

UNCLASSIFIED

AD NUMBER
ADB284034
NEW LIMITATION CHANGE
TO Approved for public release, distribution unlimited
FROM Distribution authorized to U.S. Gov't. agencies only; Proprietary Info.; Jul 2002. Other requests shall be referred to U.S. Army Medical Research and Materiel Command, 504 Scott St., Ft. Detrick, MD 21702-5012.
AUTHORITY
USAMRMC ltr, dtd 15 May 2003

THIS PAGE IS UNCLASSIFIED

AD _____

Award Number: DAMD17-01-1-0654

TITLE: Breast Carcinogenesis: Stroma-Epithelium Interactions

PRINCIPAL INVESTIGATOR: Maricel V. Maffini, Ph.D.

CONTRACTING ORGANIZATION: Tufts University
Boston, Massachusetts 02111

REPORT DATE: July 2002

TYPE OF REPORT: Final

PREPARED FOR: U.S. Army Medical Research and Materiel Command
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Distribution authorized to U.S. Government agencies only (proprietary information, Jul 02). Other requests for this document shall be referred to U.S. Army Medical Research and Materiel Command, 504 Scott Street, Fort Detrick, Maryland 21702-5012.

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

148 064

NOTICE

USING GOVERNMENT DRAWINGS, SPECIFICATIONS, OR OTHER DATA INCLUDED IN THIS DOCUMENT FOR ANY PURPOSE OTHER THAN GOVERNMENT PROCUREMENT DOES NOT IN ANY WAY OBLIGATE THE U.S. GOVERNMENT. THE FACT THAT THE GOVERNMENT FORMULATED OR SUPPLIED THE DRAWINGS, SPECIFICATIONS, OR OTHER DATA DOES NOT LICENSE THE HOLDER OR ANY OTHER PERSON OR CORPORATION; OR CONVEY ANY RIGHTS OR PERMISSION TO MANUFACTURE, USE, OR SELL ANY PATENTED INVENTION THAT MAY RELATE TO THEM.

LIMITED RIGHTS LEGEND

Award Number: DAMD17-9901-1-0654
Organization: Tufts University

Those portions of the technical data contained in this report marked as limited rights data shall not, without the written permission of the above contractor, be (a) released or disclosed outside the government, (b) used by the Government for manufacture or, in the case of computer software documentation, for preparing the same or similar computer software, or (c) used by a party other than the Government, except that the Government may release or disclose technical data to persons outside the Government, or permit the use of technical data by such persons, if (i) such release, disclosure, or use is necessary for emergency repair or overhaul or (ii) is a release or disclosure of technical data (other than detailed manufacturing or process data) to, or use of such data by, a foreign government that is in the interest of the Government and is required for evaluational or informational purposes, provided in either case that such release, disclosure or use is made subject to a prohibition that the person to whom the data is released or disclosed may not further use, release or disclose such data, and the contractor or subcontractor or subcontractor asserting the restriction is notified of such release, disclosure or use. This legend, together with the indications of the portions of this data which are subject to such limitations, shall be included on any reproduction hereof which includes any part of the portions subject to such limitations.

THIS TECHNICAL REPORT HAS BEEN REVIEWED AND IS APPROVED FOR PUBLICATION.

REPORT DOCUMENTATION PAGE

Form Approved
OMB No. 074-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503

1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE July 2002	3. REPORT TYPE AND DATES COVERED Final (18 Jun 01 - 17 Jun 02)
----------------------------------	-----------------------------	---

4. TITLE AND SUBTITLE Breast Carcinogenesis: Stroma-Epithelium Interactions	5. FUNDING NUMBERS DAMD17-01-1-0654
--	--

6. AUTHOR(S) Maricel V. Maffini, Ph.D.

7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Tufts University Boston, Massachusetts 02111 E-Mail: maricel.maffini@tufts.edu	8. PERFORMING ORGANIZATION REPORT NUMBER
---	--

9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012	10. SPONSORING / MONITORING AGENCY REPORT NUMBER
---	--

11. SUPPLEMENTARY NOTES report contains color	20021118 064
--	--------------

12a. DISTRIBUTION / AVAILABILITY STATEMENT Distribution authorized to U.S. Government agencies only (proprietary information, Jul 02). Other requests for this document shall be referred to U.S. Army Medical Research and Materiel Command, 504 Scott Street, Fort Detrick, Maryland 21702-5012.	12b. DISTRIBUTION CODE
---	------------------------

