Interpreting CMMI High Maturity for Small Organizations

Software Engineering Institute
Carnegie Mellon University
Pittsburgh, PA  15213

Robert W. Stoddard      September, 2008

Congreso Internacional en Ingeniería de Software y sus Aplicaciones
(International Congress of Software Engineering and its Applications)
Interpreting CMMI High Maturity for Small Organizations

Carnegie Mellon University, Software Engineering Institute (SEI), Pittsburgh, PA, 15213

Approved for public release; distribution unlimited

Security classification: unclassified

Limited to unclassified Report (SAR)
Agenda

Why This Workshop?

Introduction to CMMI Process Performance Models and Baselines

Contrasting Large vs Small Organizational Settings (group exercises)

1. Project Lifecycle Needs
2. Performance Outcomes ("y’s")
3. "x" Factors (controllable and un-controllable)
4. Usage of Models
5. Analytical Methods
6. Training and Deployment
7. Sponsorship and Participation

Next Steps
Why This Workshop?

CMMI Process Performance Models and Baselines are not clearly understood

- *historical misconceptions resulting in lackluster results*

- *opportunity to leverage proven Six Sigma toolkit*

Confusion exists regarding the applicability of CMMI Process Performance Models and Baselines to small organizational settings

Small settings in this workshop refers to projects of 3-9 months duration with 3-10 staff

Performance results must be elevated above compliance to a given model
INTRODUCTION TO CMMI PROCESS PERFORMANCE MODELS AND BASELINES
OPP SP 1.1 Select Processes

Select the processes or subprocesses in the organization’s set of standard processes that are to be included in the organization’s process-performance analyses.

Select processes/subprocesses that will help us understand our ability to meet the objectives of the organization and projects, and the need to understand quality and process performance. These subprocesses will typically be the major contributors and/or their measures will be the leading indicators.

Excerpted from Tutorial: “If You’re Living the “High Life”, You’re Living the Informative Material” presented at the SEPG North America, by Rusty Young, Mike Konrad and Bob Stoddard, March, 2008
OPP SP 1.2 Establish Process-Performance Measures

Establish and maintain definitions of the measures that are to be included in the organization’s process-performance analyses.

Select measures, analyses, and procedures that provide insight into the organization’s ability to meet its objectives and into the organization’s quality and process performance. Create/update clear unambiguous operational definitions for the selected measures. Revise and update the set of measures, analyses, and procedures as warranted. In usage, be sensitive to measurement error. The set of measures may provide coverage of the entire lifecycle and be controllable.

Excerpted from Tutorial: “If You’re Living the “High Life”, You’re Living the Informative Material” presented at the SEPG North America, by Rusty Young, Mike Konrad and Bob Stoddard, March, 2008
OPP SP 1.3 Establish Quality and Process-Performance Objectives

Establish and maintain quantitative objectives for quality and process performance for the organization.

These objectives will be derived from the organization’s business objectives and will typically be specific to the organization, group, or function. These objectives will take into account what is realistically achievable based upon a quantitative understanding (knowledge of variation) of the organization’s historic quality and process performance. Typically they will be SMART and revised as needed.

Excerpted from Tutorial: “If You’re Living the “High Life”, You’re Living the Informative Material” presented at the SEPG North America, by Rusty Young, Mike Konrad and Bob Stoddard, March, 2008
OPP SP 1.4 Establish Process-Performance Baselines

Establish and maintain the organization's process-performance baselines.

Baselines will be established by analyzing the distribution of the data to establish the central tendency and dispersion that characterize the expected performance and variation for the selected process/subprocess. These baselines may be established for single processes, for a sequence of processes, etc. When baselines are created based on data from unstable processes, it should be clearly documented so the consumers of the data will have insight into the risk of using the baseline. Tailoring may affect comparability between baselines.

Excerpted from Tutorial: “If You're Living the “High Life”, You're Living the Informative Material” presented at the SEPG North America, by Rusty Young, Mike Konrad and Bob Stoddard, March, 2008
OPP SP 1.5 Establish Process-Performance Models

Establish and maintain the process-performance models for the organization’s set of standard processes.

Rather than just a point estimate, PPMs will address variation in the prediction. PPMs will model the interrelationships between subprocesses including controllable/uncontrollable factors. They enable predicting the effects on downstream processes based on current results. They enable modeling of a PDP to predict if the project can meet its objectives and evaluate various alternative PDP compositions. They can predict the effects of corrective actions and process changes. They can also be used to evaluate the effects of new processes and technologies/innovations in the OSSP.

