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A DOUBLY ASYMPTOTIC, NON-REFLECTING BOUNDARY FOR GROUND-SHOCK ANALYSIS

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Technical Report

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A DOUBLY ASYMPTOTIC, NON-REFLECTING BOUNDARY FOR GROUND-SHOCK ANALYSIS

Mathews, Ian C. and Geers, Thomas L.

This work was sponsored by the Defense Nuclear Agency under RDT&E RMSS Code B340083460 Y99QAXS 00067 02590D.

This report describes the formulation and implementation of a nonreflecting boundary for use with existing finite element codes to perform nonlinear ground shock analyses of buried structures. The boundary is based on a first-order doubly asymptotic approximations (DAA) for disturbances propagating outward from a selected portion of the soil medium surrounding the structure of interest. The resulting set of first-order ordinary differential equations is then combined with the second-order equations of motion for the finite element model so as to facilitate solution by a staggered solution procedure. This procedure is shown to be computationally stable as long as the time increment is smaller than a limiting value based on the finite element mass matrix and the DAA-boundary stiffness matrix. Computational results produced by the boundary are compared with exact results for linear, canonical problems pertaining to infinite-cylindrical and spherical shells.
SUMMARY

This report describes the formulation and implementation of a nonreflecting boundary for use with existing finite-element codes to perform nonlinear ground-shock analyses of buried structures. The boundary is based on a first-order doubly asymptotic approximation (DAA) for disturbances propagating outward from a selected portion of the soil medium surrounding the structure of interest. The resulting set of first-order ordinary differential equations is then combined with the second-order equations of motion for the finite-element model so as to facilitate solution by a staggered solution procedure. This procedure is shown to be computationally stable as long as the time increment is smaller than a limiting value based on the finite-element mass matrix and the DAA-boundary stiffness matrix. Computational results produced by the boundary are compared with exact results for linear canonical problems pertaining to infinite-cylindrical and spherical shells.
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SECTION 1
INTRODUCTION

The primary objective of this effort has been the implementation of a non-reflecting boundary for use with existing finite-element codes to perform nonlinear ground-shock analyses of buried structures. This boundary is based on the first-order doubly asymptotic approximation (DAA) for elastodynamic scattering [Geers and Yen (1981), Underwood and Geers (1981)]. In addition, a staggered solution procedure is utilized to partition the global equations in order to achieve both computational efficiency and software modularity [Felippa and Park (1980)].

This work extends that of Underwood and Geers (1981) for linear ground-shock problems, wherein the DAA surface is placed on the surface of the buried structure. Here, the DAA surface is moved some distance out from the surface of the structure, enclosing both the structure and a portion of the surrounding soil medium, which may be treated with nonlinear finite elements. Other extensions include formulation and implementation for general 2-D and 3-D problems, improved discretization of the DAA surface with higher-order interpolation functions, and utilization of a conditionally stable staggered-solution procedure.

1.1 Doubly Asymptotic vs. Singly Asymptotic Approximations

It is important to differentiate between doubly asymptotic approximations, which address quasi-static and wave-propagation effects simultaneously, and singly asymptotic approximations, which address these effects separately [see, e.g., various papers in Kalinowski, ed. (1981) and Datta. ed., (1982), and Cohen and Jennings (1983)]. For example, representation of the external medium by an elastic foundation, which may be quite satisfactory at low frequencies, does not account, at higher frequencies, for energy dissipation through outward propagation of scattered waves. On the other hand, representation of the external medium by a viscous boundary, which may be quite satisfactory for wave-propagation problems, does not provide elastic restoring forces in the static limit.

A response-averaging method originally proposed by Smith (1974) and extended by Cundall. et al. (1978) also fails in the static limit. For example, consider the response of a rigid structure surrounded by an infinite, linear-elastic medium to an internal, quasi-static point force. A computational model for this problem might consist of the rigid structure surrounded by a portion of the medium enclosed by a non-reflecting boundary. If this boundary is that of Smith, the total response of the structure is the average of two responses, one dependent on the stiffness of the bounded portion of medium enclosed by a rigid boundary, and the other associated with the structure and bounded portion of medium floating freely in space. Unfortunately, the latter response grows indefinitely in the static limit because the freely floating system is not in static equilibrium. In contrast, doubly asymptotic approximations approach exactness in the static limit.
1.2 Outline of Remainder of Report

Section 2 of this report derives the first-order doubly asymptotic equations of motion for a buried structure excited by a transient incident wave. Section 3 deals with formation of the medium stiffness matrix required for the low-frequency component of DAA₁. The staggered-solution procedure and associated stability analysis are discussed in Section 4, which establishes the time-increment limitation of the conditionally stable algorithm. Section 5 describes the implementation of the formulation as computer software, and presents numerical results for two canonical problems, viz., excitation of an infinite-cylindrical and a spherical shell by a plane dilatational wave. Section 6 concludes the report with some observations and recommendations for future work.
SECTION 2

GOVERNING EQUATIONS

This section presents the governing equations for the finite-element (FE) model of the structure along with a portion of the surrounding soil medium, and for the boundary-element model (BE) of the non-reflecting DAA surface. These equations are then partitioned, and a staggered-solution procedure is introduced to solve for transient response. Throughout the development, the dependence of excitation and response quantities on time is implicit.

2.1 Finite-Element/Boundary-Element Model

Let \( \mathbf{x} \) be the computational vector of displacement response in global coordinates for the FE model of the structure and portion of surrounding medium. The governing equations for the finite-element model are then, e.g., Zienkiewicz (1977):

\[
M_\varepsilon \ddot{\mathbf{x}} + D_\varepsilon \dot{\mathbf{x}} - K_\varepsilon \mathbf{x} = \mathbf{f}_e + \mathbf{f}_i
\]

(2.1)

where \( M_\varepsilon, D_\varepsilon, \text{ and } K_\varepsilon \) are the mass, damping and stiffness matrices, respectively, for the FE model, \( \mathbf{f}_e \) is the computational vector of external medium forces imposed by the DAA surface and \( \mathbf{f}_i \) is the vector of internal nonlinear forces: as usual, a dot denotes differentiation in time. Compatibility of forces and displacements at the DAA surface may be expressed as Geers and Underwood (1981).

\[
\mathbf{f}_e = -Gg
\]

\[
\mathbf{u} = G^T \mathbf{x}
\]

(2.2)

where \( g \) and \( u \) are the global force and displacement vectors, respectively, for the BE model of the DAA surface and \( G \) is the force-transformation matrix from BE to FE coordinates.

