Basic Terminology and Concepts in International Peacekeeping Operations: An Analytical Review

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Foreign Military Studies Office (FMSO)
CENTER FOR ARMY LESSONS LEARNED (CALL)
FORT LEAVENWORTH, KS 66027-1327
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Standard Form 298 (Rev. 8-98)  
Prescribed by ANSI Std Z39-18
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The Secretary of the Army has determined that the publication of this periodical is necessary in the transaction of the public business as required by law of the Department. Use of funds for printing this publication has been approved by Commander, U.S. Army Training and Doctrine Command, 1985, IAW AR 25-30.

Unless otherwise stated, whenever the masculine or feminine gender is used, both are intended.

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BIOGRAPHICAL SKETCH

Colonel Andrei Demurenko was the first Russian officer to study at the Command and General Staff College in Fort Leavenworth, Kansas (1992-93). In January of 1995 Colonel Demurenko arrived in Sarajevo, where he assumed the post of chief of staff of the UN’s Sarajevo sector. At the time he wrote this article, Colonel Demurenko was serving on the General Staff of the Russian Armed Forces.

Dr. Alexander Nikitin is the director of the Center for Political and International Studies, an organization which he helped create in 1989. Located in Moscow, the Center is an independent research institute that does consulting work for a number of Russian government organizations, including the Ministries of Defense and Foreign Affairs.
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The Foreign Military Studies Office (FMSO) is a research organization of the Center for Army Lessons Learned (CALL), located at the Combined Arms Center, Fort Leavenworth, Kansas. As a part of CALL, FMSO’s basic mission of mid-term research and analysis has been strengthened by the development of a “crisis surge” capability. This capability draws on FMSO’s in-house resources, external specialist networks, and CALL information management technologies to meet quickly developing analytical requirements. In this way, FMSO produces timely and authoritative security assessments tailored for specific warfighter needs.

The U.S. Army Training and Doctrine Command (TRADOC) established the Soviet Army Studies Office (SASO) in 1986 to provide open-source research about the Soviet military to assist doctrine and curricula development for TRADOC. With the end of the Cold War, SASO became FMSO and began supporting a wider audience—broadening its horizons beyond Eurasia and reorienting its resources toward regional military and security issues around the globe.

Today, FMSO Foreign Area Officers and civilian area specialists assess a variety of international military and security concerns that have the potential to affect U.S. interests. These include the warfighting and peacetime activities of foreign militaries, emerging foreign politico-military issues, multi-national combined operations with respect to interoperability and compatibility, and the Army’s military-to-military contact program. FMSO personnel travel abroad extensively in support of military-to-military contact programs and research projects. In addition, FMSO analysts actively participate as adjunct instructors at the U.S. Army’s Command and General Staff College and the School of Advanced Military Studies.

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These publications provide U.S. Army commanders, policymakers, planners, and the general military community with a specialized body of professional knowledge. FMSO is committed to the future of open-source and international military academic research in support of Army leadership.
Translator’s Foreword

With the growing number of peace operations around the world, the use of standardized terminology helps define and delineate the nature, goal and scope of these operations. Most such operations will involve a multinational force. Given the inherent cultural, political and linguistic differences among nations, a clear and common terminology is essential. If multinational operations are to achieve maximum effectiveness with minimum risk, the relevant terminology must be uniformly understood by all participating nations, forces and personnel.

The concepts behind the terminology must also be commonly understood, particularly when working across several languages. If, for example, a US or other peacekeeper speaks of "rules of engagement," his Russian, French and Polish, etc., counterparts should all understand the concept in the same way. The translator cites this example from personal experience. Back in March of 1994, a team of Russian officers came to Fort Leavenworth to work on peacekeeping tactics, techniques, and procedures for an upcoming combined exercise. While the term "rules of engagement" already existed as a fixed expression in the U.S. military, the Russians could not immediately agree on just what the standard term should be in Russian, which meant that the concept itself needed clarification. Today, in 1997, several combined exercises and real-world operations later, everyone is fairly clear on the concept.

In the article excerpted and translated below, the Russian authors present a practical definition and analysis of peacekeeping terms and concepts. The military author brings direct personal experience to the subject, while the civilian author offers an academic perspective. Colonel Demurenko is an active duty officer in the Russian Armed Forces who served as chief-of-staff of the UN’s Sarajevo sector. Dr. Nikitin is a respected Russian academic with expertise in both domestic and international peace operations. The Russians have gleaned much hard-won experience from their peace operations in the "Near Abroad,” i.e., the newly autonomous former Soviet republics, and from their participation in international operations. Since Russia’s troubles at home and in the Near Abroad are far from over, the authors approach this subject seriously and with a sense of urgency.
Basic Terminology and Concepts in International Peacekeeping Operations: An Analytical Review

Introduction

A system of generally accepted peacekeeping terms and concepts has now evolved in international practice. These terms and concepts describe the various types of peacekeeping operations and the directives which pertain to them, and they characterize the actions which are taken in the course of performing these operations. For Russian government structures involved in such operations on CIS territory, analyzing and classifying this terminology has considerable practical importance. An incorrect or inexact usage of terms may lead to confusion or mutual misunderstanding, especially in the conduct of international operations. More important still is that this terminology reflects the essential features of the operations and plays an important role in their planning and execution.