Excerpted from Tutorial: “If You’re Living the “High Life”, You’re Living the Informative Material” presented at the SEPG North America, by Rusty Young, Mike Konrad and Bob Stoddard, March, 2008
QPM SP 1.1 Establish the Project’s Objectives

Establish and maintain the project’s quality and process-performance objectives.

These objectives will be based on the organization’s quality and process performance objectives and any additional customer and relevant stakeholder needs and objectives. These objectives will be realistic (based upon analysis of historical quality and process performance) and will cover interim, supplier, and end-state objectives. Conflicts between objectives (i.e., trade-offs between cost, quality, and time-to-market) will be resolved with relevant stakeholders. Typically they will be SMART, traceable to their source, and revised as needed.

Excerpted from Tutorial: “If You’re Living the “High Life”, You’re Living the Informative Material” presented at the SEPG North America, by Rusty Young, Mike Konrad and Bob Stoddard, March, 2008
QPM SP 1.2 Compose the Defined Process

Select the subprocesses that compose the project’s defined process based on historical stability and capability data.

The PDP is composed by:
- selecting subprocesses
- adjusting/trading-off the level and depth of intensity of application of the subprocess(es) and/or resources to best meet the quality and process performance objectives. This can be accomplished by modeling/simulating the candidate PDP(s) to predict if they will achieve the objectives, and the confidence level of (or risk of not) achieving the objective.

Excerpted from Tutorial: “If You’re Living the “High Life”, You’re Living the Informative Material” presented at the SEPG North America, by Rusty Young, Mike Konrad and Bob Stoddard, March, 2008
QPM SP 1.3 Select the Subprocesses that Will Be Statistically Managed

Select the subprocesses of the project's defined process that will be statistically managed.

Subprocesses that are the major contributors to or predictors of the accomplishment of the project's interim or end-state objectives will be selected. Additionally, these need to be suitable for statistical management. Statistically managing the selected subprocesses provides valuable insight into performance by helping the project identify when corrective action is needed to achieve its objectives. Select the attributes that will be measured and controlled.

Excerpted from Tutorial: “If You’re Living the “High Life”, You’re Living the Informative Material” presented at the SEPG North America, by Rusty Young, Mike Konrad and Bob Stoddard, March, 2008
QPM SP 1.4 Manage Project Performance

Monitor the project to determine whether the project’s objectives for quality and process performance will be satisfied, and identify corrective action as appropriate.

Monitor the project
- Manage stability and capability of selected subprocesses.
- Track quality and process performance data including suppliers’
- Update/calibrate PPMs and predictions based on results to date.
- Identify deficiencies/risks to achieving objectives (e.g., where current performance is outside tolerance intervals, or prediction/confidence intervals are not contained within specification limits).

Excerpted from Tutorial: “If You’re Living the “High Life”, You’re Living the Informative Material” presented at the SEPG North America, by Rusty Young, Mike Konrad and Bob Stoddard, March, 2008
QPM SP 2.1 Select Measures and Analytic Techniques

Select the measures and analytic techniques to be used in statistically managing the selected subprocesses.

Identify the measures that will provide insight into the performance of the subprocesses selected for statistical management and the statistical techniques that will be used for analysis. These measures can be for both controllable and uncontrollable factors. Operational definitions will be created/updated for these measures. Where appropriate (i.e., they are critical to meeting downstream objectives), spec limits will be established for the measures.

Excerpted from Tutorial: “If You’re Living the “High Life”, You're Living the Informative Material” presented at the SEPG North America, by Rusty Young, Mike Konrad and Bob Stoddard, March, 2008
QPM SP 2.2 Apply Statistical Methods to Understand Variation

Establish and maintain an understanding of the variation of the selected subprocesses using the selected measures and analytic techniques.

Selected measures for the subprocesses will be statistically controlled to identify, remove, and prevent reoccurrence of special causes of variation, or in other words, stabilize the process. When control limits are too wide, sources of variation are easily masked and further investigation is warranted.