Now the force vector \( g \) and displacement vector \( u \) may be decomposed into incident-wave and scattered-wave components as

\[
g = g_I + g_S
\]

\[
u = u_I - u_S
\]

(2.3)

where \( g_I \) is the known force vector associated with a free-field incident wave and \( g_S \) is the unknown force vector associated with the wave scattered by the structure. It is worth noting that this dual decomposition does not require constitutive linearity of the medium to be valid. for \( g_S \) and \( u_S \) may each be viewed as merely the difference between two vectors, one obtaining with the structure absent and the other obtaining with the structure present.

2.2 Doubly Asymptotic Approximation

A first-order DAA is used here to relate the scattered-force vector \( g_S \) and the scattered-displacement vector \( u_S \) Geers and Yen (1981) and Underwood and Geers (1981). This
approximation approaches exactness in both the high- and low-frequency limits, and effects a smooth transition between. The development of DAA\textsubscript{1} for a linear, isotropic external medium proceeds as follows.

At high frequencies, the geometrical vector of scattered-wave surface tractions for the DAA surface corresponding to normal and tangential motions of that surface is given by

\[ t'_S(p) = \rho_m C_m \dot{u}'_S(p) \]  \hfill (2.4)

where \( p \) denotes a point on the surface, \( \rho_m \) is the mass density of the medium, and \( C_m \) is the diagonal sound-speed matrix corresponding to \( \dot{u}'_S \), which is the geometrical vector of normal and tangential scattered-wave velocities. For the component of \( \dot{u}'_S \) normal to the DAA surface, the corresponding matrix component is the dilatational velocity, while for each component of \( \dot{u}'_S \) tangential to the DAA surface, the corresponding matrix component is the shear velocity.

Now the local-coordinate vectors of (2.4) may be transformed into global-coordinate vectors as

\[ u'_S(p) = Q(p) u_S(p), \quad t'_S(p) = Q(p) t_S(p) \]  \hfill (2.5)

to obtain, inasmuch as \( Q^{-1} = Q^t \), where the superscripts \(-1\) and \( t \) denote inverse and transpose, respectively.

\[ t_S(p) = Q^t(p) \rho_m C_m Q(p) \dot{u}_S(p) \]  \hfill (2.6)

Hence boundary-element discretization of \( u_S \) as \( \text{see, e.g., Zienkiewicz (1977)} \).

\[ u_S(p) = N(p) u_S \]  \hfill (2.7)

where \( N(p) \) is a matrix of shape-functions and \( u_S \) is a vector of displacement degrees of freedom, and definition of the high-frequency scattered-wave force vector as

\[ g^h_S = \int N^t(p) t_S(p) \, dS \]  \hfill (2.8)

yield, for high-frequency motions.

\[ g^h_S = D_m \dot{u}_S \]  \hfill (2.9)

in which

\[ D_m = \int N^t Q^t \rho_m C_m Q N \, dS \]  \hfill (2.10)

At low frequencies, the scattered-wave force computational vector is given by the quasi-static relation

\[ g^h_S = D_m \dot{u}_S \]
\[ g_s^I = K_m u_s \]  

(2.11)

where \( K_m \) is a full, nonsymmetric stiffness matrix for the boundary-element mesh, whose construction is described in the next section.

Finally, the first-order doubly asymptotic approximation DAA\(_1\) is formed by the superposition of \( g_s^I \) and \( g_s^h \) to obtain

\[ g_s = D_m \dot{u}_s - K_m u_s \]  

(2.12)

Now the assumption embodied in DAA\(_1\) of a constitutively linear medium for the scattered wave is justified within the framework of classical plasticity theory if the material point for every exterior location, i.e., every location in the medium outside the DAA surface, remains within its corresponding yield surface when and after the scattered wave arrives at the DAA surface. For incident waves with sufficiently rapid decay rates and for a DAA surface sufficiently removed from the surface of the structure, the scattered wave causes minor perturbations about an elastic state at each exterior location, thereby satisfying the preceding condition.

The assumption of material isotropy outside the DAA surface cannot be rigorously maintained if the material has suffered plastic excursions in response to the incident wave. However, it is likely that the resulting anisotropy is no more pronounced than that characterizing the ambient state, which is generally uncertain in practical cases. Hence, while an extension to material orthotropy may be theoretically possible, it may not be worth the trouble.

2.3 Response Equations

Introduction of the first of (2.2) and (2.3) into (2.1) and of the second of (2.2) and (2.3) into (2.12) yields the doubly asymptotic equations of motion

\[ M_s \ddot{x} - D_s \dot{x} - K_s x = -G \{ g_I - g_s \} - f, \]  

(2.13)

\[ g_s = D_m \{ G^T \ddot{x} - \dot{u}_I \} - K_m \{ G^T x - u_I \} \]

which may be numerically integrated in time to obtain the solution vectors \( x \) and \( g_s \).

Because \( M_s, D_s \) and \( K_s \) are typically large and banded, while \( K_m \) is relatively small and full, it is not computationally practical to introduce the second of these equations into the first to eliminate \( g_s \).

However, because \( D_m \) is banded and multiplies the highest-derivative terms in the second of (2.13), it is advantageous to apply the technique of augmentation. Park, et al. (1977), which here merely involves introducing the second of (2.13) into the first, moving the term containing \( D_m \) to the left side of the resulting set of equations, and keeping \( G K_m G^T x \) on the right. This yields the augmented doubly asymptotic equations of motion
\[ M_s \ddot{x} - (D_s + GD_m G^t) \dot{x} + K_s x = -Gg_I + GD_m \dot{u}_I + GK_m u_I - f_I - GK_m G^t x \] (2.14)

which are highly amenable to staggered solution, as discussed in Section 4.
SECTION 3
MEDIUM STIFFNESS MATRIX

This section describes the construction of the boundary-element stiffness matrix that relates the scattered-wave force and displacement vectors at low frequencies. The development is based on Somigliana’s identities, which derive from Betti’s reciprocal work theorems and Kelvin’s problem of a point load in an infinite elastic medium [see, e.g., Kupradze (1965), Rizzo (1967), Cruse (1969), Lachat and Watson (1976)].