13. Abstract (Maximum 200 Words) (abstract should contain no proprietary or confidential information)
A complex network of signals between the stroma, the extracellular matrix and the epithelium, and by hormones acting systemically, drive the mammary gland development and function. The tissue organization field theory (TOFT) proposes that alterations of the reciprocal interactions between stroma and epithelium initiate the process of neoplastic transformation of epithelial cells. Our goal is to assess whether the primary target of the carcinogen N-nitroso-methylurea (NMU) is the epithelium, the stroma or both through a protocol of tissue recombination by transplanting mammary gland epithelial cells (MGEC) into mammary gland fat pads (MGFP) previously cleared of epithelium. The animals were divided into 6 groups: (1) NMU-exposed stroma and vehicle (VEH)-exposed MGEC; (2) NMU-exposed stroma and NMU-exposed MGEC; (3) VEH-exposed stroma and NMU-exposed MGEC; (4) VEH-exposed stroma and VEH-exposed MGEC; (5) positive control (intact virgin rat exposed to NMU); (6) negative control (exposed to VEH). Results: the tumor incidence was G1 83.3%, G2 85.7%, G3, 4 and 6 0%, G5 100%. Our results show that the stroma, rather than the epithelial cells, may be responsible for the development of a neoplasia. This novel concept in carcinogenesis will provide clues to be applied to more rational study of breast cancer.

14. SUBJECT TERMS stroma-epithelium interaction, mammary gland carcinogenesis, breast cancer	15. NUMBER OF PAGES 17
	16. PRICE CODE

17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified	20. LIMITATION OF ABSTRACT Unlimited
---	--	---	---

Table of Contents

Cover.....	
SF 298.....	ii
Table of Contents.....	iii
Introduction.....	1-2
Body.....	3-5
Key Research Accomplishments.....	6-9
Reportable Outcomes.....	10
Conclusions.....	11
References.....	12-13
Appendices.....	N/A

Introduction

For almost a century now, the view that carcinogenesis takes place at the cellular and subcellular levels has been the prevalent one. The implicit premises of this hypothesis, called the Somatic Mutation Theory are: 1) cancer originates at the single cell level; 2) tumor initiation involves the stable mutations of DNA by carcinogens (1), and 3) mutations must result in an increase of the proliferative rate of the neoplastic cell (2).

Although the proponents of the *somatic mutation theory* of carcinogenesis have readily acknowledged that, in some instances, epigenetic mechanisms may be sufficient to explain carcinogenesis, the study of tumor initiation has been focused at the genome level.

Alternatively, epigenetic mechanisms similar to those occurring during histogenesis and organogenesis have been proposed to be at the core of carcinogenesis (3). During embryogenesis, adjacent stroma and epithelia exert instructive influences on each other resulting in organ formation. These units are called the "morphogenetic fields". It has been postulated that these units of tissue maintenance and/or organization are three-dimensional and carry positional and historical information. Interactions between epithelium and stroma initiate a flow of information that acts to regulate many fundamental processes throughout development. These include cell migration, morphogenesis, and modulation of growth and differentiation programs of many specialized cell types (4). The contribution of stroma to early events in carcinogenesis has recently begun to be appreciated. It has been postulated that cancer is a physiological response to an abnormal environment (5).

In addition, Bissell et al. have stated that the unit of function in higher organisms is neither the genome nor the cell alone but the complex, three-dimensional tissue. This is because there are bi-directional connections between the components of the cellular microenvironment and the nucleus. These connections are made via membrane-bound receptors and transmitted to the nucleus, where the signals result in modifications to the nuclear matrix and chromatin structure and lead to selective gene expression. Thus, cells need to be studied "in context", within the proper tissue structure, if one is to understand the bi-directional pathways that connect the cellular microenvironment and the genome (6).

There are several lines of evidence showing that carcinogenesis may be mediated by alterations of tissue organization. In the mammary gland, stroma-epithelial reciprocal influences have been shown to be essential for proper development of the gland during the embryonic and postnatal stages. In "spontaneous" and agent-mediated carcinogenesis there is a disruption of the normal interactions that take place among cells in the parenchyma and subjacent

stroma of an organ. This disturbance results in functional and structural changes in the affected tissue/organ.