Excerpted from Tutorial: “If You’re Living the “High Life”, You're Living the Informative Material” presented at the SEPG North America, by Rusty Young, Mike Konrad and Bob Stoddard, March, 2008
QPM SP 2.3 Monitor Performance of the Selected Subprocesses

Monitor the performance of the selected subprocesses to determine their capability to satisfy their quality and process-performance objectives, and identify corrective action as necessary.

For a stable subprocess, determine if the control limits (natural bounds) are within the specification limits which indicates a capable subprocess. If it is not, document corrective actions that address the capability deficiencies.

Excerpted from Tutorial: “If You’re Living the “High Life”, You're Living the Informative Material” presented at the SEPG North America, by Rusty Young, Mike Konrad and Bob Stoddard, March, 2008
When and Why Do We Need Process Performance Models at the Project Level?
Process Performance Models View Processes Holistically

Processes may be thought of holistically as a system that includes the people, materials, energy, equipment, and procedures necessary to produce a product or service.
Healthy Ingredients of CMMI Process Performance Models

1. Statistical, probabilistic or simulation in nature

2. Predict interim and/or final project outcomes

3. Use controllable factors tied to sub-processes to conduct the prediction

4. Model the variation of factors and understand the predicted range or variation of the outcomes

5. Enable “what-if” analysis for project planning, dynamic re-planning and problem resolution during project execution

6. Connect “upstream” activity with “downstream” activity

7. Enable projects to achieve mid-course corrections to ensure project success
All Models (Qualitative and Quantitative)

Quantitative Models (Deterministic, Statistical, Probabilistic)

Statistical or Probabilistic Models

Interim outcomes predicted

Controllable x factors involved

Process Performance Model - With controllable x factors tied to Processes and/or Sub-processes

Anecdotal Biased samples

No uncertainty or variation modeled

Only final outcomes are modeled

Only uncontrollable factors are modeled

Only phases or lifecycles are modeled
CONTRASTING LARGE VS SMALL ORGANIZATIONAL SETTINGS:
PROJECT LIFECYCLE NEEDS
Project Lifecycle Needs

**Large Settings**
- Distinct phases and activities performed in specified serial fashion
- Different people or teams involved in the different phases and activities
- Risks during internal hand-offs quite great
- Communication and expectations not matched

**Small Settings**
- Fluid phases and processes running together
- Same people perform many if not most of the activities
- Risks between external entities are greatest
- Lack of cross training is high risk; depend on specific individuals
Group Exercise #1
(10 minutes)

Within your group, share ideas on what the most important lifecycle needs and risks are in your small organizational settings.

Record your group ideas on your group flip pad.

Prepare to share 3-5 ideas with the audience at large.
CONTRASTING LARGE VS SMALL ORGANIZATIONAL SETTINGS:

PERFORMANCE OUTCOMES (Y’S)
## Performance Outcomes (“y’s”)

### Large Settings
- Final project quality, schedule and cycle time measures
- Interim outcomes tied to key phase and activity hand-offs
- Communication across groups and geographic locations

### Small Settings
- Customer Satisfaction
- Customer Relationship
- Req's Completeness and Understanding
- Relationship with suppliers or other subcontractors
- Availability of key staff
- Staff versatility, training
- Staff Productivity, Morale
Performance Outcomes (“y’s“)

Large Settings
• Final project quality, schedule and cycle time measures

Think of the outcomes that would benefit a small project if they had the ability to predict and re-predict during their short lifecycle to maximize success

Small Settings
• Customer Satisfaction
• Customer Relationship
• Req'ts Completeness and Understanding
• Relationship with suppliers or other subcontractors
• Availability of key staff
• Staff versatility, training
• Staff Productivity, Morale
Group Exercise #2  
(10 minutes)

Within your group, discuss the types of performance outcomes that your projects, within small settings, are most concerned with.

Document the ideas on your group flip pad

Be prepared to share some of these with the audience at large
CONTRASTING LARGE VS SMALL ORGANIZATIONAL SETTINGS:

“X” FACTORS (CONTROLLABLE AND UNCONTROLLABLE)
Data Types Determine Which Techniques To Use

**Nominal**
- Categorical data where the order of the categories is arbitrary
- Examples: Defect types, Labor types, Languages

**Ordinal**
- Nominal data with an ordering; may have unequal intervals
- Examples: Severity levels, Survey choices 1-5, Experience categories

**Continuous**
- Continuous data with equal intervals; may have decimal values
- Examples: Defect densities, Labor rates, Productivity, Variance %’s, Code size SLOC

