Department of Defense Directive

SUBJECT Security Assistance Technical Assistance Field Teams (TAFTs)

Refs: (a) Foreign Military Sales Act, as amended
     (c) DoD Directive 1315.7, "Overseas Duty Tours of Military Personnel," October 20, 1970
     (e) DoD Directive 5105.38, "Defense Security Assistance Agency (DSAA)," August 11, 1971

I. PURPOSE

This Directive establishes policies and responsibilities for the provision of U.S. Department of Defense Technical Assistance Field Teams (TAFTs) to foreign governments under the provisions of the Foreign Military Sales Act, as amended, and DoD Directive 5132.3 (references (a) and (b)).

II. APPLICABILITY AND SCOPE

The provisions of this Directive apply to the Office of the Secretary of Defense, the Defense Agencies, the Military Departments, the Unified Commands, and MAAGs (hereinafter referred to as "DoD Components"). As used in this Directive, the term MAAG includes those organizations as defined in subsection IIc, DoD Directive 5132.3 (reference (b)).

III. POLICY

In special circumstances, including cases involving the sale of defense equipment or systems, the Department of Defense may provide under Foreign Military Sales (FMS) procedures technical assistance in the form of TAFTs to the Armed Forces of friendly foreign governments.
within the following policy guidelines:

A. General

1. Provision of TAFTs will be on a case-by-case basis as approved by the Assistant Secretary of Defense (International Security Affairs) (ASD(ISA)).

2. Technical Assistance Field Teams (TAFTs) will be used to provide in-country technical instruction to foreign personnel on specific equipment, technology, weapons and/or supporting systems for a duration and purpose which cannot be satisfied by mobile training teams, foreign military training programs, technical assistance teams or commercial contracts.

3. TAFT personnel will not perform MAAG functions nor be used to augment the U.S. MAAG in the country of deployment.

4. TAFTs will be used to provide technical support on an interim basis pending development of self-sufficiency of the foreign forces in the management/maintenance/utilization of the equipment, weapon, or supporting system concerned.

5. TAFT personnel will not engage in or provide assistance or advice to foreign forces in a combat situation. Additionally, they will not perform operational duties of any kind except as may be required in the conduct of on-the-job training in the operation and maintenance of equipment, weapons or supporting systems.

B. Command and Control

1. Each TAFT will be under the command of a Team Chief whose command chain will be to the contracting Military Service. The contracting Military Service will provide or designate responsibility for on-scene operational control, as appropriate. Command responsibilities for TAFTs will not be vested in any individual performing primary duty as Commander or Chief of a MAAG or similar organization.

2. TAFT personnel will be assigned duties and responsibilities as prescribed by the Team Chief.

3. Personnel administration will be as appropriate to the parent Military Service.

C. Relationships

1. In the performance of their duties, the members of TAFTs will be responsive to host country officials through the designated Team Chief for the duties and activities that are prescribed in each TAFT agreement or contract.
2. The military team members will perform the duties of their office with the title and rank that they hold in their U.S. service and will wear corresponding uniform and insignia, or civilian clothing, as prescribed by the Senior U.S. Military Representative (SMR) in-country; civilian members will hold the precedence assigned by the Team Chief in accordance with Civil Service regulations.

3. The members of the TAFT will hold no command or functional authority/responsibility over personnel of the host Armed Services.

4. The Chief of the U.S. Diplomatic Mission will exercise general supervision over the in-country operations and activities of the TAFT through the SMR.

5. The SMR designated in each country will coordinate TAFT activities, as directed. In particular, he will insure compatibility between TAFT activities and the activities of other DoD elements in or directly related to the U.S. Diplomatic Mission, and will further insure compliance with such directives as may be lawfully issued by the Chief of the U.S. Diplomatic Mission.

D. Team Composition. Teams will be composed of an appropriate mixture of U.S. military/DoD civilian personnel as determined by mission and availability of military/civilian skills. In this regard, every effort will be made to utilize civilian personnel wherever possible, in accordance with Congressional direction.

