FOREIGN LANGUAGE ANALYSIS AND RECOGNITION (FLARE) PROGRESS

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SUMMARY

This document provides a summary of work completed by government researchers and SRA International under the work unit H06K (5328X02S), Foreign Language Analysis and Recognition (FLARe). This work was performed over the period 1 October 2012 to 30 November 2014 under contract FA8650-09-D-6939.

The following tasks were completed on Automatic Speech Recognition (ASR). Korean language models (LMs) were developed to reduce the number of Out-of-Vocabulary (OOV) words encountered by the recognizer. Levantine Arabic and Farsi ASR systems were trained on conversational telephone speech. Three different methods were investigated for combining Pashto ASR systems. Software was developed for training and evaluating hybrid deep neural network (DNN) hidden Markov model (HMM) speech recognition systems. An English ASR system was developed for the International Workshop on Spoken Language Translation (IWSLT) 2013 evaluation. Six different techniques were investigated for interpolating LM probabilities. Finally, English and Italian ASR systems were developed for the IWSLT 2014 evaluation.

Improvements were made to the Haystack Multilingual Multimodal Information Extraction and Retrieval (MMIER) system that was initially developed under a prior work unit. Major additions to the user interface include the following: support for uploading multiple files, expansive changes to the media player, additional Machine Translation (MT) capabilities, and integration of geolocation information. Scripts were developed for translating web pages and displaying the results in the same format as the input. Research into HTML5 was initiated to improve functionality across different operating systems. The processing pipeline was updated to provide support for decoding hybrid DNN-HMM systems, support for N-gram and Recurrent Neural Network (RNN) LM rescoring, and improved text extraction from Portable Document Format (PDF) files. Japanese, Chinese, and Pashto speech recognition systems were developed and then incorporated into Haystack.
1.0 INTRODUCTION

This document provides a summary of work completed by government researchers and SRA International under the work unit 5328X02S, Foreign Language Analysis and Recognition (FLARe). This work was performed over the period 1 October 2012 to 30 November 2014 under contract FA8650-09-D-6939.

The following tasks were completed on automatic speech recognition (ASR). Korean language models (LMs) were developed to reduce the number of out-of-vocabulary (OOV) words encountered by the recognizer. Levantine Arabic and Farsi ASR systems were trained on conversational telephone speech. Three different methods were investigated for combining Pashto ASR systems. Software was developed for training and evaluating hybrid deep neural network (DNN) hidden Markov model (HMM) speech recognition systems. An English ASR system was developed for the International Workshop on Spoken Language Translation (IWSLT) 2013 evaluation. Six different techniques were investigated for interpolating LM probabilities. Finally, English and Italian ASR systems were developed for the IWSLT 2014 evaluation.

Improvements were made to the Haystack multilingual multimedia information extraction and retrieval (MMIER) system that was initially developed under a prior work unit. Major additions to the user interface include the following: support for uploading multiple files, expansive changes to the media player, additional machine translation (MT) capabilities, and integration of geolocation information. Scripts were developed for translating web pages and displaying the results in the same format as the input. Research into HTML5 was initiated to improve functionality across different operating systems. The processing pipeline was updated to provide support for decoding hybrid DNN-HMM systems, support for N-gram and recurrent neural network (RNN) LM rescoring, and improved text extraction from portable document format (PDF) files. Japanese, Chinese, and Pashto speech recognition systems were developed and then incorporated into Haystack.

This report is organized as follows. Section 2.0 describes the experiments and accomplishments. Section 3.0 summarizes conclusions drawn from the experiments.
2.0 EXPERIMENTS AND ACCOMPLISHMENTS

This section discusses the experiments and accomplishments for the covered period. Section 2.1 discusses the ASR experiments that were performed, and Section 2.2 describes the improvements made to the Haystack MMIER system.

2.1 ASR Experiments

This section discusses the ASR experiments that were conducted. Section 2.1.1 describes how Korean ASR systems were designed to reduce the effects of OOV words. Section 2.1.2 presents the Levantine Arabic and Farsi ASR systems that were developed on conversational telephone speech. Section 2.1.3 describes three methods that were investigated for combining Pashto ASR systems. Section 2.1.4 discusses software that was developed for training and evaluating hybrid DNN-HMM speech recognition systems. Section 2.1.5 presents the English ASR system that was developed for the IWSLT 2013 evaluation campaign. Section 2.1.6 describes several methods that were investigated for performing LM interpolation. Finally, Section 2.1.7 describes the English and Italian ASR systems that were developed for IWSLT 2014.

2.1.1 Morfessor for Korean ASR

Korean ASR systems were designed to reduce the effects of OOV words encountered by the recognizer. OOV words are those words spoken by a person that are not in the pronunciation dictionary and LM for an ASR system; as a result, they will never appear in the output of the recognizer, thereby increasing the error rate. To reduce the number of OOV words, Korean LMs were estimated using both words and sub-word units that can be combined to form words.

Korean sub-word units were automatically derived using Morfessor [1] with the baseline algorithm and the categories-MAP algorithm with perplexity thresholds of 10, 50, 100, and 400. The following procedure was used to incorporate these sub-word units into the recognizer:

- Evaluate Morfessor on the text corpus
- Create a pronunciation dictionary by applying letter-to-sound rules
- Train an LM on the sub-word units, and attach a + sign to the start of every sub-word unit except for the first sub-word unit from a word
- Evaluate the recognizer using the pronunciation dictionary and sub-word LM
- Attach sub-word units that start with a + sign to the previous word or sub-word unit

This procedure was applied to text from GlobalPhone [2], the Korean Broadcast News corpus [3], the Korean Newswire corpus [4], and articles downloaded from Wikipedia.1 Interpolated trigram LMs were estimated using the Stanford Research Institute LM (SRILM) toolkit [5]. Unless stated otherwise, all N-gram LMs discussed in this document were estimated using modified

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1Available at: http://dumps.wikimedia.org/kowiki
Knesey-Ney smoothing. The vocabulary for each LM included 500000 tokens and was chosen using the select-vocab program from the SRILM toolkit.

Acoustic Models (AMs) were trained on GlobalPhone and the Korean Broadcast News corpus using HTK [6]. Pronunciations for all words were derived using letter-to-sound rules [7]. Phonemes were modeled using state-clustered across-word triphone HMMs, and the final HMM set included 3000 shared states with an average of 16 mixtures per state. The models were discriminatively trained using the Minimum Phone Error (MPE) criterion. The feature set consisted of 12 Perceptual Linear Prediction (PLP) coefficients, plus the zeroth coefficient, with mean normalization applied on a per-utterance basis. Delta, acceleration, and third differential coefficients were appended to form a 52 dimensional feature vector, and Heteroscedastic Linear Discriminate Analysis (HLDA) was applied to reduce the feature dimension to 39. A second set of models was estimated that included Speaker Adaptive Training (SAT).

Each set of models was evaluated on the GlobalPhone and Korean Broadcast News development partition. Initial transcripts were produced using the HTK large vocabulary continuous speech recognizer HDecode. Constrained Maximum Likelihood Linear Regression (CMLLR) transforms were estimated for each speaker, and the final recognition hypotheses were generated using the SAT HMMs. Table 1 shows the Character Error Rate (CER) and Word Error Rate (WER) obtained with each system. The sub-word units yielded an improvement in CER and WER on both partitions.

Table 1: Korean CER and WER on the GlobalPhone and Korean Broadcast News Development Partitions

<table>
<thead>
<tr>
<th>Morfessor Algorithm</th>
<th>GlobalPhone</th>
<th>Broadcast News</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>CER</td>
<td>WER</td>
</tr>
<tr>
<td>None</td>
<td>12.1</td>
<td>51.6</td>
</tr>
<tr>
<td>Baseline</td>
<td>10.9</td>
<td>42.9</td>
</tr>
<tr>
<td>Categories-MAP Perplexity 10</td>
<td>11.1</td>
<td>43.5</td>
</tr>
<tr>
<td>Categories-MAP Perplexity 50</td>
<td>11.3</td>
<td>45.6</td>
</tr>
<tr>
<td>Categories-MAP Perplexity 100</td>
<td>11.3</td>
<td>46.1</td>
</tr>
<tr>
<td>Categories-MAP Perplexity 400</td>
<td>11.3</td>
<td>47.2</td>
</tr>
</tbody>
</table>

2.1.2. Conversational Telephone ASR

This section describes the Levantine Arabic and Farsi ASR systems that were developed on conversational telephone speech. This is a particularly difficult task because conversational speech is highly coarticulated, less predictable than other types of speech (e.g., read speech, lectures, or broadcast news), and typically includes more sentence restarts, word fragments, and filled pauses. In addition, ASR systems perform worse on telephone speech due to channel variability, reduced bandwidth, and transmission artifacts.