**Ratio**
- Interval data set that also has a true zero point;
ANOVA & Dummy Variable Regression Models

<table>
<thead>
<tr>
<th>Using these controllable factors…</th>
<th>To predict this outcome!</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type of Reviews Conducted; Type of Design Method; Language Chosen; Types of Testing</td>
<td>Delivered Defect Density</td>
</tr>
<tr>
<td>High-Medium-Low Domain Experience; Architecture Layer; Feature; Team; Lifecycle model; Primary communication method</td>
<td>Productivity</td>
</tr>
<tr>
<td>Estimation method employed; Estimator; Type of Project; High-Medium-Low Staff Turnover; High-Medium-Low Complexity; Customer; Product</td>
<td>Cost and Schedule Variance</td>
</tr>
<tr>
<td>Team; Product; High-Medium-Low Maturity of Platform; Maturity or Capability Level of Process; Decision-making level in organization; Release</td>
<td>Cycle Time or Time-to-Market</td>
</tr>
<tr>
<td>Iterations on Req’ts; Yes/No Prototype; Method of Req’ts Elicitation; Yes/No Beta Test; Yes/No On-Time; High-Medium-Low Customer Relationship</td>
<td>Customer Satisfaction (as a percentile result)</td>
</tr>
</tbody>
</table>
# Simple and Multiple Regression

Using these controllable factors…

<table>
<thead>
<tr>
<th>Using these controllable factors…</th>
<th>To predict this outcome!</th>
</tr>
</thead>
<tbody>
<tr>
<td>Req’ts Volatility; Design and Code Complexity; Test Coverage; Escaped Defect Rates</td>
<td>Delivered Defect Density</td>
</tr>
<tr>
<td>Staff Turnover %; Years of Domain Experience; Employee Morale Survey %; Volume of Interruptions or Task Switching</td>
<td>Productivity</td>
</tr>
<tr>
<td>Availability of Test Equipment %; Req’ts Volatility; Complexity; Staff Turnover Rates</td>
<td>Cost and Schedule Variance</td>
</tr>
<tr>
<td>Individual task durations in hrs; Staff availability %; Percentage of specs undefined; Defect arrival rates during inspections or testing</td>
<td>Cycle Time or Time-to-Market</td>
</tr>
<tr>
<td>Resolution time of customer inquiries; Resolution time of customer fixes; Percent of features delivered on-time; Face time per week</td>
<td>Customer Satisfaction (as a percentile result)</td>
</tr>
</tbody>
</table>
Chi-Square & Logistic Regression

<table>
<thead>
<tr>
<th>Using these controllable factors…</th>
<th>To predict this outcome!</th>
</tr>
</thead>
<tbody>
<tr>
<td>Programming Language; High-Medium-Low Schedule compression; Req’ts method; Design method; Coding method; Peer Review method</td>
<td>Types of Defects</td>
</tr>
<tr>
<td>Predicted Types of Defects; High-Medium-Low Schedule compression; Types of Features Implemented; Parts of Architecture Modified</td>
<td>Types of Testing Most Needed</td>
</tr>
<tr>
<td>Architecture Layers or components to be modified; Type of Product; Development Environment chosen; Types of Features</td>
<td>Types of Skills Needed</td>
</tr>
<tr>
<td>Types of Customer engagements; Type of Customer; Product involved; Culture; Region</td>
<td>Results of Multiple Choice Customer Surveys</td>
</tr>
<tr>
<td>Product; Lifecycle Model Chosen; High-Medium-Low Schedule compression; Previous High Risk Categories</td>
<td>Risk Categories of Highest Concern</td>
</tr>
</tbody>
</table>
## Logistic Regression

<table>
<thead>
<tr>
<th>Using these controllable factors…</th>
<th>To predict this outcome!</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inspection Preparation Rates; Inspection Review Rates; Test Case Coverage %; Staff Turnover Rates; Previous Escape Defect Rates</td>
<td>Types of Defects</td>
</tr>
<tr>
<td>Escape Defect Rates; Predicted Defect Density entering test; Available Test Staff Hours; Test Equipment or Test Software Availability</td>
<td>Types of Testing Most Needed</td>
</tr>
<tr>
<td>Defect Rates in the Field; Defect rates in previous release or product; Turnover Rates; Complexity of Issues Expected or Actual</td>
<td>Types of Skills Needed</td>
</tr>
<tr>
<td>Time (in Hours) spent with Customers; Defect rates of products or releases; Response times</td>
<td>Results of Multiple Choice Customer Surveys</td>
</tr>
<tr>
<td>Defect densities during inspections and test; Time to execute tasks normalized to work product size</td>
<td>Risk Categories of Highest Concern</td>
</tr>
</tbody>
</table>
“x” Factors

