NOTICE

The above identified patent application is available for licensing. Requests for information should be addressed to:

OFFICE OF NAVAL RESEARCH
DEPARTMENT OF THE NAVY
CODE 00CC
ARLINGTON VA 22217-5660

DISTRIBUTION STATEMENT A
Approved for Public Release
Distribution Unlimited
Attorney Docket No. 79115

CAPACITATIVELY SHUNTED QUADRIFILAR HELIX ANTENNA

STATEMENT OF GOVERNMENT INTEREST

The invention described herein may be manufactured and used by or for the Government of the United States of America for governmental purposes without the payment of any royalties thereon or therefor.

CROSS REFERENCE TO RELATED APPLICATION

United States Letters Patent Serial No. 08/356,803 filed 7/19/99 by the inventor hereof and assigned to the assignee hereof is incorporated herein by reference.

BACKGROUND OF THE INVENTION

(1) Field of the Invention

This invention generally relates to antennas and more specifically to quadrifilar antennas.

(2) Description of the Prior Art

Numerous communication networks utilize omnidirectional antenna systems to establish communications between various stations in the network. In some networks one or more stations may be mobile while others may be fixed land-based or satellite stations. Antenna systems that are omnidirectional in a
horizontal plane are preferred in such applications because alternative highly directional antenna systems become difficult to apply, particularly at a mobile station that may communicate with both fixed land-based and satellite stations. In such applications it is desirable to provide a horizontally omnidirectional antenna system that is compact yet characterized by a wide bandwidth and a good front-to-back ratio in elevation with either horizontal or vertical polarization.

Some prior art omnidirectional antenna systems use an end fed quadrifilar helix antenna for satellite communication and a co-mounted dipole antenna for land based communications. However, each antenna has a limited bandwidth. Collectively their performance can be dependent upon antenna position relative to a ground plane. The dipole antenna has no front-to-back ratio and thus its performance can be severely degraded by heavy reflections when the antenna is mounted on a ship, particularly over low elevation angles. These co-mounted antennas also have spatial requirements that can limit their use in confined areas aboard ships or similar mobile stations.

The following patents disclose helical antennas that exhibit some, but not all, the previously described desirable characteristics:

(SMAT) mast antenna with reduced frequency scanning for mobile use in accessing stationary geosynchronous and/or geostable satellites. The antenna includes a multi-turn quadrifilar helix antenna that is fed in phase rotation at its base and is provided with a pitch and/or diameter adjustment for the helix elements, causing beam scanning in the elevation plane while remaining relatively omni-directional in azimuth. The antenna diameter and helical pitch are optimized to reduce the frequency scanning effect, and a technique is disclosed for aiming the antenna to compensate for any remaining frequency scanning effect.

United States Letters Patent No. 5,701,130 (1997) to Thill et al. discloses a self phased antenna element with a dielectric. The antenna element has two pairs of arms in a crossed relationship to transceive a signal at a resonant frequency. A dielectric is disposed adjacent an arm to obtain a self phased relationship in the arms at the resonant frequency. The arms can form crossed loops or twisted crossed loops such as a quadrifilar helix antenna element. A dielectric collar on arms of the same loop causes currents to be equally spaced from one another. The antenna size is reduced and a cross section of the antenna element appears circular without degradation of a gain pattern when the dielectric is used on a certain arm.
In United States Letters Patent No. 5,721,557 (1998) Wheeler et al. disclose a nonsquinting end-fed quadrifilar helix antenna. Each conductor of the antenna is fed with a successively delayed phase representation of the input signal to optimize transmission characteristics. Each of the conductors is separated into a number, Z, of discrete conductor portions by Z-1 capacitive discontinuities. The addition of the capacitive discontinuities results in the formation of an antenna array. The end result of the antenna array is a quadrifilar helix antenna which is nonsquinting, that is, the antenna radiates in a given direction independently of frequency.