The ***tissue organization field theory*** of carcinogenesis and neoplasia states that carcinogens disrupt the flow of information between the stroma and the parenchyma and/or among cells within those tissues. The temporary or permanent effects of carcinogens on the intracellular structures and components while variably deleterious to each of them are not directly responsible for the development of a neoplasia (3).

Specialized microenvironments composed of insoluble extracellular matrix and soluble factors, mediate epithelia-stromal interactions and play a pivotal role in normal tissue development and function (7). In the terminology of developmental biology, the microenvironment generated by the abnormal epithelial-stromal interactions may be considered "permissive" for the emergence of hyperplasia, dysplasia, and neoplasia (3;5). Moreover, the neoplastic behavior of cells can be reversed when they are placed in normal environments (8).

My research proposal aims at developing an *in vivo* model to study mammary gland carcinogenesis at a tissue level of organization. The goal is to test the three competing hypotheses, namely, 1) that the primary target of the chemical carcinogen nitrosomethylurea (NMU) is the stroma, 2) that the primary target is the epithelium, and 3) that both the epithelium and the stroma need to be exposed to the carcinogen.

The proposal aim was to assess whether the primary target of NMU in NMU-induced mammary carcinogenesis is the epithelium, the stroma, or both through establishing a protocol of tissue recombination by transplanting epithelial cells into mammary gland fat pads that have been previously cleared of epithelium. Recombinants will be produced between 1) vehicle exposed stroma and vehicle-exposed epithelium, 2) vehicle-exposed stroma and NMU-exposed epithelium, 3) NMU-exposed stroma and vehicle-exposed epithelium, and 4) NMU-exposed stroma and NMU-exposed epithelium. The number of mammary carcinomas arising from these recombinants and from intact animals treated with NMU (positive control) and with vehicle (negative control) will be compared.

Body

Experimental design: Virgin 55 day-old Wistar-Furth rats were used as epithelial cell donors.

The experimental groups are shown in Table 1. Both NMU (50mg/100g body weight in 0.85% NaCl pH 5) and vehicle (0.85% NaCl pH 5) injections were done intraperitoneally. The epithelial cell transplantation was performed 1 week after the NMU or vehicle injection. Fifty thousand cells/10 μ l were injected into each cleared fat pad. The animals were palpated once a week, starting one month after the cell injection.

Table 1: Experimental design for stroma-epithelium recombination. The animals are sacrificed when the tumors reach 1-1.5 cm or 9 months after cell transplant whichever comes first.

	Cleared fat pad at 21 days of age	NMU exposure at 52 days of age	Epithelial cell transplantation
Group 1	Yes	Yes (50mg/100g bw *)	Yes (vehicle- exposed cells)
Group 2	Yes	Yes	Yes (NMU-exposed cells)
Group 3	Yes	No	Yes (NMU-exposed cells)
Group 4	Yes	No	Yes (vehicle-exposed cells)
Group 5	No	Yes	No
Group 6	No	No	No

* bw: body weight

Clearing of the mammary fat pad: The surgery was performed following the procedure previously reported by DeOme et al (9). Using the nipple as a guide, a small portion of the fat pad containing the epithelial tissue was removed and fixed for a whole mount preparation as a quick way to assess the presence of the epithelium (Figure 1). The survival rate of the animals after the surgery was 100%.

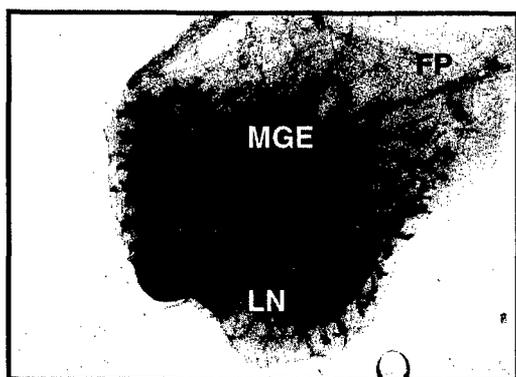


Figure 1: Rat mammary gland at 21 days of age. Whole mount preparation. Magnification: 2x

LN: Lymph node
 FP: fat pad
 MGE: mammary gland epithelium

In order to check whether or not the fat pad was completely cleared, the animals were allowed to reach puberty and, in a post-puberal stage, a new whole mount preparation was done with the remaining fat pad (Figure 2).