Large Settings
- Reqts Volatility
- Architecture and Design complexity
- Code complexity
- Test Coverage
- Test Execution
- Avg experience level of team
- Modern development tools

Small Settings
- People attributes such as:
  - Personal productivity
  - Individual interruptions
  - Teaming Attributes
  - Conflict resolution
  - Domain experience of key staff
  - Knowledge sharing methods
  - Daily communications
“x” Factors

Large Settings
• Reqs Volatility
• Architecture and Design
• Test Execution
• Avg experience level of team
• Modern development tools

Small Settings
• People attributes such as:
  • Personal productivity
  • Individual interruptions
  • Teaming Attributes
  • Conflict resolution
  • Domain experience of key staff
  • Knowledge sharing methods
  • Daily communications

Think of the “x” factors related to individual and small team activities that drive performance outcomes
Group Exercise #3  
(10 minutes)

Within your group, discuss the **types of "x" factors** that your projects within small settings would be most affected by. These should be factors related to the people, process, tools, technology or environment that most affect or determine the performance outcomes.

Document the ideas on your group flip pad. Be sure to distinguish the controllable vs un-controllable "x" factors.

Be prepared to share some of these factors with the audience at large.
CONTRASTING LARGE VS SMALL ORGANIZATIONAL SETTINGS:

USAGE OF MODELS
Usage of Models

Large Settings
- Statistical management of key subprocesses usually related to key handoffs in large teams
- Predict outcomes at key milestones or end of key phases
- Support significant CAR or OID activity

Small Settings
- Provide updates on impacts of key technology or people issues
- Predict updated impacts on key risks based on real-time information or events
- Predict "what-if"s for real-time replanning during weekly if not daily intervals
- Predict abilities on a feature by feature basis
Group Exercise #4
(10 minutes)

Within your group, discuss the usage of process performance models that your projects within small settings would most likely use. Be sure to note the rationale for the analytical models identified.

Document the model ideas on your group flip pad

Be prepared to share some of these with the audience at large
Contrasting Large vs Small Organizational Settings:

Analytical Methods
What Is a Statistic?

A summary or characterization of a distribution (i.e., a set of numbers)

A characterization of a central tendency (e.g., mean, median, and mode)

A characterization of dispersion (e.g., variance, standard deviation, interquartile range, and range)
Central Tendency and Dispersion

Central tendency implies location:

- middle of a group of values
- balance point
- examples include mean, median, and mode

Dispersion implies spread:

- distance between values
- how much the values tend to differ from one another
- examples include range and (sample) standard deviation

These two are used together to understand the baseline of a process-performance factor and outcome.
Hypothesis Testing: To Understand and Compare Performance

A formal way of making a comparison and deciding whether or not the difference is significant is based on statistical analysis.

Hypothesis testing consists of a null and alternative hypothesis:

- The null hypothesis states that the members of the comparison are equal; there is no difference (a concrete, default position).
- The alternative hypothesis states that there is a difference; it is supported when the null hypothesis is rejected.

The conclusion either rejects or fails to reject the null hypothesis.

Understanding the null and alternative hypotheses is the key to understanding the results of statistical prediction models.
Formally Stating a Hypothesis

Average productivity equals 100 source lines of code (SLOC) per person week:

- Null: Average productivity is equal to 100 SLOC per person week.
- Alternative: Average productivity is not equal to 100 SLOC per person week.

A refinement of these hypotheses are as follows:

- Null: Average productivity is equal to 100 SLOC per person week.
- Alternative: Average productivity is less than 100 SLOC per person week.

Generally, the alternative hypothesis is the difference (e.g. improvement or performance problem) that you seek to learn about.

