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UNMANNED VEHICLE CONTROL SYSTEM

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT MICHAEL R. BENJAMIN, employee of the United States Government, Citizen of the United States of America and resident of Boston, County of Suffolk, Commonwealth of Massachusetts, has invented certain new and useful improvements entitled as set forth above of which the following is a specification:

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(DATE OF DEPOSIT)

James M. Kasischke  
APPLICANT'S ATTORNEY

30 July 2004  
DATE OF SIGNATURE

1 Attorney Docket No. 84959

2

3 UNMANNED VEHICLE CONTROL SYSTEM

4

5 This application claims the benefit of U.S. Provisional  
6 Application No. 60/491,489, filed July 31, 2003 and which is  
7 entitled MULTI OBJECTIVE OPTIMIZATION MODEL FOR VEHICLE CONTROL  
8 by Michael R. Benjamin.

9

10 STATEMENT OF GOVERNMENT INTEREST

11 The invention described herein may be manufactured and used  
12 by or for the Government of the United States of America for  
13 governmental purposes without the payment of any royalties  
14 thereon or therefor.

15

16 BACKGROUND OF THE INVENTION

17 (1) Field of the Invention

18 The invention relates to a vehicle control system for  
19 autonomously piloting a vehicle utilizing a multi-objective  
20 optimization method that evaluates a plurality of objective  
21 functions to determine the best decision variables satisfying  
22 those objectives.

23 (2) Description of the Prior Art

24 The mission assigned to an underwater vehicle strongly  
25 shapes the navigation complexity and criteria for success. While

1 many problems are similar between commercial and military AUVs,  
2 there is a stronger emphasis in military vehicles in reasoning  
3 about other nearby moving vessels. Military AUVs (more commonly  
4 referred to as unmanned underwater vehicles (UUVs)) are typically  
5 designed to operate in congested coastal situations, where a  
6 near-collision or mere detection by another vessel can jeopardize  
7 the AUV. The scenario considered in this application therefore  
8 centers around the need to consider preferred relative positions  
9 to a moving contact, while simultaneously transiting to a  
10 destination as quickly and directly as possible. By "preferred  
11 relative position", we primarily mean collision avoidance, but  
12 use this term also in reference to other objectives related to  
13 relative position. These include the refinement of a solution on  
14 a detected contact, the avoidance of detection by another  
15 contact, and the achievement of an optimal tactical position  
16 should an engagement begin with the contact.

17 Other researchers have submitted material in the art of  
18 autonomous vehicle navigation.

19 Rosenblatt in "DAMN: A Distributed Architecture for Mobile  
20 Navigation," PhD thesis, Carnegie Mellon University, 1997 teaches  
21 the use of behavior functions voting on a single decision  
22 variable with limited variation. Multiple behavior functions  
23 provide votes for an action having five different possibilities.  
24 Additional control is provided by having a mode manager that  
25 dynamically adjusts the weights of the behavior functions. While

1 Rosenblatt indicates that decision variables for turns and speed  
2 are desirable, coupling of these two decision variables into a  
3 single control system at the same time is not provided.

4 Rieki in "Reactive Task Execution of a Mobile Robot," PhD  
5 Thesis, University of Oulu, 1999, teaches action maps for each  
6 behavior that can be combined to guide a vehicle using multiple  
7 decision variables. Rieki discloses action maps for obstacle  
8 avoidance and velocity.

9 These publications fail to teach the use of multiple  
10 decision variables having large numbers of values. No method is  
11 taught for determining a course of action in real time from  
12 multiple behavior functions. Furthermore, these publications do  
13 not teach the use of action duration as a decision variable.

14

15

#### SUMMARY OF THE INVENTION

16 This invention provides a method for autonomously  
17 controlling a vehicle. This includes comprising establishing  
18 decision variables for maneuvering the vehicle and behavior  
19 functions associated with the decision variables. The behavior  
20 functions give a score indicating the desirability of engaging in  
21 the associated behavior. The behavior functions are weighted. A  
22 summation of the weighted behavior functions is solved while the  
23 vehicle is operating to determine the values of the decision  
24 variables giving the highest summation of scores. In a preferred  
25 method, an optimal structure for the behavior functions and

1 summation solution is taught. The vehicle is then guided in  
2 accordance with the determined decision variable values.

3

4 BRIEF DESCRIPTION OF THE DRAWINGS

5 A more complete understanding of the invention and many of  
6 the attendant advantages thereto will be readily appreciated as  
7 the same becomes better understood by reference to the following  
8 detailed description when considered in conjunction with the  
9 accompanying drawings wherein:

10 FIG. 1 is a diagram of the basic vehicle navigation problem;

11 FIG. 2 is a flow chart of the vehicle navigation system;

12 FIG. 3 is a diagram showing the vehicle navigation problem  
13 applied to marine vehicles;

14 FIG. 4 is a diagram illustrating aspects of the closest  
15 point aspect of the shortest path behavior function; and

16 FIG. 5 is the algorithm for finding the shortest path.

17

18 DESCRIPTION OF THE PREFERRED EMBODIMENT

19 This invention sets up a control system for a vehicle 10  
20 moving through time and space, where periodically, at fixed time  
21 intervals, a decision is made as to how to next control the  
22 vehicle. FIG. 1 shows the vehicle 10 traveling along a path 12  
23 at times  $T_{m-1}$  to  $T_m$ . Before expiration of the time interval  
24 between  $T_{m-1}$  and  $T_m$ , vehicle 10 must decide its next course and

1 speed. Some of the multiplicity of course choices are  
2 represented by dashed lines 14A, 14B and 14C.

3 The vehicle control loop 20 is shown as FIG. 2. At the  
4 start of the control loop 20, the vehicle receives environmental  
5 and database inputs as identified in step 22. This information  
6 is transferred to a plurality of behavior functions 24 that are  
7 set up as interval programming (IvP) functions for each  
8 individual behavior of the vehicle. Each behavior function 24  
9 has access to the information in the environment from step 22  
10 that is relevant in building its IvP function. Each IvP function  
11 is defined over a common decision space, where each decision  
12 precisely spells out the next action for the vehicle 10 to  
13 implement starting at time  $T_m$ . The behavior functions 24 can be  
14 weighted to give preferences to certain behaviors. In step 26,  
15 the behavior functions are solved. Each iteration of this  
16 control loop involves the building interval programming functions  
17 in step 24 and solving this interval programming problem in step  
18 26. Generic solution of an interval programming problem is  
19 discussed in U.S. Patent Application Ser. No. 10/631,527, A  
20 MULTI-OBJECTIVE OPTIMIZATION METHOD, which is incorporated by  
21 reference herein. Solution can be performed by formulating the  
22 problem as a summation of the weighted behavior functions.  
23 Solutions to the behavior functions are known, so the control  
24 system can find the optimal control variables by searching  
25 through the variables to find the maximum of this summation.