3.1 Elastostatic Boundary-Integral Equations

The surface behavior of an elastic medium, whether occupying an exterior or interior region, may be expressed as [Rizzo (1967), Cruse (1969)]

\[ c(p)u(p) + \int_{\Gamma} T(p, q)u(q) \, d\Gamma_q = \int_{\Gamma} U(p, q)t(q) \, d\Gamma_q \]  \hspace{1cm} (3.1)

where \( p \) is a point on the boundary and \( q \) is the integration variable, and where \( u(p) \) and \( t(p) \) are \( d \times 1 \) vectors (\( d = 2 \) or 3) of medium displacements and tractions in Cartesian coordinates on the boundary at \( p \). The elements \( T_{ij}(p, q) \) and \( U_{ij}(p, q) \) of the \( d \times d \) matrices \( T(p, q) \) and \( U(p, q) \) are fundamental solutions for the tractions and displacements at a location \( q \) in the direction \( i \) due to a point load at location \( p \) in direction \( j \). With \( \delta_{ij} \) as the Kronecker symbol, each element of the matrix \( c \) is defined as

\[ c_{ij}(p) = \frac{1}{2} \delta_{ij} \]  \hspace{1cm} (3.2)

if there exists a continuous tangent at \( p \). or, with \( \Gamma_e \) as the surface of a sphere of radius \( \epsilon \) centered at \( p \),

\[ c_{ij}(p) = \lim_{\epsilon \to 0} \int_{\Gamma} T_{ij}(p, q) \, d\Gamma_q \]  \hspace{1cm} (3.3)

if the tangent is not continuous. A simple method for the evaluation of \( c_{ij} \) is given in Appendix A.

Now an element of the two-dimensional displacement-kernel matrix \( U(p, q) \) for plane-strain problems is given by

\[ U_{ij}(p, q) = \frac{-1}{8\pi(1-\nu)G} \left[ 3 - 4\nu \right] \ln(r) \delta_{ij} - r_{i1} r_{j1} \]  \hspace{1cm} (3.4)

where \( G \) and \( \nu \) are the shear modulus and Poisson’s ratio, respectively, and \( r = r(p, q) \) is the distance between the load point \( p \) and the field point \( q \); the derivatives are taken with reference to the coordinates of \( q \). With \( p \) and \( q \) as the coordinates of \( p \) and \( q \), respectively.
\[ r_i = q_i - p_i \]
\[ r = (r_i, r_j)^{\frac{1}{2}} \]
\[ r_{ij} = \frac{q_i - p_i}{r} \]

In contrast, an element of the three-dimensional displacement-kernel matrix \( U(p, q) \) is given by

\[ U_{ij}(p, q) = \frac{1}{16\pi(1 - \nu)G^r} [(3 - 4\nu)\delta_{ij} + r_{ij} r_{ij}] \] (3.6)

Finally, an element of the traction-kernel matrix \( T(p, q) \) for both two- and three-dimensional problems is given by

\[ T_{ij}(p, q) = \frac{-1}{4\alpha(1 - \nu)\sigma^c} \left\{ [(1 - 2\nu)\delta_{ij} + \beta r_{ij} r_{ij} n_i] n_j - (1 - 2\nu)(r_{ij} n_j - r_{ij} n_i) \left\} \right. \] (3.7)

where \( \alpha \) and \( n_j \) are direction-cosines for the surface normal at \( q \). The two- and three-dimensional forms are explicitly obtained by letting \( \alpha = 1, 2 \) and \( \beta = 2, 3 \), respectively.

### 3.2 Discretization

Numerical solution of the integral equation (3.1) requires discretization of the DAA surface, over each boundary element of which the displacement and traction vectors are approximated. The curved isoparametric elements of finite-element theory offer both the generality and the accuracy needed for this purpose. With this approach, the global Cartesian coordinates of any point in an element are taken as related to the nodal coordinates by, e.g., (2.7)

\[ x(p) = N(p) \mathbf{x} \] (3.8)

i.e., the same shape functions are used to approximate element geometry, displacements and tractions. This allows interpolated displacements and tractions along the DAA curve in two-dimensional space to be integrated over a normalized length in \( \xi \)-coordinate space, and similar quantities over the DAA surface in three-dimensional space to be integrated over a standard \( 2 \times 2 \) normalized square in \( \xi_1, \xi_2 \)-coordinate space.

On an element-by-element basis, (3.8) becomes

\[ x^e(\xi^e) = \sum_k N_k(\xi^e) x_k^e \] (3.9)

where \( x^e(\xi^e) \) is the \( d \times 1 \) vector of Cartesian coordinates of a point in element \( e \), the \( N_k(\xi^e) \) are the element shape functions, and \( x_k^e \) is the \( d \times 1 \) vector of Cartesian coordinates of the \( k \)th element node; also, \( \xi \) = \( \xi^e \) in 2-D, but \( \xi \) = \( \xi_1^e, \xi_2^e \) in 3-D. The elements used in this
study are the three-noded, quadratic, curved element for 2-D analysis and the eight-noded, quadratic, serendipity element for 3-D analysis. The shape functions for the three-noded quadratic element are

\[ N_1 = \frac{1}{2} \xi (\xi - 1) \]
\[ N_2 = 1 - \xi^2 \]
\[ N_3 = \frac{1}{2} \xi (\xi + 1) \]

where \( \xi \in [-1,1] \); the nodes are located at \( \xi = -1,0,1 \). The shape functions for the eight-noded quadratic element are

\[ N_1 = -\frac{1}{4} (1 - \xi_1)(1 - \xi_2)(1 + \xi_1 + \xi_2) \]
\[ N_2 = \frac{1}{2} (1 - \xi_1^2)(1 - \xi_2) \]
\[ N_3 = \frac{1}{4} (1 + \xi_1)(1 - \xi_2)(\xi_1 - \xi_2 - 1) \]
\[ N_4 = \frac{1}{2} (1 + \xi_1)(1 - \xi_2^2) \]
\[ N_5 = \frac{1}{4} (1 + \xi_1)(1 + \xi_2)(\xi_1 + \xi_2 - 1) \]
\[ N_6 = \frac{1}{2} (1 - \xi_1^2)(1 - \xi_2) \]
\[ N_7 = \frac{1}{4} (1 - \xi_1)(1 + \xi_2)(-\xi_1 - \xi_2 - 1) \]
\[ N_8 = \frac{1}{2} (1 - \xi_1)(1 - \xi_2^2) \]

where \( \xi_1 \in [-1,1] \) and \( \xi_2 \in [-1,1] \), and all nodes lie at the intersections of the \( \xi_1 = -1,0,1 \) and the \( \xi_2 = -1,0,1 \) lines, except at 0,0, where there is no node.