The null hypothesis holds the conservative position that apparent differences can be explained by chance alone. The phrase “is equal to” will generally appear in the null hypothesis.
We Must Understand Distributions – They are Key to Informed Decisions
Distributions Describe Variation in Process Factors

Populations of data may be viewed as distributions in statistical procedures:

- expressed as an assumption for the procedure
- can be represented using an equation

The following are examples of distributions you may come across:
Monte Carlo Simulation Models Process Factors

We can identify process factors that have uncertain distributions of behavior

Then we can load them in a spreadsheet and calculate the predicted performance outcomes

The performance outcomes will also have distributions of behavior
Crystal Ball uses a random number generator to select values for A and B.

A + B = C

Crystal Ball then allows the user to analyze and interpret the final distribution of C!

Crystal Ball causes Excel to recalculate all cells, and then it saves off the different results for C!
Developing Correlation and Regression Models

- **ANOVA & Dummy Variable Regression**
- **Chi-Square & Logistic Regression**
- **Correlation & Simple Regression**
- **Logistic Regression**
Analytical Methods

Large Settings
- Large investment in discrete event process simulation models for complex processes
- Large collection of process performance models to deal with most phases and key activities/hand-offs

Small Settings
- Small regression equations
- Small probabilistic models
- A greater use of Monte Carlo simulation for real-time assessment of unbalanced risk
- A small number of process performance models
- Models built and operated within individuals
Group Exercise #5  
(10 minutes)

Within your group, discuss the **types of analytical methods** that your projects within small settings would most likely use.

Document the types of analytical methods on your group flip pad

Be prepared to share some of these with the audience at large
CONTRASTING LARGE VS SMALL ORGANIZATIONAL SETTINGS:

TRAINING AND DEPLOYMENT
## Training and Deployment

### Large Settings
- Corporate deployment team
- Develop training internally or purchase expensive external training materials
- Hire a team of experienced deployment change agents
- Send waves of people thru external training

### Small Settings
- Identify a few experts to receive training
- Identify a few consultants or external coaches to help when needed
- Hitch a ride on training and/or consulting that a larger organization is conducting (commercial or gov't agency)
Group Exercise #6  
(10 minutes)

Within your group, discuss the training and deployment that your projects within small settings would most likely pursue. Identify the aspects of training and deployment that your projects would most likely be concerned with.

Document the ideas on your group flip pad

Be prepared to share some of these with the audience at large
CONTRASTING LARGE VS SMALL ORGANIZATIONAL SETTINGS:

SPONSORSHIP AND PARTICIPATION
Traditional Management Review Perspective

Management has come to realize that just looking at the customary lagging outcomes is like driving a car using only the rear-view mirror.
Management dashboards in High Maturity organizations include not only outcomes but **leading indicators** - such as the controllable x factors used in process performance models.

Thus, management has asked for an additional 3-5 leading indicators for each traditional, lagging indicator used on dashboards.
A Change in Senior Management Behavior

Before, management spent approx. 80% of each management review looking at the lagging indictors (e.g. the outcomes of cost, schedule and quality)

Now, in High Maturity, they spend approx. 80% of their time reviewing the statistical management of controllable x factors and the results of process performance model predictions.

The discussion is now primarily focused on how management can pro-actively take action based on performance models predictions!

Excerpted from the SEI course called "Understanding CMMI High Maturity Practices"
A Change in Management Review Charts

The blue lines represent the use of process performance models statistically predicting outcomes.

**Success indicators** (Lagging Indicators)

**Progress indicators** (Lagging Indicators)

**Analysis indicators** (leading indicators)

Excerpted from the SEI course called
“Understanding CMMI High Maturity Practices”
Sponsorship and Participation

**Large Settings**
- Significant top-down sales pitch to executives and middle management is required
- Dedicated resources provide full-time support for key modeling activities
- Key process owners get involved but not average developer

**Small Settings**
- Top-down or bottom-up approaches can work
- Success will breed success (show early benefit)
- Most individuals will be involved with the basic modeling techniques
- A single person may serve as a coach for rest of team
Group Exercise #7
(10 minutes)

Within your group, discuss: 1) the challenges with **management sponsorship** and, 2) the **degree of team participation** that your projects within small settings would most likely experience.

Document the ideas on your group flip pad along with ideas on how you would prevent or mitigate these issues.