1 This solution results in control variables for vehicle  
2 navigation. These control variables are assigned to the vehicle  
3 for navigation in step 28. The algorithm is then iterated in  
4 loop 30.

5 In the following text and as shown in FIG. 3, the  
6 environment, decision space, and behaviors are described for the  
7 application of this technology to marine vehicle navigation. The  
8 rationale for using the decision variables chosen here is also  
9 discussed. The information that composes the vehicle's relevant  
10 environment can be divided into the following four groups: a)  
11 bathymetry data, b) destination information, c) ownship position  
12 information, and d) contact position information. The bathymetry  
13 data represents an assumed map of the environment, telling us  
14 what is reachable from where, and at which depths. This includes  
15 land 40, ocean 42 and a destination 43. Destination 43 is simply  
16 given as latitude, longitude pair,  $d_{LAT}$ ,  $d_{LON}$ . The vehicle of  
17 interest 44 is hereinafter referenced as ownship 44. The  
18 position information for ownship 44 is given by the terms  $os_{LAT}$   
19 and  $os_{LON}$ . This is the expected vehicle 44 position at time  $T_m$ ,  
20 based on its position at time  $T_{m-1}$  and the choice of course 46 and  
21 speed executed at  $T_{m-1}$ . Likewise, the position for a contact 48 is  
22 given by  $cn_{LAT}$  and  $cn_{LON}$ , based on the contact's observed course 50  
23 and speed at time  $T_{m-1}$ . In addition, the terms  $cn_{CRS}$  and  $cn_{SPD}$   
24 indicate the expected course 52 and speed of the contact 48 at  
25 time  $T_m$ , which is simply the previous course and speed.

1 During the time interval  $[T_{m-1}; T_m]$ , the contact 48 is  
 2 assumed to be on a straight linear track. The calculated ownship  
 3 maneuver 54A, 54B or 54C would still be carried out regardless of  
 4 a change in course or speed made by the contact 48 in this time  
 5 interval. Should such a change occur, the new  $cn_{CRS}$  and  $cn_{SPD}$  would  
 6 be noted, the next  $cn_{LAT}$  and  $cn_{LON}$  calculated, and the process of  
 7 determining the maneuver at time  $T_{m+1}$  begun. The implementation of  
 8 a tight control loop, and the willingness to repeatedly  
 9 reconsider the next course of action, ensures that the vehicle 44  
 10 is able to quickly react to changes in its perceived environment.

11 In application to a marine vehicle, the following three  
 12 decision variables are used to control the vehicle 44:  $x_c =$   
 13 course,  $x_s =$  speed, and  $x_t =$  time. They are summarized, with  
 14 their corresponding domains and resolutions in the Table, below.

15

16	Name	Meaning	Domain	Resolution
17	$x_c$	Ownship course starting at time	$T_m [0; 359]$	1 degree
18	$x_s$	Ownship speed starting at time	$T_m [0; 30]$	1 knot
19	$x_t$	Intended duration of the next ownship leg	$[1; 90]$	1 minute

20

21 The selection of these three decision variables, and the  
 22 omission of others, reflects a need to present both a  
 23 sufficiently simple scenario here, as well as a sufficiently  
 24 challenging motion planning problem. The omission of variables  
 25 for controlling vehicle depth, for example, may seem strange

1 since we are focusing on marine vehicles. However, the five  
2 objective functions focus on using the interval programming to  
3 solve the particularly challenging problem of shortest/quickest  
4 path navigation in the presence of moving obstacles.

5         Although reasoning about vehicle depth is critically  
6 important for successful autonomous undersea vehicle operation,  
7 none of the objective functions we implement here involve depth  
8 because of the added processing complexity. In the scenario  
9 described, it is assumed that the depth remains fixed at a preset  
10 level. The same holds true for other important control variables,  
11 namely the ones that control the rate of change in course, speed  
12 or depth. Again for the sake of simplicity, it is assumed that a  
13 course or speed change will take place at some reasonable rate.  
14 Alternatively, we can regard such maneuvers as happening  
15 instantaneously, and include the error that results from this  
16 erroneous assumption into general unpredictability of executing  
17 an action in a world with limited actuator precision. Certainly,  
18 the decision space will grow in size and complexity as more  
19 realistic scenarios are considered.

20         Even when limited to the three variables above, with their  
21 domains and resolutions, the decision space contains  $360 \times 31 \times$   
22  $90 = 1,004,400$  elements. By comparison, none of the decision  
23 spaces considered by the prior art contained more than 1,000  
24 elements, even if those decision spaces were composed as the  
25 Cartesian product of their variable domains. Future versions of

1 this invention may consider depth, course change rate, speed  
2 change rate, and other decision variables.

3 Accordingly, this invention provides behaviors for: Safest  
4 Path, Shortest Path, Quickest Path, Boldest Path, and Steadiest  
5 Path. Other behaviors may be developed for this application  
6 taking into account other system information.

7 The objective of the safest path behavior is to prevent  
8 ownship 44 from coming dangerously close to a particular contact  
9 48, and is defined over the three decision variables  $x_c$ ,  $x_s$ , and  
10  $x_t$ . We describe how to build an IVP function,  $f_{IVP}(x_c; x_s; x_t)$ ,  
11 based on an underlying function,  $f_{CPA}(x_c; x_s; x_t)$ . The latter  
12 function is based on the closest point of approach, (CPA),  
13 between the two vehicles during a maneuver,  $[x_c; x_s; x_t]$ , made  
14 by ownship 44. This function is calculated in a three step  
15 process:

- 16 [1] Determine the point in time when the closest point of  
17 approach occurs,  $x'_t$ .
- 18 [2] Calculate the distance between vehicles at this time  
19  $x'_t$ .
- 20 [3] Apply a utility metric to this distance.

21 After discussing how  $f_{CPA}(x_c; x_s; x_t)$  is calculated, the  
22 creation of  $f_{IVP}(x_c; x_s; x_t)$  from this function is discussed.