### 3.3 Matrix Assembly

With DAA-surface coordinates, displacements and tractions approximated as

\[ x(p) = N(p)x \quad u(p) = N(p)u \quad t(p) = N(p)t \]

(3.12) may be expressed at a node \( P \) as

\[ c(P)u(P) = \sum_{e=1}^{E} \int_{\Gamma_e} T(P, q \xi') \sum_{k} N_k(\xi') u'_k J(\xi') d\xi' \]

\[ = \sum_{e=1}^{E} \int_{\Gamma_e} T'(P, q \xi') \sum_{k} N_k(\xi') t'_k J(\xi') d\xi' \]

where \( E \) is the total number of elements on the DAA surface and \( J(\xi') \) is the Jacobian for \( x^e \rightarrow \xi' \) transformation: also, \( d\xi' = d\xi^e \) in 2-D, but \( d\xi' = d\xi_1^e d\xi_2^e \) in 3-D. Finally, coalescence of element contributions at common nodes is implicit in (3.13). The numerical techniques used to evaluate the integrals in this equation are discussed in Appendix A.

Evaluation of (3.13) at every node on the DAA surface yields a set of simultaneous algebraic equations that can be expressed in the form
\[ A \mathbf{u} = B \mathbf{t} \]  

so that

\[ \mathbf{t} = B^{-1} A \mathbf{u} \]  

(3.15)

Now the nodal force vector \( \mathbf{g} \) corresponding to a traction distribution \( \mathbf{t} \) on the DAA surface is given by

\[ \mathbf{g} = \int_{\Gamma} \mathbf{N}^t(p) \mathbf{t}(p) \, d\Gamma \]  

(3.16)

Introduction of the third of (3.12) and of (3.15) into this relation then yields

\[ \mathbf{g} = \mathbf{K}_m \mathbf{u} \]  

(3.17)

where the generally non-symmetric medium stiffness matrix \( \mathbf{K}_m \) is given by

\[ \mathbf{K}_m = \left[ \int_{\Gamma} \mathbf{N}^t \mathbf{N} \, d\Gamma \right] B^{-1} A \]  

(3.18)

A symmetric form may be obtained as

\[ \tilde{\mathbf{K}}_m = \frac{1}{2} (\mathbf{K}_m - \mathbf{K}_m^t) \]  

(3.19)

which is identical to that derived from energy considerations [Zienkiewicz, Kelly and Bettess (1977)]. As indicated in Appendix B, however, the use of \( \tilde{\mathbf{K}}_m \) generally yields numerical results inferior to those produced by \( \mathbf{K}_m \).
SECTION 4

STAGGERED SOLUTION PROCEDURE

In the interest of computational efficiency, the augmented doubly asymptotic equations of motion given by (2.14) are solved with a staggered solution procedure. The procedure is conditionally stable, requiring that the time increment be smaller than the shortest medium-boundary period divided by \( \pi \). This shortest period may be obtained by determining the highest natural frequency for the eigenproblem

\[
\omega^2 M_s x = G K_m G^t x
\]  

(4.1)

In cases where the surrounding soil does not appreciably stiffen the embedded structure beyond its inherent level, the highest medium-boundary frequency is substantially lower than the highest natural frequency characterizing the structure itself. thereby allowing the analyst to carry out stable calculations with a relatively large time increment. The remainder of this section describes the staggered-solution procedure and the stability analysis that leads to (4.1).

4.1 Solution Algorithm

To construct the staggered solution procedure for (2.14), those equations are expressed at mid-step as

\[
M_s \ddot{x}_{n-1/2} = D_T \dot{x}_{n-1/2} + K_s x_{n-1/2} = f_{n-1/2} - K_M x_{n-1/2}
\]  

(4.2)

where the time step \( n = t / \Delta t \), in which \( t \) and \( \Delta t \) are time and fixed time increment, respectively, and where the total damping matrix \( D_T \), the medium-boundary stiffness matrix \( K_M \), and the total force vector \( f \) are given by

\[
D_T = D_s - G D_m G^t \\
K_M = G K_m G^t \\
f = -G g - G D_m \dot{u}_I - G K_m u_I - f_i
\]  

(4.3)

The integration algorithm utilized is the trapezoidal rule see. e.g., Henrici (1962) for which

\[
\dot{x}_{n-1/2} = (x_{n-1/2} - x_n) \delta \\
\ddot{x}_{n-1/2} = (x_{n-1/2} - \dot{x}_n) \delta \\
x_{n-1} = 2x_{n-1/2} - x_n \\
x_{n-1} = 2x_{n-1/2} - x_n
\]  

(4.4)

where \( \delta = \Delta t / 2 \). Introduction of the first and then the last of these into the third yields the standard form

11
\[ \mathbf{x}_{n+1} = \mathbf{x}_n - \frac{\Delta t}{2} (\dot{\mathbf{x}}_{n+1} + \dot{\mathbf{x}}_n) \]  \hspace{1cm} (4.5)

Now the first two of (4.4) are introduced into the left side of (4.2) and \( \mathbf{x}_{n+1/2} \) on the right side of (4.2) is predicted as \( \mathbf{x}^p_{n+1/2} \) to obtain the set of algebraic equations

\[ \mathbf{E}_l \mathbf{x}_{n+1/2} = \mathbf{e}_{n+1/2} - \mathbf{E}_r \mathbf{x}^p_{n+1/2} \]  \hspace{1cm} (4.6)

where

\[ \mathbf{E}_l = \mathbf{M}_s - \delta \mathbf{D}_T - \delta^2 \mathbf{K}_s \]
\[ \mathbf{E}_r = \delta^2 \mathbf{K}_M \]  \hspace{1cm} (4.7)
\[ \mathbf{e}_{n+1/2} = \delta^2 \mathbf{f}_{n+1/2} - \mathbf{M}_s (\mathbf{x}_n + \delta \dot{\mathbf{x}}_n) - \delta \mathbf{D}_T \mathbf{x}_n \]