E. Requirements Validation. MAAGs and the Military Departments will jointly evaluate host country requirements versus personnel skills, numbers, and time phasing in relationship to departmental resources, priorities, and OSD policy guidance. Where agreement cannot be reached, issues will be referred via normal security assistance channels to the ASD(ISA) for resolution. In any case, following determination by interested DoD components of agreed plan for provision of TAFT, the recommendation to provide TAFT will be referred to the ASD(ISA) for decision.

F. Support Requirements. Unless otherwise provided, the Military Department designated as the administrative agent for MAAG support in the country of TAFT employment will provide or insure the provision of support for both TAFT personnel and their dependents. Level and quality of support for TAFT personnel will be equivalent to support provided other DoD personnel of equivalent grade in-country. Coordination will be effected with the Chief of the U.S. Diplomatic Mission, the related Unified Command and MAAG, and other Agencies as appropriate. Support will be provided on a fully reimbursable basis in accordance with subsection III K, below.
G. Overseas Tour Duration. The provisions of DoD Directive 1315.7 (reference (c)) will govern overseas tours. To the maximum extent compatible with the duration of the requirement and availability of support, accompanied tours are to be authorized and included in the FMS negotiations.

H. Personnel Status. Where Status of Forces Agreements (SOFA), DoD Directive 5525.1 (reference (d)), are in force and effect, the TAFT agreement/contract shall specify that the TAFT members are deemed members of the U.S. force under the SOFA. In the absence of an existing SOFA, such agreement/contract should provide for rights, privileges and immunities equivalent to those which prevail under SOFAs or MAAG-type agreements which contain provisions relating to legal status of personnel in the same geographical area.

I. Manpower End Strengths. Manpower end-strengths of the Military Departments will be annually programmed for adjustment as necessary to accommodate approved TAFT requirements.

J. Regional DoD Personnel Ceilings. Regional DoD personnel ceilings will be annually programmed for adjustment as required to accommodate new TAFT requirements.

K. Cost Recovery. All cost to the U.S. Government including personnel pay and allowances, special training of personnel (excluding language training and primary qualification training in the functional area to be assigned) required to meet requirements peculiar to the TAFT, transportation and full range of support to include dependents will be borne by the foreign government either by direct payment or payment in kind. Agreements/contracts made by the executing U.S. Military Service with host governments will include detailed arrangements in this regard. The Military Department or other Agency designated as the Administrative Agent (subsection III. F. above) will provide support cost data and assist in negotiating agreements/contracts as appropriate.

IV. RESPONSIBILITIES AND PROCEDURES

A. In carrying out the provisions of this Directive, the ASD(ISA) will:

1. Evaluate the initial TAFT plan as submitted by the MAAG through the Unified Command for adherence to U.S. political interests, security assistance objectives and DoD policy.

2. Approve in concept and forward outline plan for proposed TAFT to the Military Department(s) and the Defense Security Assistance Agency (DSAA), as appropriate, for
requirements validation and resource availability.

3. Effect coordination with the Department of State, JCS and other agencies, as appropriate.

B. The Defense Security Assistance Agency will, in execution of a TAFT plan approved by the ASD(ISA), exercise responsibilities as set forth in DoD Directives 5132.3 and 5105.38 (references (b) and (e)).

C. The Unified Command will, in accordance with responsibilities set forth in DoD Directive 5132.3 (reference (b)) evaluate initial and follow-on TAFT plans, personnel requirements and support, and make appropriate recommendations.

D. The Military Departments will:

1. In conjunction with country MAAG validate TAFT requirements and resource availability and assist MAAGs in preparing detailed, time phased plans when requested.

2. As requested by the ASD(ISA), conduct in-depth studies of technical assistance requirements, including follow-on TAFT requirements, forwarded by MAAG and submit to ASD(ISA)/Director, DSAA for approval.

3. Provide assistance to ASD(ISA)/Director, DSAA as appropriate to the requirement.

4. Determine/obtain price and availability and prepare and negotiate letter(s) of offer for individual TAFT(s) as authorized by ASD(ISA)/Director, DSAA.

5. Provide or arrange in-country TAFT support for both team members and dependents as appropriate to administrative responsibilities. Support will be provided on a fully reimbursable basis in accordance with III K, above.