Levantine Arabic: An ASR system was developed on 31 hours of speech from the Levantine Arabic Conversational Telephone Speech Corpus (ARB-CTS) [8]. Prior to training the AMs, long periods of silence were removed from the audio files using an amplitude-based Speech Activity Detector (SAD). Gain normalization was applied to each utterance so that the maximum sample value was 32767 and 100 millisecond frames were extracted every 50 milliseconds.
Frames were classified as speech if the maximum sample value was greater than 2000, and silence otherwise. All speech end points were padded by 200 milliseconds and the silence regions were removed from each utterance. In a preliminary experiment, this process yielded a 7.5% absolute improvement in WER.

AMs were trained using the same procedure described in Section 2.1.1, except the feature mean and variance normalization were applied on a conversation side basis. The final HMM set included 3000 shared states with an average of 24 mixtures per state. The HMM system was evaluated using a trigram LM that was estimated on the training transcripts. This system yielded a 60.0% WER on the ARB-CTS test partition.

A second ASR system was developed on 138 hours of speech from the Levantine Arabic QT training data set 5 (ARB-QT) [9]. This system was trained using the same procedure described above, except that the amplitude SAD was not applied because the utterances did not include large regions of silence. The final HMM set included 5000 shared states with an average of 28 mixtures per state. Decoding was performed using a trigram LM that was estimated on the training transcripts. This system yielded a 51.0% WER on the ARB-QT test partition.

**Farsi:** Speech recognition systems were developed on eight hours of speech from the Appen mobile network mini database (ASR001) and 20 hours of speech from the the Appen conversational telephone speech corpus (ASR002). Long periods of silence were removed from the training files using the amplitude based SAD described above. In a preliminary experiment, this yielded a 1.2% absolute improvement in WER.

An initial set of AMs was trained using the same procedure as the Levantine Arabic systems. The HMM system included 2000 shared states with an average of 20 mixtures per state. Phoneme alignments were generated for the entire training partition, and any utterance that included a phoneme duration greater than one second was sequestered from the training set. AMs were retrained on the modified training set using the same procedure described above. In a preliminary experiment, sequestering training utterances with long phoneme durations yielded a 0.7% absolute improvement in WER.

LMs were estimated on ASR002; the Translation System for Tactical Use (TRANSTAC) corpus; the Uppsala Persian corpus [10]; the Tehran English-Persian corpus [11]; translated text from Technology, Entertainment, And Design (TED) conferences; and articles downloaded from Wikipedia. Note that only the ASR002 text includes diacritics. One trigram LM was estimated on the ASR002 text that included diacritics, and a second LM was estimated on the same set of text with all diacritics removed. An interpolated trigram LM was trained on all of the text without diacritics.

Each system was evaluated on the ASR002 development partition, and all diacritics were removed prior to calculating the WER. The ASR002 LM with diacritics yielded a 60.6% WER, and the ASR002 LM without diacritics yielded a 62.6% WER. The interpolated trigram LM trained on all sources yielded a 62.3% WER.

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2Appen corpora are available at: http://www.appen.com
3Available at: http://www.ted.com
4Available at: http://dumps.wikimedia.org/fawiki
2.1.3. Pashto System Combination

Three different methods were investigated for combining Pashto Speech Recognition Systems: Recognizer Output Voting Error Reduction (ROVER) [12], N-best ROVER, and word posterior decoding using matching scores from the Driven Decoding Algorithm (DDA) [13]. ROVER aligns the 1-best hypotheses from multiple ASR systems and applies a voting scheme to select the best transcript. The 1-best hypotheses were obtained using word posterior probability decoding [14], and ROVER was applied using the SRover program from the Brno toolkit. N-best ROVER creates a confusion network using the N-best lists from multiple ASR systems and selects the word with the highest posterior probability from each correspondence set. This was accomplished using the nbest-rover program from the SRILM toolkit.

The third method computes matching scores by aligning N-best hypotheses from a primary ASR system to an auxiliary transcript produced by one or more secondary ASR systems. Each N-best hypothesis from the primary ASR system is aligned to the auxiliary transcript using a Dynamic Programming (DP) algorithm. The DP alignment was implemented using the same method as the sclite program from the National Institute of Standards and Technology (NIST) speech recognition scoring toolkit. Consider a single hypothesis from the primary system \( W = (w_1, w_2, \cdots, w_L) \) that is aligned to \( W' = (w'_1, w'_2, \cdots, w'_L) \). The auxiliary transcript

A matching score \( \theta(w_i) \) was assigned to each word based on the number of words in the history that match the auxiliary transcript

\[
\theta(w_i) = \begin{cases} 
0.99 & \text{if } \{w_j\} = \{w'_j\} \text{ for } i - 3 \leq j \leq i \text{ and } j > 0 \\
0.9 & \text{if } \{w_j\} = \{w'_j\} \text{ for } i - 2 \leq j \leq i \text{ and } j > 0 \\
0.4 & \text{if } \{w_j\} = \{w'_j\} \text{ for } i - 1 \leq j \leq i \text{ and } j > 0 \\
0.1 & w_i = w'_i \\
0.01 & w_i \neq w'_i 
\end{cases}
\]  

(1)

The final score for each word was a weighted combination of the matching score, the AM score, the LM score, and the word insertion penalty. The 1-best transcript was selected from the N-best list using posterior probability decoding.

Each method was evaluated using three Pashto ASR systems: one hybrid DNN-HMM system and two HMM systems. The weights for each system were tuned on the TRANSTAC development partition using the nbest-optimize program from the SRILM toolkit. The hybrid DNN-HMM system was used as the primary system when calculating matching scores, and the auxiliary transcript was obtained by combining the two HMM system using N-best ROVER. Table 2 shows the WERs obtained on the TRANSTAC test partition. N-best ROVER yielded the best performance.

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\(^5\)Available at: http://speech.fit.vutbr.cz/software/hmm-toolkit-stk

\(^6\)Available at: http://www.itl.nist.gov/iad/mid/tools
Table 2: Pashto WER on the TRANSTAC Test Partition

Three ASR systems were evaluated and system combination was performed using ROVER, N-best ROVER, and word posterior decoding with DDA matching scores.

<table>
<thead>
<tr>
<th>System Combination</th>
<th>WER</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td>34.4/33.4/32.9</td>
</tr>
<tr>
<td>ROVER</td>
<td>31.9</td>
</tr>
<tr>
<td>N-best ROVER</td>
<td>31.4</td>
</tr>
<tr>
<td>DDA matching scores</td>
<td>31.9</td>
</tr>
</tbody>
</table>

2.1.4. Hybrid DNN-HMM Systems

This section describes the software that was developed for training and evaluating hybrid DNN-HMM speech recognition systems. Whereas standard HMM systems model observation probabilities using Gaussian Mixture Models (GMMs), hybrid DNN-HMM systems replace the GMMs in a well-trained HMM system with a DNN. In the context of this paper, DNNs are feed forward neural networks with more than one hidden layer. The procedure for developing a hybrid DNN-HMM system can be summarized as follows:

- Train a state-clustered GMM-HMM system
- Generate HMM state-level time alignments of the training data using forced alignment
- Train a DNN to model the shared states of the GMM-HMM system
- Use the DNN instead of the GMMs when evaluating the recognizer

The GMM-HMM system and state-level time alignments can be generated using HTK. DNNs were trained using layer growing back propagation [15]. This method estimates the parameters for a DNN by first initializing a one hidden layer network with random weights and training the network to convergence using error back propagation. Next, the output layer is replaced with a second randomly initialized hidden layer, followed by a randomly initialized output layer. This network is then trained to convergence, and the process of replacing the output layer and retraining the network is repeated until the DNN includes the desired number of hidden layers.

