Success Factors for Adoption of Real-Time Java

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Why this topic?

- A small number of companies have reported great success with the adoption of embedded/real-time Java
  
  Two-fold productivity improvement during development of new functionality
  
  Five- to ten-fold productivity improvement during software maintenance and reuse activities
  
  Improved functionality and fewer bugs

- But some highly visible attempts to adopt embedded/real-time Java have quietly fallen off the radar screen

- And overall adoption of Java in the embedded/real-time marketplace has been relatively slow

- We would like to understand why some projects fail and others succeed, in order to improve the likelihood of success, in hopes of enabling faster market expansion
Sample Successes with a Soft Real-Time Java Profile

- Uses real-time garbage collection and J2SE APIs
- Typical applications enforce time constraints of 1-100 ms
- The most mature approach to real-time execution of Java software,
  - remote monitoring and control of commercially available since 1997
  - Dambach-Werke selected PERC Ultra to control traffic lights

Real-time execution of Java supports remote monitoring and control of robotic oil drilling platforms

- Traffic engineers use Java to customize the control algorithms for each intersection
- System requires real-time garbage collection with reliable execution of 10 ms periodic tasks

Boeing J-UCAS X-45C
- Joint software development effort between Boeing and UK MoD Taranis
- To be largest UAV built by MoD, focuses on targeting and attack
  - BAE System requires real-time garbage collection with reliable execution of 10 ms periodic tasks
  - On-board mission planning software implemented in Java
  - Mission plan is continually updated to account for weather, fuel levels, weapons deployment status, enemy activities, and sensor usage
  - Runs complex decision-making and optimization
  - Taranis Reasoning Layer, implemented with PERC Ultra, determines flight path
  - Heads-up digital assistant supports: Communication with other soldiers and with commanders, Mission plan is continually updated to account for weather, fuel levels, weapons deployment status, enemy activities, and sensor usage, Video streaming from other soldier perspectives

- March 2010: Ranked #1 in North America (78% market share GPON, 64% market share FTTP, 40% market share US rural, 39% market share Tier 2/3 broadband)

Software modernization project implements improved user interfaces, new communication protocols, and new functionality in Java
Projects that have fallen off the radar

- Project “Golden Gate”: a collaboration between Sun Labs, Carnegie Mellon-West, and NASA Jet Propulsion Labs to develop a real-time Java software architecture called MDS (Mission Data System).

- An integration of the Real-Time Specification of Java within the “HotSpot Java performance engine” for a customer who is “going to use this implementation for an application system that they’re developing that controls fossil fuel power plants.”
Two Highly Visible Projects Recently Downsized

- Raytheon doing DDG-1000 (a.k.a. Zumwalt) software using real-time Java technologies provided by IBM
- Boeing doing FCS software using PERC Ultra VM technologies
- Some signs of potential trouble on both of these
  - DDG-1000 “canceled” after only 2 ships funded
  - FCS: we are concerned by lack of direct communication with FCS engineers
  - Both projects have faced congressional/Pentagon budget cuts
Most common factors leading to lack of success

- Unclear, unrealistic or misguided objectives, often leading to ...
  - Inadequate or misdirected risk mitigation and project planning

- Common misunderstandings regarding technology strengths and weaknesses

  Real-time Java runs faster than normal Java

  The risk/benefits analysis widely accepted for traditional Java is equally applicable to “real-time Java”

  Based on experience with traditional Java, expect real-time Java developers to be 2x as productive and software maintainers to be 5-10x as productive

  The key risk mitigation topic: determine the lower limits of scheduling latency that can be achieved with real-time Java

  You can “easily” teach a traditional Java developer to become a real-time Java developer by teaching him or her the “real-time Java API”

  All real-time Java offerings are “the same”

  By adhering to the RTSJ “standard”, we assure that real-time code is portable, interoperable, composable, and maintainable
Proactive steps to improve likelihood of success

- Address potential issues with internal “politics”
- Establish clear, measurable, and realistic objectives
- Select technologies that suit the needs of established objectives
- Obtain appropriate training
- Give proper attention to architecture and design considerations
- Plan for maintenance, evolution, and reuse of software
- Establish clear separation of concerns
- Design efficient and robust interfaces between legacy and Java software
Internal Politics

■ Issues:

Especially in larger more established organizations, powerful established players may fight to “protect turf”.

