**ABSTRACT**

The primary responsibility of the U.S. Army Research Institute for the Behavioral and Social Sciences (ARI) is to maximize Soldier effectiveness. ARI accomplishes its mission through research and development in the acquisition, training, utilization, and retention of Army personnel. ARI research and products affect every Army mission with a human performance component. As convenient references for qualified agencies and individuals and sponsors, ARI publishes lists of its technical and research publications. This issue of the publication list describes reports published during the period October 1, 2003, to September 30, 2004. It contains the abstract of each publication and the bibliographic information needed to identify a publication. The abstracts have been written, as far as possible, to describe the principal research findings in non-technical terms; however, technical language is used to communicate efficiently the details of research analysis. Author and subject indexing provide access to individual reports and topics. This annotated list for FY 2004 provides an idea of both the depth and scope of the ARI research effort, and is a valuable resource for anyone interested in military psychology from either a scientific or operational perspective.
Foreword

The means of dissemination of the results of the U.S. Army Research Institute for the Behavioral and Social Sciences’ (ARI) research and development/studies and analysis program vary widely depending on the type of work, the subject matter, and the sponsor/proponent. Typically, major findings with immediate policy and procedural implications are briefed to sponsors and proponents in order to enable timely implementation. This is followed up with complete documentation in the form of research and technical publications such as the ones listed here. In many cases, these documents represent the actual item handed off to the sponsor/proponent; this is particularly true of the Research Product category. In other cases, results are published in order to provide a complete record of the work done, and for future reference by researchers doing work in the same or similar areas.

This annotated list for FY 2004 provides an idea of both the depth and scope of the ARI research effort, and is a valuable resource for anyone interested in military psychology from either a scientific or operational perspective.

MICHELLE SAMS                                  ZITA M. SIMUTIS
Technical Director                             Director and Chief Psychologist
                                              of the United States Army
List of U.S. Army Research Institute
Research and Technical Publications

Fiscal Year 2004

October 1, 2003 to September 30, 2004
With Author and Subject Index

Contents

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Introduction</td>
<td>vii</td>
</tr>
<tr>
<td>Technical Reports</td>
<td>1</td>
</tr>
<tr>
<td>Research Reports</td>
<td>11</td>
</tr>
<tr>
<td>Research Products</td>
<td>19</td>
</tr>
<tr>
<td>Special Reports</td>
<td>22</td>
</tr>
<tr>
<td>Study Reports</td>
<td>24</td>
</tr>
<tr>
<td>Study Notes</td>
<td>27</td>
</tr>
<tr>
<td>Research Notes</td>
<td>31</td>
</tr>
<tr>
<td>Contractor Reports</td>
<td>35</td>
</tr>
<tr>
<td>Books and Book Chapters</td>
<td>50</td>
</tr>
<tr>
<td>Conference Papers for FY 2004</td>
<td>50</td>
</tr>
<tr>
<td>Poster Presentations for FY 2004</td>
<td>57</td>
</tr>
<tr>
<td>Journal Articles and Outside Publications for FY 2004</td>
<td>58</td>
</tr>
</tbody>
</table>

Index of ARI Publications:

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Abbreviations</td>
<td>39</td>
</tr>
<tr>
<td>Author Index</td>
<td>39</td>
</tr>
<tr>
<td>Subject Index</td>
<td>45</td>
</tr>
</tbody>
</table>

iii
List of U.S. Army Research Institute
Research and Technical Publications

October 1, 2003 to September 30, 2004
With Author and Subject Index

Introduction

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ARI Publications

ARI publications are divided into separate, consecutively numbered categories appropriate to their intended audience and function. During fiscal year 2003, the following types of research and technical reports were issued by ARI:

Technical Report (TR). A report of completed research intended primarily for dissemination to researchers.

Research Reports and Technical Reports published by the U.S. Army Research Institute for the Behavioral and Social Sciences are intended for sponsors of research and development (R&D) tasks and for other research and military agencies. Any findings ready for implementation at the time of publication are presented in the last part of the Executive Summary. Upon completion of a major phase of the task, formal recommendations for official action normally are conveyed to appropriate military agencies by briefing or memorandum.
Research Report (RR). A report of completed research intended primarily for dissemination to military managers. Research Reports may deal with policy-related issues but typically do not include specific policy recommendations.

Research Product (RP). A user-oriented report intended to aid Army personnel. Examples are handbooks, manuals, and guidebooks.

Special Report (S). A published report on a topic of special interest or in-house research intended primarily for dissemination to a select audience.


Study Note (SN). A Study Note may contain or consist of technical text, computer code, diskettes or tapes with software, databases, codebooks or other documentation, raw data, data collection instruments, figures, tables, or any other products that do not concisely convey the import of a project but which must be archived for technical completeness.

Research Note (RN). An interim or final report typically of limited interest outside of ARI. It is filed with the Defense Technical Information Center (DTIC) but is not printed. Research Notes usually fall into one of the following categories:

- An in-house report that is of limited interest outside of ARI but is considered worth submitting to DTIC to be part of the Department of Defense (DoD) archive of technical documentation.
- An interim contract report that is of limited interest outside of ARI but is considered worth submitting to DTIC to be part of the DoD archive of technical documentation.
- A final contract report that is of limited interest outside of ARI but must be submitted to DTIC in accordance with Department of the Army regulations to close a contract.
- Material related to a Research Report or Technical Report (detailed tables, graphs, charts, sample forms, and sample training and testing materials) published as a Research Note to economize on printing and distribution.

Contractor Report (CR). An interim, or final report by a contractor that meets contractual obligations but is not defined by the other report categories.

ARI Distribution

Initial distribution of these publications is made directly by ARI. Research Reports, Technical Reports, Study Reports, and Research Products are distributed primarily to operational and research facilities and their sponsors in DoD, to other interested Government agencies, and to DTIC; copies of some reports are also sent to libraries participating in the Documents
Expediting Project. Research Notes, Study Notes, and Contractor Reports are filed with DTIC but are not published.

These publications are NOT available from ARI. DoD agencies and contractors can purchase paper copies or microfiche from:

Defense Logistics Agency
Defense Technical Information Center
8725 John J. Kingman Road, Suite 0944
Ft. Belvoir, VA 22060-6218
(703) 767-9030 or DSN 284-9030

Other Government agencies and the general public can obtain unclassified reports from:

U.S. Department of Commerce
National Technical Information Service
5285 Port Royal Road
Springfield, VA 22161
(703) 487-4650

NOTE: When requesting copies of these reports, use the DTIC accession number (AD - - - - - -) appearing in parentheses following the date of publication of each citation.
This report was developed under a Small Business Innovation Research Program, Phase I. The technology of the Objective Force Warrior (OFW), though still in the conceptual stage, promises to revolutionize warfare by providing information in unprecedented volume, particularly about the locations of threats and other human participants. Ensuring that Soldiers employ OFW technologies well may require a second revolution that focuses on training decision-making skills of warfighters. Predicting the effects of conceptual OFW technologies on decision makers is challenging. This research addresses this problem by laying the groundwork for a simulator that will accommodate a full research program—from discovering and validating requirements to building training—and enable the Army to observe future technology effects. The work triangulated a series of methods—knowledge elicitation methods, analyses, and exercises on a simulation test bed—to systematically examine and test hypotheses concerning decision making with future battle technologies. Our exercise of the test bed suggests there will be positive effects of the technology, as well as unintended negative effects. For example, we observed that threat-sensing technology simulated in this test bed simplified and focused the operation, but may have lulled players into a false sense of confidence in the technology’s capabilities.

Fifty-four officers in the Infantry Captains Career Course at Fort Benning, Georgia, participated in a training effectiveness evaluation of a video game named Full Spectrum Command (FSC). Half were assigned to play FSC and participate in normal course work for commanding a light Infantry company in urban offensive operations; the other half did only the normal course work. Pre-FSC measures were for military experience, general cognitive ability, and decision-making style. A questionnaire administered to officers who played FSC documented their sense of personal involvement in the FSC environment, their perception of the training value of the game, and their opinions of FSC strengths and weaknesses. Officers in both groups were assessed individually for the adaptiveness of their decision-making behavior as the commander of a light Infantry company during a tactical exercise using the Janus simulation. Shortcomings in experimental procedures confounded between-groups comparisons for adaptive decision-making behaviors, but other results suggest FSC can provide tactical experiences with potential training value. Prior military experience was related to personal involvement with FSC, perceived training value of FSC, adaptive decision-making behavior in Janus, and decision-making style. Officers who played FSC identified its strengths and changes desired in future versions of the game.
As the U.S. Army undergoes transformation, it will need reliable means of measuring and training complex new skills. Acquiring those will require analysis of human behavior in the context of human-in-the-loop simulations of Future Combat Systems (FCS) still in the concept exploration phase. The goal of the present effort was to establish and measure command group behavior observed in such a human-in-the-loop simulation via analysis of the verbal interactions of the command group. A coding scheme for command group verbal interactions was devised and applied to several simulation runs in which a command group of 4 experienced Army Lt. Col.’s controlled an array of simulated unmanned air and ground platforms to accomplish a deliberate attack mission. This report documents the development of the coding scheme, the analysis results, and considers various other approaches for analyzing verbal data.