Figure 2: A) Fat pad removed 2 months after being cleared of epithelial cells. B) Age-matched intact fat pad containing a full-developed mammary gland. Whole mount preparation. Magnification: 0.6x

Isolating and propagating mammary epithelial cells: The mammary epithelial cells are isolated using a method adapted from Hahm and Ip (10). The protocol includes tissue dissociation using collagenase and pronase and filtering through a Nitex cloth. The epithelial cells are grown in serum-free, phenol red-free DMEM/F12 medium supplemented with insulin, progesterone, epidermal growth factor, prolactin, fatty acid free bovine serum albumin, hydrocortisone, transferin, ascorbic acid, and gentamicin. The cells were seeded in matrigel-coated 6-well

plates. Stromal cells grow very poorly in serum-free medium; moreover, these cells are easily detached using a trypsin/EDTA solution. Thus, after 4 weeks in culture the number of fibroblasts is very low. We have tested the purity of the epithelial cell preparations using an anti-cytokeratin antibody to recognize epithelial cells and an anti-vimentin to recognize stromal cells. We have confirmed the epithelial origin of the growing colonies and the percentage of contamination with stromal cells is less than 20%.

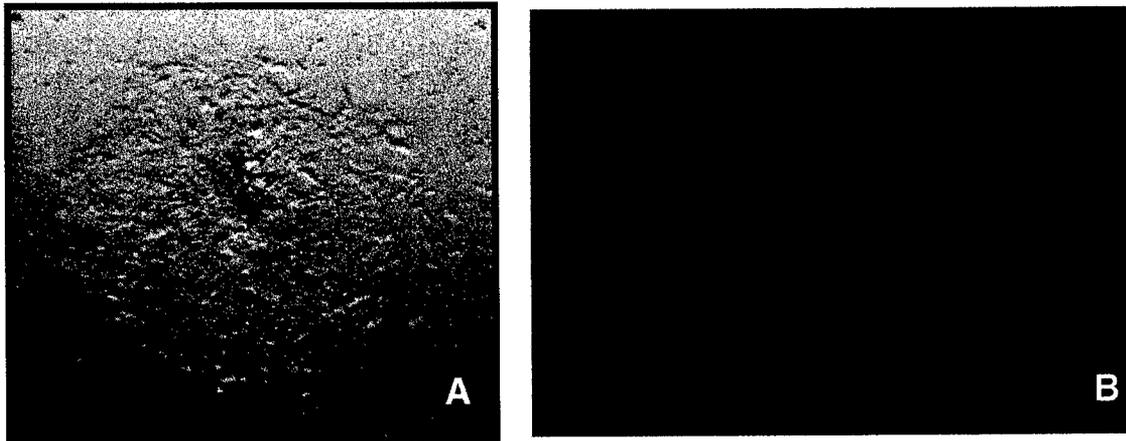


Figure 3: Mammary epithelial cells in culture. **A)** Primary culture of mammary epithelial cells. **B)** Cell characterization using an anti-cytokeratin antibody, a specific marker for epithelial cells. Red fluorescence: cyokeratin; blue fluorescence: DNA-specific dye Hoechst. Magnification: 5x (A); 20x (B)

One week after the NMU or vehicle injection, the animals were transplanted with mammary gland epithelial cells that were either NMU-exposed or vehicle-exposed, following the protocol described by Abrams et al (11). The cells, at a concentration of $5 \times 10^5 / 10 \mu\text{l}$ were injected into each cleared fat pad using a 100 μl Hamilton syringe. Epithelial cells were exposed *in vitro* either to NMU or vehicle following the protocol described by Miyamoto et al (12).

Tissue processing and immunohistochemistry. The mammary gland whole mount was prepared according to Thompson et al. (13). The tumors were fixed using phosphate buffered 10% formaldehyde and paraffin embedded.

Key Research accomplishments

Tumor latency period: The tumor latency period for the positive control Group 4 was according to the literature. There was no difference between Group 5 and Group 2 at the time 50% of the animals bore tumors. Although the latency period in animals from Group 1 was longer, the detection of palpable lesions was steady. The lesions palpated later do not correspond to spontaneous mammary tumors as these tumors appear in Wistar-Furth rats older than 24 months.