Finally, the prediction \( \mathbf{x}^p_{n+1/2} \) is based on the one-term extrapolation

\[ \mathbf{x}^p_{n+1/2} = \mathbf{x}_n \]  \hspace{1cm} (4.8)

The preceding staggered solution procedure leads to the following computational sequence to determine system response at time step \( n - 1 \):

(a) \( \mathbf{f}_{n-1/2} = (\mathbf{f}_n - \mathbf{f}_{n-1})/2 \)
(b) \( \mathbf{e}_{n-1/2} = \delta^2 \mathbf{f}_{n+1/2} - \mathbf{M}_s (\mathbf{x}_n + \delta \dot{\mathbf{x}}_n) - \delta \mathbf{D}_T \mathbf{x}_n \)
(c) \( \mathbf{x}^p_{n-1/2} = \mathbf{x}_n \)
(d) \( \mathbf{x}_{n-1/2} = \mathbf{E}_l^{-1} \mathbf{e}_{n-1/2} - \mathbf{E}_r \mathbf{x}^p_{n+1/2} \)
(e) \( \mathbf{x}_{n-1} = 2 \mathbf{x}_{n+1/2} - \mathbf{x}_n \)
(f) \( \ddot{\mathbf{x}}_{n-1/2} = (\mathbf{x}_{n-1/2} - \mathbf{x}_n)/\delta \)
(g) \( \ddot{\mathbf{x}}_{n-1/2} = \mathbf{M}_s^{-1} (\mathbf{f}_{n+1/2} - \mathbf{D}_T \dot{\mathbf{x}}^p_{n+1/2} - \mathbf{K}_T \mathbf{x}_{n-1/2}) \)
(h) \( \dot{\mathbf{x}}_{n-1/2} = \mathbf{x}_n - \delta \ddot{\mathbf{x}}_{n-1/2} \)
(i) \( \mathbf{x}_{n-1} = 2 \mathbf{x}_{n-1/2} - \mathbf{x}_n \)

where the total stiffness matrix \( \mathbf{K}_T = \mathbf{K}_s - \mathbf{K}_M \). To improve accuracy, an iterative loop has been introduced at (d), wherein \( \mathbf{x}^p_{n+1/2} \) on the right is corrected to the previously calculated value of \( \mathbf{x}_{n+1/2} \); two iterations generally produce satisfactory convergence. The calculation starts at \( n = 0 \) with \( \mathbf{x}_0 = \dot{\mathbf{x}}_0 = 0 \).

4.2 Stability Analysis

Park (1980) has performed a stability analysis of a generalized form of the staggered solution procedure just described. The result is that the procedure is computationally stable if no root of the characteristic equation
\[ \det \left[ z^2(M_s - \delta^2 \mathbf{K}_M) - z \delta \mathbf{D}_T - \delta^2 \mathbf{K}_T \right] = 0 \quad (4.9) \]

has a positive real part. Verification of this condition is relatively straightforward when all of the matrices in (4.9) are symmetric; it is generally quite difficult when one or more is not. Unfortunately, as discussed in Section 3, the medium stiffness matrix \( \mathbf{K}_m \) is nonsymmetric, which pollutes \( \mathbf{K}_M \) and \( \mathbf{K}_T \). Fortunately, however, \( \mathbf{K}_m \) constitutes a small perturbation of \( \mathbf{K}_m \), which is symmetric; hence it is appropriate to consider the characteristic equation

\[ \det \left[ z^2(\mathbf{M}_s - \delta^2 \mathbf{K}_M) - z \delta \mathbf{D}_T - \delta^2 \mathbf{K}_T \right] \]

where \( \mathbf{K}_M = \mathbf{G} \hat{\mathbf{K}}_m \mathbf{G}' \) and \( \hat{\mathbf{K}}_T = \mathbf{K}_T - \mathbf{K}_M \).

As discussed on page 255 of Bellman (1970), no root of (4.10) has a positive real part if \((\mathbf{M}_s - \delta^2 \hat{\mathbf{K}}_M), \mathbf{D}_T \) and \( \hat{\mathbf{K}}_T \) are all non-negative definite and either \((\mathbf{M}_s - \delta^2 \hat{\mathbf{K}}_M) \) or \( \hat{\mathbf{K}}_T \) is positive definite. On physical grounds, \( \mathbf{D}_T \) and \( \hat{\mathbf{K}}_T \) are both non-negative definite, but generally not positive definite. However, inasmuch as \( \mathbf{M}_s \) is positive definite, \((\mathbf{M}_s - \delta^2 \hat{\mathbf{K}}_M) \) is positive definite if \( \delta \) is sufficiently small. The degree of smallness defines the *stability requirement*, as discussed next.

Consider the following *first eigenproblem*:

\[ \hat{\mathbf{Q}} \mathbf{x} = \lambda \mathbf{x} \quad (4.11) \]

where \( \hat{\mathbf{Q}} = \mathbf{M}_s^{-1} \hat{\mathbf{K}}_M \). This problem yields non-negative real eigenvalues and real eigenvectors. These eigenvectors may be assembled into a modal transformation matrix \( \mathbf{\Psi} \) that diagonalizes \( \hat{\mathbf{Q}} \) as \( \mathbf{\Psi}' \hat{\mathbf{Q}} \mathbf{\Psi} = \mathbf{Q}^d \) and normalizes as \( \mathbf{\Psi}' \mathbf{\Psi} = \mathbf{I} \), the identity matrix. Hence the introduction into (4.11) of a transformation from physical to generalized coordinates as \( \mathbf{x} = \mathbf{\Psi} \mathbf{y} \) and subsequent premultiplication through by \( \mathbf{\Psi}' \) yield the diagonal eigenvalue matrix

\[ \mathbf{\Lambda}_Q = \hat{\mathbf{Q}}^d \]

(4.12)

Consider next the following *second eigenproblem*:

\[ \hat{\mathbf{K}}_M \mathbf{x} = \lambda \mathbf{M} \mathbf{x} \quad (4.13) \]

whose eigenvalues and eigenvectors are the same as those of the first eigenproblem. Hence the transformation from physical to generalized coordinates and premultiplication through by \( \mathbf{\Psi}' \) yields

\[ \mathbf{\Lambda}_{\hat{\mathbf{K}}_M} = (\mathbf{M}^d_s)^{-1} \hat{\mathbf{K}}_M^d \]

(4.14)

where \( \mathbf{M}^d_s \) : \( \mathbf{\Psi}' \mathbf{M} \mathbf{\Psi} \) and \( \hat{\mathbf{K}}_M^d = \mathbf{\Psi}' \hat{\mathbf{K}}_M \mathbf{\Psi} \): \( \mathbf{\Lambda}_{\hat{\mathbf{K}}_M} \) is, of course, identical to \( \mathbf{\Lambda}_Q \).