TR 1142
How Formal Training Affects Soldier Attitudes and Behaviors Towards Digitization

This research measured how formal training affects Soldier patterns of behavior and attitudes towards digitization. A set of questionnaires was administered to 24 enlisted Soldiers and 12 officers attending formal classroom training for the Force XXI Battle Command, Brigade and Below (FBCB2) digital system. One questionnaire was administered before training, and a second after training was complete. The questionnaires assessed Soldier opinions of FBCB2 usefulness and also their attitudes associated with using the system. Soldier responses before and after training were compared to assess how training affected their attitudes and behaviors towards digitization. Results showed Soldiers generally feel FBCB2 is useful and worth the additional effort required to learn the systems. It also indicated that formal training in digital systems has a significant positive effect on Soldiers’ attitudes and behaviors towards digital systems. The results also seem to indicate that training may help Soldiers avoid maladaptive behavior patterns that have been identified in other areas. Behaviors such as disuse or over-reliance on automated systems, a significant problem in other areas, were relatively rare for Soldiers attending formal training.
TR 1143
Phase II Final Report on an Intelligent Tutoring System for Teaching Battlefield Command Reasoning Skills

This report documents Phase II efforts to develop a Socratic Intelligent Tutoring System (ITS) for Teaching Battlefield Command Reasoning Skills. The ultimate goal of this research program is to develop new ITS techniques and technology for teaching skills that cannot be taught as simple methods and procedures to be followed. Achieving expert levels of proficiency in professional-level reasoning skills—whether for battlefield commanders or for professionals in a wide range of other fields—requires extensive practice, coaching, and feedback. Students must be given a chance to drill on detailed and situation-specific knowledge, as well as high-level thinking habits and skills applicable across diverse situations. We studied exemplary coaching by observing tutoring sessions centered on Tactical Decision Games. We analyzed those sessions to identify behaviors that could be enacted and controlled by an automated Socratic tutor. We explored techniques and ultimately built an operational prototype exhibiting many of the key behaviors identified. We also built extensive tools to support authoring of the prototype’s knowledge and behavior. This work has produced extensive data, analysis, and implementation, advancing our understanding of, and ability to model, professional-level Socratic tutoring.

TR 1144
Identifying and Validating a Model of Interpersonal Performance Dimensions

Current models of job performance recognize its multidimensional nature but do not provide a comprehensive picture of the interpersonal requirements of jobs. As a first step toward developing a more cogent and comprehensive understanding of interpersonal performance, a taxonomy of the interpersonal requirements of jobs was developed and validated. An extensive literature review of interpersonal performance behaviors was conducted to develop a proposed taxonomy of interpersonal performance. Two studies were then completed to validate the proposed taxonomy. In the first study empirical evidence for the taxonomy was gathered using a content analysis of critical incidents taken from a job analysis. In the second study, confirmatory factor analysis was used to recreate the model based on ratings of the importance of and time spent on each interpersonal performance behavior identified in the model. Raters represented a variety of Army jobs and ranks. Confirmatory factor analyses supported the proposed taxonomy. Results also indicated that the criticality of several dimensions of interpersonal performance increased with increasing enlisted ranks. The importance of the results toward the identification of predictors of interpersonal performance is discussed.
The NCO21 research program was undertaken to help the U.S. Army plan for the impact of future demands on the noncommissioned officer (NCO) corps. The performance requirements and associated knowledges, skills, and aptitudes (KSAs) expected of future successful NCOs were used as a basis for developing tools that could be incorporated into an NCO performance management system geared to 21st-century job demands. This report documents the concurrent criterion-related validation of the predictor measures.

The predictor measures include the Armed Services Vocational Aptitude Battery (ASVAB), Assessment of Individual Motivation (AIM), and Biographical Information Questionnaire (BIQ), which are already used in the Army for other purposes. A written Situational Judgment Test (SJT), the Experience and Activities Record (ExAct), Personnel File Form (PFF21), and a semi-structured interview were developed for this project. Two types of rating scale instruments were developed for gathering criterion data. The Observed Performance Rating Scales ask supervisors to rate how well Soldiers perform in their current jobs and the Expected Future Performance Rating Scales have them predict how their Soldiers would perform in future conditions.

All of the predictors yielded one or more scores that were significantly correlated with the performance ratings. The SJT, interview, and some scores from the AIM and BIQ showed the most incremental validity over the current system. In this concurrent validation, the predictors were more highly correlated with performance at the E5 level compared to the E6 level.

It is widely recognized that Situation Awareness, SA, provides the foundation for decision making and action for Infantry warfighters. Recent research has investigated differences in SA between experienced and inexperienced officers, as well as areas of SA deficits. This Infantry Situation Awareness Training research program marks an initial effort to train Infantry warfighters in the skills necessary for developing superior battlefield SA. A two-module training program targeted at Infantry Platoon Leaders was developed. The SA Planner teaches time management and task prioritization skills, while the SA Trainer focuses more globally on developing knowledge bases and understanding the information requirements necessary to develop SA. Validation testing was conducted by giving the SA Trainer to a group of Royal Norwegian Naval cadets prior to combat fatigue exercises. Results show that trained cadets were more likely to correctly refuse to attack a civilian refugee camp than untrained cadets. In addition, trained cadets indicated that they had to spend more mental effort developing higher-order SA and determining how to best meet their goals. Even with minimal time, some training effects were found.
TR 1147
A Dialog-Based Intelligent Tutoring System for Practicing Battle Command Reasoning

This Phase II Small Business Innovation Research (SBIR) developed a dialog-based intelligent tutoring system (ITS) for interactive self-training of battle command reasoning. The system, called “Automated Tutoring Environment for Command” (ATEC), adapted the dialog management capability from AutoTutor (a dialog-based tutor developed by Graesser and colleagues at the University of Memphis) and integrated it with a cognitive model-based instructional agent (using CHI Systems’ iGEN cognitive agent framework). The ATEC system presents a battlefield situation and then initiates a dialog between a virtual mentor (instructional agent) and a student as they collaboratively discuss the situation. The virtual mentor poses questions, evaluates student responses, determines the sequence of questions, and ultimately assesses performance on the basis of the specificity of questioning and the depth of probing and hinting that is needed to adequately answer the questions. The results of the ATEC development effort showed some of the capabilities and limitations of tutorial dialog systems, and indicated areas for additional research and development.

TR 1148
Competency Based Future Leadership Requirements

The purpose of this research was to develop leadership requirements for the future Army. A competency framework that is used consistently throughout the force and that focuses on the functions of leadership will help align training, development, and performance management processes and better convey what leaders need to do. Numerous considerations were combined to generate the framework including: identification of the future of technological, geopolitical, and demographic factors; review of leadership theory; review of the evolution of Army leadership doctrine; identification of literature sources of leadership requirements; specification of the relationships leaders have with others; and comparisons of competency frameworks from the other military services. Through an iterative process, analysts developed competencies, components, and sample actions that were then reviewed by subject matter experts. A core leadership competency framework was developed that includes eight competencies and 55 components. The proposed core leadership competency framework serves to provide an analytically based description of leader requirements for the future. The incorporation of the framework into leader development processes is discussed as well as how the framework can be presented in doctrine.
Technical Reports

TR 1149
Emotional Synthetic Forces

Report developed under a STTR contract number DASW01-99-C-0037 entitled Emotional Synthetic Forces. The objective of this research was to make the decision-making process of complex agents less predictable and more realistic, by incorporating emotional factors that affect humans. To this end, researchers adopted an approach that promotes the emergence of behavior as a result of complex interactions between factors affecting emotions, integrated in a connectionist-style model, and factors affecting decision making, represented in a symbolic model.

This report explains the model sub-components, integration, and testing, which includes a description of the behaviors we used in the development of prototype, the design of experiments, a representative set of behavior patterns that emerged as a result of exercising the model over the design space, and recommendations for future work. Results of prototype suggest an effective means of increasing the variability of computer-generated forces (CGF) behavior in manner consistent with modern day emotional theories.

TR 1150
Year 1 Assessment of the Unit Focused Stability Manning
Monte D. Smith and Joseph D. Hagman. September 2004. (A428049)

The U.S. Army Alaska’s 172nd Stryker Brigade Combat Team (SBCT) is currently serving as the test unit for implementation of the newly developed Unit Focused Stability (UFS) manning system. Under UFS, combat forces are formed, trained, and deployed as intact units, with resulting stability and opportunity for accretive training serving to increase combat skills and cohesion above levels normally achieved in conventionally manned units. During the 172nd SBCT’s anticipated operational cycle, the impact of UFS will be formatively assessed to determine (a) the long-term impact of personnel stabilization on unit cohesion, (b) factors/conditions that enhance or detract from this cohesion, and (c) stabilization-related lessons learned for improving future UFS implementation. Assessment methods will include the use of surveys, interviews, and focus group discussions. Results from this first year revealed robust levels of cohesion and unit climate variables in spite of widespread concerns that UFS might negatively impact senior NCO and junior officer career progression. Major recommendations for improvement of UFS implementation included: (a) proactive distribution of comprehensive UFS ground rules, and (b) mitigation of UFS’ perceived negative impact on career progression. Next year’s assessment efforts will focus on platoon-level measurement of personnel external (i.e., unprogrammed losses/gains) and internal (i.e., duty position changes) turbulence and determination of the relation between turbulence and unit cohesion.
Research Reports

RR 1812

The Army’s ongoing transformation to Future Combat Systems (FCS) requires an unprecedented alliance of humans and machines. Creating an alliance that actually improves, and does not impede, battle command is a human systems integration challenge for FCS and particularly the area of command and control (C²). To address that challenge, the FCS C² program is investigating future battle command concepts at the small unit level. The FCS C² effort, led by Defense Advanced Research Projects Agency (DARPA) and Communications Electronics and Engineering Command (CECOM) with a focus on human performance by the U.S. Army Research Institute for the Behavioral and Social Sciences (ARI), included a series of command-in-the-loop experiments from October 2001 to March 2003. This report summarizes research methods and findings on human performance across four iterative and exploratory FCS C² experiments. The human performance findings reported are based on subjective measures and highly detailed objective measures of the command group’s verbal and human-computer interactions. Overall, the results provide an emerging empirical database on the C² functions and tasks required by an FCS command group in collaboration with prototype C² systems. More problematic conclusions on workload and training are followed by more promising conclusions on user-based involvement and proactive research, and particularly their potential for solving workload and training problems.

RR 1813
Advanced Bradley Full-crew Interactive Simulation Trainer (AB-FIST) Limited User/Functional Test
Margaret S. Salter. November 2003. (A419463)

This paper documents the technical advisory service provided by the U.S. Army Research Institute for the Behavioral and Social Sciences to the Training and Doctrine Command (TRADOC) Systems Manager Stryker/Bradley (TSM-SB) in a comparison assessment of the Bradley Conduct of Fire Trainer (COFT) and the Advanced Bradley Full-crew Interactive Simulation Trainer (AB-FIST). The informal limited user/functional test collected data in two areas. The first portion of the test was designed to compare the stated capabilities of the AB-FIST as compared to those of the COFT. The second portion of the test was designed to elicit feedback from experienced users about the new device. Based on this limited test, the AB-FIST was seen to perform as well as or better than the COFT, and could be used to supplement or augment the COFT where that device is unavailable.
RR 1814
Margaret S. Salter and James H. Centric. November 2003. (A419464)

This paper briefly documents the history of the initial Combat Leaders’ Guide (CLG) project from its inception in 1985 to the 2003 reprint of the CLG. At appendix, the 2003 version of the CLG is provided. The CLG is a pocket-sized job performance aid, useful to any Soldier. Over 50,000 CLGs have been made available to Soldiers in hard copy, and it is available at the U.S. Army Research Institute web site at http://www.ari.army.mil.