There are good reasons for everyone to exercise caution with “radical” new software approaches.

However, watch out for the threat of torpedo attacks on your efforts.

■ Example:

One large aerospace customer chose to build a hybrid system including the integration of Java and C code.

The C team represented established strength and political influence within the organization. The Java team represented new, younger talent.

As the project evolved, the Java team sensed lack of cooperation, finger pointing, “frequent” blame (unfairly) directed towards the choice to use Java.

Suspicion: established C developers felt threatened by prospect of Java success.
Establish clear, measurable, realistic objectives

- “Good” reasons to pursue Java might include:
  - Improve portability of software
  - Reduce costs of development
  - Accelerate availability of new capabilities
  - Exploit off-the-shelf and open-source software capabilities
  - Reduce errors in developed software
  - Increase generality and flexibility of software
  - Enable increased future reuse of software
  - Reduce long-term software maintenance costs
  - Improve security of software systems

- Note: the choice to use Java or real-time Java does not by itself guarantee any of the above

- You must use Java in an effective way in order to achieve objectives

- If you don’t plan to satisfy objectives, and don’t measure results against your plan in order to “correct your course” along the way, you’re likely to fail
Select technologies that suit your objectives

- Java comes in many flavors
- Java built-in libraries, and 3rd party Java software components represent many different tradeoffs
- Selecting the appropriate Java development tools also represents an important leverage point
- Do you need real-time? Do you need embedded? Do you need a “Java” logo? What tradeoffs will you accept?

  Speed vs. footprint
  
  Predictability and reliability vs. optimal throughput
  
  Ease of development vs. cost of deployment
  
  Cost of development vs. cost of maintenance
# Real-Time Horses for Courses

<table>
<thead>
<tr>
<th></th>
<th>HotSpot Java</th>
<th>C</th>
<th>PERC Ultra</th>
<th>PERC Pico</th>
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<tr>
<td><strong>Min (ns)</strong></td>
<td>173</td>
<td>280</td>
<td>519</td>
<td>314</td>
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<tr>
<td><strong>Max (ns)</strong></td>
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<td>360</td>
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<td><strong>Std deviation (ns)</strong></td>
<td>228.7896</td>
<td>25.52465</td>
<td>48.66415</td>
<td>29.8434</td>
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<tr>
<td><strong>Total Virtual Memory (MB)</strong></td>
<td>253</td>
<td>2.32</td>
<td>24</td>
<td>2.5</td>
</tr>
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</table>
Obtain appropriate training

Results of moving traditional Java to real-time VM

Improvements after one week of training/consulting

Setting proper priorities is much more important with a real-time VM: “One week of on-site consulting saved six months of development time!”
Traditional Java virtual machines

- The Java platform was designed to be portable and scalable
- It was not designed to extend the benefits of portability and scalability into the realm of real-time software

Only ten thread priorities, and priorities are only a suggestion

Synchronization does not implement priority inversion avoidance

The order of threads on an Object.wait() queue is unspecified

The order of threads on the ready list is unspecified

Garbage collection happens at unpredictable times, consuming unpredictable amounts of CPU time, and does not guarantee to find all garbage, nor to defragment the available free pool

Common operations may have surprising effects (e.g. entering a mutual exclusion region might force allocation of memory, which might trigger garbage collection)

- The Real-Time Specification for Java (RTSJ), published in 2001, improves upon the traditional JVM specification, but still does not guarantee portability or scalability

Note that RTSJ is not part of the “standard edition” Java
Thread Priorities

- Select an appropriate real-time virtual machine to enable enhancements to the traditional Java thread priority model. For example:

  More than ten priorities can be supported. In case legacy software assumes only ten priorities, multiple real-time thread priorities can be mapped to a single “Java” priority (e.g. real-time priorities 11-28 all masquerade as legacy Java priority 10)

  Strict priority dispatching is offered, rather than treating priority as only a “suggestion”

  Java priorities can be mapped to underlying operating system priorities to enable rate monotonic analysis of mixed language real-time systems

  CPU time accounting can be reported for each thread, and for each priority level
The Java Run-time