Two different programs were investigated for training DNNs: the International Computer Science Institute (ICSI) QuickNet software package[^7] and Theano [16]. To train DNNs with QuickNet, software was developed to convert HTK state-level time alignments to QuickNet pfile format and to replace the output layer in QuickNet Matlab Level-4 network files with a randomly initialized hidden layer and output layer. One limitation of QuickNet is that it only supports a maximum of three hidden layers; software was developed using Theano to train deeper networks. Python code was written to read input vectors into a cache, apply a context window, remove unwanted samples, randomize the data, and copy the data to the Graphical Processing Unit (GPU). The DNN training algorithm and evaluation routines were implemented in Theano by modifying the multilayer perceptron code from [17].

[^7]: Available at: http://www1.icsi.berkeley.edu/Speech/icsi-speech-tools.html
Table 3: English WER on the IWSLT dev2010 Partition using Hybrid DNN-HMM Systems

<table>
<thead>
<tr>
<th>Hidden Layers</th>
<th>DNN software</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td>QuickNet</td>
<td></td>
<td>24.</td>
<td>21.</td>
<td>20.</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Theano</td>
<td></td>
<td>24.</td>
<td>21.</td>
<td>20.</td>
<td>20.</td>
<td>19.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>5</td>
<td>7</td>
<td>7</td>
<td>1</td>
<td>8</td>
</tr>
</tbody>
</table>

Lastly, HDecode and the Sphinx-4 speech recognizer were modified to read HMM state likelihoods from HTK feature files [18]. For a given state $s$ and observation vector $o$, the posteriors from the DNN were converted to likelihoods by dividing by the prior probability of each state, i.e.,

$$ P(o|s) = \frac{P(s|o)P(o)}{P(s)}, $$

where $P(s|o)$ is the posterior probability estimated by the DNN, $P(s)$ is the prior probability of $s$ estimated from the training data, and $P(o)$ is a constant that can be ignored.

To compare QuickNet and Theano, hybrid DNN-HMM systems were developed on 58 hours of TED talks. The GMM-HMM models were trained using the same procedure described in Section 2.1.1, and the final HMM set included 3000 shared states with an average of 24 mixtures per state. DNNs were trained using a maximum of 5 hidden layers, each of which had 1000 neurons with logistic activation functions. A context window of 9 frames was used at the input, and the output included 3000 units corresponding to the shared states of the GMM-HMM system. The feature set consisted of 13 PLPs with delta and acceleration coefficients, and all features were normalized to zero mean and unit variance on a per speaker basis. Training was performed with a minibatch size of 512, and an initial learning rate of 0.008 that was halved after each epoch once the improvement in accuracy on the cross validation partition fell below 0.5%. Training was completed once the improvement in accuracy fell below 0.5% a second time.

Each system was evaluated on the dev2010 partition from the IWSLT evaluation campaign [19]. Decoding was performed using a single pass of HDecode with a trigram LM that was developed for IWSLT 2012 [20]. Table 3 shows the WERs obtained with each DNN. For comparison purposes, the GMM-HMM system was evaluated using the same procedure described in Section 2.1.1; the first pass yielded a 22.0% WER, and the second pass yielded a 19.9% WER.

2.1.5 IWSLT 2013

This section describes the English ASR system that was developed for the IWSLT 2013 evaluation campaign. This task focuses on the automatic transcription of TED talks, which are

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8Available at: http://cmusphinx.sourceforge.net

9This is the QuickNet newbob training strategy
professionally recorded presentations given on a variety of topics related to technology, entertainment, and design.

Each talk is a maximum of 18 minutes in length. The TED website\(^\text{10}\) makes the video recordings and closed captions from over 1900 talks available for download.

AMs were trained on 807 TED talks that were recorded prior to 2011. The audio was extracted from each video file using FFmpeg,\(^\text{11}\) and then downsampled to 16 kHz using SoX.\(^\text{12}\) Long periods of untranscribed audio were removed from each talk using the time marks from the closed captions, and word alignments were automatically generated using an HTK HMM system developed on HUB4 [21, 22]. These alignments were used to split each talk into utterances that were shorter than 20 seconds and included 0.1–0.25 seconds of non-speech at the end points. Next, closed caption filtering [23] was applied to the TED data to sequester utterances that may include transcription errors. Each talk was decoded using the HUB4 HMMs and a trigram LM that was estimated on the transcripts for the talk. The recognizer outputs were compared to the transcripts, and a data partition was created using all utterances with a WER less than 30%. This process yielded 166 hours of audio.

A speaker independent hybrid DNN-HMM speech recognition system was developed using the Theano software described in Section 2.1.4. The GMM-HMM system included 6000 shared states with an average of 28 mixtures per state; the DNN included a context window of 9 frames on the input, 5 hidden layers with 1000 units each, and 6000 output units. A speaker adaptive DNN was trained on PLP features that were transformed using CMLLR. This system applied a single transform per speaker.

LMs were developed on the TED data provided by IWSLT,\(^\text{13}\) the English Gigaword corpus [24], and the News 2007–2012 texts from the Association for Computational Linguistics Workshop on Machine Translation (WMT).\(^\text{14}\) Cross entropy difference scoring [25] was used to select subsets of Gigaword and News 2007–2012 that matched the TED domain. Interpolated trigram and 4-gram LMs were estimated on TED, 1/8 of Gigaword, and 1/4 of News 2007–2012. RNN maximum entropy LMs were developed using the RNNLM toolkit [26]. One RNN was trained on 1/16 of Gigaword, and a second RNN was trained on 1/8 of News 2007–2012. Each network included 160 hidden units, 300 classes in the output layer, 4-gram features for the direct connections, and a hash size of 10.\(^\text{9}\) The LM vocabulary included 95000 words and was chosen using the select-vocab program from the SRILM toolkit.

Whereas in previous IWSLT evaluations [19, 27] the test data was manually segmented into spoken utterances, this year each talk was provided without timing information. A neural network-based SAD was developed using Theano to segment each talk into utterances and remove long periods of non-speech. The SAD was trained on 22 hours of TED data and 5 hours of public domain music downloaded from Wikimedia Commons,\(^\text{15}\) the United States Air Force band,\(^\text{16}\) and the Open Goldberg Variations project.\(^\text{17}\) The network included a context window of 21 frames on the input, 1 hidden layer of 500 neurons with logistic activation functions, and 3

\(^{10}\) Available at: http://www.ted.com
\(^{11}\) Available at: http://www.ffmpeg.org
\(^{12}\) Available at: http://sox.sourceforge.net
\(^{13}\) Available at: http://workshop2013.iwslt.org
\(^{14}\) Available at: http://www.statmt.org/wmt13/translation-task.html
\(^{15}\) Available at: http://commons.wikimedia.org
\(^{16}\) Available at: http://www.usafband.af.mil
\(^{17}\) Available at: http://www.opengoldbergvariations.org
Table 4: English WER on the IWSLT 2012 Development Partitions using Manual and Automatic Segmentations of the Data

<table>
<thead>
<tr>
<th></th>
<th></th>
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<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Decode-1</td>
<td>14.3</td>
<td>13.0</td>
<td>15.3</td>
<td>15.6</td>
<td>14.3</td>
<td>16.9</td>
</tr>
<tr>
<td>Decode-2</td>
<td>13.7</td>
<td>12.3</td>
<td>14.0</td>
<td>14.8</td>
<td>13.5</td>
<td>15.8</td>
</tr>
<tr>
<td>4-gram</td>
<td>13.1</td>
<td>11.6</td>
<td>13.2</td>
<td>13.9</td>
<td>12.7</td>
<td>14.9</td>
</tr>
<tr>
<td>4-gram + RNN</td>
<td>12.1</td>
<td>10.3</td>
<td>11.6</td>
<td>12.8</td>
<td>11.8</td>
<td>13.8</td>
</tr>
</tbody>
</table>

output units corresponding to speech, silence/noise, and music. The feature set consisted of 12 PLP coefficients, plus the zeroth coefficient, with delta and acceleration coefficients. All features were globally normalized to zero mean and unit variance. Six epochs of training were performed with a minibatch size of 512, and an initial learning of 0.008 that was halved after the second epoch.