RR 1815
Training Future Force Leaders to Make Decisions Using Digital Information

This report was developed under a Small Business Innovation Research (SBIR) Program, Phase I. The research sought to understand differences in decision making between traditional Infantry operations and Objective Force Warrior (OFW) operations. In OFW, digital information such as video sensors and detailed map overlays will replace probabilistic cues from the environment. The question was whether and how digital information will change the way decisions are made and information is processed. Naturalistic Decision Making methodologies were used to understand the cognitive requirements of both types of operations. While the decisions themselves do not differ, the decision-making process and the information used are different with digital information than with traditional cues. Decision making with digital information is more analytical and the OFW small unit leader must be trained to maintain coherence in order to get an accurate picture of the mission environment. There are additional steps of data analysis and data fusion because the digital information is not from the leader’s own perception. Spatial orientation will become a key issue and skill in the electronic battlefield. This understanding of the decision process in the electronic battlefield was used to develop training requirements and a replicable methodology for addressing the training challenges.

RR 1816
MINDPRINT: Developing the Soldiers and Leaders of Objective Force and Beyond

This report was developed under the Phase I Small Business Innovation Research (SBIR) Program. Information technologies are changing the way organizations do business. In the case of the Army’s Objective Force, these technologies will fundamentally transform the way in which battles are fought. They will also transform the decisions, judgments, and cognitive tasks of Soldiers and leaders. Without adequate preparation for these new cognitive requirements, the Army will be ill-equipped to use, much less capitalize on, the new technologies. The need is to (a) identify, in advance, the requirements introduced by Objective Force technologies, and
Research Reports

(b) build skill and expertise around those requirements prior to implementation of the new technologies. In this research, a macrocognition framework was employed to study how Objective Force technologies will alter the cognitive landscape for small unit leaders. Data collection methods were developed to capture the macrocognitive requirements associated with the new technologies. Findings suggest that substantial changes will occur with regard to seven macrocognitive activities: the Sensemaking and Coordination functions, and the processes of Maintaining Common Ground, Developing Mental Models, Uncertainty Management, Attention Management, and Turning Leverage Points into Courses of Action. Preliminary implications for training addressing each of these macrocognitive activities are presented.

RR 1817
Training on Common Military Messages

The experiment examined what inexperienced Soldiers learned from a computer-based training program on four common Army messages: Spot Report, nuclear/biological/chemical, call for fire, and medical evacuation. It also examined the ability of Soldiers to complete tactical messages based on hypothetical combat scenarios and a prototype digital interface for the Land Warrior (LW) system. The message training focused on the doctrinal requirements for messages, not how to use the software interface. Soldiers (n = 48) were from the Infantry One Station Unit Training course. The findings showed that inexperienced Soldiers have limited knowledge of common Army messages. Although the Soldiers learned from the message training, the doctrinal training per se was shown to be a necessary, but not a sufficient condition for determining appropriate message content in hypothetical tactical situations. Soldiers also need training on digital message formats and menu selections, must possess the requisite military knowledge and experience, and have the ability to understand the battlefield situation and integrate critical elements of information. Additional research is needed on the types and length of training needed to prepare Soldiers to generate appropriate military messages.

RR 1818
Multi-Echelon Distributed Army Leaders’ Information Support Training (MEDALIST): Prototype Development and Recommendations for Future Research

As the U.S. Army approaches the Future Force timeframe, challenges include developing leaders who are proficient in the conduct of dispersed operations and delivering effective training when and where training needs arise. This report describes the recently completed Multi-Echelon Distributed Army Leaders’ Information Support Training (MEDALIST) project. The project was sponsored by the U.S. Army Research Institute for the Behavioral and Social
Research Reports

Sciences (ARI) Armored Forces Research Unit and had two primary objectives. The first was to identify selected communication requirements essential to conducting decentralized battle command in dispersed operations. The second was to develop and demonstrate a distributed approach for training those and related requirements in a multi-echelon, small group, deliberate practice setting. This report presents the project’s background, objectives, activities, and outcomes. It represents the final documentation of the project and includes recommendations for the continued development of the MEDALIST training approach and the design of future Army training and simulations.

RR 1819
Future Combat Systems Command and Control (FCS C²) Human Functions Assessment: Interim Report - Experiment 3
February 2004. (A420960)

The Army’s ongoing transformation to Future Combat Systems (FCS) requires an unprecedented alliance of humans and machines. Creating an alliance that actually improves, and does not impede, battle command is a human systems integration challenge for FCS and particularly the area of command and control (C²). To address that challenge, the FCS C² program is investigating future battle command concepts at the small unit level. The FCS C² effort, led by Defense Advanced Research Projects Agency (DARPA) and Communications Electronics and Engineering Command (CECOM) with a focus on human performance by the U.S. Army Research Institute for the Behavioral and Social Sciences (ARI), included a series of four command group-in-the-loop experiments from October 2001 to March 2003. This report provides exemplar research methods and findings on human performance from Experiment 3.

The human performance findings reported are based on subjective measures and highly detailed objective measures of the command group’s verbal and human-computer interactions. Interim conclusions are provided on the following topics: workload, training, automated measures, and human-system integration. In closing, a brief set of sustain and improve recommendations are provided for future research efforts. A companion report provides a more comprehensive assessment of future command group performance across FCS C² Experiments 1-4 (Lickteig, Sanders, Durlach, Lussier, & Carnahan, In Preparation).

RR 1820
Assessing the Effectiveness of the Close Combat Tactical Trainer

This report summarizes a project conducted to develop a methodology to evaluate collective training devices and apply that methodology to the Close Combat Tactical Trainer (CCTT). CCTT is a complex collective training device; there are significant cost and operational management issues in performing a controlled training transfer study or effectiveness evaluation. The study developed a qualitative method for performing qualitative effectiveness assessments and
used it to evaluate the effectiveness of CCTT. The methodology was demonstrated to be effective. Based on analysis of feedback and perceptions acquired during data collection visits to units and fixed sites the consensus opinion of users is that CCTT is performing the mission for which it was designed. Users have embraced the technology, are enthusiastic proponents as well as eager users, and have little criticism of the simulator technology, the overall training environment, or site operations. CCTT is a critical capability that provides a step or gate in preparing for major live training events. Users do not rate the importance of training in CCTT as highly as field training. Planning for CCTT exercises and command oversight of those activities varies significantly by unit.

RR 1821
Novice Versus Expert Command Groups: Preliminary Findings and Training Implications for Future Combat Systems

The U.S. Army’s ongoing transformation to Future Combat Systems (FCS) requires a commensurate transformation in training, particularly in the area of command and control (C2). This paper describes research comparing novice versus expert command group performance to identify training recommendations and implications. The research was performed by the U.S. Army Research Institute for the Behavioral and Social Sciences (ARI) in conjunction with the FCS C2 program. Comparative results were based on objective measures of verbal and human-computer interaction (HCI) as well as subjective measures of workload, performance success, training, prototype effectiveness, and human system integration. Significant differences were identified between novice and expert command groups including lower verbalization and HCI rates by novices during enemy target identification, and higher rates of verbalization and HCI by novices in support of the destruction of enemy targets. Training examples were provided for more specific and tangible guidance to training developers and designers. Overall, the findings and training implications underscore the new training requirements for FCS, particularly embedded training, for transforming novices into experts in future command groups.

RR 1822
Instructional Characteristics and Motivational Features of a PC-based Game

The purpose of the research was to identify and assess instructional and motivational features of a first-person-perspective game environment. The game used was “America’s Army”, a popular PC-based game developed by the U.S. Army to inform “recruiting age” individuals about the Army. Twenty-one participants first completed a pre-game questionnaire that assessed prior knowledge of information presented in the game, after which they played the “basic training” sections of the game. Then, participants answered questions regarding information presented during the game and about motivational aspects of the game. Participants recalled
procedures better than facts. Information relevant to the progression of the game was recalled better than information that was not. Graphic images and spoken text were recalled more accurately than printed text. Realism, challenge, exploration, and control were factors that influenced motivation. The findings of the current research were specific to a single PC-based first-person-perspective game, but were in agreement with a broad range of research using other types of games and instructional media.

**RR 1823**

*Knowledge Networks for Future Force Training: Illustration of Searching, Retrieval, and Communication Concepts*


U.S. Army units are transforming to become a lighter, more mobile Future Force that can operate within joint and coalition environments. To enable Future Force units to achieve successful deployment, the Army is developing knowledge networks to provide access to a wide range of information across military operations. To use the vast amount of available information effectively, knowledge networks must include procedures that organize information, identify the most relevant information to meet a user request, authenticate retrieved information, and allow the user to add new information to the network. This project develops and demonstrates a prototype knowledge network that illustrates methods to retrieve information needed to facilitate mission accomplishment, and to share this information among Soldiers and units.

This research began with an information engineering activity that analyzed the functions and tasks of Future Force units to identify information requirements. The effort proceeded to develop a prototype knowledge network incorporating relevant existing technologies, including (a) Web crawlers, (b) searching and indexing, (c) rich site summary news feeds, (d) Web logs, (e) user profiling, and (f) personal messaging. The prototype was demonstrated to four Army officers and enlisted personnel, whose impressions provided feedback used to revise the prototype and to make recommendations for future system enhancements.

**RR 1824**

*Assessment of the Think Like a Commander Training Program*

Scott B. Shadrick and James W. Lussier, July 2004. (A425737)

The Think Like a Commander and the Adaptive Thinking Training Method have been used to train adaptive thinking, a specific component of battlefield thinking. The training method uses cognitive battle drills to apply deliberate practice training concepts to commanders’ battlefield thinking skills and allows officers to model their battlefield understandings, plans, visualizations, and decisions after expert tactician’s thinking patterns. The research described in this report documents the results of the use of the Think Like a Commander training program in the Armor Captain’s Career Course at Fort Knox, Kentucky, and experimentally assesses the value of the training. The analysis indicated that use of the Think Like a Commander leads to significant performance gains in a critical area of battlefield thinking: the ability to rapidly
Research Reports

analyze a tactical situation in order to identify the critical information needed for decision-making.