23 To calculate  $f_{CPA}(x_c; x_s; x_t)$ , we first need to find the point  
24 in time,  $x'_t$ , in the interval  $[0; x_t]$ , when the CPA occurs. To do  
25 this, we need expressions telling us where ownship 44 and the

1 contact 48 are at any point in time, as well as an expression for  
 2 their relative distance. Recall that at time,  $T_m$ , ownship will be  
 3 at a certain relative position to the contact, and after a  
 4 particular maneuver, given by  $[x_c; x_s; x_t]$ , will be at a new point  
 5 in the ocean and at a new relative position. For ownship, the new  
 6 latitude and longitude position is given by:

$$7 \quad f_{LAT}(x_c; x_s; x_t) = (x_s)(x_t) \cos(x_c) + OS_{LAT} \quad (1)$$

$$8 \quad f_{LON}(x_c; x_s; x_t) = (x_s)(x_t) \sin(x_c) + OS_{LON} \quad (2)$$

9 The resulting new contact position is similarly given by the  
 10 following two functions:

$$11 \quad g_{LAT}(x_t) = \cos(cncrs)(cnsdpd)(x_t) + cn_{LAT} \quad (3)$$

$$12 \quad g_{LON}(x_t) = \sin(cncrs)(cnsdpd)(x_t) + cn_{LON} \quad (4)$$

13 The latter two functions are defined only over  $x_t$  since the  
 14 contact's course and speed are assumed not to change from their  
 15 values of  $cn_{CRS}$  and  $cn_{SPD}$ . Note these four functions ignore earth  
 16 curvature. The distance between ownship and the contact, after a  
 17 maneuver  $[x_c; x_s; x_t]$  is expressed as:

18

$$19 \quad dist^2(x_c; x_s; x_t) = (f_{LAT}(x_c; x_s; x_t) - g_{LAT}(x_t))^2 + (f_{LON}(x_c; x_s; x_t) -$$

$$20 \quad g_{LON}(x_t))^2. \quad (5)$$

21

22 Barring the situation where the two vehicles are at identical  
 23 course and speed, the CPA is at a unique minimum point in the  
 24 above function. We find this stationary point by expanding this  
 25 function, collecting like terms, and taking the first derivative.

1 with respect to  $x_t$ , setting it to zero, and solving for  $x_t$ . By  
 2 expanding and collecting like terms we get:

$$3 \quad dist^2(x_c; x_s; x_t) = k_2 x_t^2 + k_1 x_t + k_0 \quad (6)$$

4 where

$$k_2 = \cos^2(x_c) \cdot x_s^2 - 2 \cos(x_c) \cdot x_s \cdot \cos(cn_{CRS}) \cdot cn_{SPD} + \cos^2(cn_{CRS}) \cdot cn_{SPD}^2 +$$

$$\sin^2(x_c) \cdot x_s^2 - 2 \sin(x_c) \cdot x_s \cdot \sin(cn_{CRS}) \cdot cn_{SPD} + \sin^2(cn_{CRS}) \cdot cn_{SPD}^2$$

$$5 \quad k_1 = 2 \cos(x_c) \cdot x_s \cdot os_{LAT} - 2 \cos(x_c) \cdot x_s \cdot cn_{LAT} - 2 os_{LAT} \cdot \cos(cn_{CRS}) \cdot cn_{SPD} + \quad (7)$$

$$2 \cos(cn_{CRS}) \cdot cn_{SPD} \cdot cn_{LAT} + 2 \sin(x_c) \cdot x_s \cdot os_{LON} - 2 \sin(x_c) \cdot x_s \cdot cn_{LON} -$$

$$2 os_{LON} \cdot \sin(cn_{CRS}) \cdot cn_{SPD} + 2 \sin(cn_{CRS}) \cdot cn_{SPD} \cdot cn_{LON}$$

$$k_0 = os_{LAT}^2 - 2 os_{LAT} \cdot cn_{LAT} + cn_{LAT}^2 + os_{LON}^2 - 2 os_{LON} \cdot cn_{LON} + cn_{LON}^2$$

6 From this we have:

$$7 \quad dist^2(x_c; x_s; x_t)' = 2k_2 x_t + k_1 \quad (8)$$

8 We note that the distance between two objects cannot be negative,  
 9 so the point in time,  $x_t'$ , when  $dist^2(x_c; x_s; x_t)$  is at its  
 10 minimum is the same point where  $dist(x_c; x_s; x_t)$  is at its  
 11 minimum. Also, since there is no "maximum" distance between two  
 12 objects, a point in time,  $x_t'$ , where  $2k_2 x_t + k_1 = 0$  must represent a  
 13 minimum point in the function  $dist(x_c; x_s; x_t)$ . Therefore  $x_t'$  is  
 14 given by:

$$15 \quad x_t' = \frac{-k_1}{2k_2} \quad (9)$$

16 If  $x_t' < 0$ , meaning the closest point of approach occurred prior  
 17 to the present, we set  $x_t = 0$ , and if  $x_t' > x_t$ , we set  $x_t' = x_t$ .  
 18 When ownship and the contact have the same course and speed,  
 19 i.e.,  $x_c = cn_{CRS}$  and  $x_s = cn_{SPD}$ , then  $k_1$  and  $k_2$  equal zero, and  $x_t'$

1 is set to zero, since their relative distance will not change  
2 during the time interval  $[0; x_t]$ .

3 Having identified the time,  $x_t'$ , at which the closest point  
4 of approach occurs, calculating this corresponding distance is a  
5 matter of applying the distance function, given above, to  $x_t'$ .

$$6 \text{ cpa}(x_c; x_s; x_t) = \text{dist}(x_c; x_s; x_t'). \quad (10)$$

7 The actual objective function reflecting the safest-path  
8 behavior,  $f_{CPA}(x_c; x_s; x_t)$ , depends on both the CPA value and a  
9 utility metric relating how good or bad particular CPA values are  
10 with respect to goals of the safest-path behavior. Thus  $f_{CPA}(x_c;$   
11  $x_s; x_t)$  will have the form:

$$12 f_{CPA}(x_c; x_s; x_t) = \text{metric}(\text{cpa}(x_c; x_s; x_t)). \quad (11)$$

13 We first consider the case where  $f_{CPA}(x_c; x_s; x_t)$  represents a  
14 "collision-avoidance" objective function. In a world with perfect  
15 knowledge and perfectly executed actions, a constraint-based  
16 approach to collision avoidance would be appropriate, resulting  
17 in  $\text{metric}_a(d)$  below, where  $d$  is the CPA distance, and  $-M$  is a  
18 sufficiently large negative number acting as  $-1$ . Allowing for  
19 error, one could instead use

$$21 \text{metric}_a(d) = -M \text{ if } d = 0 \quad (12)$$
$$22 \quad \quad \quad = 0 \text{ otherwise}$$

23 or,

$$25 \text{metric}_b(d) = -M \text{ if } d \leq 300 \quad (13)$$

1                   = 0 otherwise

2

3 use metric<sub>b</sub>(d) where maneuvers that result in CPA distances of  
4 less than 300 yards are treated as "collisions" to allow room for  
5 error, or a buffer zone.