Automatic segmentation of the test data was performed by evaluating the SAD, applying a DP algorithm to choose the best sequence of states, and padding the speech end points by 0.15 seconds. The speech segments from each talk were clustered using the Massachusetts Institute of Technology Lincoln Laboratory (MIT-LL) GMM software package [28]. Initial transcripts of the test data were produced using HDecode with the interpolated trigram LM. These transcripts were used to estimate CMLLR transforms for the speaker adaptive hybrid DNN-HMM system. A second pass of HDecode was evaluated to generate recognition lattices, which were then rescored with the interpolated 4-gram LM. Next, 1000-best lists were extracted from each lattice and rescored with the RNN LMs. The final LM scores were obtained by linearly interpolating the probabilities from the 4-gram and RNN LMs. Lastly, the maximum scoring utterance was selected for each utterance.

Table 4 shows the WERs obtained on the IWSLT development partitions at each decoding stage. For comparison purposes, results are shown on both the manually produced and automatically derived segmentations of the data. This system yielded a 15.9% WER on the tst2013 partition and placed third out of the eight ASR systems that were submitted for the evaluation.

2.1.5 LM Interpolation

Six different methods were investigated for interpolating probabilities from 4-gram and RNN LMs. Note that the LMs described in this paper estimate the probability \( P(w|h) \) for a word \( w \) with history \( h \), where \( 0 \leq P(w|h) \leq 1 \). One of the most popular methods for combining probabilities from multiple models is linear interpolation. Given the probabilities \( P_k(w|h) \) from \( N \) models, the interpolated probability can be calculated as

\[
P(w|h) = \sum_{k=1}^{N} \lambda_k P_k(w|h),
\]
where $\lambda_k$ is the interpolation weight for the $k^{th}$ model. The interpolation weights are typically subject to the constraints

$$0 \leq \lambda_k \leq 1 \quad \text{and} \quad \sum_{k=1}^{N} \lambda_k = 1.$$ 

A modified version of linear interpolation was implemented where the range of probabilities $P_k(w|h)$ from the individual models was restricted. For a given word $w$ and history $h$, the minimum probability from any model was set to the maximum probability divided by an empirically chosen integer $L$, that is

$$P_{\min} = \left( \frac{\max_{1 \leq k \leq N} P_k(w|h)}{L} \right),$$

$$P_k(w|h) = P_{\min} \quad \text{if } P_k(w|h) < P_{\min}. \quad (4)$$

Recall that Equation 3 computes a weighted sum. Alternatively, $P(w|h)$ was calculated by selecting the minimum, maximum, or median of $P_k(w|h)$ for $1 \leq k \leq N$. Lastly, LM interpolation was performed by linearly interpolating the log probabilities from multiple models

$$P(w|h) = \exp \sum_{k=1}^{N} \lambda_k \log P_k(w|h). \quad (5)$$

Each method of LM interpolation was evaluated on the IWSLT 2013 development partitions. The probabilities $P_k(w|h)$ were obtained from the ASR system described in Section 2.1.5. This system provided 1000-best lists that were scored with three different models: one 4-gram LM and two RNN LMs. These three LMs are referred to as forward models in the remainder of this section. A second set of backward RNN LMs were developed on Gigaword and News 2007–2012. These models were trained using the same procedure described in Section 2.1.5, except that the word order of the input text was reversed during training and evaluation. The backward RNN LMs were used to rescore the same set of 1000-best lists.

The linear interpolation method described by Equation 4 was evaluated using $L = 5, 10, 20, 100$ and the interpolations weights $\lambda_k$ were optimized using the compute-best-mix program from the SRILM toolkit. Each interpolation method was evaluated using two different sets of LMs: the first set included the three forward LMs, and the second set included the three forward LMs and two backward LMs. Table 5 shows the WERs obtained. Linearly interpolating the log probabilities from each model yielded the best results, especially when including the backward LMs.

### 2.1.6. IWSLT 2014

English and Italian ASR systems were developed for the IWSLT 2014 evaluation campaign. This task focuses on the automatic transcription of English TED talks and Italian TEDx talks. TEDx talks are similar to TED, but given on a wider array of topics at independently organized events across the world. Whereas TED talks typically include high quality speech, TEDx talks are recorded with varying degrees of quality and may include reverberated speech, background noise, or audio compression artifacts.
Table 5: English WER on the IWSLT Development Partitions using Six Different Methods for Interpolating Probabilities from 4-gram and RNN LMs

Each method was evaluated using (1) the three forward LMs and (2) the three forward LMs and two backward LMs.

<table>
<thead>
<tr>
<th>Interpolation</th>
<th>Forward LMs</th>
<th>Forward and Backward LMs</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>dev2010</td>
<td>tst2010</td>
</tr>
<tr>
<td>Linear</td>
<td>12.1</td>
<td>10.3</td>
</tr>
<tr>
<td>Linear L=5</td>
<td>12.2</td>
<td>10.4</td>
</tr>
<tr>
<td>Linear L=10</td>
<td>12.1</td>
<td>10.3</td>
</tr>
<tr>
<td>Linear L=20</td>
<td>12.1</td>
<td>10.3</td>
</tr>
<tr>
<td>Linear L=100</td>
<td>12.1</td>
<td>10.3</td>
</tr>
<tr>
<td>Linear maximum</td>
<td>12.4</td>
<td>10.9</td>
</tr>
<tr>
<td>Linear minimum</td>
<td>12.4</td>
<td>10.7</td>
</tr>
<tr>
<td>Linear median</td>
<td>12.3</td>
<td>10.4</td>
</tr>
<tr>
<td>Log linear</td>
<td>11.8</td>
<td>10.0</td>
</tr>
</tbody>
</table>

**English:** In addition to the TED acoustic data described in Section 2.1.5, AMs were trained on broadcast news speech from the HUB4 and Euronews [29] corpora. The audio from each corpus was segmented into utterances using the manually produced transcripts for HUB4 and the provided ASR transcripts for Euronews. All utterances were processed with a GMM-based bandwidth detector to identify and remove telephone bandwidth speech. The MIT-LL GMM software package was used to automatically cluster utterances from the Euronews corpus. This process yielded 128 hours of audio from HUB4 and 96 hours from Euronews.

An HMM system was trained on TED using the same procedure described in Section 2.1.1, except that feature mean and variance normalization was applied on per speaker basis. The final HMM set included 6000 shared states with an average of 28 mixtures per state. Hybrid DNN-HMM speech recognition systems were developed on TED, HUB4, and Euronews using the same procedure described in Section 2.1.5. The GMM-HMM set included 8000 shared states with an average of 28 mixtures per state; each DNN included a context window of 9 frames in the input, 7 hidden layers with 1000 units each, and 8000 output units.

LMs were developed on the TED data provided by IWSLT, the English Gigaword corpus, and the News 2007–2013 texts from WMT. Data selection was implemented using the same procedure described in Section 2.1.5. Interpolated trigram and 4-gram LMs were estimated on TED, 1/8 of Gigaword, and 1/8 of News 2007–2013. An RNN maximum entropy LM was trained on the same set of training texts using the RNNLM toolkit. The network included 160 hidden units, 300 classes in the output layer, 4-gram features for the direct connections, and a hash size of 10^9. The LM vocabulary included 100000 words and was chosen using the selectvocab program from the SRILM toolkit.

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18 Available at: http://workshop2014.iwslt.org
19 Available at: http://www.statmt.org/wmt14/translation-task.html
Table 6: English WER on the IWSLT 2014 Development Partitions

Results are given for the HMM/DNN-HMM systems when both systems were evaluated in parallel.