RR 1825
Measuring Digital Battle Staff Proficiency in Current and Future Forces
Bruce C. Leibrecht, Karen J. Lockaby, and Andrew M. Perrault, and Larry L. Meliza.
July 2004.  (B301959)

This report deals with the digital proficiency measurement and feedback needs of battle staffs equipped with advanced information age battle command technologies. The report begins by describing key indicators of digital staff proficiency and presenting a practical guide that leaders and trainers can use to assess how well staff elements exploit fielded digital capabilities. It next examines common deficiencies among current digitally-equipped battle staffs and how Future Force capabilities might impact the staff performance environment. The authors then consider performance measurement challenges of current and future battle staffs, exploring how measurement techniques might evolve. Finally, the report discusses feedback requirements and capabilities in current and future forces, and recommends global design features for a comprehensive After Action Review system. Altogether the findings provide tools and knowledge for building and sustaining high-proficiency battle staffs, paving the way for developing a battle-focused measurement and feedback architecture for the Future Force.

RR 1826
Digital Proficiency Levels for the Brigade and Battalion Battle Staff
Bruce C. Leibrecht, Karen J. Lockaby, Andrew M. Perrault, and Larry L. Meliza.
July 2004.  (A425934)

This report results from ongoing work to develop a digital proficiency measurement architecture that includes the battle staff equipped with the Army Tactical Command and Control System (ATCCS). The report first examines primary dimensions of ATCCS-enabled performance, including major system capabilities, high-payoff user skills and tasks, and network management skills. It then explores how ATCCS exploitation contributes to critical staff functions, with an emphasis on integration across Battlefield Operating Systems (BOSs). The discussion includes findings on brigade versus battalion differences and digital versus analog staff processes. A family of proficiency level matrixes is presented for six major staff sections. Finally, the report discusses implications of the findings for digital proficiency measurement and after action review (AAR) procedures. Altogether the findings point to high-payoff proficiency targets, paving the way for ATCCS exploitation guidelines and analysis of measurement and AAR requirements for the Future Force. The report includes recommendations for harnessing the knowledge products.
This research refines and operationalizes the 2003 definition of Warrior Ethos and examines a means for its inculcation into the Army. Specifically, the research considered Initial Entry Training (IET) of enlisted Soldiers as an initial opportunity for the application of potential solutions, although the concepts apply to officer initial military training as well.

The U.S. Army Infantry School Task Force Soldier’s 2003 definition of Warrior Ethos (Mission First, Never Quit, Never Accept Defeat, Never Leave a Fallen Comrade) was examined, and further broken down into the values-based attributes exemplified by a Soldier who demonstrates Warrior Ethos. Using a theoretical framework, these attributes were linked to specific behavior, the execution of which represented an operationalization of Warrior Ethos as a complex concept. The desirable behaviors, captured in nine Warrior battle drills, were also considered from the standpoint of barriers or friction to their execution. A tentative methodology for training was then postulated.
Research Products

RP 2004-01
Think Like a Commander—Excellence in Leadership: Educating Army Leaders with the Power Hungry Film

This instructor’s guide is the first of two research products that describe how to use Think Like a Commander-Excellence in Leadership (TLAC-XL) in an instructional setting. This guide describes how instructors can use the film from TLAC-XL as a case study to facilitate discussion on various leadership topics, particularly those that pertain to creating effective interpersonal relationships. Instructors may find this training tool highly relevant for Captains, Lieutenants, and junior non-commissioned officers (NCOs) expected to engage in stability and support operations (SASO) in the Middle East. This guide provides an analysis and hard copy of the case study; discusses the teaching themes embedded in the case study; presents tips for promoting effective discussion; and offers lesson objectives, discussion questions and answers, and an overview of the case study as an instructional vehicle. Information about how to obtain the case study and technical requirements is included in the document.

RP 2004-02
Feedback to Improve Team Training (FITT) Vignette Technology for Future Command/Staff Teams
Raymond P. Bernhagen, Bernard E. Wilson, Robert E. Wray, Bruce C. Leibrecht, and Angela I. Karrasch. April 2004. (M201823)

In support of Army Transformation, the research explored training approaches for command/staff teams in the Future Force Unit of Action (UA). This product contains the assessment and training support materials associated with the Feedback to Improve Team Training research report. This product is a library of prototype training vignettes addressing the performance requirements and operational environment of UA command/staff teams. The training vignettes are supported by streamlined guides for the training audience, coach, observers, and role players. A key element of this product is a core set of strategies and techniques for immediate, remedial and summative feedback. Also included are assessment materials for measuring team performance, team processes and feedback effectiveness. The collective products establish an innovative springboard for investigating issues, methods and tools focused on training in a Joint, Interagency, and Multinational (JIM) environment.

RP 2004-03
Virtual After Action Review System (DIVAARS)

This product includes the operator’s manual for the Dismounted Infantry Virtual After Action Review System (DIVAARS). The 16-page manual provides complete instructions for the installation and use of the DIVAARS software. Along with the manual, a description is presented of why and how the manual was developed, including a detailed account of usability testing. Information about obtaining the DIVAARS software is included.
Research Products

DIVAARS can function as the After Action Review system for any exercise conducted with Distributed Interactive Simulation (DIS) compliant systems. Examples of DIS systems are: the Squad Synthetic Environment (SSE), Virtual Emergency Response Training System (VERTS), and the Fully Immersive Team Training (FITT) system. DIVAARS is especially useful for training small teams that operate on foot (versus from within vehicles) and in urban areas (including operations inside of buildings).

DIVAARS requires a dual Pentium III, 1.0GHz PC (or single equivalent processor, e.g., Pentium 4, 2.0GHz), 512MB RAM, 40GB drive, and a hardware-accelerated video card (e.g. nVidia GeForce 4). DIVAARS runs on Red Hat Linux (version 8.0 or 9). Other software requirements (freeware via World Wide Web) include the Virtual Environment Software Sandbox 3.0.0, Open Scene Graph 0.9.6, and OpenAL.

RP 2004-04
A Scenario-Generation Package For Assessing and Training Leader Skills

This report documents the development of a scenario tool set with variable factors that can be used to assess or train leader skills as the Army transitions to the Future Force. The components of the tool set can be tailored to produce 45 basic scenarios. Each scenario can be altered by injecting events to create more exercise conditions. All source documents needed for leader planning are available, to include supplemental map boards; each can be modified to conform to the desired exercise requirements. A User’s Manual containing a step-by-step guide and a specific example of how to use the scenario tool set is available to assist evaluators and trainers. Use of these scenarios requires advanced planning to select the modules, components, and incidents to create the desired situation. The scenarios and resulting exercise vignettes, administered in a constructive or virtual simulation, will provide a realistic representation of expected battlefield events to allow leader skills to be assessed or trained. The scenario tool set can be used to train leader skills or research the impact on leaders of changes in organizations or technological capabilities. It can also be used for generating standardized scenarios for analysis purposes.

RP 2004-05
Think Like a Commander – Mission to Azerbaijan: Student Installation Disk
Charles G. Heiden, Scott B. Shadrick, and Aaron G. Davies. September 2004. (M201768)

The Think Like a Commander and the Adaptive Thinking Training Method have been used in face-to-face instruction at the Armor Captain’s Career Course at Fort Knox, Kentucky, to train adaptive thinking, a specific component of battlefield thinking. This CD-ROM contains the installation program needed to use the Think Like a Commander – Mission to Azerbaijan software application. The training method uses cognitive battle drills to apply deliberate practice training concepts to commanders’ battlefield thinking skills and allows officers to model their battlefield understandings, plans, visualizations, and decision after expert tactician’s thinking patterns. The present research product provides the material a student would require to complete
the training. An instructor version of the material and an assessment of the training effectiveness are also available from the U.S. Army Research Institute.

**RP 2004-06**

**Think Like a Commander – Mission to Azerbaijan: Instructor Materials**

U.S. Army Research Institute for the Behavioral and Social Sciences – Fort Knox, and 16th Cavalry Regiment. September 2004. (M201767)

The Think Like a Commander and the Adaptive Thinking Training Method have been used in face-to-face instruction at the Armor Captain’s Career Course at Fort Knox, Kentucky, to train adaptive thinking, a specific component of battlefield thinking. The training method uses cognitive battle drills to apply deliberate practice training concepts to commanders’ battlefield thinking skills and allows officers to model their battlefield understandings, plans, visualizations, and decisions after expert tactician’s thinking patterns. The method was applied to distance learning and the result was the *Think Like a Commander – Mission to Azerbaijan* training tool. The present research product provides the materials an instructor would require to facilitate the training. A student version of the materials and an assessment of the training effectiveness are also available from the U.S. Army Research Institute.
**S 58**

**Digital Skills Training for Net-Centric Operations**

Multiple research activities identified training methods that enhance Army net-centric operations where Soldiers use sophisticated digital systems that interact over an electronic network. Researchers collected information from Soldiers over five years, from 1999 to 2003, to understand how “going digital” changes responsibilities and training needs. Soldiers documented training preferences and shared frustrations and successes as their understanding and expertise evolved over time. This special report presents principles and recommendations, including supporting evidence from extensive experimentation and field surveys, for training Soldiers to maximize their use of digital systems. Results should help Soldiers to increase the speed and the ease of transformation to net-centric operations.