Finally, consider the following *third eigenproblem*:
\[(M_s - \delta^2 \hat{K}_M)x = \lambda x \quad (4.15)\]

Transformation and premultiplication through as before yields

\[
\Lambda_{M-K} = M_s^d - \delta^2 \hat{K}_M^d
\]
\[
= M_s^d I - \delta^2 (M_s^d)^{-1} \hat{K}_M^d
\]
\[
= M_s^d I - \delta^2 \Lambda_{K: M}^d
\]
\[
= M_s^d I - \delta^2 \Lambda_Q^d
\quad (4.16)

Hence the eigenvalues of \((M_s - \delta^2 \hat{K}_M)\) are all positive, and thus \((M_s - \delta^2 \hat{K}_M)\) is positive definite, if \(\delta^2\) times the largest eigenvalue \(\lambda_Q^{\text{max}}\) is less than unity. With \(\lambda_Q^{\text{max}} = (\omega_Q^{\text{max}})^2\), this yields the stability requirement

\[
\Delta t < \frac{2}{\omega_Q^{\text{max}}} \quad (4.17)
\]

which is stated in slightly different terms at the beginning of this section.

Establishment of the stability requirement (4.17) for a symmetric medium stiffness matrix facilitates the estimation of a similar requirement for a non-symmetric one. Clearly, no root of (4.9) has a positive real part if \(\delta\) is vanishingly small, as \(M_s\) is symmetric and positive definite, and \(D_T\) is symmetric and non-negative definite. Also, on physical grounds, the eigenvalues of \((M_s)^{-1}K_M\) must be real and non-negative. Finally, the eigenvalues for the three eigenproblems above differ only slightly from their counterparts when \(K_M\) is replaced by \(K_m\) because, as illustrated in Appendix B, \(K_m\) constitutes a small perturbation of \(K_m\). Hence, as \(\delta\) is increased from zero, all the roots of (4.9) contain negative real parts until the stability requirement (4.17) is approached, where \(\omega_Q^{\text{max}}\) now pertains to the use of \(K_m\).
SECTION 5

IMPLEMENTATION AND COMPUTATION

This section describes the techniques used to implement in software the approach delineated above, and presents numerical results generated by that software. Modern software-engineering techniques are used [Felippa (1981)], in order to facilitate extension to large-scale production analysis. The numerical results pertain to canonical problems involving plane, dilatational step-waves that envelop infinite-cylindrical and spherical shells (Figure 1). These problems possess known analytical solutions.

5.1 Software Implementation

The approach described in Sections 2, 3 and 4 is embodied in an assembly of four software entities:

1. **Structural Matrix Generator:** The structural mass and stiffness matrices, \( M_s \) and \( K_s \), in (2.14), are generated by the finite-element code DIAL [Ferguson and Cyr (1984)]; \( D_s \) is neglected. The structural matrices and related data are read into a NICE global database [Felippa (1982)].

2. **Medium Matrix Generator:** The medium damping and stiffness matrices, \( D_m \) and \( K_m \) in (2.14), are generated by software developed as part of this study in the manner described above: the force-transformation matrix \( G \) is constructed as a correspondence table. These data are read into the NICE global database.

3. **Incident Field Generator:** The incident-wave displacement, velocity and force vectors, \( u_1, \bar{u}_1 \) and \( g_1 \), in (2.14), are also generated by software developed as part of this study in the manner described below: as these are time-dependent vectors, they are calculated dynamically as the calculation proceeds. \( f_1 \) is taken as zero.

4. **Staggered Solution Procedure:** The solution algorithm described in Subsection 4.1 is implemented as a NICE procedure using a command language interpreter [Felippa (1983)]. The matrix operations embedded in the algorithm are performed with a matrix utility processor for data in unblocked skyline format [Felippa (1978)].

The FE and BE models are constructed independently, although the element grids match at the boundary. Geometrical symmetry is exploited in both canonical problems.

5.2 Incident-Wave Vectors

A plane, dilatational step-wave characterized by a velocity jump \( V_o \) and propagating in the \( x_1 \)-direction may be described in terms of a scalar potential as

\[
\phi' = -\frac{V_o}{2c_d} (c_d t - x_1 - a)^2 \, H(c_d t - x_1 - a)
\]  

(5.1)

where \( c_d \) is the dilatational speed in the elastic medium, \( H \) is the Heaviside operator, and \(-a\) is the point on the \( x_1 \)-axis where the wave front is located at \( t = 0 \). The application of classical continuum formulas Achenbach (1973) yields for the components of the geometrical displacement and velocity vectors for the incident wave
\[ u_i' = \delta_{i1} \frac{V_o}{c_d} (c_d t - x_1 - a) H(c_d t - x_1 - a) \]
\[ \dot{u}_i' = \delta_{i1} V_o H(c_d t - x_1 - a) \]

Hence the elements of the computational vectors \( u_I \) and \( \dot{u}_I \) are given by (6.2) evaluated at the surface nodes.

Similarly, the components of the incident-wave stress tensor and geometrical surface-traction vector are given by \[ Achenbach (1973) \]

\[ \sigma_{ij}' = -\delta_{ij} \frac{V_o}{c_d} (\lambda + 2\mu \delta_{11}) H(c_d t - x_1 - a) \]
\[ t_{ij}' = \sigma_{ij} n_i \]

where \( \lambda \) and \( \mu \) are the Lamé constants and the \( n_i \) are the direction-cosines for the surface normal. Hence the computational vector \( g_I \) is given by (3.16).

### 5.3 Infinite Cylindrical Shell

The first canonical problem is that of an infinite cylindrical shell embedded in an elastic medium and excited by a transverse, plane, dilatational wave \[ Garnet and Crouzet-Pascal (1966) \]. The parameter ratios for this problem are \( E_s/E_m = 2.5 \) (Young's modulus), \( h/a = 0.01 \) (shell thickness-to-radius), \( \rho_s/\rho_m = 1.156 \) (mass density), \( \nu_s = 0.25 \) and \( \nu_m = 0.20 \) (Poisson's): these pertain to a concrete shell in slow granite. The duration of the rectangular incident-wave pulse is \( c_d t/a = 10 \). A curved, three-noded shell element is used to model the shell, so that the FE/BE discretization employs conforming elements.