There exists a family of quadrifilar helixes that are broadband impedance wise above a certain "cut-in" frequency, and thus are useful for wideband satellite communications including Demand Assigned Multiple Access (DAMA) UHF functions in the range of 240 to 320 MHz and for other satellite communications functions in the range of 320 to 410 MHz). Typically these antennas have (1) a pitch angle of the elements on the helix cylindrical surface from 50 down to roughly 20 degrees, (2) elements that are at least roughly \( \frac{3}{4} \) wavelengths long, and (3) a "cut-in" frequency roughly corresponding to a frequency at which a wavelength is twice the length of one turn of the antenna element. This dependence changes with pitch angle. Above the "cut-in" frequency, the helix has an
approximately flat VSWR around 2:1 or less (about the $Z_0$ value of the antenna). Thus the antenna is broadband impedance-wise above the cut-in frequency. The previous three dimensions translate into a helix diameter of 0.1 to 0.2 wavelengths at the cut-in frequency.

For pitch angles of approximately 30° to 50°, such antennas provide good cardioid shaped patterns for satellite communications. Good circular polarization exists down to the horizon since the antenna is greater than 1.5 wavelengths long (2 elements constitute one array of the dual array, quadrifilar antenna) and is at least one turn. At the cut-in frequency, lower angled helixes have sharper patterns. As frequency increases, patterns start to flatten overhead and spread out near the horizon and small nulls start to form overhead. For a given satellite band to be covered, a tradeoff can be chosen on how sharp the pattern is allowed to be at the bottom of the band and how much it can be spread out by the time the top of the band is reached. This tradeoff is made by choosing where the band should start relative to the cut-in frequency and the pitch angle.

For optimum front-to-back ratio performance, the bottom of the band should start at the cut-in frequency. This is because, for a given element thickness, backside radiation increases with frequency (the front-to-back ratio decreases with frequency). This decrease of front-to-back ratio with
frequency limits the antenna immunity to multipath nulling effects.

Other factors that influence the front-to-back ratio include the method of feeding the antenna, the physical size of antenna elements, the dielectric loading of the antenna elements and the termination of the antenna elements. Looking first at antenna feeding, the front-to-back ratio improves when an antenna is fed in a "backfire mode" such that the antenna feed point is at the top of a vertically oriented antenna, as opposed to a "forward fire mode" when the feed point is at the bottom of the antenna.

Thinner elements increase the front-to-back ratio. However, as the elements become thinner, the input impedance to the antenna increases and introduces a requirement for impedance matching. Alternatively, lower impedances can be obtained by constructing an antenna with a partial overlap of the antenna elements to increase capacitance. However, a loss of impedance bandwidth starts to occur since the capacitance is a non-radiating capacitance; that is, no radiation can occur from the overlapped areas of the antenna.

Increasing the dielectric loading of the helix elements decreases the front-to-back ratio. Wide flat elements found in many helix antennas have a pronounced loading if one side of each antenna element touches a dielectric, as in the case where the dielectric is a support cylinder for the antenna. If the
gap between adjacent elements is small, the field is strongly concentrated in the gap and any dielectric in the gap will load the antenna strongly. Quadrifilar helix antennas can terminate with open or shorted ends remote from the feed point. It has been found that antennas with open ends have a slightly higher front-to-back ratio than do antennas with shorted ends.

My above-identified pending United States Letters Patent Serial No. 08/356,803 discloses an antenna having four constant-width antenna elements wrapped about the periphery of a cylindrical support. This construction provides a broadband antenna with a bandwidth of 240 to at least 400 MHz and with an input impedance in a normal range, e.g., 100 ohms. This antenna also exhibits a good front-to-back ratio in both open-ended and shorted configurations. In this antenna, each antenna element has a width corresponding to about 95% of the available width for that element. However, it was found that this antenna could require a tradeoff between the pattern shapes in the transmit and receive bands. It became necessary to allow patterns at lower receive frequencies to become sharper overhead than desired. At higher transmit frequencies, it became necessary to accept overhead patterns that were flatter overhead than desired. At even higher frequencies, nulls were observed in the patterns because the element lengths were becoming long enough electrically for multilobing to begin.
SUMMARY OF THE INVENTION

Therefore it is an object of this invention to provide a broadband unidirectional hemispherical coverage radio frequency antenna.