**S 59**

**Virtual Environments for Infantry Soldiers**
Charlotte H. Campbell, Bruce W. Knerr, and Donald R. Lampton. May 2004. (A425082)

Environments for Dismounted Soldier Simulation, Training and Mission Rehearsal. The four-year (Fiscal Year [FY] 99-FY 02) STO effort was proposed to address a range of U.S. Army future operational capabilities described in U.S. Army Training and Doctrine Command (TRADOC) Pamphlet 525-66 (U.S. Army Training and Doctrine Command, 1997). The STO activities and goals were focused on overcoming critical technological challenges that prevented effective Infantry Soldier simulation. The U. S. Army Research Institute for the Behavioral and Social Sciences (ARI) led a team of both government and industry developers in examining simulation capabilities for Infantry. The other government partners were the U.S. Army Simulation, Training, and Instrumentation Command (STRICOM) and the U.S. Army Research Laboratory Human Research and Engineering Directorate (ARL-HRED) and Computational and Information Sciences Directorate (ARL-CISD). Each of the major players had a particular area of interest, but all worked together to explore concepts and systems and to recommend directions for further work on training, concept development, and mission rehearsal. The overall goal for the STO was to develop a demonstration Infantry leader trainer at the fire team, squad, and platoon level. The envisioned system would include a variety of capabilities: for leader trainees to execute a series of realistic training scenarios (combat operations and support operations) in the simulator; for subordinates, other friendly forces, enemy forces, and civilians to be represented by computer-controlled or semi-automated agents; and for repeated practice, enhanced by training features, coaching, and AARs, that would build decision-making and coordination skills.

**S 60**

**Fiscal Year 2004 Program**

The mission of the U.S. Army Research Institute for the Behavioral and Social Sciences (ARI) is to maximize individual and unit performance and readiness to meet the Army
operational requirements through advances in the behavioral and social sciences. The purpose of this document is to describe the work that ARI will accomplish in its Fiscal Year 2004 program.
Study Reports

SR 2004-01
Applying a Multi-Skilled Soldier (MSS) Concept to the Stryker Brigade Combat Team (SBCT)

The general purpose of this study was to deepen and broaden thinking about the nature and implications of possible Multi-Skilled Soldier (MSS) Concept implementation. Specific objectives were (1) to determine applicability of the MSS to the Stryker Brigade Combat Team (SBCT), as it might be implemented in Initial Entry Training (IET) and (2) to prototype MSS Concept implementation for the SBCT, considering possible implementation for the Future Force. The report also defines the MSS; shows how the MSS Concept might fit conceptually within a larger Army training, education, and professional development model for Soldiers of all ranks; offers an MSS Program design for IET; and crafts actionable recommendations regarding general MSS implementation for IET. The study concludes that the MSS Concept is fully applicable to the SBCT, as well as the so-called Current Force. It also concludes that MSS implementation would have a significant salutary effect on unit training readiness postures across the force. This study relied heavily on insights and analysis gained from interviews with groups of senior NCOs and officers within the 3rd Brigade (SBCT), 2nd Infantry Division, Ft. Lewis, WA, during September 2002.

SR 2004-02
Basic Officer Leader Course (BOLC): Recommendations on the Phase II Program of Instruction, Cadre Selection, and Cadre Train-up

This paper provides overall recommendations on three aspects of the Phase II Basic Officer Leader Course (BOLC), as it was conducted at Fort Benning, GA during 2001-2003. BOLC Phase II is part of the newly designed three-phased officer-training program. After pre-commissioning, Phase I, lieutenants report to a common site for a standardized program of instruction heavily focused on leadership, counseling and field craft in a hands-on field environment (Phase II). After completion of BOLC Phase II, lieutenants attend Phase III of their training (traditional officer basic course), to learn their branch specific skills. After assessment of the four Pilot programs, ARI makes recommendations of the final Program of Instruction, cadre selection and cadre train-up. Properly resourced, BOLC can be a powerful tool in preparing officers to become confident and competent small unit leaders.

SR 2004-03
Linguist Training and Performance
Jerry M. Childs. February 2004. (A420932)

This study examined the effectiveness of training programs delivered by the Defense Language Institute Foreign Language Center (DLIFLC) and Advanced Individual Training (AIT).
Study Reports

Data were gathered from linguists assigned to AIT or units, using Web-based questionnaires. DLIFLC graduates (n = 100), bypass linguists (n = 26) and their AIT instructors (n = 12), unit linguists (n = 11), and unit supervisors (n = 3) provided Likert ratings and narrative comments to address eight major areas of interest. Results indicate that linguists feel that DLIFLC and AIT are adequately preparing them for their jobs, that minor curriculum modifications can yield more effective DLIFLC and AIT programs, and that bypass linguist responses were similar to those of DLIFLC graduates. Linguists prefer learning by interacting with instructors and peers rather than using technology-based instruction such as with distance learning.

SR 2004-04
Replication of Zeidner, Johnson, and Colleagues’ Method for Estimating Army Aptitude Area (AA) Composites
Tirso Diaz, Michael Ingerick, & Mary Ann Lightfoot. April 2004. (A426299)

To select and classify recruits to entry-level jobs, the Army employs nine Aptitude Area (AA) composites that are derived from the Armed Services Vocational Aptitude Battery (ASVAB). Effective January 2002, the Army adopted an “interim” set of nine AA composites based on empirically estimated weights for a seven ASVAB test battery. Zeidner, Johnson, and colleagues had developed these “interim” composites as part of ongoing research into improved military classification systems. The purpose of the present study was to independently replicate and document – as a prerequisite for subsequent evaluation – the Zeidner, Johnson, and colleagues’ method, and previously reported results, for the 9, 17, and 150 composites comprising their proposed classification system. Following Zeidner, Johnson, and colleagues’ method, the present study successfully reproduced the 9, 17, and 150 composites. These findings support the operational use of the 9 “interim” composites, as well as the use of the 9/17/150 composites in future research and policy analysis evaluating the potential of the proposed two-tiered classification system to substantially improve Army-wide classification and assignment.

SR 2004-05
Estimating Academic Attrition from Technical Training School Data: Method and Simulation Results
Tirso Diaz, Michael Ingerick, Robert Fowler, and Mary Ann Lightfoot. August 2004. (A426300)

This study proposes a logistic regression-based approach for estimating academic attrition from technical training school data. The proposed approach enables Army personnel managers to evaluate tradeoffs when making decisions about where to set minimum enlistment standards. A large-scale simulation was conducted based on actual training school data from a selected MOS to evaluate the approach and to assess sampling error of estimated attrition rates under different sample sizes and operational scenarios. Major findings indicate that: (a) a simple approach based on the logistic regression using only cognitive aptitude information is adequate for evaluating impact of
changes in minimum enlistment standards on academic attrition for MOS with medium validity or greater; (b) a large enough sample size allows smaller changes in minimum enlistment standards to achieve a targeted attrition rate with confidence; and (c) personnel and training decisions could be greatly improved by extending the current model to incorporate information in addition to cognitive aptitude. The report includes a ready-to-use statistical program for applying the proposed approach to actual training school data for the purposes of making operational decisions.
The future force has defined the U.S. Army as it is expected to exist within the next 30 years (U.S. Army, 2001). The future force will be supported by Future Combat Systems (FCSs) that will improve the speed, maneuverability, fighting capacity, and survivability of the Army’s combat operations. Transformation to the future force obviously requires tremendous advances in technology to provide the FCSs that will enable the future force. Just as importantly, however, the Army recognizes the importance of its Soldiers in accomplishing the transformation, both in terms of making the transition and working effectively within the new systems. This transition will require future force Soldiers to possess unprecedented interpersonal skills in order to achieve success in their new unit structures. The goal of this Small Business Innovation Research Program (SBIR) Phase I effort was to identify the interpersonal knowledges, skills and attributes (KSAs) required of the future force Soldiers and identify innovative strategies for measuring those KSAs in future Soldiers.

This report discusses principles for identifying and categorizing jobs requiring Multi-Skilled Soldiers (MSS). These principles provide insight to key questions that the Army must answer in developing MSS, including how to define MSS, what operational and organizational objectives are trying to be achieved, how MSS will impact Military Occupational Specialty (MOS) restructuring, among others. This process of identifying job requirements is an important element in the MSS Developmental Blueprint which can be used to define and assess potential MSS implementation and sustainment courses of action. This paper describes concepts for defining unit functional requirements, MSS job requirements, and methods to derive the latter from the former. These are organized into the MSS Job Modeler (MJM). MJM included explicit definitions of unit functional requirements and MSS job requirements. Basic principles for job and task analysis as well as principles attributable to developing MSS are identified. The MSS principles include: defining MSS jobs in terms of tasks, knowledge, skills, and abilities; developing MSS to enhance unit deployability, sustainability, lethality, mobility, and survivability; modifying existing job structures to reflect combined arms units, assessing MSS feasibility in terms of mental and physical workload, and addressing Army wide job structure issues.
Study Notes

SN 2004-03
Understanding and Improving the Assessment of Individual Motivation (AIM) in the Army’s GED Plus Program
Deirdre J. Knapp, Eric D. Heggestad, and Mark C. Young (Editors). January 2004. (A42022)

The Assessment of Individual Motivation (AIM) test was developed by the U.S. Army Research Institute for the Behavioral and Social Sciences (ARI) to assess work-related temperament characteristics. In February 2000, the Army implemented AIM as a new market-expansion enlistment screening tool under the “GED Plus” program. Under this program, non-high school diploma graduates who might otherwise be ineligible for military service can enlist if they score sufficiently high on the AIM and meet other program requirements. This project addressed several operational issues pertaining to AIM’s ongoing use in the GED Plus program. Post-implementation investigations have included (a) a preliminary examination of the operational AIM’s validity against attrition under the GED Plus program, (b) the scaling of AIM alternate forms, (c) an examination of variables that might be used to supplement AIM in the prediction of first-term attrition, (d) fairness analyses, and (e) efforts to develop improved ways to score the AIM.

SN 2004-04
Fairness of Army ASVAB Test Composites for MOS and Job Families

Major goals of this research are to evaluate the fairness to minorities of the new operational ASVAB seven test composites and to compare the seven test composites with the previously utilized nine test composites.

The experiment evaluates fairness in predicting performance outcomes as measured by the actual SQT (Skill Qualification Test) scores of individuals assigned to MOS by the operational system. Fairness is measured by the difference between predicted performance and SQT scores across gender and race. Complete fairness is indicated by very small mean difference and fairness to minorities is present when mean difference in the minority group is zero or has a positive sign (overprediction). The sample uses ARI’s data set of ASVAB scores limited to 66 MOS and SQT scores obtained during FY 1987-1989. The total sample size of first-term enlistees was 83,132.