The first computational model for this problem places the DAA boundary directly on the shell in the manner of \[ Underwood and Geers (1981) \]. The use of six curved quadratic elements over the half-model yields results that are virtually identical to those of \[ Underwood and Geers (1981) \], which were generated with twenty linear elements over the half-model. Figure 2 shows DAA and exact displacement-response histories; agreement is seen to be excellent.

The second computational model introduces eight-noded medium finite elements between the shell and the DAA boundary, which is located one shell radius out from the shell surface (Figure 3). The displacement-response histories thus produced are shown in Figure 4 as solid lines, along with their DAA counterparts from Figure 3, which are shown as dashed lines. It is seen that the use of medium finite elements degrades solution accuracy somewhat by introducing spurious oscillations caused by ringing of the mesh. A third computational model, which locates the DAA boundary three shell radii out from the shell surface, yields results that are even more oscillatory, although peak-response values are still satisfactory.

### 5.4 Spherical Shell

The second canonical problem is that of a spherical shell embedded in an elastic medium and excited by a plane dilatational wave \[ Grafton and Fox (1965), Geers and Yen (1981) \].
The parameter ratios for this problem are the same as those for the infinite cylindrical shell, and the duration of the rectangular incident-wave pulse is also \( c_d t / a = 10 \). An eight-noded Ahmad shell element is used to model the shell, so that this FE/BE discretization also employs conforming elements.

As previously, the first computational model for this problem places the DAA boundary directly on the shell: six eight-noded quadratic elements are used over the quarter-model of the shell (Figure 5). DAA-based displacement-response histories are compared with their exact counterparts in Figure 6, the latter having been generated in the manner of Geers and Yen (1981). Here too, agreement is seen to be excellent.

The second computational model introduces twenty-noded medium finite elements between the shell and the DAA boundary, which is located one shell radius out from the shell surface (Figure 7). The displacement-response histories thus produced are shown in Figure 8 as solid lines, along with their DAA counterparts from Figure 6, which are shown as dashed lines. Here too, it is seen that the use of medium finite elements degrades solution accuracy by introducing spurious oscillations caused by ringing of the mesh.
SECTION 6
CONCLUSION

This report has documented the formulation and implementation of a non-reflecting boundary for use with existing finite-element codes to perform nonlinear ground-shock analyses of buried structures. The boundary is based on a first-order doubly asymptotic approximation (DAA1) for disturbances propagating outward from a selected portion of the soil medium surrounding the structure of interest. The resulting set of first-order ordinary differential equations is then combined with the second-order equations of motion for the finite-element model so as to facilitate solution by a staggered solution procedure. This procedure is shown to be computationally stable as long as the time increment is smaller than a limiting value based on the finite-element mass matrix and the DAA-boundary stiffness matrix. Computational results produced by the boundary are compared with exact results for linear canonical problems pertaining to infinite-cylindrical and spherical shells.

6.1 Observations

It is appropriate here to offer some comments regarding the work described above:

1. As pointed out in the Introduction, doubly asymptotic approximations are clearly superior to singly asymptotic approximations, the former incorporating both radiative energy dissipation and elastic restoring forces, the latter accounting for only one or the other.

2. While the medium damping matrix may be interpreted in terms of local dashpots positioned on the DAA surface, the medium stiffness matrix is not so easily regarded: attempts to simplify the fully coupled nature of $K_m$ merely degrade the validity of the low-frequency approximation.

3. Although it is tempting to use a symmetric medium stiffness matrix in DAA computations, the resulting loss of accuracy constitutes too high a price.

4. The computational stability requirement (4.17) is a generous one when the soil is substantially softer than the structural material; when this is not the case, however, more efficient computations might be realized with an unconditionally stable staggered solution procedure, which is yet to be developed.

5. The use of modern software-engineering techniques, as embodied in the NICE Integrated Software System, greatly facilitates the implementation of methods for the analysis of coupled systems.

6. The results for the linear canonical problems once again demonstrate the difficulty of propagating a discontinuous wave front through a finite-element grid and, in contrast, the good performance of a boundary-element grid located directly on the surface of the structure.

6.2 Future Work

Future R&D work in this area could profitably pursue the following paths:
1. The usefulness of the non-reflecting $DAA_1$ boundary in nonlinear problems should be more stringently assessed by applying it to nonlinear canonical problems; the challenge here is to find “exact” solutions for such problems against which to compare the approximate solutions.

2. A non-reflecting DAA boundary should be developed for a medium half-space, this for application in near-surface ground-shock analyses.

3. An unconditionally stable staggered solution procedure should be formulated for problems not amenable to the conditionally stable procedure.

4. A new approach should be sought for satisfactorily propagating discontinuous wave fronts through finite-element grids; failing this, the option, in nonlinear response problems, of placing the DAA grid directly on the surface of the structure Underwood and Geers (1980) should be revisited.
SECTION 7

LIST OF REFERENCES


Figure 1. Geometry and notation for canonical problems.
Figure 2. Displacement response histories for the infinite cylindrical shell (DAA boundary on shell surface).
Figure 3. Half-model grid for the infinite cylindrical shell problem (finite elements extending to $r = 2a$).
Figure 4. Displacement response histories for the infinite cylindrical shell (solid curves: finite elements around shell; dashed curves: DAA boundary on shell surface).
Figure 5. Quarter-model grid for the spherical shell problem (DAA boundary on shell surface).
Figure 6. Displacement response histories for spherical shell (DAA boundary on shell surface).
Figure 7. Quarter-model grid for spherical shell problem (Finite elements extending to $r = 2a$).
Figure 8. Displacement response histories for spherical shell (solid curves: finite elements around shell; dashed curves: DAA boundary on shell surface).
ERROR IN CONSISTENT FORCES GENERATED ON A SPHERICAL CAVITY
BY A UNIFORM IMPOSED DISPLACEMENT

Figure 9. Error in nodal-force values produced by symmetric and nonsymmetric
medium stiffness matrices.
APPENDIX A
NUMERICAL INTEGRATION TECHNIQUES

Discretization of the DAA boundary makes it possible to approximate (3.1) by a system of linear algebraic equations for nodal values of surface displacement and traction, i.e., (3.14). The coefficients in these equations are obtained by integrating, by means of quadrature formulas, products of kernel functions and shape functions over the boundary elements, as indicated in (3.13). In this regard, it is necessary to distinguish between fundamentally different types of integrals that arise.