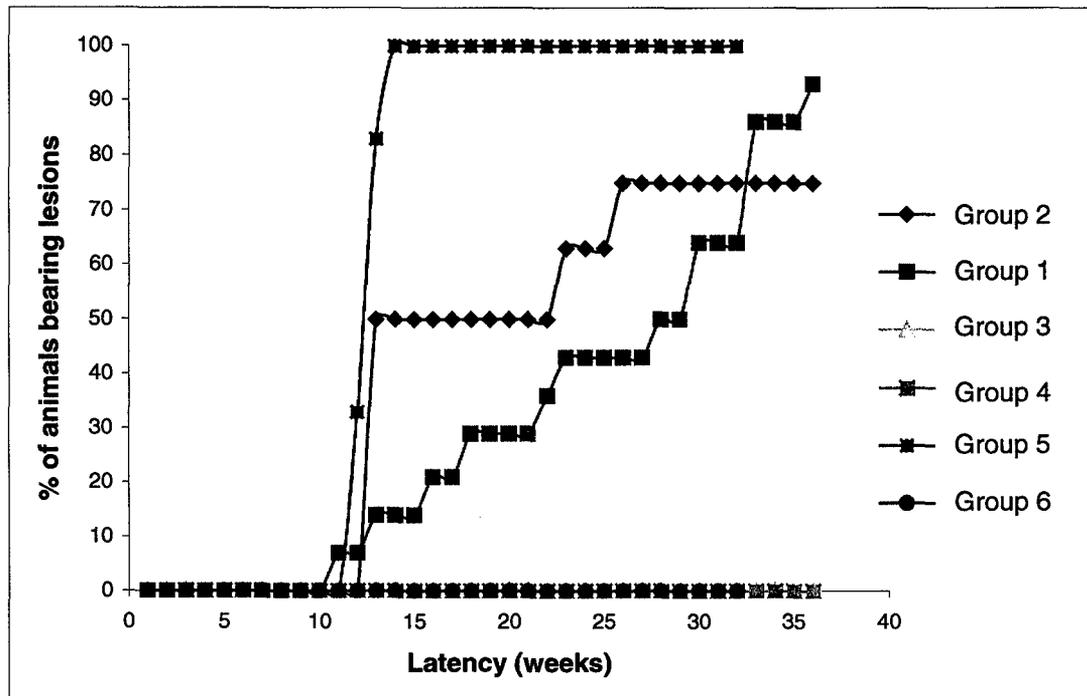


Figure 4: Tumor latency period

Tumor incidence: 83.3% of the animals from Group 1 and 85.7% of Group 2 developed tumors. In animal from Group 3 and Group 4 no tumors were developed. All animals (100%) from the positive control Group 5 developed tumors, whereas none of the negative control Group 6 did (Figure 5).

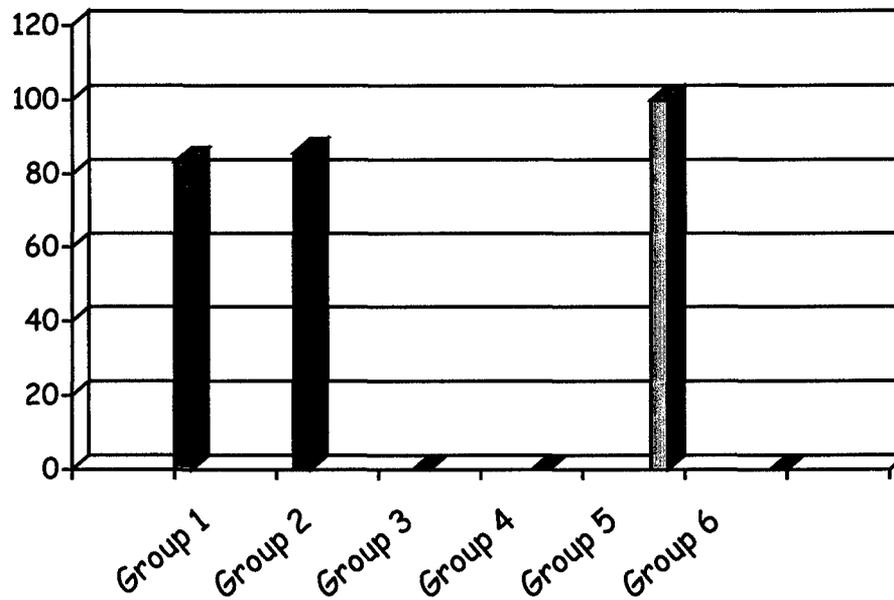


Figure 5: Percentage of animals bearing mammary gland tumors

There was no significant difference between the animals exposed to NMU transplanted with vehicle-treated cells and those in which both, the stroma and the transplanted epithelial cells were exposed to the NMU.