6           Instead, we use a metric that recognizes that this collision  
7 safety zone is gray, or fuzzy. Under certain conditions,  
8 distances that would otherwise be avoided, may be allowed if the  
9 payoff in other goals is high enough. Of course, some distances  
10 remain intolerable under any circumstance. Having specified a  
11 function to compute the CPA distance and a utility metric based  
12 on the CPA distance, the specification of  $f_{CPA}(x_c; x_s; x_t)$  is  
13 complete. Based on this function, we then build the function  
14  $f_{IVP}(x_c; x_s; x_t)$ .

15           Now that  $f_{CPA}(x_c; x_s; x_t)$  has been defined, we wish to build a  
16 version of  $f_{IVP}(x_c; x_s; x_t)$  that closely approximates this  
17 function. It is desirable to create as accurate a representation  
18 as possible, as quickly as possible, using as few pieces as  
19 possible. This in itself is a non-trivial multi-objective  
20 problem. Fortunately, fairly naive approaches to building this  
21 function appear to work well in practice, with additional room  
22 for doing much better given more thought and design effort. To  
23 begin with, we create a piecewise uniform version of  $f_{IVP}(x_c; x_s;$   
24  $x_t)$ . This function gives a score for every possible course,  $x_c;$   
25 speed,  $x_s;$  and duration,  $x_t$ . The score gives a desirability of

1 following these variables in view of potential collision with the  
2 contact.

3 The questions of acceptable accuracy, time, and piece-count  
4 are difficult to respond to with precise answers. The latter two  
5 issues of creation time and piece-count are tied to the tightness  
6 of the vehicle control loop. This makes it possible to work  
7 backward from the control loop requirements to bound the creation  
8 time and piece-count. However, the control loop time is also  
9 application dependent. The most difficult issue is knowing when  
10 the function  $f_{IVP}(x_c; x_s; x_t)$  is an acceptably accurate  
11 representation of  $f_{CPA}(x_c; x_s; x_t)$ . Although it is difficult to  
12 pinpoint, at some point the error introduced in approximating  
13  $f_{CPA}(x_c; x_s; x_t)$  with  $f_{IVP}(x_c; x_s; x_t)$  becomes overshadowed by the  
14 subjectivity involved in  $f_{CPA}(x_c; x_s; x_t)$ .

15 Characteristics of different versions of  $f_{IVP}(x_c; x_s; x_t)$  can  
16 be analyzed experimentally to note when poorer versions begin to  
17 adversely affect vehicle behavior. There is a trade off between  
18 the number of pieces in the piecewise function, the creation  
19 time, and the error associated therewith. With an increasing  
20 number of pieces, it has been found that there is a point of  
21 diminishing returns where additional pieces have a smaller return  
22 in reduced error. An ideal piece count cannot be formulated on  
23 each iteration of the control loop; however, enough analysis of  
24 the vehicle can allow choice of a piece-count that works  
25 sufficiently well in all situations.

1       The shortest path behavior is concerned with finding a path  
2 of minimal distance from the current position of the vehicle  
3 ( $OS_{LAT}$ ;  $OS_{LON}$ ) to a particular destination [ $d_{LAT}$ ;  $d_{LON}$ ]. As with the  
4 previous behavior, the aim is to produce an IVP function  $f_{IVP}(x_c;$   
5  $x_s;$   $x_t)$  that not only indicates which next maneuver(s) are  
6 optimal with respect to the behavior's goals, but evaluates all  
7 possible maneuvers in this regard. The primary difference between  
8 this behavior and the previous behavior, is that here,  $f_{IVP}(x_c;$   
9  $x_s;$   $x_t)$  is piecewise defined over the latitude-longitude space  
10 rather than over the decision space. The function  $f_{IVP}(x_c;$   $x_s;$   $x_t)$ ,  
11 as in other behaviors, is created during each iteration of the  
12 control loop, and must be created quickly. In the shortest path  
13 behavior, an intermediate function,  $spath(p_{LAT}; p_{LON})$ , is created  
14 once, off-line, for a particular destination, and gives the  
15 shortest-path distance to the destination given a point in the  
16 ocean, [ $p_{LAT}$ ;  $p_{LON}$ ]. The creation of  $spath(p_{LAT}; p_{LON})$  is described  
17 below. This function in turn is built upon a third function,  
18  $bathy(p_{LAT}; p_{LON})$ , which returns a depth value for a given point in  
19 the ocean, and is described below.

20       The function  $bathy(p_{LAT}; p_{LON})$  is a piecewise constant  
21 function over the latitude-longitude space, where the value  
22 inside each piece represents the shallowest depth within that  
23 region. This function is formed in a manner similar to that  
24 taught by U.S. Patent Application Ser. No. 10/631,527, A MULTI-  
25 OBJECTIVE OPTIMIZATION METHOD which has been incorporated by

1 reference herein. The "underlying" function in this case is a  
2 large file of bathymetry data, where each line is a triple: [P<sub>LAT</sub>;  
3 P<sub>LON</sub>; depth]. These bathymetry files can be obtained for any  
4 particular region of the ocean from the Naval Oceanographic  
5 Office Data Warehouse, with varying degrees of precision, i.e.,  
6 density of data points.

7       The primary purpose of the bathy(P<sub>LAT</sub>; P<sub>LON</sub>) function is to  
8 provide a quick and convenient means for determining if one point  
9 in the ocean is directly reachable from another. Consider the  
10 example function, bathy(P<sub>LAT</sub>; P<sub>LON</sub>), which is an approximation of  
11 the bathymetry data. This data can be used in determining whether  
12 the proposed destination point is reachable from all points  
13 inside a current region, for a given depth. The function  
14 spath(P<sub>LAT</sub>; P<sub>LON</sub>) is built by using the function bathy(P<sub>LAT</sub>; P<sub>LON</sub>)  
15 and performing many of the above such queries. The accuracy in  
16 representing the underlying bathymetry data is enhanced by using  
17 finer latitude and longitude pieces. However, the query time is  
18 also increased with more pieces, since all pieces between the two  
19 points must be retrieved and tested against the query depth.  
20 Actually, just finding one that triggers an unreachable response  
21 is sufficient, but to answer that the destination is reachable,  
22 all must be tested.) The preferred function bathy(P<sub>LAT</sub>; P<sub>LON</sub>) uses  
23 a uniform piecewise function.