<table>
<thead>
<tr>
<th>System</th>
<th>dev2010</th>
<th>tst2010</th>
<th>dev2012</th>
</tr>
</thead>
<tbody>
<tr>
<td>Decode-1</td>
<td>14.8</td>
<td>13.4</td>
<td>16.2</td>
</tr>
<tr>
<td>Decode-2</td>
<td>14.6/14.3</td>
<td>12.7/12.8</td>
<td>15.3/14.8</td>
</tr>
<tr>
<td>4-gram</td>
<td>14.0/13.7</td>
<td>12.3/12.1</td>
<td>14.6/14.2</td>
</tr>
<tr>
<td>4-gram + RNN</td>
<td>13.0/12.6</td>
<td>11.5/11.6</td>
<td>13.7/13.3</td>
</tr>
<tr>
<td>N-best ROVER</td>
<td>11.6</td>
<td>10.4</td>
<td>12.4</td>
</tr>
</tbody>
</table>

The decoding procedure is shown in Figure 1. Automatic segmentation of the test data was performed using the same procedure described in Section 2.1.5, and initial transcripts were produced using HDecode with the speaker independent hybrid DNN-HMM system and the trigram LM. The HMM system and the speaker adaptive hybrid DNN-HMM system were then evaluated in parallel using the following decoding strategy. First, the initial transcripts were used to estimate CMLLR feature transforms for each speaker. Next, recognition lattices were generated using Sphinx-4 with the HMM system and HDecode with the speaker adaptive hybrid DNN-HMM system. The lattices were rescored with the interpolated 4-gram LM, and 1000-best lists were extracted from each lattice for rescoring with the RNN LM. The final LM scores were obtained by linearly interpolating the log probabilities from the 4-gram and RNN LM. Lastly, system combination was performed using the N-best ROVER program from the SRILM toolkit.

Table 6 shows the WERs obtained on the IWSLT development partitions at each decoding stage. The final submission to the IWSLT evaluation included an additional Tandem ASR system that was developed by MIT-LL [30]. The recognition lattices from this system were rescored using the same procedure described above, and the outputs from all three systems were combined using N-best ROVER. The final system yielded a 9.9% WER on the tst2014 partition and placed third out of the eight ASR systems that were submitted for the evaluation.

**Italian:** An Italian pronunciation dictionary was manually created for the most frequent 28000 words from the Euronews corpus. This was done by a member of the Speech and Communication Research, Engineering, Analysis, and Modeling (SCREAM) laboratory who speaks Italian as a second language.\(^{20}\) The 51 phone set included 24 non-geminated consonants, 20 geminated consonants, and 7 vowels. The consonants M, N, j, w, z were never geminated and the consonant ñ was always geminated. A second pronunciation dictionary with 32 phones was created by ignoring gemination. Lastly, a multilingual pronunciation dictionary was created from the Italian dictionary that ignored gemination and version 0.7a of the English Carnegie Mellon University (CMU) pronunciation dictionary.\(^{21}\) Italian and English phones were merged when they shared the same International Phonetic Alphabet (IPA) symbol. Table 7 shows the phone set for each language; the English phones are in ARPAbet format.\(^{22}\) The multilingual dictionary included 48 phones.

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\(^{20}\)Thanks to Kyle Wilkinson for creating the Italian pronunciation dictionary

\(^{21}\)Available at: [http://www.speech.cs.cmu.edu/cgi-bin/cmudict](http://www.speech.cs.cmu.edu/cgi-bin/cmudict)

\(^{22}\)The ARPAbet to IPA mappings used in this work are available at: [http://en.wikipedia.org/wiki/Arpabet](http://en.wikipedia.org/wiki/Arpabet)
Figure 1: IWSLT 2014 English Decoding Procedure
Table 7: Italian and English Phone Sets

Dashes indicate that a phone does not exist in the corresponding language.

<table>
<thead>
<tr>
<th>IPA</th>
<th>Italian</th>
<th>English</th>
<th>IPA</th>
<th>Italian</th>
<th>English</th>
<th>IPA</th>
<th>Italian</th>
<th>English</th>
</tr>
</thead>
<tbody>
<tr>
<td>p</td>
<td>P</td>
<td>P</td>
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<td>a</td>
<td>A</td>
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</tr>
<tr>
<td>b</td>
<td>B</td>
<td>B</td>
<td>z</td>
<td>Z</td>
<td>Z</td>
<td>u</td>
<td>UW</td>
<td>UW</td>
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<tr>
<td>t</td>
<td>T</td>
<td>T</td>
<td>Š</td>
<td>SH</td>
<td>SH</td>
<td>o</td>
<td>O</td>
<td>–</td>
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<tr>
<td>d</td>
<td>D</td>
<td>D</td>
<td>Ž</td>
<td>–</td>
<td>ZH</td>
<td>0</td>
<td>AO</td>
<td>AO</td>
</tr>
<tr>
<td>k</td>
<td>K</td>
<td>K</td>
<td>h</td>
<td>–</td>
<td>HH</td>
<td>A</td>
<td>–</td>
<td>AA</td>
</tr>
<tr>
<td>g</td>
<td>G</td>
<td>G</td>
<td>ſs</td>
<td>TS</td>
<td>–</td>
<td>æ</td>
<td>–</td>
<td>AE</td>
</tr>
<tr>
<td>m</td>
<td>M</td>
<td>M</td>
<td>dz</td>
<td>DZ</td>
<td>–</td>
<td>2</td>
<td>–</td>
<td>AH</td>
</tr>
<tr>
<td>M</td>
<td>EM</td>
<td>–</td>
<td>ſS</td>
<td>CH</td>
<td>CH</td>
<td>@</td>
<td>–</td>
<td>AX</td>
</tr>
<tr>
<td>n</td>
<td>N</td>
<td>N</td>
<td>dz</td>
<td>DZ</td>
<td>–</td>
<td>2</td>
<td>–</td>
<td>AH</td>
</tr>
<tr>
<td>ŋ</td>
<td>NY</td>
<td>–</td>
<td>j</td>
<td>Y</td>
<td>Y</td>
<td>I</td>
<td>–</td>
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<td>L</td>
<td>L</td>
<td>U</td>
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<td>UH</td>
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<td>R</td>
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<td>aU</td>
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</tr>
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<td>w</td>
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</tr>
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<td>V</td>
<td>V</td>
<td>i</td>
<td>IY</td>
<td>IY</td>
<td>eI</td>
<td>–</td>
<td>EY</td>
</tr>
<tr>
<td>T</td>
<td>–</td>
<td>TH</td>
<td>E</td>
<td>EH</td>
<td>EH</td>
<td>oU</td>
<td>–</td>
<td>OW</td>
</tr>
<tr>
<td>D</td>
<td>–</td>
<td>DH</td>
<td>e</td>
<td>E</td>
<td>–</td>
<td>oI</td>
<td>–</td>
<td>OY</td>
</tr>
</tbody>
</table>

HMM and hybrid DNN-HMM systems were trained on the Euronews Italian data set using the same procedure as the English systems. One HMM system was trained using the 51 phone set (denoted as HMM-51), and a second HMM system was trained using the 32 phone set (denoted as HMM-32). HMM-51 included 6000 shared states with an average of 28 mixtures per state, and HMM-32 included 4000 shared states with an average of 24 mixtures per state. The hybrid DNN-HMM system was developed using HMM-51; the DNNs included 3 hidden layers with 1000 units each and 6000 output units. A final HMM system (denoted as HMM-ML) was developed on Euronews Italian and English TED using the multilingual pronunciation dictionary; HMM-ML included 6000 shared states with an average of 28 mixtures per state.

Interpolated trigram and 4-gram LMs were developed on the TED data provided by IWSLT, the Google Books Ngram corpus [31], and the Web 1T 5-gram corpus [32]. Words from the TED data set were split on apostrophes, and N-grams from Google Books were ignored if the source was published prior to the year 2000. The TED LMs were estimated using modified Kneser-Ney smoothing; the Google Books and Web 1T LMs were estimated using Witten-Bell smoothing. An RNN maximum entropy LM was trained on TED; the network included 320 hidden units, 200 classes in the output layer, 4-gram features for the direct connections, and a hash size of 109. The LM vocabulary included 100000 words and was chosen using the select-vocab tool from the SRILM toolkit.

23Available at: http://workshop2014.iwslt.org
Automatic segmentation of the test data was initially performed using the same procedure described in Section 2.1.5. On the dev2014 partition, it was discovered that the SAD was misclassifying non-speech segments as speech on several TEDx talks. To alleviate this problem, any speech segment longer than 20 seconds was reprocessed with a previously developed neural network based SAD. This SAD was created using QuickNet and trained on English telephone speech from the Fisher corpus [33]. The network included a context window of 9 frames on the input, 1 hidden layer of 1400 units with logistic activation functions, and 4 output units corresponding to voiced speech, unvoiced speech, aspirated speech, and non-speech. The feature set consisted of 12 PLP coefficients, plus energy, with delta and acceleration coefficients. All features were globally normalized to zero mean and unit variance. As with the English system, speech segments from each talk were clustered using the MIT-LL GMM software package.