Findings indicate that the proposed new seven least squares estimate (LSE) test composites are comparatively fair to minorities. The results using seven test composites are comparable to the previous study using nine test composites.
Study Notes

SN 2004-05
Update of U.S. Army Research Institute’s Officer Personnel Research Data Bases for 2001 and 2002
Winnie Y. Young. June 2004. (A425354)

This document describes the procedures performed to add 2001 and 2002 personnel data to the Longitudinal and Core data sets of the U.S. Army Research Institute’s Officer Longitudinal Research Data Base (OLRDB) and to the Core Data Set of the Officer Standardized Educational Testing Data Base (OSETDB). These data sets were designed for research purposes and contain historical and current data on U. S. Army commissioned officer personnel. The OLRDB contains career history data primarily from the 1979 through 2002 Officer Master Files (OMF) and the Separation Officer Master Files (SOMF). The OSETDB contains academic measures for officer personnel commissioned between 1980 and 1990. In particular, the testing data includes Scholastic Aptitude Test (SAT) scores from the Educational Testing Service and American College Test (ACT) scores from the American College Testing Program for academic years 1973 through 1985.

SN 2004-06
Comparison of Alternative Methods of Measuring ASVAB Test Composite Fairness

The major objective of the present research is to compare the fairness measures obtained by the prediction error (PE) model with the Cleary model for female and black Soldiers utilizing the current Army aptitude area composites as predictors and Skill Qualifications Test (SQT) scores as the criteria. Fairness is traditionally defined as the absence of underpredictions for the minority groups that are considered potentially susceptible to discrimination. The Cleary model was chosen for comparison with the PE model because Cleary has been considered the “gold standard” of fairness measurement for more than three decades.

The models are compared for selection and classification and evaluated by a common metric using the same robust Army database in a double cross-validation design permitting objective estimates of prediction fairness.

The authors conclude that the results obtained for the PE and Cleary models are quite comparable for practical purposes for selection, but possibly not for classification. They find that the PE model is the better of the two because of the precision that comes with utilization and reliance upon individual test scores.
DOD will implement new norms for the Armed Services Vocational Aptitude Battery (ASVAB) in July 2004. These norms will reflect the 1997 Youth Population, replacing the 1980 Youth Population norms currently in effect. The purpose of this Study Note is to document the descriptive analyses undertaken to examine the impact of the new norms upon selection and classification in the Army (Regular, Reserve, and Guard). The analyses were conducted with 2002 – 2003 test data denominated in both 1980 and 1997 scale scores. Under the new norms and existing Army selection standards, and in the absence of newly focused recruiting effort, we would expect some decline in the proportions of Test Score Category (TSC) 1-3A and 3B applicants, and some increase in TSC 4. This holds for all three components. Greater effects are projected for racial-ethnic minorities, and for females to a lesser extent. With regard to classification, small adjustments to cutoff levels for Aptitude Area (AA) scores are recommended to maintain existing percentage qualification rates for Army MOS.
Research Notes

RN 2004-01
U.S. Army Research Institute Program in Basic Research 2002 – 2003

This document contains detailed summaries for each of the U.S. Army Research Institute’s basic research contracts for the fiscal years 1998 - 2003. These summaries are grouped according to three Basic Research Office program objectives: Providing fundamental knowledge to improve training in complex, digital environments; providing fundamental knowledge to improve leader assessment and accelerate leader development; and providing fundamental knowledge for identifying and measuring the attributes and skills that are critical to soldier recruiting, performance, and retention in the transforming Army. In addition to summarizing what was done or is being done, each summary also describes the contributions of that research effort to basic behavioral science and suggests how the findings might benefit the Army and other military services.

RN 2004-02
Social and Cultural Dynamics of American Military Organization
David R. Segal. January 2004. (A419659)

This report reviews a program on military organization conducted during the last five years of the twentieth century. The collapse of the Soviet Union and the Warsaw Treaty alliance and the involvement of the American Military in operations other than war in coalition with other nations, defined the international context of this period. A full-employment economy and increasing levels of college attendance among American youth characterized the domestic environment. The research program focuses on six areas. 1) Attitudes and behavior of youth, including patterns, trends, and correlates of enlistment propensity and the relationship between propensity and enlistment. 2) Adaptation of soldiers to the military and to participation in peacekeeping operations. 3) Military families, including family adaptation to separation during deployment, communication between forward deployed soldiers and the home front, and family adaptation to disaster. 4) Gender diversity, including gender integration, sexual harassment, and the effect of gender on enlistment propensity. 5) Comparative research, including national differences in organizational adaptation to the end of the Cold War in Europe. 6) The intersection of the military and American society, including the impact of service on the civilian adaptation of veterans, and the economic impact of military bases on their host civilian communities

RN 2004-03
International Military Education and Multinational Military Cooperation

The core thesis is that American military officers can play a key role in countering incipient and overt perceptions of American arrogance. This report is based on interviews with international officers (IOs) at American war, command and staff colleges in each of the services
who participate in International Military and Education and Training (IMET). Overall, the level of multinational cooperation is remarkable. At the IMET level, recommendations include: (1) make medical insurance available for family members of all foreign officers; (2) decrease the classified material unavailable to IOs because of security classifications; (3) add more curriculum content on multinational operations; (4) be alert to the unique status of Arab IOs; and (5) make more effort to incorporate the spouses of IOs into the American social scene.

Regarding multinational headquarters, recommendations include: (1) some use of non-English phrases in social interaction with IOs; (2) avoid speaking too quickly or using acronyms that are not familiar; (3) be alert to the stereotype of Americans as having a “zero-defects” or “check-point” mentality and an obsession with work; (4) encourage more cross-national informal activities; (5) read something about the home country of a fellow IO; and (6) rethink the career paths of Foreign Area Officers.

RN 2004-04
Personnel Stabilization and Cohesion: A Summary of Key Literature Findings
Monte D. Smith, and Joseph D. Hagman. March 2004. (A421760)

Reviewed U.S. military-related research to (a) determine the effects of personnel stabilization on unit cohesion, and (b) identify conditions/factors found to either mitigate these effects or benefit from them. Consistent support was found for the sequential linkage of Personnel Stability → Bonding → Cohesion → Desirable Outcomes. Personnel stability promotes bonding processes that set the stage for the development of horizontal (soldier to soldier), vertical (soldier to leader and vice versa), and organizational (soldier/leader to the Army) cohesion. Cohesive units, in turn, consistently demonstrate enhanced performance across a broad array of outcome measures. Questions remain, however, concerning conditions/factors that promote, or inhibit, the development of unit cohesion and what the pattern of cohesion development looks like over time. Lessons learned from this review will be used to guide a long-term impact assessment of enhanced personnel stabilization resulting from implementation of the Army’s newly developed Unit Focused Stabilization Manning System within the U.S. Army, Alaska’s (USARAK’s) 172nd Stryker Brigade Combat Team.

RN 2004-05
Promoting Realistic Self-Assessment as the Basis for Effective Leader Self-Development
Jose Cortina, Steve Zaccaro, Lynn McFarland, Kate Baughman, Gabrielle Wood, and Eric Odin. April 2004. (B298034)

The overall objective of the proposed research effort was to design a leader development support program that grows self-regulation skills needed in successful self-development as well as other leader-relevant skills and targets distortion in self appraisals. The program includes assessment tools that measure attributes promoting a motivational orientation toward self-development, and the skills needed to successfully engage in self-development activities. Tools were examined that are resistant to self-enhancement biases and response distortions that can
impede realistic self-appraisals. Prototypical conditional reasoning and biodata items of selected attributes were developed to compare their utility and validity to more traditional measures in a follow-on effort. Finally, a template was designed for a computer-based program that can foster leader self-development through modules tailored to the abilities and dispositions of the trainee.

RN 2004-06  
FY 2003 GPRA Performance Report  
(B299158)

The FY 2003 Performance Report has been prepared in response to the Government Performance and Results Act (GPRA) of 1993, Public Law 103-62. It is ARI’s eighth annual GPRA performance report, providing feedback to ARI employees, managers, and the public as to how well ARI met the goals set forth in its FY 2003 Performance Plan. The performance data are also being used to reassess programs, establish FY 2004 goals, and continuously improve organizational practices related to performance planning and accountability.

RN 2004-07  
List of U.S. Army Research Institute Research and Technical Publications  
(A424163)

The means of dissemination of the results of ARI’s research and development/studies and analysis program vary widely depending on the type of work, the subject matter, and the sponsor/proponent. Typically, major findings with immediate policy and procedural implications are briefed to sponsors and proponents in order to enable timely implementation. This is followed up with complete documentation in the form of research and technical publications such as the ones listed here. In many cases, these documents represent the actual item handed off to the sponsor/proponent; this is particularly true of the Research Product category. In other cases, results are published in order to provide a complete record of the work done, and for future reference by researchers doing work in the same or similar areas.

This annotated list for FY03 provides an idea of both the depth and scope of the ARI research effort, and is a valuable resource for anyone interested in military psychology from either a scientific or operational perspective.
Research Notes

RN 2004-08
Development of Recruiter Assessment Measures for the U.S. Army

This report describes the important performance requirements of the Army recruiter job and provides a review of assessment and training practices in the current Army Recruiter Course (ARC), as well as applicable practices in the private sector. It reviews several areas of strength and opportunities for improvement in the ARC. In addition, the report describes how a research foundation for an integrated ARC assessment system may be developed at the Recruiting and Retention School. Finally, three products were developed to assist USAREC in their efforts to develop tools for the training and development of recruiters, the Army Recruiter Performance Rating Scales, a Situational Judgment Test (SJT), and a recruiter in-basket simulation exercise.

RN 2004-09 Canceled

RN 2004-10
Development of a Conditional Reasoning Measure of Team Orientation

This paper describes a two-year effort to develop a measure of personality-based team orientation using conditional reasoning (CR). A model of team orientation is proposed and the conditional reasoning testing methodology is reviewed. This paper recounts the test development and validation efforts surrounding two CR tests. Although validation efforts indicated that the tests did not achieve acceptable validity and reliability coefficients, individuals who wish to construct CR tests may find the section of “lessons learned” to be particularly helpful.
Contractor Reports

Additional reports submitted by contractors which are not listed in the previous categories.