- Appropriate real-time virtual machines offer enhanced synchronization capabilities:
  - Maintain all thread queues in priority FIFO order
  - Implement priority inheritance for all Java synchronization
  - Detect circular lock dependencies, which indicate deadlock
  - Priority ceiling emulation for static hard real-time code
  - On-line monitoring capabilities to identify which threads hold which locks, and which threads are blocked awaiting access to particular locks

- Real-time garbage collection can be preemptible, incremental, accurate, defragmenting, paced
Preemption of Garbage Collection

Preemption of GC by higher priority Java threads

Preemption of GC by higher priority non-Java threads
Classic VM running VM Response Test

Companies have reported gigabyte heap sizes of up to 30 seconds with PERC delays of up to 160 milliseconds. Delay (milliseconds) vs. 300 Samples.
# Static Linking and Ahead-of-Time Translation

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<thead>
<tr>
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<th>Dynamic Loading</th>
<th>Static Linking</th>
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<tr>
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<td>Not “traditional Java”</td>
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<tr>
<td><strong>JIT Compiled</strong></td>
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<tr>
<td><strong>AOT Compiled</strong></td>
<td>Not “traditional Java”</td>
<td>Not “traditional Java”</td>
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<table>
<thead>
<tr>
<th>ROM Size</th>
<th>RAM Size</th>
<th>Start Speed</th>
<th>Exec Speed</th>
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<td>Large</td>
<td>Large</td>
<td>Fast</td>
<td>Fast</td>
</tr>
<tr>
<td>Med</td>
<td>Med</td>
<td>Med</td>
<td>Med</td>
</tr>
<tr>
<td>Small</td>
<td>Small</td>
<td>Slow</td>
<td>Slow</td>
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Library Selection

Though the power of standard-edition Java libraries is an important part of Java’s appeal, deploying with full libraries is often undesirable:

- Memory/cost constraints may demand library subsetting
- Limitations of the embedded device may make certain libraries irrelevant (e.g. some devices have no file system, network connections, or GUI)
- Stability considerations may demand that certain systems freeze with older library versions
- Security and/or safety certification concerns may require exclusion of certain libraries

- Most vendors of embedded system virtual machines offer greater flexibility for library subsetting than the traditional Java license
- Some vendors provide tools to assist in analyzing interdependencies between libraries and “robustly stub out” omitted functionality
Eliminating Garbage Collection

- In systems that have severe memory or performance constraints, or that must be certified to the highest levels of safety rigor, garbage collection may be eliminated entirely.

As an object-oriented programming language, it is difficult (and unnatural) to write programs that do not allocate any memory at all.

In the absence of tracing garbage collection, temporary objects can be safely allocated on the run-time stack.

- The RTSJ introduces an explicit mechanism known as “scopes”.
- A simpler and safer specialization of RTSJ scopes is being developed in JSR-302 (safety-critical Java specification).
- Certain vendors provide tools to prove that scope allocations do not introduce dangling pointers, and to automate the calculation of scope sizes.
Direct Access to Hardware Devices

As a high-level programming language, Java strives to protect programmers from low-level details, like device access.

Real-time virtual machines provide various mechanisms to allow access to device interfaces, including:

- java.nio.MappedByteBuffer maps a region of physical memory to a Java data structure
- Some real-time virtual machines have specialized APIs that directly access physical memory locations, the implementation of which is “in-lined” by the JIT compiler.
Interrupt Handlers and Device Drivers in Java

- Most modern device drivers are interrupt driven
- Interrupt handlers are dispatched by hardware rather than software
- Traditional Java is not capable of running first-level interrupt handlers
- Code written in a style of “hard real-time Java”, avoiding all dependencies on garbage collection, can be dispatched by the interrupt handling hardware
Architecture and Design Considerations

- Note that Java is most relevant to larger, more complex systems that are beyond the “comprehension” of a single developer.
- Abstraction allows teams of developers to divide and conquer.
- But everyone must “see” the same abstractions.
- Don’t start development until you have a general architecture and design.
- Study available libraries, frameworks, and 3rd party offerings in order to determine relevance.
- Establish rules for interaction and separation of concerns between independently developed components.
Plan for maintenance, evolution, reuse

- The system architects and designers have greatest responsibility for organizing software so that it can evolve

- Object-oriented notations allow designers to specify component interfaces in ways that facilitate software reuse and evolution