Decoding was performed as follows. Initial transcripts of the test data were produced using HDecode with the speaker independent hybrid DNN-HMM system and the trigram LM. The HMM-32, HMM-ML, and speaker adaptive hybrid DNN-HMM systems were then evaluated in parallel using HDecode with the same decoding strategy as the English system. Finally, system combination was performed using N-best ROVER.

It was discovered that there were a number of errors in the reference transcripts for the IWSLT dev2014 partition. Therefore, a member of the SCREAM laboratory\textsuperscript{24} manually corrected the reference transcripts for all 13 TEDx talks. Table 8 shows the WERs on the dev2014 partition at each decoding stage. For comparison purposes, results are included without cross adaptation of the HMM-32 and HMM-ML systems; that is, each system was evaluated independently instead of using the initial transcripts from the speaker independent hybrid DNN-HMM system. Results are also included when N-best ROVER was also applied at each decoding stage. From Table 8 we can see that cross adaptation of the HMM-32 and HMM-ML systems improved the WER. The final system yielded a 23.0% WER on the tst2014 partition and placed second out of the four ASR systems that were submitted for the evaluation.

\subsection*{2.2 Haystack MMIER System}

This section describes improvements made to the Haystack MMIER system. Section 2.2.1 discusses improvements made to the user interface. Section 2.2.2 discusses several improvements that were made to the processing pipeline. Section 2.2.3 describes the Japanese, Chinese, and Pashto ASR systems that were developed for Haystack.

\subsection*{2.2.1 User Interface Improvements}

Recent work in Haystack has seen a growth from version 0.6 to 0.8. There have been many additions to the user interface, including multiple file upload abilities, expansive changes to the Haystack Media Player, additional MT capabilities, and research into geolocation. To expand the toolset of Haystack there has been the testing of Optical Character Recognition (OCR) as a new avenue for media translation and the development of scripts to allow for complete webpages to be uploaded for translation but keeping the format intact. Future growth of Haystack includes cutting ties to tools that limit its functionality across the spectrum of operating systems, such

\textsuperscript{24}Thanks to Kyle Wilkinson for correcting the transcripts
Table 8: Italian WER on the IWSLT dev2014 Partition

The HMM-32 and HMM-ML systems were evaluated both with and without cross adaptation. N-best ROVER was applied at each decoding stage. WER was calculated using (a) the provided reference transcripts and (b) the corrected reference transcripts.

(a) Provided Reference Transcripts

<table>
<thead>
<tr>
<th>System</th>
<th>Decode-1</th>
<th>Decode-2</th>
<th>4-gram</th>
<th>4-gram + RNN</th>
</tr>
</thead>
<tbody>
<tr>
<td>No Cross Adaptation</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DNN-HMM</td>
<td>35.0</td>
<td>32.9</td>
<td>32.5</td>
<td>32.5</td>
</tr>
<tr>
<td>HMM-32</td>
<td>41.2</td>
<td>34.4</td>
<td>34.1</td>
<td>33.9</td>
</tr>
<tr>
<td>HMM-ML</td>
<td>42.7</td>
<td>35.9</td>
<td>35.7</td>
<td>35.4</td>
</tr>
<tr>
<td>N-best ROVER</td>
<td>35.2</td>
<td>31.3</td>
<td>30.8</td>
<td>30.8</td>
</tr>
<tr>
<td>With Cross Adaptation</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DNN-HMM</td>
<td>35.0</td>
<td>32.9</td>
<td>32.5</td>
<td>32.5</td>
</tr>
<tr>
<td>HMM-32</td>
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<td>32.2</td>
<td>31.8</td>
<td>31.4</td>
</tr>
<tr>
<td>HMM-ML</td>
<td>–</td>
<td>32.4</td>
<td>32.3</td>
<td>32.3</td>
</tr>
<tr>
<td>N-best ROVER</td>
<td>–</td>
<td>30.1</td>
<td>29.7</td>
<td>29.5</td>
</tr>
</tbody>
</table>

(b) Corrected Reference Transcripts

<table>
<thead>
<tr>
<th>System</th>
<th>Decode-1</th>
<th>Decode-2</th>
<th>4-gram</th>
<th>4-gram + RNN</th>
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<tr>
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<td>30.7</td>
<td>27.9</td>
<td>27.6</td>
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<td>29.8</td>
<td>29.4</td>
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<tr>
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<td>31.3</td>
<td>31.0</td>
<td>30.9</td>
</tr>
<tr>
<td>N-best ROVER</td>
<td>31.4</td>
<td>26.7</td>
<td>26.3</td>
<td>26.4</td>
</tr>
<tr>
<td>With Cross Adaptation</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DNN-HMM</td>
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<td>27.9</td>
<td>27.6</td>
<td>27.8</td>
</tr>
<tr>
<td>HMM-32</td>
<td>–</td>
<td>27.3</td>
<td>27.0</td>
<td>26.6</td>
</tr>
<tr>
<td>HMM-ML</td>
<td>–</td>
<td>27.5</td>
<td>27.5</td>
<td>27.5</td>
</tr>
<tr>
<td>N-best ROVER</td>
<td>–</td>
<td>25.3</td>
<td>25.0</td>
<td>25.0</td>
</tr>
</tbody>
</table>

as Adobe Flash, so research into HTML5 was initiated.

Multiple File Upload: There was a need for uploading multiple files into the Haystack service. A Flash application was developed for opening a file directory window and allowing for multiple file selection for upload. Once the files are uploaded, an interface is created to display the uploaded files in the queue, and each file is automatically processed with a metadata scan by FFmpeg for duration, codec, sample rate, etc. Each file also has form fields that can be populated with file information, such as the source, title, and source language.
Figure 2: The Multiple File Upload Application after Processing an HFL File

For ease in uploading files across servers and from various directories, a Haystack File List (HFL) format was developed. This tab-separated text file can be created that includes file location, source, title, language, and keywords for multiple file data; it can be uploaded to the system and processed all at once. Figure 2 shows a screenshot of the multiple file upload application after processing an HFL file.

**Geolocation**: GeoNames\(^25\) is a creative commons geographical database with over 10 million geographical names integrated with geographical data such as population, elevations, and latitude/longitude coordinates. After reconfiguring the Solr schema, the GeoNames database was indexed and experiments begun on linking the geographical coordinates to named entities identified by Janya in English and Chinese. A new search interface was also created to allow for searching for Haystack entries containing geographical locations or anything within a specific latitude/longitude distance.

Relevancy was an issue because of the nature of redundant names in locations throughout the world so some methods were implemented to improve the reliability. Lists of population and popularity were created to check against results from geographical queries, and scripts were developed to add weight to the results in favor of those lists.

The next step involved integrating the OpenLayers\(^26\) library for displaying map data into Haystack. Scripts were developed for integrating the list of locations into a tab-based interface and displaying the location markers on the map with links to Wikipedia entries.

**Media Player**: The Media Player section of Haystack has been subjected to constant updates. JQuery\(^27\) opened up many new options in easing operation and customization for the user. A more graceful tab-based system was created across the top of the page to allow for easy access to utterances, translations by MT engine, speaker, topic, file metadata, and geographical functions. Also accessible through the tabs are a link to the auxiliary file data used in the pipeline process for Haystack and a link to a viewer for the log file.

The code was rewritten to update the dynamic modal windows for captioning. This update allows for smoother opening and closing of windows and better control of dragging the windows for placement or resizing with corner click-dragging. By fine tuning the window controls, it is now

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\(^25\)Available at: http://www.geonames.org  
\(^26\)Available at: http://openlayers.org  
\(^27\)Available at: http://jquery.com
standard that when a play command is given from the search results whichever MT engine chosen is the default window open in the Player.

The viewer for of processed text files has been updated so that all of the translated text is parallel with the source material and each MT engine output is tab-based for viewing one at a time or all at once.

**Highlighting**: In Haystack, Solr is used for querying the vast index of processed media files, but once within the Media Player section of a specific file, a page-centric search functionality was created that would highlight every occurrence of those results. This within page search allows a user to input a search term and see that term highlighted in each available window. Each window, in turn, has its own controller for scrolling back and forth between each highlighted term and can begin playing the file from that point. Figure 3 shows a screenshot of the highlight and scrolling functionality active in an Utterance window.