Another object of this invention is to provide a broadband unidirectional hemispherical coverage antenna with good front-to-back ratio over a range of frequencies.

Yet still another object of this invention is to provide a broadband unidirectional hemispherical coverage antenna that operates with a circular polarization and that exhibits a good front-to-back ratio.

Yet still another object of this invention is to provide a broadband unidirectional hemispherical coverage antenna that provides an essentially constant radiation pattern over a range of frequencies.

In accordance with this invention the above objects are achieved by an antenna that extends along an antenna axis between a feed end and an other end and that carries a plurality of pairs of diametrically opposed antenna elements wrapped helically about the support. Each antenna element has a length determined by a cut-in frequency. A capacitive network spans the antenna elements in each pair at corresponding predetermined positions from the other end for
shorting the pairs of antenna elements at a characteristic
frequency greater than the cut-in frequency.

In accordance with another aspect of this invention, a
quadrifilar helix antenna operates over a frequency bandwidth
defined by a minimum operating frequency and extends along an
antenna axis between first and second ends of the antenna.
Four equiangularly spaced helical antenna elements extend along
the support between the first and second end, each antenna
element has a length of at least 3/4 wavelength at the minimum
antenna operating frequency and has a substantially constant
thickness and width along its length. Each diametrically
opposed set of elements constitutes an element pair whereby the
antenna has first and second pairs of antenna elements. A
plurality of sets of capacitive elements connect between the
antenna elements in each pair, each set being connected at a
different position along the antenna axis and each capacitive
element in a set connected to said respective antenna element
pair at the same position along the antenna axis.

**BRIEF DESCRIPTION OF THE DRAWINGS**

The appended claims particularly point out and distinctly
claim the subject matter of this invention. The various
objects, advantages and novel features of this invention will
be more fully apparent from a reading of the following detailed
description in conjunction with the accompanying drawings in
which like reference numerals refer to like parts, and in which:

FIG. 1 is a perspective view of one embodiment of a quadrifilar helix antenna constructed in accordance with this invention;

FIG. 2 is a schematic view one of a pair of antenna elements in an unwrapped state for the antenna shown in FIG. 1;

FIG. 3 is a schematic of an embodiment of this invention that produces an alternative to the antenna in FIG. 1;

FIGS. 4 and 5 are Smith charts for depicting calculated antenna impedances; and

FIGS. 6A through 6L depict calculated gain comparisons of antenna performance for an antenna constructed in accordance with this invention and a standard antenna.

DESCRIPTION OF THE PREFERRED EMBODIMENT

In FIG. 1, a quadrifilar helix antenna 10, constructed in accordance with this invention extends along a longitudinal axis 11. Four antenna elements 12, 13, 14 and 15 wrap helically about this longitudinal axis 11 and extend from a feed or first end portion 16 to an unfed or second end portion 17. The antenna element 12 and identical antenna elements 13, 14 and 15 are wrapped as spaced helices about the axis 11. FIG. 1 depicts the antenna elements 12 through 15 as being wrapped on a form for facilitating an understanding of the
antenna construction. This form could be eliminated with the antenna elements being self-supporting.

Still referring to FIG. 1, an rf source 18 and a phase control 19 drive the antenna 10 at a plurality of feedpoints 20 proximate the axis 11 at the first end 16. A series of radially extending conductive paths 20A, 20B, 20C and 20D couple the central feed points 20 to each of the helically wrapped elements 12 through 15, respectively. The signals applied to these feedpoints are in phase quadrature. In one form, an RF signal from the rf source 18 is applied to a 90° power splitter with a dump port terminated in a characteristic impedance, Z₀. The two outputs of the 90° power splitter connect to the inputs of two 180° degree power splitters thereby to provide the quadrature phase relationship among the signals on adjacent ones of the antenna elements 12 through 15. It is known that swapping the output cables of the 90° power splitter will cause the antenna to transfer between backfire and forward radiation modes.