6. Initiate cost recovery actions with the host government.

7. Establish and maintain Military Department chain of command over TAFTs.

E. The Chief of the MAAG will:

1. In coordination with the Chief of the U.S. Diplomatic Mission, evaluate the request for technical assistance by the foreign government to determine its validity as to requirements and conformance with established U.S. policy.

2. Develop in conjunction with the Armed Forces of the foreign government a listing of finite skills required and duration
of assistance consistent with the development of country self-sufficiency.

3. For initial evaluation and approval of applicability of TAFT concepts to country requirements, develop and submit to the Office of the Secretary of Defense (OASD(ISA)) through the Unified Command a detailed time-phased plan to include number of personnel, skills, duration or requirement, tour duration, personnel status and support concepts and in-country capabilities and requirements.

4. Periodically review the results of the services performed by the TAFTs in host country/units/activities. Take action, as appropriate, with the Military Departments to correct deficiencies or resolve problems.

5. For follow-on TAFT requirements, submit plans through the Unified Command for approval of the ASD(ISA), and appropriate action by DSAA/Military Departments.

6. With approval of ASD(ISA), initiate requests to Military Departments to conduct technical assistance studies which are beyond the capability of the MAAG.

7. Coordinate with the Senior U.S. Military Representative (SMR) in-country, as appropriate.

F. Senior U.S. Military Representative (SMR). (A senior DoD representative in-country designated by appropriate authority to act as the SMR.) The role of the SMR in-country to perform the coordination functions assigned by the Commander of the Unified Command in regard to designated DoD elements in the country, will not be altered by this Directive.

G. Chief of the U.S. Diplomatic Mission. Nothing in this Directive shall be construed as altering the authorities and responsibilities, as prescribed by law and Presidential directive, of the Chief of the U.S. Diplomatic Mission in the country of deployment.

V. EFFECTIVE DATE AND IMPLEMENTATION

This Directive is effective immediately. Two copies of implementing instructions will be forwarded to the Assistant Secretary of Defense (International Security Affairs) within 90 days.

Deputy Secretary of Defense

INSTRUCTIONS FOR RECIPIENTS

REPRINT

Attached is a reprint of DoD Directive 5132.10, incorporating currently authorized amendments to pages 2, 4, and 5, indicated by marginal asterisks.

The reprinted Directive is to be substituted for copies of 5132.10 original distributed.

EFFECTIVE DATE AND IMPLEMENTATION

The above changes are effective immediately. Two copies of revised implementing documents shall be forwarded to the Assistant Secretary of Defense (International Security Affairs) within 60 days.

Maurice W. Roche
MAURICE W. ROCHE, Director
Correspondence and Directives
OASD(Comptroller)
Department of Defense Directive

SUBJECT Security Assistance Technical Assistance Field Teams (TAFTs)

Refs: (a) Foreign Military Sales Act, as amended
(c) DoD Directive 1315.7, "Overseas Duty Tours of Military Personnel," October 20, 1970
(e) DoD Directive 5105.38, "Defense Security Assistance Agency (DSAA)," August 11, 1971

I. PURPOSE

This Directive establishes policies and responsibilities for the provision of U.S. Department of Defense Technical Assistance Field Teams (TAFTs) to foreign governments under the provisions of the Foreign Military Sales Act, as amended, and DoD Directive 5132.3 (references (a) and (b)).

II. APPLICABILITY AND SCOPE

The provisions of this Directive apply to the Office of the Secretary of Defense, the Defense Agencies, the Military Departments, the Unified Commands, and MAAGs (hereinafter referred to as "DoD Components"). As used in this Directive, the term MAAG includes those organizations as defined in subsection IIc, DoD Directive 5132.3 (reference (b)).

III. POLICY

In special circumstances, including cases involving the sale of defense equipment or systems, the Department of Defense may provide under Foreign Military Sales (FMS) procedures technical assistance in the form of TAFTs to the Armed Forces of friendly foreign governments.
within the following policy guidelines:

A. General

1. Provision of TAFTs will be on a case-by-case basis as approved by the Assistant Secretary of Defense (International Security Affairs) (ASD(ISA)).