24       An equivalent non-uniform function can be constructed by  
25 combining neighboring pieces with similar values. Further

1 consolidation can be done if a range of operating depth for the  
2 vehicle is known a priori. For example, if the vehicle will  
3 travel no deeper than 30 meters, then the function can be  
4 simplified, since pieces with depths of 30 and 45 meters are  
5 functionally equivalent when the vehicle is restricted to depths  
6 less than 30 meters.

7 The function  $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$  is a piecewise linear function  
8 over the latitude-longitude space, where the value inside each  
9 piece represents the shortest path distance to the destination  
10  $[d_{\text{LAT}}; d_{\text{LON}}]$ , given a bathymetry function,  $\text{bathy}(p_{\text{LAT}}; p_{\text{LON}})$ , and a  
11 specific operating depth. On a basic level, this function only  
12 considers simple linear distance, but it is recognized that one  
13 of ordinary skill in the art would consider other factors, such  
14 as preferred depth, current flow, and proximity to obstacles with  
15 uncertainty in order to provide a more robust implementation.  
16 These factors are discussed in the prior art to John Reif and  
17 Zheng Sun, "Motion Planning in the Presence of Flows,"  
18 *Proceedings of the 7th International Workshop on Algorithms and*  
19 *Data Structures (WADS2001)*, pages 450-461, Brown University,  
20 Providence, RI, August 2001. Volume 2125 of Lecture Notes in  
21 Computer Science.

22 In building  $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$  for a particular destination and  
23 depth, the latitude-longitude space is divided into either free  
24 space, or obstacles, based on the  $\text{bathy}(p_{\text{LAT}}; p_{\text{LON}})$  function. A  
25 simple case is shown below in FIG. 4. FIG. 4 provides a map 60 of

1 latitude-longitude pieces. Pieces identified by the bathymetry  
2 function as being impassable are cross hatched as identified by  
3 piece 62. The destination is shown as "o" identified as 64. In  
4 the first stage of building  $\text{spath}(P_{\text{LAT}}; P_{\text{LON}})$ , all latitude-  
5 longitude pieces are identified such that all interior positions  
6 of the piece are reachable to the destination on a single direct  
7 linear path. In FIG. 4, these "direct-path" pieces are indicated  
8 by the empty pieces 66. The other pieces, such as the pieces  
9 identified as 68, are marked with  $\infty$ , since their distance to the  
10 destination 64 is initially unknown. Choosing these pieces to be  
11 uniform was done only for clarity in these examples. The pieces  
12 in  $\text{spath}(P_{\text{LAT}}; P_{\text{LON}})$  and  $\text{bathy}(P_{\text{LAT}}; P_{\text{LON}})$  are not required to be  
13 uniform, and the algorithm provided below is not dependent on  
14 uniform pieces.

15 After the first stage, there exists a "frontier" of pieces  
16 identified as 70, each having a directly-reachable neighbor 72  
17 that has a known shortest-path distance. For these frontier  
18 pieces 70, one can at least improve the " $\infty$ " distance by  
19 proceeding through its neighbor 72. But consider the case of the  
20 piece identified as 74, where a frontier piece has two such  
21 neighbors. Unless an effort is made to properly "orient" the  
22 frontier, unintended consequences may occur. Furthermore, even if  
23 the correct neighbor is chosen, we can often do better than  
24 simply proceeding through the neighbor. This section describes  
25 implementation of an all-sources shortest path algorithm. The

1 only value we ultimately care about for each piece is the linear  
2 interior function indicating the shortest-path distance for a  
3 given interior position. However, the following intermediate  
4 terms are useful:

5  $\text{dist}(pc_a, pc_b)$  = Distance between center points of  $pc_a$  and  $pc_b$ .

6  $pc_a \rightarrow \text{dist}$  = Distance from the center point of  $pc_a$  to the  
7 destination.

8  $pc_a \rightarrow \text{waypt}$  = The next waypoint for all points in  $pc_a$ .

9 After the first stage of finding all directly reachable  
10 pieces 66, the value of  $pc_a \rightarrow \text{waypt}$  for such pieces is simply the  
11 coordinates of destination point 64,  $[d_{\text{LAT}}; d_{\text{LON}}]$ , and NULL for  
12 all other pieces. By keeping the waypoint for each piece, we can

13 reconstruct the actual path that the shortest-path distance is  
14 based upon. The basic algorithm is given in FIG. 5. Three  
15 subroutine calls are left un-expanded:  $\text{setDirectPieces}()$ ,  
16  $\text{sampleFrontier}()$ , and  $\text{refine}()$ , on lines 0, 3, and 5. The basic  
17 idea of the while loop is to continue refining pieces on the  
18 frontier until a set amount (in this case 100) of successive  
19 refinements fail to exceed a fixed threshold of improvement.

20 The function  $\text{sampleFrontier}(\text{amt})$  searches for pairs of  
21 neighboring pieces,  $[pc_a, pc_b]$ , where one piece could improve its  
22 path by simply proceeding through its neighbor. The pairs of  
23 pieces are randomly chosen by picking points in the latitude-  
24 longitude space. The opportunity for improving  $pc_a$  through its  
25 neighbor,  $pc_b$ , is measured by:  $\text{opp}_a = pc_a \rightarrow \text{dist} - (\text{dist}(pc_a, pc_b) +$

1  $pc_b \rightarrow dist$ ). Each pair of pieces is then placed in a fixed-length  
2 priority queue, where the maximum element is a (frontier) pair  
3 with the greatest opportunity for improvement. This queue will  
4 never be empty but will eventually contain only pairs with little  
5 or no opportunity for improvement. There is also no guarantee  
6 that the same pair is not in the queue twice.