Figure 6 shows mammary gland whole mounts from Group 1 and Group 4 animals. The injected cells were able to form a whole mammary gland and repopulate the entire fat pad.

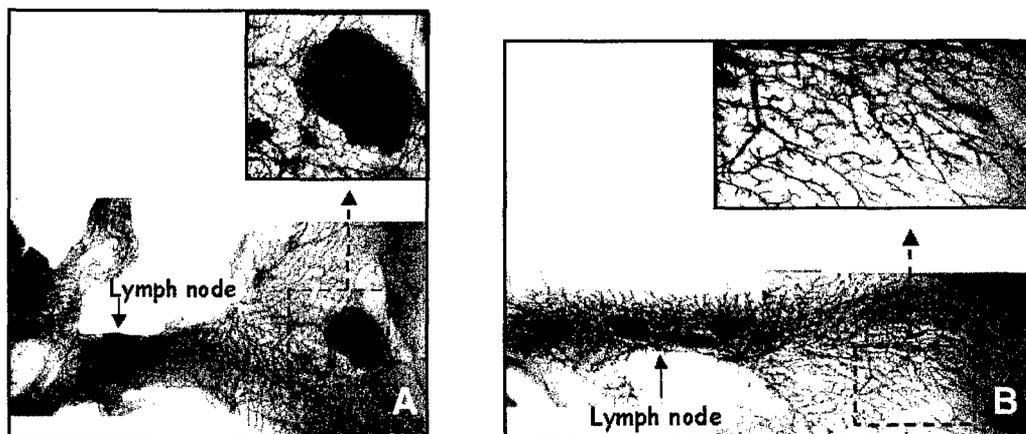


Figure 6: Examples of mammary gland whole mounts from tissue recombinant between NMU-exposed stroma and vehicle-exposed epithelial cells (A) and vehicle-exposed stroma and NMU-exposed epithelial cells. Magnification: 0.6x

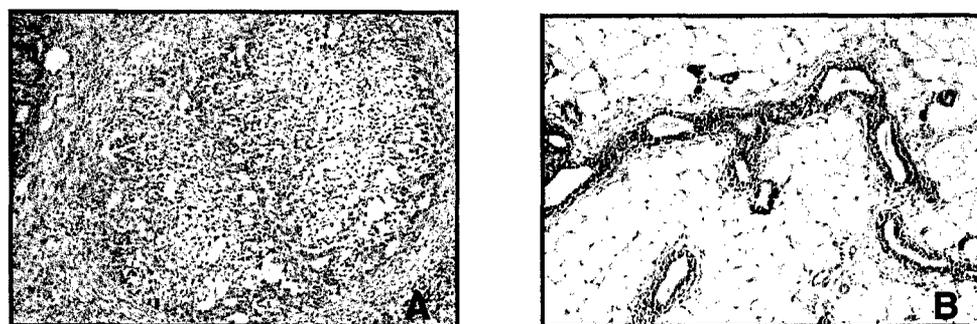


Figure 7: Histological sections representing a tumor (A) and a normal mammary gland (B). The tumor represented in (A) is a ductal carcinoma *in situ*, cribriform type. Hematoxylin-eosin staining. Magnification: 20x

Tissue evaluation: We are currently assessing the histopathology of the tumors using hematoxylin-eosin stained sections. The branching pattern, the percentage of tissue occupied by ducts, terminal end buds and alveolar structures in the whole mount are being evaluated as well.

Tumor characterization includes histochemical and immunohistochemical staining such as Periodic acid Schiff (PAS) used to evaluate the extracellular matrix and its distribution along the stroma, toluidine blue to recognize mast cells, cytokeratin, vimentin, desmin and BrdU incorporation.

Most of the tumors have been classified as ductal carcinoma *in situ* with a papillary and/or cribriform pattern (Figure 8). Among the main histological changes in the stroma we have observed an increase in the extracellular matrix deposition, replacement of the normal fat pad for fibroblasts and infiltration of leukocytes (eosinophils, plasma cells, mast cells) (Figure 9).