2. Technical Assistance Field Teams (TAFTs) will be used to provide in-country technical instruction to foreign personnel on specific equipment, technology, weapons and/or supporting systems for a duration and purpose which cannot be satisfied by mobile training teams, foreign military training programs, technical assistance teams or commercial contracts.

3. TAFT personnel will not perform MAAG functions nor be used to augment the U.S. MAAG in the country of deployment.

4. TAFTs will be used to provide technical support on an interim basis pending development of self-sufficiency of the foreign forces in the management/maintenance/utilization of the equipment, weapon, or supporting system concerned.

5. TAFT personnel will not engage in or provide assistance or advice to foreign forces in a combat situation. Additionally, they will not perform operational duties of any kind except as may be required in the conduct of on-the-job training in the operation and maintenance of equipment, weapons or supporting systems.

B. Command and Control

1. TAFTs will be under the command of Chief, MAAG (or similar organization) or service section chiefs as deemed appropriate by the Chief, MAAG.

2. TAFT personnel will be assigned duties and responsibilities as prescribed by the Team Chief.

3. Personnel administration will be as appropriate to the parent Military Service.

C. Relationships

1. In the performance of their duties, the members of TAFTs will be responsive to host country officials through the designated Team Chief for the duties and activities that are prescribed in each TAFT agreement or contract.
2. The military team members will perform the duties of their office with the title and rank that they hold in their U.S. service and will wear corresponding uniform and insignia, or civilian clothing, as prescribed by the Senior U.S. Military Representative (SMR) in-country; civilian members will hold the precedence assigned by the Team Chief in accordance with Civil Service regulations.

3. The members of the TAFT will hold no command or functional authority/responsibility over personnel of the host Armed Services.

4. The Chief of the U.S. Diplomatic Mission will exercise general supervision over the in-country operations and activities of the TAFT through the SMR.

5. The SMR designated in each country will coordinate TAFT activities, as directed. In particular, he will insure compatibility between TAFT activities and the activities of other DoD elements in or directly related to the U.S. Diplomatic Mission, and will further insure compliance with such directives as may be lawfully issued by the Chief of the U.S. Diplomatic Mission.

D. Team Composition. Teams will be composed of an appropriate mixture of U.S. military/DoD civilian personnel as determined by mission and availability of military/civilian skills. In this regard, every effort will be made to utilize civilian personnel wherever possible, in accordance with Congressional direction.

E. Requirements Validation. MAAGs and the Military Departments will jointly evaluate host country requirements versus personnel skills, numbers, and time phasing in relationship to departmental resources, priorities, and OSD policy guidance. Where agreement cannot be reached, issues will be referred via normal security assistance channels to the ASD(ISA) for resolution. In any case, following determination by interested DoD components of agreed plan for provision of TAFT, the recommendation to provide TAFT will be referred to the ASD(ISA) for decision.

F. Support Requirements. Unless otherwise provided, the Military Department designated as the administrative agent for MAAG support in the country of TAFT employment will provide or insure the provision of support for both TAFT personnel and their dependents. Level and quality of support for TAFT personnel will be equivalent to support provided other DoD personnel of equivalent grade in-country. Coordination will be effected with the Chief of the U.S. Diplomatic Mission, the related Unified Command and MAAG, and other Agencies as appropriate. Support will be provided on a fully reimbursable basis in accordance with subsection III K, below.
G. Overseas Tour Duration. The provisions of DoD Directive 1315.7 (reference (c)) will govern overseas tours. To the maximum extent compatible with the duration of the requirement and availability of support, accompanied tours are to be authorized and included in the FMS negotiations.

H. Personnel Status. TAFT agreement/contract should provide for rights, privileges and immunities equivalent to those which prevail under agreements relating to legal status of MAAG personnel.

I. Manpower End Strengths. Manpower end-strengths of the Military Departments will be annually programmed for adjustment as necessary to accommodate approved TAFT requirements.

J. Regional DoD Personnel Ceilings. Regional DoD personnel ceilings will be annually programmed for adjustment as required to accommodate new TAFT requirements.

