot scale volume (4-10 runs/month). Initial MPD values are <5/cm² on several crystals. Mosaicity and lattice curvature appear to be improving 2x per run. The new process also exhibits significantly more efficient nitrogen incorporation and can help to meet program resistivity reduction targets.
- Detailed measurements and models made of the growth furnace RF heat source show routes to improve growth variability. Results will be fed into the SiC growth mathematical model to improve the accuracy of the model relative to growth data.

**Roadblocks**
- While consistently low N and B levels are found in DCCSS 4H undoped SiC, levels consistent with semi-insulating SiC, material yields to electrical specifications vary significantly. Support from partners will be used to see if the variations can be traced to inconsistent concentrations of deep level impurities.
Task 3: Metrology for Wafer Specifications.

Highlights
- Thick (100+ um) CVT grown epilayer films were deposited on 76mm C-face 4H n+ substrates. Growth rates were nominally 50 um/hr. Microwave photoconductive decay tests were performed and show lifetimes of about 1.15 µsec with the uniformity of 9% (sigma/mean) and indicate low concentration of deep centers in CVT materials. We believe these are high lifetime values based on reports in the literature, especially considering the high growth rates.
- Laser light scattering (LLS) image analyses algorithms used to assess post epitaxy defect impact on epiwafers have now been applied to bare wafers. Strong correlations with MPD are observed and when the data is compared to post epitaxy it is now possible to get better assessment of the defects which emerge during epitaxy. This method can be applied now to every wafer and allow us to gain a large statistical dataset which can be used to better screen incoming bare wafers and also assess performance of epitaxy.

Task 4: Device Technology Maturation

MOS and SBD characterization
- The ratio of the generation to recombination lifetime is much different in SiC compared to Si. Activation energy calculated from SiC generation lifetimes shows that traps with energy levels near mid-gap dominate the generation lifetime. Comparison of both generation and recombination lifetimes and dislocation counts measured in the device area show no correlation in either case.
- Local surface defect patches can account for large generation lifetime variations at the constant dislocation density. Schottky barrier height and ideality factor variations from SBD tests supports local lower barrier height are associated with the patches of defects.

Progress Toward Milestones for End of Program (Sub-bullets are progress this quarter)
- Correlation Maps of PiN forward IV characteristics and recombination lifetime
- Correlation of PiN forward IV characteristics and n+ epitaxial buffer layer/MP blocking
- Primary SiC material defect limiting PiN performance (Roadmap input - GeneSiC)
  - Epiwafers in device fabrication
- SiC materials parameter assessed as most important for SIT performance improvements based on wafer probe data (Roadmap input - NGES)
  - Wafers in polish line, growths due in early January
- SiC materials parameter assessed as most important for SBD performance improvements based on wafer probe data (Roadmap input - Microsemi)
o Ten of twenty wafers complete and in queue for epi – MPD ranges 11-14/cm² which is the lowest range of any epiwafer group produced by DCCSS

- Generational improvement of 4H SiC wafer crystal quality summarized by XRT and MPD analysis
  - Growths in progress
- Assessment of oxide quality for 76mm/100mm 4H epiwafers and link to generation lifetime
  - Epiwafers to ship to subcontractors in January

**Schedule**

A detailed description of achievements and progress against milestones and deliverables was provided above. The project schedule is provided below as an overview of the progress against the high level tasks on the program. Progress is on track in all areas. Expect to see subcontractor activities really ramp up beginning in December.

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**Program Management**

Efforts in Q1 have focused on ramping up internal activities as well as negotiating subcontract agreements. Six of nine subcontracts were in place in Q1 with the final three agreements expected to be in place early in Q2. Epi reactor materials have been ordered and some subcontractor invoices have started to come in for processing.
### Appendix 1: KGS Subcontractors and Quarterly Progress Points

<table>
<thead>
<tr>
<th>Subcontractor</th>
<th>Area of Focus</th>
<th>Progress This Quarter</th>
</tr>
</thead>
<tbody>
<tr>
<td>Northrup Grumman Electronics Systems</td>
<td>J-SIT fabrication and testing</td>
<td>Contract negotiations near completion, epi specifications communicated</td>
</tr>
<tr>
<td>Microsemi</td>
<td>SBD fabrication and testing</td>
<td>Now under contract, epi specifications communicated</td>
</tr>
<tr>
<td>GeneSiC Semiconductors</td>
<td>PiN diode fabrication and testing</td>
<td>Now under contract, epi wafers delivered</td>
</tr>
<tr>
<td>SUNY – Stoney Brook</td>
<td>Crystal Structure of SiC</td>
<td>Performing first rounds of XRT tests on PVT material</td>
</tr>
<tr>
<td>Arizona State University</td>
<td>SiC Oxides, carrier lifetime and device failure analysis</td>
<td>Completing comparison of barrier height tests pre and post oxidation</td>
</tr>
<tr>
<td>Fluxtrol</td>
<td>Modeling and design of high uniformity induction heating systems</td>
<td>Modeling of coil design on PVT furnace shows about 10-15% in power density exists across furnaces used in program. Now designing alterations to coils to reduce variations.</td>
</tr>
<tr>
<td>NRL</td>
<td>SiC Oxides, Epitaxy, Lifetime testing, materials testing, device testing</td>
<td>LTPL data shows mid-year 4H SiC growths exhibits spectral signature comparable to benchmark semi-insulating SiC.</td>
</tr>
<tr>
<td>STR</td>
<td>Modeling of CVD and PVT SiC Growth Processes</td>
<td>Initiated modeling of epitaxy process and PVT growth variations due to coil variations.</td>
</tr>
</tbody>
</table>

**Publications**

- Submitted to IEEE Int’l Reliability Physics Symposium