**HTML5**: The initial file upload and media viewing capabilities of Haystack were Flash-based applications. As HTML5 evolved and browsers began to adopt its functionality, research began on how it might be leveraged to replace the Flash-based tools within the Haystack system. A rudimentary player was developed using HTML5, with simple controls and limited captioning options. To allow for cross-browser compatibility of the player, functionality was added to the pipeline to covert audio and video files into OGG and MP4 formats.

A new File Upload system was developed in HTML5 that allows for thumbnail viewing and playing and shows upload progress. The system allows for multiple file select but from only a standard file browser window, limiting the capabilities available through the HFL file option in the original Multiple File Upload system. Research was conducted on making the File Upload system more robust.

**HTML Conversion**: One missing factor in Haystack was the ability to upload or point to a webpage address and upload it for translation. A technique was developed using JavaScript and the Document Object Module (DOM) for place keeping of the text and images so that post-translation they could be placed back in the correct format.
A prototype was created that parsed the HTML and sent only the text on for translation. The returned text was integrated back into the HTML framework and the results can be viewed side-by-side. For the prototype, only the Systran7 MT engine was used. Figure 4 shows a screenshot of the HTML conversion application. Development is continuing to make the HTML uploader a fully functioning part of the Haystack system.

**OCR**: The SCREAM Lab received a copy of the Raytheon/BBN Document Analysis Service (DAS) to test out as an OCR option to use in Haystack. The default language system packaged with it was Chinese.

Research began on creating a pipeline to tie into the DAS system and to optimize the input and output for best translation. After considerable testing, an image resolution of 400 dots per inch (DPI) was considered optimal. The initial phase allows for an image to be uploaded into the Haystack system, but the second stage is prompted by a command line instruction to the DAS system itself to commence the OCR operation and output the Extensible Markup Language (XML-based files back into the Haystack directory structure. A third phase is then initiated to translate the extracted text and place it back into the framework of a newly created viewer in the Media Player section.

Continued development of the system for the Chinese DAS OCR image viewer allows for tabs to see extracted text, translated text, and scanned zones of the image—also allowing for clicking on zones within the main image to scroll to the translation/OCR segment. A zoom function was developed so that scanned segments could be viewed at a greater magnification to check against the OCR output. Figure 5 shows the prototype output of integrating OCR into Haystack.

**Machine Translation**: A pipeline was developed to integrate the Moses machine translation server into Haystack. Systems were integrated for French, Spanish, German, Farsi, Pashto, Arabic and to normalize, tokenize, and recase the text
Using the same procedure for calling Systran5 via CyberTrans for MT, a system was created that brought in Gister for translating in 17 languages and Motrans for Arabic and Portuguese. With the availability of Systran7, integration of its resources into the MT pipeline was accomplished. This latest version changed the AJAX parameters used by Systran5, so a new solution was developed. Incorporating Systran7 added another source for translation of Arabic and Urdu.

**XTrans**: Xtrans\(^{28}\) is a transcription tool allowing for transcription and annotation of audio recordings. With the help from a script written by Mr. Eric Hansen to convert Haystack-specific XML files to the tab-delimited files used by XTrans, functionality was added to the Haystack Media Player to allow a user to click through to be given a command line instruction that can start up Xtrans in a Linux terminal. Figure 6 shows an Xtrans window running from the command line instructions created in Haystack. Future development in this area will include the ability for the linguists to upload changes made to the transcription and to see the results of those changes within the Haystack Media Player.

### 2.2.2. Pipeline Improvements

Several improvements were made to the Haystack processing pipeline. Major additions include the following: support for decoding hybrid DNN-HMM speech recognition systems, support for N-gram and RNN LM rescoring in the ASR pipeline, and improved text extraction from PDF files. Hybrid DNN-HMM decoding is implemented using the Theano and Sphinx-4 software described in Section 2.1.4. LM rescoring is applied using the following procedure. First, N-best lists are extracted from each recognition lattice and rescored with the specified N-gram and RNN LMs. The SRILM toolkit is used to extract the N-best lists and apply N-gram rescoring; the RNNLM toolkit is used for RNN rescoring. Next, the log probabilities from each model are linearly

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\(^{28}\)Available at: https://www.ldc.upenn.edu/language-resources/tools/xtrans
Figure 6: XTrans Window Running from the Command Line Instructions Created in Haystack

interpolated as described by Equation 5. Finally, the maximum scoring hypothesis is selected for each utterance.

Text extraction from PDF files was implemented using PDFMiner. PDFMiner provides the location, font style, and font size of each character, and groups sequences of characters into lines. Software was developed to reverse the character ordering of right-to-left text and automatically merge lines of text into paragraphs. Paragraph boundaries were inserted by considering the following factors: font size, text direction, vertical spacing, indents on the first line of a paragraph, and text margins.

Minor improvement to the Haystack pipeline include the following. First, the code was modified so that all documents are submitted for processing using Open Grid Scheduler (OGS). Second, video conversion is performed in parallel with the rest of the processing pipeline. Third, the conversion routine was updated so that SoX is used to modify the audio sample rate and normalize the audio volume. Fourth, the video thumbnail extraction routine was updated to use automatic scene detection and select the image with the highest entropy. Lastly, English text recasing is now performed using scripts from the Moses distribution.

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29Available at: http://pypi.python.org/pypi/pdfminer
30Available at: http://gridscheduler.sourceforge.net
31Available at: http://www.statmt.org
2.2.3. **ASR Systems**

Japanese, Chinese, and Pashto ASR systems were developed for Haystack. HMMs were trained for each language using the same procedure described in Section 2.1.1, except that the Chinese system used a modified feature set; trigram LMs were estimated using the SRILM toolkit. The remainder of this section describes the systems in more detail and presents recognition results for each language.

**Japanese:** AMs were trained on 20 hours of audio from GlobalPhone. The GlobalPhone transcripts are provided with spacing between words, and include mappings from kanji to katakana and hiragana. Note that Japanese text is usually written without spacing between words, and includes a combination of kanji, hiragana, and katakana. Kanji are Chinese characters; hiragana and katakana are syllabaries. A pronunciation dictionary was manually created using the katakana and hiragana transcripts with the Omniglot phoneme set [34]. The final HMM set included 2000 shared states with an average of 16 mixtures per state.

An interpolated trigram LM was estimated on the GlobalPhone transcripts and articles downloaded from Wikipedia.\(^{32}\) The JUMAN morphological analyzer\(^{33}\) was used to segment the Wikipedia text into words and convert the kanji to hiragana and katakana. The LM was trained on the text that included kanji, and the pronunciation dictionary was created using the hiragana and katakana. The LM vocabulary included the 65000 most common words.

This system yielded a 21.1% WER on the GlobalPhone development partition. For comparison purposes, the AMs were evaluated with a trigram LM estimated on GlobalPhone only, which yielded a 25.5% WER.

**Chinese:** AMs were trained on 175 hours of audio from the Global Autonomous Language Exploitation (GALE) corpus. The GALE text was first segmented into words using the Linguistic Data Consortium (LDC) Chinese word segmenter. A pronunciation dictionary was created by mapping the Chinese characters to pinyin\(^{34}\) and splitting the pinyin into a 95 phoneme set that includes tone markings. Pronunciations for English words were obtained by mapping phonemes from the English CMU pronunciation dictionary to the Chinese phoneme set and training a Sequitur grapheme-to-phoneme system [35].

The HMM set included 4000 shared states with an average of 28 mixtures per state. The feature set consisted of 12 PLPs, plus the zeroth coefficient, with mean normalization applied on a per utterance basis. A pitch feature was extracted using the Entropic Signal Processing System (ESPS) method implemented in the Snack toolkit;\(^{35}\) pitch values over unvoiced segments were defined using the method of [36]. Delta and acceleration coefficients were appended to form a 42 dimensional feature vector.

An interpolated trigram LM was estimated on GALE, the fifth edition of the Chinese Gigaword corpus [37], and broadcast news transcripts from HUB4-NE [38]. The text was segmented into

\(^{32}\)Available at: http://dumps.wikimedia.org/jawiki
\(^{33}\)Available at: http://nlp.ist.i.kyoto-u.ac.jp/EN/index.php?JUMAN
\(^{34}\)The unicode to pinyin mappings used in this work are available at: http://www.ic.unicamp.br/~stolfi/voynich/Notes/061/uc-to-py.tbl
\(^{35}\)Available at: http://www.speech.kth.se/snack
words using the LDC Chinese word segmenter, and the final vocabulary included 53100 words. This system yielded an 11.2% CER on the HUB4-NE test partition. For comparison purposes, a previously developed ASR system yielded a 14.4% CER on the same test set; that system was trained on HUB4-NE acoustic and text data, plus the fourth edition of the Chinese Gigaword corpus.