7       After a certain amount of sampling is done, the maximum pair  
8 is popped from the queue as in line 4 in FIG. 5. The function  
9  $refine(pc_a, pc_b)$  is then executed, returning the measure of  
10 improvement given by  $val$ . The counter,  $threshCount$ , is  
11 incremented if the improvement is insignificant, eventually  
12 triggering the exit from the while-loop. If the improvement in  
13  $pc_a$  is significant, it will likely create a good opportunity for  
14 improvement in other neighbors of  $pc_a$ . These neighbors (pairs)  
15 are therefore evaluated and pushed into the priority queue.  
16 The  $refine(pc_a, pc_b)$  function should, at the very least, make the  
17 simple improvement of setting the  $pc_a \rightarrow waypt$  to an interior point  
18 in  $pc_b$ , e.g. the center point, and the linear function inside  $pc_a$   
19 is set to represent the distance to this new way-point, plus the  
20 distance from that way-point to the destination. Other  
21 refinements can be made that search for shortcuts points along  
22 the path from  $pc_b$  to its way-point. If such a point is found, it  
23 becomes the value of  $pc_a \rightarrow waypt$ , and the appropriate linear  
24 interior distance function is calculated. The value returned by  
25  $refine(pc_a, pc_b)$  is the difference in  $pc_a \rightarrow dist$  before and after

1 the function call.

2 In  $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$ , the shortest distance for each point is  
3 based on a particular set of waypoints composing the shortest  
4 path, so the next waypoint is stored with each point in latitude-  
5 longitude space. This forms a linked list from which a full set  
6 of waypoints can be reconstructed for any given start position.

7 Once the function  $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$  has been created for a  
8 particular destination and depth, the function  $f_{\text{IVP}}(x_c; x_s; x_t)$  for  
9 a given ownship position can be quickly created. Like  $\text{bathy}(p_{\text{LAT}};$   
10  $p_{\text{LON}})$  and  $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$ , this function is defined over the  
11 latitude-longitude space, but the function  $f_{\text{IVP}}(x_c; x_s; x_t)$  is  
12 defined only over the points reachable within one maneuver. A  
13 distance radius is determined by the maximum values for  $x_s$  and  
14  $x_t$ . The objective function,  $f_{\text{IVP}}(x_c; x_s; x_t)$ , produced by this  
15 behavior ranks waypoints based on the additional distance, over  
16 the shortest-path distance, that would be incurred by traveling  
17 through them.

18 For each piece in  $f_{\text{IVP}}(x_c; x_s; x_t)$ , the linear interior  
19 function represents a detour distance calculated using three  
20 components. The first two are linear functions in the piece  
21 representing the distance to the destination, and the distance to  
22 the current ownship position. The third component is simply the  
23 distance from the current ownship position to the destination,  
24 given by  $\text{spath}(OS_{\text{LAT}}; OS_{\text{LON}})$ . Thus, the linear function  
25 representing the detour distances for all points  $[x; y]$  in a

1 given piece, is given by:  $(m_1 + m_2)(x) + (n_1 + n_2)(y) + b_1 + b_2 -$   
2  $\text{spath}(\text{OS}_{\text{LAT}}, \text{OS}_{\text{LON}})$ . A utility metric is then applied to this  
3 result to both normalize the function  $f_{\text{IVP}}(x_c; x_s; x_t)$ , and allow a  
4 nonlinear utility to be applied against a range of detour  
5 distances.

6 The objective functions built by the shortest path behavior  
7 may also reflect alternative paths that closely missed being the  
8 shortest, from a given position. For example, the shortest path  
9 from positions just south of an island to the destination just  
10 north of the island may proceed either east or west depending on  
11 the starting position. A north-south line of demarcation can be  
12 drawn that determines the direction of the shortest path. When  
13 ownship is nearly on this line, the resulting objective function,  
14  $f_{\text{IVP}}(x_c; x_s; x_t)$ , reflects both alternative paths. If the shortest  
15 path proceeds east around the island, positions north-west can  
16 still be ranked highly due to the alternative, near-shortest path  
17 even though these positions represent a significant detour from  
18 the true shortest path. The presence of alternatives is important  
19 when the behavior needs to cooperate with another behavior that  
20 may have a good reason for not proceeding east.

21 The three functions in this behavior are coordinated to  
22 allow repeated construction of  $f_{\text{IVP}}(x_c; x_s; x_t)$  very quickly, since  
23 it needs to be built and discarded on each iteration of the  
24 control loop.

25 The bathymetry data is assumed to be stable during the

1 course of an operation. Thus the piecewise representation of this  
2 data,  $\text{bathy}(p_{\text{LAT}}; p_{\text{LON}})$ , is calculated once, off-line, and its  
3 creation is not subjected to real-time constraints. The function  
4  $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$  is stable as long as the destination and  
5 operating depth remain constant.

6 An implementation of  $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$  having sufficient speed  
7 has been developed. Alternatively, storing previously calculated  
8 versions of  $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$  for different depths or destinations  
9 is another viable option. The volatile function,  $f_{\text{IVP}}(x_c; x_s; x_t)$ ,  
10 can be calculated very quickly since so much of the work is  
11 contained in the underlying  $\text{spath}(p_{\text{LAT}}; p_{\text{LON}})$  function. The  
12 relationship between these three functions results in the  
13 appearance that ownship is performing "dynamic replanning" in  
14 cases where the shortest path becomes blocked by another vessel.  
15 The result is a behavior that has a strong "reactive" aspect  
16 because it explicitly states all its preferred alternatives to  
17 its most preferred action. It also has a strong "planning" aspect  
18 since its action choices are based on a sequence of perhaps many  
19 actions.

20 In transiting from one place to another as quickly as  
21 possible, proceeding on the shortest path may not always result  
22 in the quickest path. If the shortest path is indeed available at  
23 all times to the vehicle, at the vehicle's top speed, then the  
24 shortest path will indeed be the quickest. Other issues, such as  
25 collision avoidance with other moving vehicles, may create

1 situations where the vehicle may need to leave the shortest path  
2 to arrive at its destination in the shortest time possible.

3       Concerning the boldest path behavior, sometimes there is  
4 just no good decision or action to take. But this doesn't mean  
5 that some are not still better than others. By including time,  
6  $x_t$ , as a component of our action space, we leave open the  
7 possibility for a form of procrastination, or self-delusion. If  
8 the vehicle's situation is doomed to be less than favorable an  
9 hour into the future, no matter what, actions that have a time  
10 component of only a minute appear to be relatively good. By  
11 narrowing the window into the future, it is difficult to  
12 ~~distinguish which initial actions may actually lead to a minimal~~  
13 amount of damage in the future. The boldest-path behavior  
14 therefore gives extra rating to actions that have a longer  
15 duration, i.e., higher values of  $x_t$ . This is not to say that  
16 choosing an action of brief duration, followed by different one,  
17 can sometimes be advantageous.