CR 2004-01
Development of Officer Leadership for the Army: Preliminary Results

Two field experiments were designed to test some of the main propositions in transformational leadership theory. The main purpose for conducting these experiments was to develop a better understanding of how to accelerate the development of Army Officer leadership. It was done systematically to create specific leadership constructs that examined how they affected individual/group development, readiness, and performance over time. The results show a successful pilot project where officers in the experimental and control condition differed in learning ideas about leadership, while remaining similar in other ways from those not receiving transformational leadership training.

CR 2004-02
Working Memory Influences on Long-Term Memory and Comprehension

This project was conducted with the aim of understanding the role of working memory in the comprehension and long-term retentoin of event-specific information. This study looked at how comprehension and memory processing at the mental model level is related to traditional measures of working memory capacity, including the word span, reading span, operation span, and spatial span tests. Issues of particular interest were the ability to remember event descriptions, the detection and memory of functional relations, the detection of inconsistencies, sensitivity to causal connectivity, and memory for surface form, textbase and situation-specific content. Although traditional working memory span measures were related to a few of our tests, the relation was primarily confined to the textbase level of processing. There was little evidence that traditional measures of working memory span were directly related to processing at the mental model level.

CR 2004-03
Perspectives On Studying Collaboration In Distributed Networks

This report describes cognitive variables and frameworks that are useful in the investigation of network collaboration in Army environments. Network collaboration is currently under study by the U.S. Army Research Institute for the Behavioral and Social Sciences (ARI) in the context of performance in a simulated network task using the game SCUDHunt. SCUDHunt was developed by ThoughtLink, Incorporated for the Defense Advanced Research Projects Agency (DARPA). The use of SCUDHunt does not constitute endorsement of the product by ARI, the U.S. Army or the U.S. Department of Defense. Key variables that facilitate functioning in this simulated network environment are discussed, based on the results of interviews with proficient SCUDHunt players. Frameworks for examining the data from the SCUDHunt studies are presented. Finally, brief recommendations for the directions of future research in this domain are presented.
Members of small dismounted infantry units will face growing responsibilities and increasing challenges in combined arms combat and in contingency operations on the battlefield of the future. Many of these missions will take place in urban settings. Training for Military Operations in Urban Terrain (MOUT) is limited by time, cost, and safety factors. Virtual environment technologies have the potential to provide the Army with a training capability to meet these new demands. Critical to the success of these teams is a high level of situational awareness (SA) – both by individuals and as a team. Results of the Phase I SBIR for “Situational Awareness – Simulation Training and Assessment Toolset” (SA-STAT) are described. The main product of the research is a system architecture that leverages existing virtual environment infrastructure, automates the collection of data for currently used SA measurement methodologies, and incorporates several new SA measurement technologies. New SA measurement technologies include level of soldier arousal based upon physiological measurements, soldier behavior/decision-making/performance, a continuous SA Rating for individuals and the team, and a dynamic After-Action Review. A Scenario Authoring Methodology and Tool was also designed.

Dismounted Infantry training systems which make use of Distributed Simulation networks in Virtual Environments enable After Action Review of exercises such that “what” happened during an exercise can be reviewed by the trainees. The ability to determine “why” events happened and “how to improve” team and team leader performance can only be understood if more abstract, derived data representing the Situational Awareness (SA) of the team and team leader during an exercise is captured and reviewed. Current SA techniques disrupt the continuity of exercises. The Army requires new tools and techniques such that trainees and researchers can capture SA data during an exercise, but review it and analyze it later using powerful AAR and analytical tools. MÄK Technologies and FATS, Inc. performed the research needed to develop such a Situational Awareness for Dismounted Infantry system. This report describes market research and literature reviews used to determine the functionality and metrics needed for SA for DI. It also identifies an analytical product to use as the basis for an SA data analysis tool, which will allow us to develop this system and test it within an operational dismounted infantry training environment.
Effective communications are essential in military operations in urban terrain (MOUT). Leaders must coordinate distributed squads of Soldiers in hostile, urban battlegrounds through communication. However, though verbal communications are easy to capture, it traditionally takes days or weeks to analyze and assess these valuable data. Thus, communications analysis has become the province of research. Communications data are rarely available for use in assessment and training of Soldiers.

The AutoCAS vision is a system that automates communications analysis through a combination team performance science, voice recognition technology, and automated text classification techniques to provide training and assessment feedback for use in AAR settings to improve the communication performance of teams of MOUT dismounted Soldiers. In our Phase I work, we tested new science and technology that dramatically accelerates communications analyses, making it possible to debrief communications within minutes of the completion of a MOUT training scenario. Here we describe the development of a communications measurement scheme, assessment of voice recognition technologies and automated text classification technologies to support the automation of this scheme, and an initial system design for AutoCAS. Phase II will develop a functional prototype of AutoCAS culminating with a demonstration of AutoCAS in an infantry MOUT training exercise.

This report documents research on the development of concepts for a new measure of situation awareness (SA) to be used in virtual training exercises for assessing land warrior readiness for battle operations. Situation awareness measurement techniques were critically evaluated to assess the utility of the methods for adaptation to current ARI training systems and technologies. The measures were also reviewed to assess their adequacy for assessing levels of perceptual knowledge and infantry soldier ability to make comprehensions and projections on various elements influential on SA in battle situations. Query methods (e.g., freeze queries and real-time probes) of SA measurement were identified as the most compatible direct, objective measure of SA for application in the ARI training setup. Observer/expert ratings and self-rating were identified as superior subjective measures of SA for use with ARI virtual reality systems. Real-time probes and expert ratings of SA behaviors were adapted and integrated, along with assessments of team communications, to develop a new comprehensive measure of SA in virtual MOUT training. A computer-based method for assisting the delivery of probes was devised.
Methods for integrating qualitative and quantitative results of the various SA measurements and providing online and after action feedback to trainees were also developed.

CR 2004-08
Enlistment Propensities of University Students
Charles Moskos. October 2003 (A426874)

The core thesis is that American military officers can play a key role in countering incipient and overt perceptions of American arrogance. This report is based on interviews with international officers (IOs) at American war, command and staff colleges in each of the services who participate in International Military and Education and Training (IMET). Overall, the level of multinational cooperation is remarkable. At the IMET level, recommendations include: (1) make medical insurance available for family members of all foreign officers; (2) decrease the classified material unavailable to IOs because of security classifications; (3) add more curriculum content on multinational operations; (4) be alert to the unique status of Arab IOs; and (5) make more effort to incorporate the spouses of IOs into the American social scene. Regarding multinational headquarters, recommendations include: (1) some use of non-English phrases in social interaction with IOs; (2) avoid speaking too quickly or using acronyms that are not familiar; (3) be alert to the stereotype of Americans as having a “zero-defects” or “check-point” mentality and an obsession with work; (4) encourage more cross-national informal activities; (5) read something about the home country of a fellow IO; and (6) rethink the career paths of Foreign Area Officers.
Index of ARI Publications

Abbreviations

<table>
<thead>
<tr>
<th>TR</th>
<th>Technical Report</th>
<th>SR</th>
<th>Study Report</th>
</tr>
</thead>
<tbody>
<tr>
<td>RR</td>
<td>Research Report</td>
<td>SN</td>
<td>Study Note</td>
</tr>
<tr>
<td>RP</td>
<td>Research Product</td>
<td>RN</td>
<td>Research Note</td>
</tr>
<tr>
<td>S</td>
<td>Special Report</td>
<td>CR</td>
<td>Contractor Report</td>
</tr>
</tbody>
</table>

Author Index

Akman, A.  SN 2004-02
Archer, R.  RR 1815
Avolio, B.J.  CR 2004-01

Baker, K.  CR 2004-06
Barnett, J.S.  TR 1142
Baughman, K.  RN 2005-04
Baxter, H.C.  RR 1816
Beal, S.A.  TR 1140
Belanich, J.  RR 1822
Bernhagen, R.P.  RP 2004-02
Biggers, K.E.  RR 1823
Birch, D.K.  TR 1146
Birkeland, S.A.  RN 2004-08
Blankenbeckler, P.N.  RR 1817, RP 2004-04
Bliss, J.P.  RP 2004-03
Borman, W.C.  RN 2004-08
Bowden, T.  SN 2004-01
Bowens, L.D  TR 1141
Bowles, S.  RN 2004-08
Brown, S.H.  RR 1818

Campbell, C.H.  S 59
Carnahan, T.J.  TR 1141, RR 1812, RR 1819, RR 1821
Carpenter, T.D.  TR 1144
Cassella, R.  TR 1148
Centric, J.H.  RR 1814, SR 2004-02
Childs, J.M.  SR 2004-03
Chirico, M.C.  SR 2004-01
Chown, E.  TR 1149
Christ, R.E.  TR 1140
Clark, B.R.  RP 2004-03
Cortina, J.  RN 2004-05
Cronan, B.  TR 1148

Davies, A.G.  RP 2004-05
Dlubac, M.D.  RP 2004-04
Domeshek, E.A.  TR 1143
Dressel, J.D.  S 58
Driskell, J.E.  RN 2004-10, RN 2004-10
Durlach, P.J.  TR 1141, RR 1812, RR 1819, RR 1821
Dyer, J.  RR 1817

Elms, R.D.  RR 1823
Endsley, M.R.  TR 1146, CR 2004-07
Entin, E.  CR 2004-06

Fallesen, J.J.  TR 1148
Faulkner, L.A.  TR 1146
Flynn, M.R.  RR 1818
Fowler, R.  SR 2004-05
Franks, W. Jr.  TR 1148
Freeman, J.T.  TR 1139, CR 2004-06

Gately, M.T.  CR 2004-04
Goodwin, G.F.  RN 2004-10
Graesser, A. C.  TR 1147
Graves, C.R.  RR 1818
Greenston, P.M.  SN 2004-07

Hagman, J.D.  TR 1150, RN 2004-04
Heffner, T.S.  TR 1145
Heggestad, E.D.  SN 2004-03
Heiden, C.G.  RR 1818, RP 2004-05
Heinrich, M.L.  CR 2004-04
Henninger, A.E.  TR 1149
Horey, J.  TR 1148
Horgen, K.E.  RN 2004-08
Hu, X.  TR 1147
Hyatt, J.  TR 1146