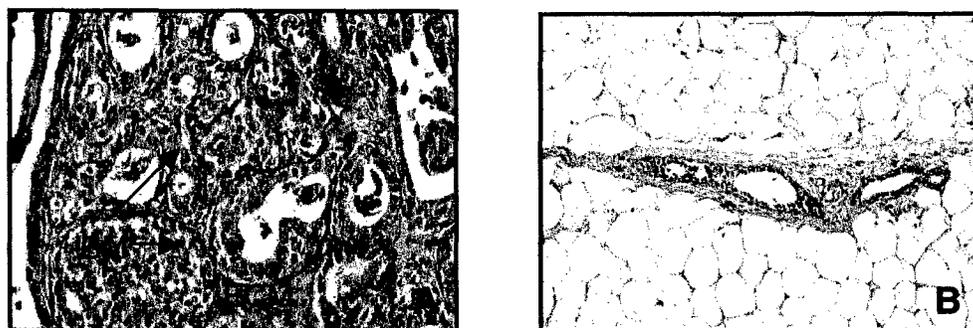


Figure 8: Histological sections of a mammary tumor (A) and a normal gland (B). Note the irregular and abundant extracellular matrix (ECM) deposits. Thickening of the basement membranes (BM) is also a common finding in tumors. PAS staining. Magnification 20x

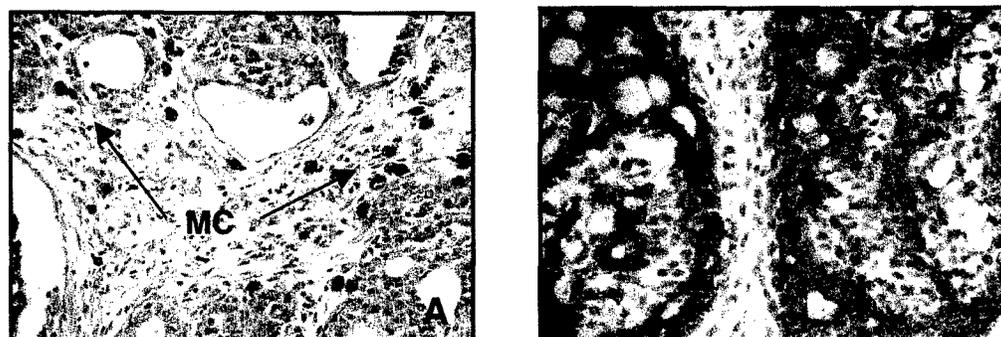


Figure 9: A) Infiltration of mast cells (MC) and eosinophils are seen mainly in the stroma. Toluidine Blue stains the mast cells polychromatophilic granules. B) Immunodetection of cytokeratin (brown), a specific marker of the epithelial origin of the tumor cells. Counterstaining: Harris' hematoxylin. Magnification 20x

Reportable outcomes

Gordon Research Conference in Mammary gland biology. Poster presentation "Mammary gland stroma contributes to epithelial cell neoplasia". Maricel V. Maffini, Janine M. Calabro, Carise Wieloch, Carlos Sonnenschein, Ana Soto. Il Ciocco (Italy). April 2002

The 12th International Conference of the International Society of Differentiation. "Mammary gland stroma is responsible for epithelial cell neoplasia". Maricel V. Maffini, Janine M. Calabro, Carise Wieloch, Carlos Sonnenschein, Ana Soto. Lyon (France). September 2002

Conclusions

Our results suggest that the stroma, rather than the epithelium, is the target of the carcinogen. Moreover, the *in vitro* exposure of the mammary gland epithelial cells to a chemical carcinogen such as NMU did not induce tumor formation neither increase the tumor incidence when transplanted into an NMU-exposed stroma.

The lack of a significant difference in the tumor incidence between the animals exposed to NMU transplanted with vehicle-exposed epithelial cells and those in which both, the stroma and the epithelial cells were exposed to NMU, suggests that the stroma would be the tissue component responsible for tumor formation. The exposure of isolated epithelial cells to a carcinogen would not be sufficient to give rise to a tumor.