18       Other relevant behavior functions and decision variables can  
19 be determined in view of the mission of the vehicle. These  
20 techniques could also be applied to commercial autonomous  
21 vehicles.

22       Although we seek the optimum ( $x_c; x_s; x_t$ ) at each iteration  
23 of the vehicle control loop, there is a certain utility in  
24 maintaining the vehicle's current course and speed. In practice,  
25 when ownship is turning or accelerating, it not only makes noise,

1 but also destabilizes its sensors for a period, making changes in  
2 a contact's solution harder to detect. The steady-path behavior  
3 implements this preference to keeping a steady course and speed  
4 by adding an objective function ranking values of  $x_c$  and  $x_s$   
5 higher when closer to ownship's current course and speed.

6 After choosing the behavior equations for the vehicle, these  
7 equations are converted to interval functions as taught by the  
8 method. The behavior functions are weighted and summed to give  
9 an interval programming problem. At each time interval, the  
10 vehicle solves the interval programming problem. This can be  
11 performed by searching through the behavior functions to  
12 determine optimal values of the functions. ~~These optimal values~~  
13 give the best course of action for the vehicle. The vehicle then  
14 implements this action and proceeds to formulate the next  
15 interval programming problem.

16 In light of the above, it is therefore understood that  
17 within the scope of the appended claims, the invention may be  
18 practiced otherwise than as specifically described.

UNMANNED VEHICLE CONTROL SYSTEM

ABSTRACT OF THE DISCLOSURE

6 A method for autonomously controlling a vehicle includes  
7 establishing decision variables for maneuvering the vehicle.  
8 Behavior functions are established for behaviors of the vehicle  
9 as a function of at least one of the established decision  
10 variables. These behavior function give a score which may be  
11 weighted, indicating the desirability of engaging in the  
12 associated behavior. A summation of the weighted behavior  
13 functions can be solved while the vehicle is operating to  
14 determine the values of the decision variables giving the highest  
15 summation of scores. In a preferred method, an optimal structure  
16 for the behavior functions and summation solution is taught. The  
17 method then guides the vehicle in accordance with the determined  
18 decision variable values.