Be prepared to share some of these with the audience at large.
NEXT STEPS
Ideas for Next Steps

Identify how to integrate a CMMI High Maturity approach with existing improvement methods (*specifically TSP/PSP provide a strong measurement culture to support process performance modeling*)

Identify and acquire the necessary training and/or skilled staff for CMMI process performance modeling (*consider an integration of certified CMMI-Six Sigma Belts in addition to certified PSP Developers and TSP coaches*)

Hold necessary workshops to identify compelling business and project level performance and quality goals (*SEMA offers a jumpstart workshop on this*)

Develop process performance models and institutionalize their usage and maintenance (*SEMA offers hands-on coaching of this*)
# SEI Measurement Curriculum

<table>
<thead>
<tr>
<th>Course Title</th>
<th>Belt Level</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Implementing Goal-Driven Measurement</td>
<td>• Yellow Belt</td>
</tr>
<tr>
<td>• Analyzing Project Management Indicators</td>
<td>• Green Belt</td>
</tr>
<tr>
<td>• Improving Process Performance Using Six Sigma</td>
<td>• Black Belt</td>
</tr>
<tr>
<td>• Designing Products and Processes Using Six Sigma</td>
<td></td>
</tr>
<tr>
<td>• Living the High Life: A CMMI High Maturity Tutorial</td>
<td>• Black Belt</td>
</tr>
<tr>
<td>• Understanding CMMI High Maturity Practices</td>
<td></td>
</tr>
</tbody>
</table>
SEI CMMI-Six Sigma Belt Certification Program

Certifications

- CMMI-Six Sigma Master Black Belt
- CMMI-Six Sigma Black Belt
- CMMI-Six Sigma Green Belt
- CMMI-Six Sigma Yellow Belt

Certificate

Interpreting CMMI High Maturity for Small Organizations
Robert W. Stoddard II
September, 2008
© 2008 Carnegie Mellon University
# Preliminary Qualification Requirements

<table>
<thead>
<tr>
<th>Education, Experience, Competency, and Skills Clusters</th>
<th>SEI Designation in Yellow Belt</th>
<th>SEI-Certified CMMI-Green Belt</th>
<th>SEI-Certified CMMI-Six Sigma Black Belt in CMMI</th>
<th>SEI-Certified CMMI-Six Sigma Master Black Belt</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Prerequisite to enter qualification track</strong></td>
<td>None</td>
<td>SEI CMMI-Six Sigma Yellow Belt</td>
<td>SEI CMMI-Six Sigma Green Belt</td>
<td>SEI CMMI-Six Sigma Black Belt</td>
</tr>
<tr>
<td><strong>CMMI</strong></td>
<td>Introduction to CMMI v 1.2</td>
<td>Intermediate CMMI:</td>
<td>Understanding CMMI High Maturity Concepts: or become certified HM Lead Appraiser</td>
<td>Participate as an Appraisal Team Member on Two (2) SCAMPI A or B appraisals</td>
</tr>
<tr>
<td></td>
<td></td>
<td>or pass the Intermediate Concepts for CMMI examination</td>
<td></td>
<td>CMMI-Six Sigma Strategies</td>
</tr>
<tr>
<td><strong>Measurement and Analysis &amp; Six Sigma</strong></td>
<td>Implementing Goal Driven Measurement (IGDM) or complete the IGDM Exercise</td>
<td>Improving Process Performance Using Six Sigma (IPPS)</td>
<td>Designing Process and Products using Six Sigma (DPPSS)</td>
<td>- Attend 1 Phase Transition Workshop</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>- Lead a min of 1 Phase Transition Workshop</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>mentored by a SEI Certified MBB</td>
</tr>
<tr>
<td><strong>Electives:</strong></td>
<td>Complete one course related to statistically based problem solving approaches</td>
<td>-</td>
<td>Show evidence of successful completion of one of the following SEI courses:</td>
<td>- Show evidence of Mentoring/Coaching Teams Training</td>
</tr>
<tr>
<td>(Present evidence of completion)</td>
<td></td>
<td></td>
<td>- SEI Mastering Process Improvement</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>- SEI Managing Technological Change</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>- CMMI-Six Sigma Strategies</td>
<td></td>
</tr>
</tbody>
</table>
Robert W. Stoddard II
Senior Member of Technical Staff
Software Engineering Measurement and Analysis (SEMA)
SEI, Carnegie Mellon University
Motorola-Certified Six Sigma Master Black Belt
ASQ Certified Six Sigma Black Belt
rws@sei.cmu.edu
(412) 268-1121