The first type of integral occurs when the node $P$ does not belong to the element over which the integral is being performed. This type is regular, because the integrand varies smoothly over the surface. Simple Gaussian quadrature formulas may then be used. In two dimensions, 

$$
\int_{-1}^{+1} \int_{-1}^{+1} f(\xi_1, \xi_2) d\xi_1 d\xi_2 \approx \sum_{l=1}^{M} \sum_{m=1}^{M} w_l w_m f(\xi_{1l}, \xi_{2m})
$$

(A.1)

where the $w_l$ are weighting factors, the $\xi_l$ are the coordinates of the integration points and $M$ is the total number of integration points. Similarly, in three dimensions.

$$
\int_{-1}^{+1} \int_{-1}^{+1} f(\xi_1, \xi_2, \xi_3) d\xi_1 d\xi_2 d\xi_3 \approx \sum_{l=1}^{M} \sum_{m=1}^{M} w_l w_m w_n f(\xi_{1l}, \xi_{2m}, \xi_{3n})
$$

(A.2)

The second type of integral occurs when the node $P$ belongs to the element over which the integral is being performed. This type is singular, because the integrand grows without bound at $P$. The techniques used to evaluate the singular integrals encountered in this study are described below.

A.1 Singular Integrals Involving the Traction Kernel

For this singular case, there exists no quadrature formula suitable for the calculation of the integral $T_{ij}$. The coefficient of this integral for the singular node together with the $c_{ij}$ term form the leading diagonal submatrix of coefficients of $u_j$ in equation (3.13). These coefficients can be expediently calculated by noting that a stress field corresponding to a rigid body translation of the body is zero. In this case equation (3.14) becomes

$$
A u = 0
$$

(A.3)

where $u$ is a vector of unit rigid body displacements. The diagonal terms of $A$ are simply given by

$$
a_{ii} = 1 - \sum_{i \neq j} a_{ij}
$$

(A.4)
A.2 Singular Integrals Involving the Displacement Kernel

In two dimensions, a quadrature rule based on the theory of [Takahasi and Mori (1973)] is utilized integrate the \( \ln(r) \) singularity contained in the \( U_{ij} \) kernel. Such a quadrature rule has been successfully used for two-dimensional acoustic scattering problems [Burton 1976]. First, let the integration of this singularity in the intrinsic coordinate system be represented by

\[
I = \int_{-1}^{1} f(\xi) \, d\xi \tag{A.5}
\]

where \( f(\xi) \) may have singularities at \( \pm 1 \). Then the value of this integral is given by the following quadrature formula

\[
I \approx \sum_{n=-N}^{N} w_n f(\xi_n) \tag{A.6}
\]

where

\[
w_n = \frac{2h}{\sqrt{\pi}} e^{-\frac{n^2 h^2}{2}}
\]

\[
\xi_n = \text{erf}(nh)
\]

The values of \( N = 4 \) and \( h = 0.75 \) were used to construct a 9-point, one-dimensional quadrature rule. The error in integrating \( \ln(\xi) \) over \((0, 1)\) with these points is less than \( 6 \times 10^{-6} \). The method has been shown to be capable to handle singularities of composite or undetermined types [Burton 1976]. When the singularity is at the center node of the 3 nodded quadratic element, the element is subdivided such that the quadrature rule can be applied on either side of the node.

In three dimensions a technique given by [Lachat & Watson 1976] was used to integrate the \( 1/r \) singularity in \( U_{ij} \). The \( 2 \times 2 \) basis square in \( \xi_1, \xi_2 \)-space on which the non-singular integrals are evaluated is subdivided into triangles, the singular nodes always at the vertex. The triangles are given a new intrinsic coordinate system \((\eta_1, \eta_2)\) obtained by viewing the triangle as a degenerated rectangle in the \((\xi_1, \xi_2)\) space. The relationship between the two sets of intrinsic coordinates is given in terms of linear shape functions defined by

\[
\xi_i(\eta) = \sum_{a=1}^{4} N_i^{(a)}(\eta) \xi_i^{(a)} \tag{A.7}
\]

where \( N_i^{(a)}(\eta) \) represent the linear shape functions. These triangular subelements in the \((\eta_1, \eta_2)\) space form a Jacobian that has \( O(r) \) behaviour. The \( O(1/r) \) singularity of the kernel is removed numerically when multiplied by this Jacobian with \( O(r) \) behaviour.
A.3 Geometrical Symmetry

Symmetry of the DAA boundary with respect to coordinate axes is accounted for within the software. This is implemented by reflecting each element about the symmetry axis during the construction of the $A$ and $B$ matrices (equation 3.14). Care however, is required, when using the rigid body methodology to calculate the diagonal terms of the traction kernel $T_{ij}$. in that the summation of the off-diagonal terms must be performed before the symmetry transformation is applied to each component of $T_{ij}$. Also, the displacements and tractions at the nodes on the plane of symmetry in the direction across the plane must be eliminated because they are zero. This is done by zeroing the corresponding rows and columns and by placing the value 1.0 on the leading diagonal.
APPENDIX B

SYMMETRIC AND NONSYMMETRIC MEDIUM STIFFNESS MATRICES

The accuracy of symmetric and nonsymmetric medium stiffness matrices is evaluated here by computing the nodal forces generated by a uniform displacement field applied to a spherical cavity in an infinite elastic medium. The correct nodal forces follow from the known traction solution (Timoshenko and Goodier 1951 and (3.16). The nodal forces produced by the nonsymmetric stiffness matrix follow from (3.17), and the nodal forces produced by the symmetric stiffness matrix follow from (3.17) with $K_m$ replaced by $\hat{K}_m$. Figure 9 shows, for the discretization of Figure 5, computational error in nodal-force magnitudes computed with the symmetric and nonsymmetric matrices: $K_m$ clearly outperforms $\hat{K}_m$. It should be noted, that convergence of the nodal forces generated by the symmetric medium matrix $K_m$ was obtained by successive mesh refinement.
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