**Pashto:** AMs were trained on 43 hours of audio from the Appen Broadcast News (BRC001) corpus and 104 hours from the TRANSTAC corpus. The speech segments from BRC001 were automatically clustered using the MIT-LL GMM software package. Pronunciations for all words were derived using the TRANSTAC dictionary and a Sequitur grapheme-to-phoneme system. Note that all diacritics were removed from the dictionary prior to training the Sequitur models since diacritics are not included in the transcripts. The final HMM set included 4000 shared states with an average of 24 mixtures per state.

An interpolated trigram LM was estimated on the training transcripts using the full 26157 word vocabulary. This system yielded a 22.1% WER on the BRC001 development partition. A second LM was estimated using additional text data from Sada-e Azadi\(^{36}\) and Wikipedia,\(^{37}\) however, this LM did not yield an improvement in system performance.

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\(^{36}\)Available at: http://www.sada-e-azadi.net

\(^{37}\)Available at: http://dumps.wikimedia.org/pswiki
3.0 CONCLUSIONS

In conclusion, work has been accomplished in the areas of ASR and information extraction, especially in the context of the Haystack MMIER system.

For ASR, Korean systems were developed using both words and sub-word units that can be combined to form words; this was done in an effort to reduce the effects of OOV words encountered by the recognizer. Using sub-word units yielded a small improvement; however, the final WERs are still high compared to similar systems developed on other languages. Levantine Arabic and Farsi ASR systems were trained on conversational telephone speech. All systems yielded WERs above 50%, which is not entirely unexpected since these systems were trained on relatively small corpora and used GMM-based AMs. Three methods were investigated for combining Pashto speech recognition systems: ROVER, N-best ROVER, and word posterior decoding using DDA matching scores. All methods yielded better performance than any single ASR system, and the best WER was obtained using N-best ROVER. Software was developed for training and evaluating hybrid DNN-HMM speech recognition systems, which generally yield better performance than GMM-HMMs. This software was used to train an English ASR system for the IWSLT 2013 evaluation, which placed third out of the eight systems that were submitted for the evaluation. Several methods were investigated for interpolating probabilities from 4-gram and RNN LMs; interpolating log probabilities yielded the best overall WER. Finally, English and Italian ASR systems were developed for the IWSLT 2014 evaluation. The English system placed third out of the eight ASR systems that were submitted for the evaluation, and the Italian system placed second out of four.

Work on Haystack over this period has seen a lot of growth in functionality and an evolving user interface with a focus on making a large amount of information easily available through a multi-file upload ability, a Media Player with various new options, and additional MT capabilities. The toolset has expanded greatly with research into geolocation, testing of OCR for media translation, the ability to upload and translate webpages, and adapting Haystack to use HTML5 for media play and file upload. Major additions to the processing pipeline include support for decoding hybrid DNN-HMM systems, support for N-gram and RNN LM rescoring, and improved text extraction from PDF files. Japanese, Chinese, and Pashto ASR systems were developed and then incorporated into Haystack.
4.0 REFERENCES


5.0 LIST OF ACRONYMS & GLOSSARY

AJAX Asynchronous JavaScript and Extensible Markup Language
AM Acoustic Model
ARB-CTS Levantine Arabic conversational telephone speech corpus released by the Linguistic Data Consortium
ARB-QT Levantine Arabic conversational telephone speech corpus released by the Linguistic Data Consortium
ASR Automatic Speech Recognition
ASR001 Farsi telephone prompt speech corpus released by Appen
ASR002 Farsi conversational telephone speech corpus released by Appen
BRC001 Pashto broadcast news corpus of text and audio released by Appen
CER Character Error Rate
CMLLR Constrained Maximum Likelihood Linear Regression
CMU Carnegie Mellon University
CyberTrans Machine translation system developed by the U.S. government
DAS Document Analysis Service
DDA Driven Decoding Algorithm
DNN Deep Neural Network
DOM Document Object Module
DP Dynamic Programming
DPI Dots Per Inch
ESPS Entropic signal processing system
Euronews Multilingual broadcast news corpus of text and audio
FFmpeg Cross-platform software for recording, converting, and streaming audio and video
Fisher An English conversational telephone speech corpus released by the Linguistic Data Consortium
FLARe Foreign Language Analysis and Recognition
GALE Global Autonomous Language Exploitation
GeoNames A creative commons database with over 10 million geographical names integrated with geographical data such as population, elevations, and latitude/longitude coordinates
Gister Machine translation system developed by the U.S. government
GlobalPhone Multilingual speech and text database
GMM  Gaussian Mixture Model
GPU  Graphical Processing Unit
Haystack  An internal lab project to integrate various capabilities into a system to index, analyze, translate, store, and retrieve multilingual information from rich multimedia documents in various languages
HDecode  Cambridge University large vocabulary continuous speech recognizer
HFL  Haystack File List
HLDA  Heteroscedastic Linear Discriminate Analysis
HMM  Hidden Markov Model
HTK  Cambridge University Hidden Markov Model Toolkit
HTML  Hypertext Markup Language
HUB4  An English broadcast news corpus of text and audio released by the Linguistic Data Consortium
HUB4-NE  A non-English broadcast news corpus of text and audio released by the Linguistic Data Consortium
ICSI  International Computer Science Institute
IPA  International Phonetic Alphabet
IWSLT  International Workshop on Spoken Language Translation
JavaScript  A script language typically used to enable programmatic access to computational objects within a host environment, commonly a web browser
JQuery  An open source JavaScript library for dynamic update and control of web pages
JUMAN  A user-extensible morphological analyzer for Japanese developed at Kyoto University
kHz  Kilohertz
LDC  Linguistic Data Consortium
LM  Language Model
MIT-LL  Massachusetts Institute of Technology Lincoln Laboratory
MMIER  multilingual multimedia information extraction and retrieval
Morfessor  Software developed at Helsinki University of Technology for unsupervised learning of morphology
Moses  A statistical machine translation system
MPE  Minimum Phone Error
MT  Machine Translation
NIST  National Institute of Standards and Technology
<table>
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<tr>
<th>Acronym</th>
<th>Description</th>
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<tr>
<td>OCR</td>
<td>Optical Character Recognition</td>
</tr>
<tr>
<td>OGS</td>
<td>Open Grid Scheduler</td>
</tr>
<tr>
<td>OOV</td>
<td>Out-of-Vocabulary</td>
</tr>
<tr>
<td>PDF</td>
<td>Portable Document Format</td>
</tr>
<tr>
<td>PDFMiner</td>
<td>a tool for extracting information from portable document format files</td>
</tr>
<tr>
<td>PLP</td>
<td>Perceptual Linear Prediction</td>
</tr>
<tr>
<td>Python</td>
<td>High level programming language</td>
</tr>
<tr>
<td>QuickNet</td>
<td>Software developed at the International Computer Science Institute for training and evaluating multi-layer perceptrons</td>
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<tr>
<td>RNN</td>
<td>Recurrent Neural Network</td>
</tr>
<tr>
<td>ROVER</td>
<td>Recognizer Output Voting Error Reduction</td>
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<tr>
<td>SAD</td>
<td>Speech Activity Detector</td>
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<td>Speaker Adaptive Training</td>
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<td>SCREAM</td>
<td>Speech and Communication Research, Engineering, Analysis, and Modeling</td>
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<td>SoX</td>
<td>Sound Exchange Toolkit</td>
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<td>Sphinx-4</td>
<td>Carnegie Mellon University large vocabulary continuous speech recognizer</td>
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<td>SRILM</td>
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<td>Systra</td>
<td>Commercial machine translation system</td>
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<td>TED</td>
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<td>TEDx</td>
<td>an independently organized TED-like event</td>
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<td>Theano</td>
<td>Numerical computational library for Python that can be compiled to run on a graphical processing unit</td>
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<td>XML</td>
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<td>Xtrans</td>
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