An antenna constructed in accordance with this invention achieves pattern stability by making the antenna elements in FIG. 1 become electrically shorter with increasing frequency without altering the physical length of any of the antenna elements 12 through 15. Specifically, successive sections of the helix are shorted electrically progressively from the unfed end as frequency increases from the cut-in frequency by means
of a capacitive shorting network. Obviously, a limit occurs
when the helix is so short as to no longer operate as a helix.

FIG. 2 depicts a pair of diametrically opposed antenna
elements, specifically antenna elements 12 and 14 from FIG. 1.
For clarity, the antenna elements 12 and 14 are shown in an
unwound state. FIG. 2 depicts a number of capacitive elements
connected between the antenna elements 12 and 14 so that \( n-1 \)
capacitive elements \( C_1 \ldots C_{n-1} \) divide the antenna elements 12
and 14 into \( n \) segments. The pair of antenna elements 13 and 15
include similar capacitive elements and the positions of
corresponding capacitive elements in each pair will be the
same.

Still referring to FIG. 2, the capacitive elements are
evenly distributed along the length of the element pair until
reaching the radial feed sections 20A and 20C for the antenna
elements 12 and 14. The capacitors increase in value from the
unfed end 17 to the feed end 16; that is:

\[
C_1 < C_2 < \ldots < C_{n-1} < C_n
\]  

With this relationship among the capacitive elements, the
individual capacitors at the unfed end 17 start to short out
the helix at low frequencies. As frequency increases, the
capacitive elements closer to the feed point 20 start to short
out the helix, thus effectively shortening the helix with
frequency in a progressive fashion.
More specifically, following the principles for the frequency independent behavior with a log periodic dipole, the taper in capacitance values can be selected to vary logarithmically, so that the capacitance of a given capacitor \( C_i \) is a constant multiple of the capacitance of the preceding capacitor toward the unfed side \( 17, C_{i-1} \). That is, in equation form:

\[
C_i = \tau C_{i-1}
\]

(2)

where \( i \) is the capacitor number for \( 2 \leq i \leq n-1 \) and \( \tau \) is a constant.

In practice it has been found that it is easier to construct the antenna if each of the capacitive elements shown in FIG. 2 are formed by a pair of capacitors in series. FIG. 3 depicts the capacitive element that would replace the \( C_i \) capacitor in FIG. 2 as including two capacitors, \( C_{iA} \) and \( C_{iB} \) in which:

\[
C_{iA} = C_{iB} = 2C_i
\]

(3)

This facilitates the connection of two pairs of corresponding capacitive elements to the two pairs of opposed antenna elements at the same relative positions along the length of the antenna. In addition it has been found that the range of capacitance values were specified by extreme values for the \( C_i \) and \( C_{n-1} \) capacitors, and not by \( \tau \). Rather \( \tau \) was determined by the capacitance values. The extreme case occurs if the capacitor \( C_i \) shorts the helix at the lowest frequency of
operation, since the next few capacitors in sequence would be
close to shorting out the element resulting in a partial
shorting of the antenna elements even at the lowest operating
frequency. Obviously, the shorting effect should only occur at
higher frequencies.

At the frequencies involved with such antennas, the wires
connecting the capacitors to the antenna elements and to each
other have a finite series inductance that must be compensated.
This compensation can be achieved by canceling the impedance
with some or all of the impedance for the capacitors connected
to the wires.

For example, if a connecting wire has an effective
physical length of 9" and a radius of 0.2388", the wire will
have an inductance of 1.633*10^-7 Henries. At an operating
frequency of 200 MHz, the required capacitance for canceling
the wire impedance is 3.88 pF. Given the foregoing
considerations, the value of $C_1$ must be less than 3.88pF.