- Establish practices that encourage communication, enable feedback from developers to designers, and allow refinements from designers to developers

- Spiral development and extreme programming offer many benefits
Establish Clear Separation of Concerns

- Expose internal details on a need-to-know basis
- Give proper attention to use of package, private, final restrictions during design
- Many real-time considerations violate traditional objectives of abstraction, for example:
  - How much memory is required to incorporate this component?
  - How much CPU time is required to invoke this service?
  - What demands does this component impose on the real-time garbage collector?
- Suggestions:
  - Architects and designers need to address real-time considerations as they specify the APIs, documentation, and other artifacts associated with specific real-time components
  - To aid maintenance and composition of components, consider wrapping components within a framework that assesses and represents resource needs
Real-time attributes of software components

- For active components, a real-time Java component framework might require individual components to identify themselves with regards to:
  - How many threads? What are their response time constraints? What is their demand for CPU time?
  - How much live memory is retained by this application?
  - What is the pace at which garbage memory is created by this application?

- For passive components, the component might report:
  - How much CPU time and memory is required to invoke each service?

- Resource requirements can be determined analytically or approximated empirically – to facilitate maintenance and reuse, bundle resource determination with the code

- If resource needs for certain code are not known, isolate this code (at lower priority or on distinct virtual machines)

  Note: a low-priority thread that allocates memory can hinder progress of high-priority threads that need to allocate memory
Efficient and robust integration of legacy and Java

- Most real-world systems are comprised of many different technologies, each targeting different needs and making different compromises.
- Typical real-time systems address a combination of hard real-time and soft real-time constraints.
- The option of using C for low-level hard real-time code and Java for higher-level soft real-time code is less desirable:
  - Performance overhead due to marshaling of data.
  - Reliability and maintenance challenges because C code compromises integrity of Java security system.
Real-Time Pyramid (Hierarchies and Layers)

- **signaling**: 10 μs
- **sensing**: 100 μs
- **actuation**: 10 ms
- **coordination**: 100 ms
- **tactics**: 1 s
- **strategy**: 10 s

Layers:
- **Custom Hardware**
- **Hard Real-Time and/or Safety-Critical Java**
- **Soft Real-Time Java**
- **Traditional Non-Real-Time Java**
Cooperating HRT Components

Registry.instance().publish("deviceXYZ", x);

Pico Hard Real-Time Execution Engine

Ultra Virtual Machine

Hard real-time Java and soft real-time Java may reside in the same address space for more efficient execution, or in partitioned spaces for improved determinism and easier safety certification.

jvmadapter.Registry.lookup("deviceXYZ");
All Java Solution Twice as Fast as C/Java!

- An ESC Silicon Valley 2007 demonstration: all Java application runs over twice as fast as hybrid solution comprised of Java and C.
- Further benefit: all Java solution is easier to develop and maintain, offering superior separation of concerns.

(Speed: 1.00) Ultra Pico Integration 100 ms
(Speed: 0.77) Ultra PNI Integration 130 ms
(Speed: 0.27) Ultra Standalone 370 ms
(Speed: 0.43) HotSpot Server with JNI Integration 231 ms
Summary

- Real-time Java has been successfully deployed in various commercial and defense applications.
- In successful projects, the choice to use Java has reduced certain risks and demonstrated concrete benefits.
- However, the mere act of choosing to use Java does not guarantee successful deployment, risk elimination, or software engineering benefits.
- Effective use of real-time Java requires effective management in order to address relevant success factors.
Acronyms

- BAE: British Aerospace Engineering
- COTS: Commercial off-the-shelf
- DSL: Digital Subscriber Line
- ESC: Embedded Systems Conference
- FELIN: Fantassin à Equipements et Liaisons Intégrées (French)
- FIFO: First in, first out
- FTTP: Fiber to the Premises
- GPON: Gigabit Passive Optical Network
- J2SE: Java 2 Standard Edition
- JSR: Java Specification Request
- J-UCAS: Joint Unmanned Combat Air Systems
- MDS: Mission Data System
- NASA: National Aeronautics and Space Administration
- RTSJ: Real-Time Specification for Java
- SONET: Synchronous Optical Network
- UAV: Unmanned Aerial Vehicle
- UK MoD: United Kingdom Ministry of Defence