Ingerick, M.  SR 2004-04, SR 2004-05

Jenkins, S.N.  RR 1818
Johnson, C.  SN 2004-04, SN 2004-06
Jones, R. TR 1149
Kaber, D.B. CR 2004-07
Karnavat, A. TR 1147
Karrasch, A.I. RP 2004-02
Katz, J. RR 1815
Keenan, P. SN 2004-01
Kinnison, H. RR 1827
Klein, G. RR 1816, RR 1827
Knapp, D.J. TR 1145, SN 2004-01, SN 2004-03
Knerr, B.W. S 59

Langevin, T. CR 2004-05
Laux, L. SN 2004-01
Leibrecht, B.C. RR 1825, RR 1826, RP 2004-02
Leighton, K. CR 2004-06
Le Metenc, J. C. TR 1147
Lewis, D.D. CR 2004-06
Lickteig, C.W. RR 1812, RR 1819, RR 1821
Lightfoot, M.A. SR 2004-04, SR 2004-05
Linegang, M.P. CR 2004-06
Littleton, E.B. TR 1139
Lockaby, K.J. RR 1825, RR 1826
Louwerse, M. M. TR 1147
Lussier, J.W. RR 1812, RR 1821, RR 1824

Martin, G.A. RP 2004-03
Mastaglio, T.W. RR 1820
McCloy, R.A. TR 1145
McDermott, P. RR 1815
McFarland, L. RN 2004-05
Meliza, L.L. RR 1825, RR 1826
Metzdorf, S. TR 1146
Mills, L.J. RN 2004-08
Mizrahi, G. CR 2004-06
Moses, F.L. S 58
Mosier, K. RR 1816
Morath, R. TR 1148
Moskos, C. RN 2004-03, CR 2004-08

Nelson, J.T. II SR 2004-01, SN 2004-02
Neumann, J.L. TR 1141

Odin, E. RN 2004-05
Orvis, K.L. RR 1822

41
O’Shea, P.G. RN 2004-10, RN 2004-10

Penney, L.M. RN 2004-08
Perrault, A.M. RR 1825, RR 1826
Peterson, P. RR 1820
Phillips, J. RR 1815
Popp, E. A. TR 1147

Radvansky, G.A. CR 2004-02
Reynolds, J.P. TR 1146
Riccio, G. RR 1827
Riley, J.M. CR 2004-07
Ross, K.G. CR 2004-03
Ryder, J.M. TR 1147

Salter, M. RR 1813, RR 1814, RR 1827, SR 2004-02
Sanders, W.R. RR 1812, RR 1819, RR 1821
Schaab, B.B. S 58
Segal, D.R. RN 2004-02
Shadrick, S.B. RR 1818, RR 1824, RP 2004-05
Shafer, J. RR 1816
Sibley, D.E. RR 1822
Smith, J. TR 1148
Smith, M.D. TR 1150, RN 2004-04
Smith, P.G. RR 1818
Stammers, P. CR 2004-05
Strater, L.D. TR 1146
Sticha, P.J. RR 1823
Sullivan, R. RR 1827
Sutton, M.J. RN 2004-08
Swetnam, S. TR 1146

U.S. ARI S 60, RN 2004-06, RN 2004-07
U.S. ARI – Ft. Knox, and 16th Cavalry Regiment RP 2004-06

Vladimirsky, Y. SN 2004-04, SN 2004-06
Vaughn, A.W. RR 1817

Waite, M. CR 2004-06
Wall, J.A. RR 1823
Wampler, R.L. RP 2004-04, SR 2004-02
Ward, J.N. RP 2004-01
Warwick, W. RR 1815
Weldon, S. SN 2004-04, SN 2004-06
Weiss, S.M. RN 2004-10
White, L.A. RN 2004-08
Williams, S. RR 1820
Wilson, B.E. RP 2004-02
Wisecarver, M.M. TR 1144
Wood, G. RN 2004-05
Wray, R.E. RP 2004-02

Yammarino, F.J. CR 2004-01
Young, M.C. SN 2004-03
Young, W.Y. SN 2004-05

Zaccaro, S. RN 2004-05
Zbylut, M.L. RP 2004-01, RN 2004-10
Zeidner, J. SN 2004-04, SN 2004-06
Subject Index

A Dialog-Based Intelligent Tutoring System for Practicing Battle Command Reasoning  TR 1147
A Scenario-Generation Package For Assessing and Training Leader Skills  RP 2004-04
Advanced Bradley Full-crew Interactive Simulation Trainer (AB-FIST) Limited User/Functional Test  RR 1813
Applying a Multi-Skilled Soldier (MSS) Concept to the Stryker Brigade Combat Team (SBCT)  SR 2004-01
Assessing the Effectiveness of the Close Combat Tactical Trainer  RR 1820
Assessment of the Think Like a Commander Training Program  RR 1824

Basic Officer Leader Course (BOLC): Recommendations on the Phase II Program of Instruction, Cadre Selection, and Cadre Train-up  SR 2004-02

Coding Verbal Interactions in a Prototype Future Force Command and Control Simulation  TR 1141
Comparison of Alternative Methods of Measuring ASVAB Test Composite Fairness  SN 2004-06
Competency Based Future Leadership Requirements  TR 1148

Development of a Conditional Reasoning Measure of Team Orientation  RN 2004-10
Development of Officer Leadership for the Army: Preliminary Results  CR 2004-01
Development of Recruiter Assessment Measures for the U.S. Army  RN 2004-08
Digital Proficiency Levels for the Brigade and Battalion Battle Staff  RR 1826
Digital Skills Training for Net-Centric Operations  S 58
Dismounted Infantry Situational Awareness Tool  CR 2004-05

Emotional Synthetic Forces  TR 1149
Enlistment Propensities of University Students  CR 2004-08
Estimating Academic Attrition from Technical Training School Data: Method and Simulation Results  SR 2004-05
Examining the Impact of ASVAB Renorming Upon Selection and Classification in the Army  SN 2004-07

Fairness of Army ASVAB Test Composites for MOS and Job Families  SN 2004-04
Feedback to Improve Team Training (FITT) Vignette Technology for Future Command/Staff Teams  RP 2004-02
Fiscal Year 2004 Program  S 60
Future Combat Systems Command and Control (FCS C^2) Human Functions Assessment: Interim Report - Experiment 3  RR 1819
FY 2003 GPRA Performance Report  RN 2004-06

How Formal Training Affects Soldier Attitudes and Behaviors Towards Digitization  TR 1142
Human Performance Essential to Battle Command:  Report on Four Future Combat Systems
Command and Control (FCS C²) Experiments  RR 1812

Identifying and Assessing Interaction Knowledges, Skills, and Attributes for Objective Force
Soldiers  SN 2004-01
Identifying and Validating a Model of Interpersonal Performance Dimensions  TR 1144
International Military Education and Multinational Military Cooperation  RN 2004-03
Instructional Characteristics and Motivational Features of a PC-based Game  RR 1822

Knowledge Networks for Future Force Training:  Illustration of  Searching, Retrieval, and
Communication Concepts  RR 1823

Linguist Training and Performance  SR 2004-03
List of U.S. Army Research Institute Research and Technical Publications  RN 2004-07

Measuring Digital Battle Staff Proficiency in Current and Future Forces  RR 1825
MINDPRINT: Developing the Soldiers and Leaders of Objective Force and Beyond  RR 1816
Multi-Echelon Distributed Army Leaders’ Information Support Training (MEDALIST):
Prototype Development and Recommendations for Future Research  RR 1818

New Measures of Situation Awareness for VE-based Training of Small Infantry Squads
CR 2004-07
Novice Versus Expert Command Groups:  Preliminary Findings and Training Implications for
Future Combat Systems  RR 1821

PC-Based Training to Improve Infantry Situation Awareness  TR 1146
Phase II Final Report on an Intelligent Tutoring System for Teaching Battlefield Command
Reasoning Skills  TR 1143
Personnel Stabilization and Cohesion:  A Summary of Key Literature Findings  RN 2004-04
Perspectives On Studying Collaboration In Distributed Networks  CR 2004-03
Predicting Rapid Decision-Making Processes Required by the Dismounted Objective Force
Warrior  TR 1139

Principles for Defining Multi-Skilled Jobs Based on Mission Requirements for Multi-Functional
Units:  The Multi-Skilled Soldier Job Modeler (MJM)  SN 2004-02
Promoting Realistic Self-Assessment as the Basis for Effective Leader Self-Development
RN 2004-05

Replication of Zeidner, Johnson, and Colleagues’ Method for Estimating Army Aptitude Area
(AA) Composites  SR 2004-04

Situational Awareness – Simulation Training and Assessment Toolset  CR 2004-04
Social and Cultural Dynamics of American Military Organization RN 2004-02

46
Think Like a Commander—Excellence in Leadership: Educating Army Leaders with the Power Hungry Film  RP 2004-01
Think Like a Commander – Mission to Azerbaijan: Student Installation Disk  RP 2004-05
Training Effectiveness Evaluation of the Full Spectrum Command Game  TR 1140
Training Future Force Leaders to Make Decisions Using Digital Information  RR 1815
Training on Common Military Messages  RR 1817

Understanding and Improving the Assessment of Individual Motivation (AIM) in the Army’s GED Plus Program  SN 2004-03
Update of U.S. Army Research Institute’s Officer Personnel Research Data Bases for 2001 and 2002  SN 2004-05

Validation of Measures Designed to Maximize 21st-Century Army NCO Performance  TR 1145
Virtual After Action Review System (DIVAARS)  RP 2004-03
Virtual Environments for Infantry Soldiers  S 59

Warrior Ethos: Analysis Of The Concept And Initial Development Of Applications  RR 1827
Working Memory Influences on Long-Term Memory and Comprehension  CR 2004-02

Year 1 Assessment of the Unit Focused Stability Manning  TR 1150
List of ARI Books and Book Chapters, Conference Papers, Poster Presentations, and Refereed Journal Articles
FY 2004
FY 2004 Books and Book Chapters


FY 2004 Conference Papers


Orvis, K.L. & Zaccaro, S.J. (2004, April). The importance of leadership processes in co-located and distributed teams. In J.S. Lyons (Chair) & S. Weisband (Co-Chair), *E-leadership: Diverse perspectives on leadership at a distance.* Symposium conducted at the 19th Annual Society for Industrial Organizational Psychology (SIOP) Conference, Chicago IL.


**FY 2004 Poster Presentations**


**FY 2004 Journal Articles**