It has been found that the use of spaced capacitive shunts
applied to a portion of the antenna can stabilize the pattern
over a greater bandwidth that can be achieved without the
capacitive shunts. As a specific example, capacitive shunts
would improve an antenna having the following characteristics:
FIGS. 4 and 5 are calculated Smith charts that depict the variation of input impedance for the foregoing antenna without any shunting capacitive elements in FIG. 4 and with the addition of such shunting capacitive elements in FIG. 5 using a range of capacitors from C2 = 0.05 pF to C10 = 0.025 pF that covered about one-third of the antenna starting proximate the unfed end 17. Each Smith chart is based upon the same characteristics impedance of Z0 = 200 ohms and shows that the impedance does not vary significantly when these capacitive shunts are added to the antenna, although FIG. 5 shows some loss of bandwidth especially at the higher frequencies.

Each of FIGS. 6A through 6L depict the patterns produced by the antenna with and without shunting capacitive elements. In each, the solid line 41 depicts the pattern for a
conventional antenna; the dashed line 42, the pattern for the
antenna modified in accordance with this invention. Each of
FIGS. 6A through 6L is marked with the frequency for the
patterns.

There is little difference in performance up to 330 MHz,
as shown in FIGS. 6A through 6E. That is, the patterns are
essentially the same and stable with respect to different
frequencies. As seen in FIG. 6F, the conventional antenna
begins to generate multiple lobes at 43 as the pattern 41
begins to flatten and energy dissipating horizontally begins to
increase. The lobes 43 become progressively more pronounced as
the frequency increases as can be seen in FIGS. 6G through 6L.
That is, they are most pronounced in FIG. 6L. There is little
indication of multiple lobes in patterns 42.

Below 340 MHz patterns 42 exhibit some flattening with
frequency with respect to the corresponding patterns 41.
However, FIGS. 6F through 6L show that this difference ceases
to exist above 340 MHz. The patterns 41 in FIG. 6K and 6L at
390 MHz and 400 MHz show the formation of nulls at 44. No such
nulls appear in patterns 42 at these frequencies.

Comparing at the patterns in FIGS. 6A through 6L, it will
be apparent that the shunting capacitors have stabilized the
patterns 42 over those patterns 41 produced with a

Moreover, these results are based upon an analysis of an
antenna with a 40° pitch angle. Many quadrifilar helix antennas are constructed with greater pitch angles. At such greater angles, the null effect shown in FIGS. 6K and 6L will be more pronounced and would become evident at lower frequencies. Thus, such antennas would benefit to even a greater degree from the capacitive shunting of this invention. Although there is some loss of impedance matching at higher frequencies and some loss in the front-to-back ratios, the use of shunting capacitive elements will improve antenna performance where pattern stability is a major consideration.

Thus, in accordance with this invention a quadrifilar helix antenna is provided with a capacitive shunting network that electrically reduces the length of antenna elements as operating frequency increases. As a result, the energy radiates from the antenna with a pattern that is stable over a wide range of operating frequencies without the need of physical rearrangement of the antenna elements. While this antenna has been depicted in terms of a specific capacitive shunting arrangement, including spacings and relative capacitance values, it will be apparent that a number of different variations could also be included other than the structures shown in FIGS. 2 and 3. Consequently, it is the intent to cover all such variations
and modifications as come under the true spirit and scope of this invention.
CAPACITATIVELY SHUNTED QUADRIFILAR HELIX ANTENNA

ABSTRACT OF THE DISCLOSURE

A quadrifilar helix antenna is provided having a feedpoint for the antenna connecting to individual helical antenna elements. A capacitive network, distributed along the length of the antenna, constitutes a variable frequency shunting network. At each position a first capacitive structure, that may comprise a single capacitor or multiple capacitors in series, interconnects a first pair of opposite antenna elements; a second capacitive structure interconnects the second pair of opposite antenna elements. As an applied frequency increases, the capacitive structures progressively short the opposite antenna elements thereby electrically reducing the antenna length.