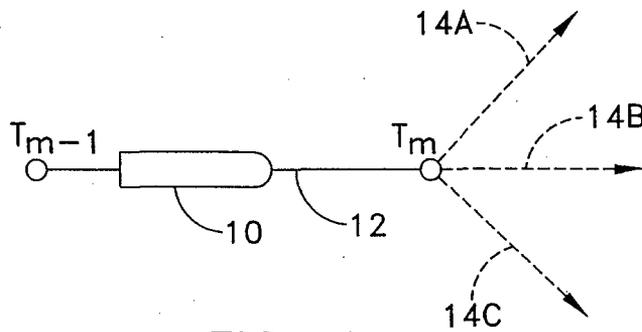


FIG. 1

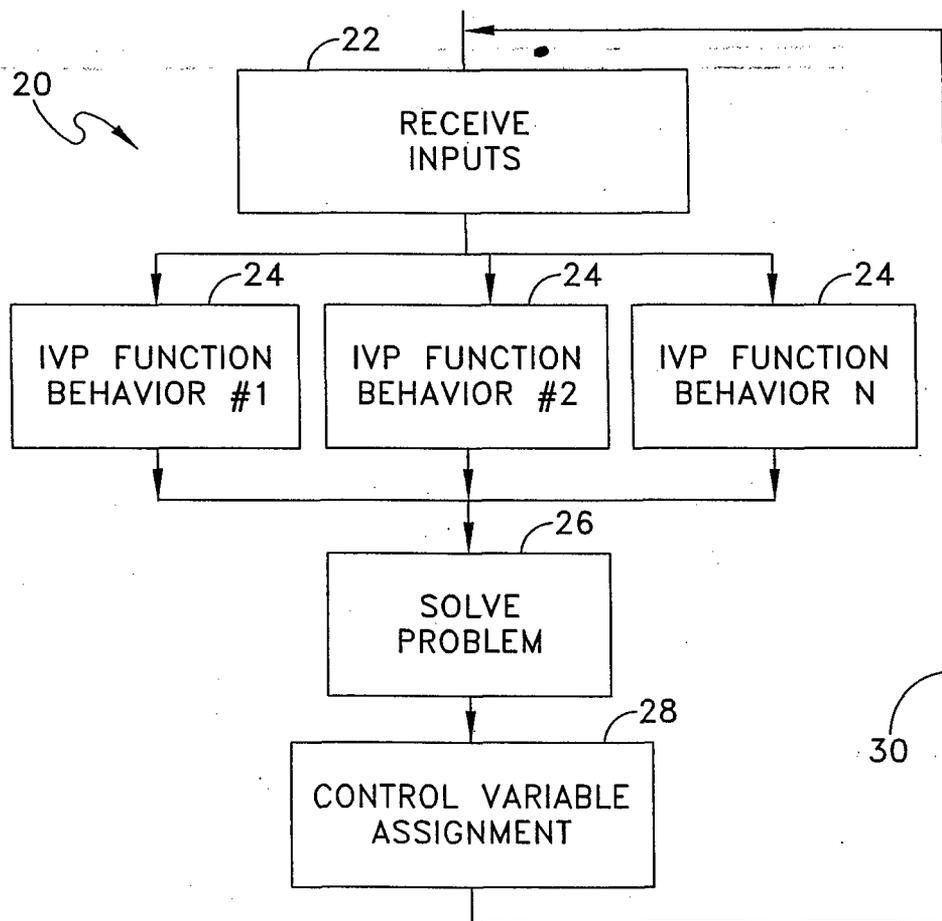


FIG. 2

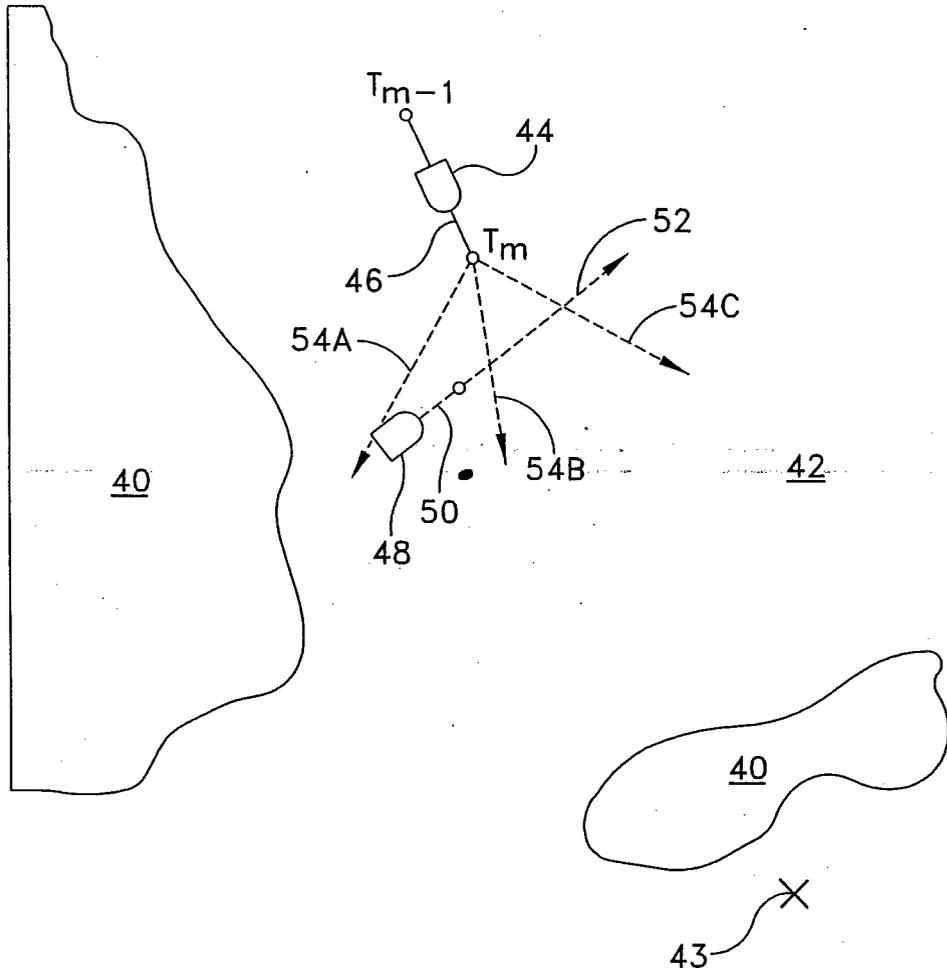


FIG. 3

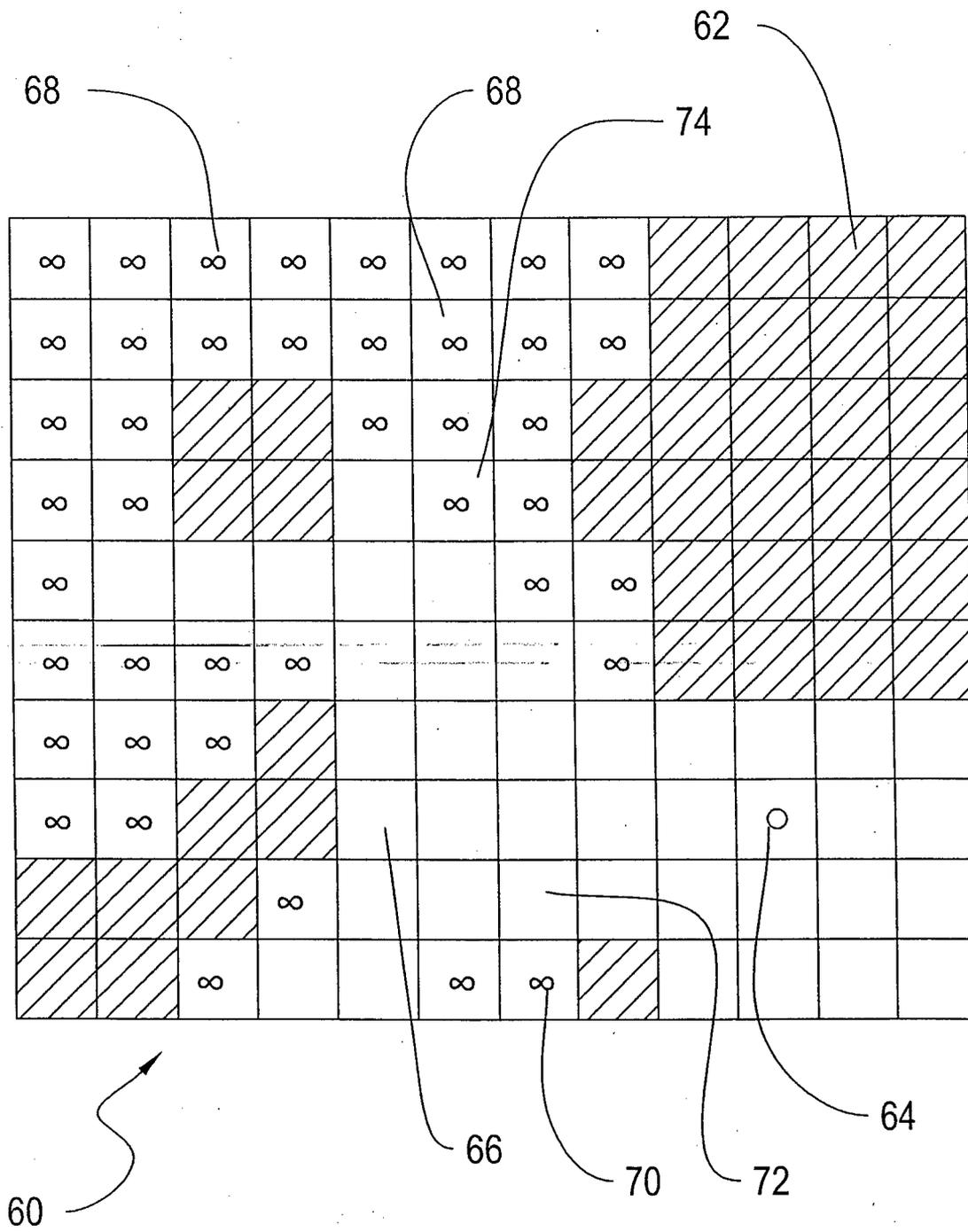


FIG. 4

All-Pairs Shortest Path()

```
0. setDirectPieces()
1. threshCount = 0
2. while(threshCount < 100)
3.   sampleFrontier(50)
4.   pqueue/extract-max(pca, pcb)
5.   val = refine(pca, pcb)
6.   if(val < thresh)
7.     threshCount = threshCount + 1
8.   else
9.     threshCount = 0
```

FIG. 5