References

1. **Nagasawa H, Yanai R, Taniguchi H** 1976 Importance of mammary gland DNA synthesis on carcinogen-induced mammary tumorigenesis in rats. *Cancer Research* 36:2223-2226
2. **Boveri T** 1929 *The Origin of Malignant Tumors*. Williams & Wilkins, Baltimore, MD
3. **Sonnenschein C, Soto AM** 1999 *The Society of Cells: Cancer and Control of Cell Proliferation*. Springer Verlag, New York
4. **Roskelley CD, Srebrow A, Bissell MJ** 1995 A hierarchy of ECM-mediated signalling regulates tissue-specific gene expression. *Current Opinion in Cell Biology* 7:736-747
5. **Barcellos-Hoff MH** 1998 The potential influence of radiation-induced microenvironments in neoplastic progression. *Journal of Mammary Gland Biology and Neoplasia* 3:165-17
6. **Bissell MJ, Weaver VM, Lelievre SA, Wang F, Petersen OW, Schmeichel KL** 1999 Tissue structure, nuclear organization, and gene expression in normal and malignant breast cancer. *Cancer Research* 59:1757s-1764s
7. **Barcellos-Hoff MH, Ravani SA** 2000 Irradiated mammary gland stroma promotes the expression of tumorigenic potential by unirradiated epithelial cells. *Cancer Research* 60:1254-1260
8. **Coleman W, Wennerberg AE, Smith GJ, Grisham JW** 1997 Regulation of the differentiation of diploid and aneuploid rat liver epithelial (stem-like) cells by the liver microenvironment. *American Journal of Pathology* 142:1373-1382
9. **DeOme KB, Faulkin LJ, Jr., Bern HA, Blair PB** 1959 Development of mammary tumors from hyperplastic alveolar nodules transplanted into gland-free mammary fat pads of female C3H mice. *Cancer Research* 19:515-525
10. **Hahm H, Ip MM** 1990 Primary culture of normal rat mammary epithelial cells within a basement membrane matrix. I. Regulation of proliferation by hormones and growth factors. *In Vitro Cellular & Developmental Biology* 26:791-802
11. **Abrams TJ, Guzman RC, Swanson SM, Thordarson G, Talamantes F, Nandi S** 1998 Changes in the parous rat mammary gland environment are involved in parity-associated protection against mammary carcinogenesis. *Anticancer Research* 18:4115-4122

12. **Miyamoto S, Guzman RC, Osborn RC, Nandi S** 1988 Neoplastic transformation of mouse mammary epithelial cells by *in vitro* exposure to *N*-methyl-*N*-nitrosourea. Proceedings of the National Academy of Science of the United States of America 85:477-481
13. **Thompson HJ, McGinley JN, Rothhammer K, Singh M** 1995 Rapid induction of mammary intraductal proliferations, ductal carcinoma *in situ* and carcinomas by the injection of sexually immature female rats with 1-methyl-1-nitrosourea. Carcinogenesis 16:2407-2411



DEPARTMENT OF THE ARMY
US ARMY MEDICAL RESEARCH AND MATERIEL COMMAND
504 SCOTT STREET
FORT DETRICK, MD 21702-5012

REPLY TO
ATTENTION OF

MCMR-RMI-S (70-1y)

15 May 03

MEMORANDUM FOR Administrator, Defense Technical Information Center (DTIC-OCA), 8725 John J. Kingman Road, Fort Belvoir, VA 22060-6218

SUBJECT: Request Change in Distribution Statement

1. The U.S. Army Medical Research and Materiel Command has reexamined the need for the limitation assigned to technical reports written for this Command. Request the limited distribution statement for the enclosed accession numbers be changed to "Approved for public release; distribution unlimited." These reports should be released to the National Technical Information Service.
2. Point of contact for this request is Ms. Kristin Morrow at DSN 343-7327 or by e-mail at Kristin.Morrow@det.amedd.army.mil.

FOR THE COMMANDER:

Encl


PHYLIS M. RINEHART
Deputy Chief of Staff for
Information Management

ADB266022	ADB265793
ADB260153	ADB281613
ADB272842	ADB284934
ADB283918	ADB263442
ADB282576	ADB284977
ADB282300	ADB263437
ADB285053	ADB265310
ADB262444	ADB281573
ADB282296	ADB250216
ADB258969	ADB258699
ADB269117	ADB274387
ADB283887	ADB285530
ADB263560	
ADB262487	
ADB277417	
ADB285857	
ADB270847	
ADB283780	
ADB262079	
ADB279651	
ADB253401	
ADB264625	
ADB279639	
ADB263763	
ADB283958	
ADB262379	
ADB283894	
ADB283063	
ADB261795	
ADB263454	
ADB281633	
ADB283877	
ADB284034	
ADB283924	
ADB284320	
ADB284135	
ADB259954	
ADB258194	
ADB266157	
ADB279641	
ADB244802	
ADB257340	
ADB244688	
ADB283789	
ADB258856	
ADB270749	
ADB258933	