K. Cost Recovery. All cost to the U.S. Government including personnel pay and allowances, special training of personnel (excluding language training and primary qualification training in the functional area to be assigned) required to meet requirements peculiar to the TAFT, transportation and full range of support to include dependents will be borne by the foreign government either by direct payment or payment in kind. Agreements/contracts made by the executing U.S. Military Service with host governments will include detailed arrangements in this regard. The Military Department or other Agency designated as the Administrative Agent (subsection III. F. above) will provide support cost data and assist in negotiating agreements/contracts as appropriate.

IV. RESPONSIBILITIES AND PROCEDURES

A. In carrying out the provisions of this Directive, the ASD(ISA) will:

1. Evaluate the initial TAFT plan as submitted by the MAAG through the Unified Command for adherence to U.S. political interests, security assistance objectives and DoD policy.

2. Approve in concept and forward outline plan for proposed TAFT to the Military Department(s) and the Defense Security Assistance Agency (DSAA), as appropriate, for
requirements validation and resource availability.

3. Effect coordination with the Department of State, JCS and other agencies, as appropriate.

B. The Defense Security Assistance Agency will, in execution of a TAFT plan approved by the ASD(ISA), exercise responsibilities as set forth in DoD Directives 5132.3 and 5105.38 (references (b) and (e)).

C. The Unified Command will, in accordance with responsibilities set forth in DoD Directive 5132.3 (reference (b)) evaluate initial and follow-on TAFT plans, personnel requirements and support, and make appropriate recommendations.

D. The Military Departments will:

1. In conjunction with country MAAG validate TAFT requirements and resource availability and assist MAAGs in preparing detailed, time phased plans when requested.

2. As requested by the ASD(ISA), conduct in-depth studies of technical assistance requirements, including follow-on TAFT requirements, forwarded by MAAG and submit to ASD(ISA)/Director, DSAA for approval.

3. Provide assistance to ASD(ISA)/Director, DSAA as appropriate to the requirement.

4. Determine/obtain price and availability and prepare and negotiate letter(s) of offer for individual TAFT(s) as authorized by ASD(ISA)/Director, DSAA.

5. Provide or arrange in-country TAFT support for both team members and dependents, as appropriate to administrative responsibilities. Support will be provided on a fully reimbursable basis in accordance with III K, above.

6. Initiate cost recovery actions with the host government.


E. The Chief of the MAAG will:

1. In coordination with the Chief of the U.S. Diplomatic Mission, evaluate the request for technical assistance by the foreign government to determine its validity as to requirements and conformance with established U.S. policy.

2. Develop in conjunction with the Armed Forces of the foreign government a listing of finite skills required and duration
of assistance consistent with the development of country self-sufficiency.

3. For initial evaluation and approval of applicability of TAFT concepts to country requirements, develop and submit to the Office of the Secretary of Defense (OASD(ISA)) through the Unified Command a detailed time-phased plan to include number of personnel, skills, duration or requirement, tour duration, personnel status and support concepts and in-country capabilities and requirements.

4. Periodically review the results of the services performed by the TAFTs in host country/units/activities. Take action, as appropriate, with the Military Departments to correct deficiencies or resolve problems.

5. For follow-on TAFT requirements, submit plans through the Unified Command for approval of the ASD(ISA), and appropriate action by DSAA/Military Departments.

6. With approval of ASD(ISA), initiate requests to Military Departments to conduct technical assistance studies which are beyond the capability of the MAAG.

7. Coordinate with the Senior U.S. Military Representative (SMR) in-country, as appropriate.

F. Senior U.S. Military Representative (SMR). (A senior DoD representative in-country designated by appropriate authority to act as the SMR.) The role of the SMR in-country to perform the coordination functions assigned by the Commander of the Unified Command in regard to designated DoD elements in the country, will not be altered by this Directive.

G. Chief of the U.S. Diplomatic Mission. Nothing in this Directive shall be construed as altering the authorities and responsibilities, as prescribed by law and Presidential directive, of the Chief of the U.S. Diplomatic Mission in the country of deployment.

V. EFFECTIVE DATE AND IMPLEMENTATION

This Directive is effective immediately. Two copies of implementing instructions will be forwarded to the Assistant Secretary of Defense (International Security Affairs) within 90 days.

Deputy Secretary of Defense

DTIC QUALITY INSPECTED 6
END
FILMED

DATE: 11-93

DTIC