Russia does not yet have officially approved peacekeeping terminology. This lack of terminology causes certain problems in preparing for and implementing such operations inside the Commonwealth of Independent States (CIS), and it makes application of internationally accepted standards difficult. Some difficulties also arise because nearly all of the terminology and concepts used in peace operations were developed and formulated in English. Hence, it is not always possible to translate them into Russian literally and yet preserve all the unique features of the English "original."

War and “Operations-Other-Than-War”

Peacekeeping operations involve both political activity and the use of an armed force, and they differ in a number of fundamental aspects from classic warfare and traditional combat. They pertain to a broad group of armed forces actions that have come to be known as "operations-other-than-war." In recent years, such operations have become increasingly common in international practice, and they are increasing in both scope and scale. This situation holds true on CIS territory, as well. In order to define such operations clearly, it is first necessary to identify some of the features of "classic" warfare.

War. Several hundred definitions exist for the concept of "war." From this profusion of definitions the most important for our purposes are those describing the missions and goals for using an armed force during the course of a war. Therefore, we will understand war to mean conflict between the armed forces of two or more states or coalitions, with this conflict being conducted in order to achieve certain political goals. In a war (and this is a fundamental characteristic of "classic" wars), armed forces are intended for:

• defeat and elimination of the enemy’s armed forces and the command systems which control them;
• destruction of the military-economic and economic potential of a state, as well as such other material elements of a state’s power that allow it to wage armed combat;
• seizure and occupation of part or all of a given territory.

The achievement of some or part of these goals deprives the enemy of the ability to conduct combat actions and forces him either to accept the political conditions put forth by the victor, or to capitulate.

Aggression is the armed attack of one state on another, an egregious international crime. The definition of aggression as adopted by the UN in 1974 lists actions taken by one state against another that are viewed as acts of aggression. The list includes, among a number of other things, a first-strike armed attack by one state on the territory of another, or an attack on its armed forces. The term "indirect aggression" refers to the dispatching by a state, or on behalf of a state, of armed bands, groups, irregular forces or violent persons onto the territory of another state and who then apply armed force on a large scale.

"Self-defense" (individual or collective), as envisioned in Article 51 of the UN Charter, is the right of a state, when subjected to armed attack, to defend itself using armed forces, independently or jointly with other allied states. A broader interpretation of the right to self-defense calls for a state to use its armed forces for the defense of its citizens or against acts of international terrorism.

"Traditional" Armed Forces Operations. In "classic" warfare, armed forces carry out such operations as:
• attack;
• defense;
• operations of the various armed services.

Operations-Other-Than-War. In recent years, international practice has seen broad use of the term "operations-other-than-war." Perhaps a more accurate description would be: "the use of armed forces for purposes other than war." Such operations include:
• various peacekeeping operations;
• international police operations, e.g., eradicating international criminal groups, combating terrorism, piracy, illegal arms and drug trade, and guarding strategically important facilities, such as atomic power plants;
• legal interventions.

Sometimes included in operations-other-than-war is the use of armed forces to clean up after large-scale disasters, natural or man-made, and various types of rescue and humanitarian actions.

Unlike "classic" warfare, these operations do not have as their goal the seizure or occupation of an enemy’s territory, or the destruction of his political, economic or military-economic structures, or, in most cases, the defeat of an opposing side’s armed forces. With rare exception these operations are not carried out against states, but rather against forces, organizations or movements which lack state status or structures. In the great majority of cases, such operations pursue limited goals that have already been strictly defined before an operation begins. Many such operations are conducted through the joint efforts of several states and at the mandate of international organizations.
"Non-Traditional" Operations of Armed Forces. When using an armed force for a purpose other than war, in addition to traditional operations, such as defense, offense, etc., troops mainly carry out "non-traditional" operations not typical of "classic" wars.

Such non-traditional operations would include, among other things: separating the combatants; monitoring cease fires and/or compliance with other conditions of political agreements; controlling the weapons of the hostile sides; disarming combat formations; conducting weapons searches and seizures; providing demilitarized and buffer zones; various forms of monitoring of territory (setting up checkpoints and guard posts, patrolling, etc.); maintaining law and order; halting any violations of armistice agreements; protecting any humanitarian activity and sometimes performing this activity themselves; assisting in the organization and restoration of civilian structures and agencies which support the population’s basic daily living; and assisting in the conduct of negotiations between the warring sides, and so forth. In other words, non-traditional operations of armed forces are a sort of combination of police actions, counterinsurgency operations, peacekeeping and the functions of occupation-force organs.

Non-international armed conflict is armed conflict that occurs on a state’s territory between government and anti-government armed forces or other organized armed groups, i.e., civilian and ethnic, as well as similar wars that occur inside country’s borders. An expanded definition of non-international armed conflict is found in the 8 Jun 77 Supplementary Protocol No. 2 to the 1949 Geneva Convention on the protection of war victims.

Peace Operations

At present, no single, strictly verified, coordinated terminology exists that describes peacekeeping operations. However, despite definite differences, the definitions in use today have much in common and reflect the specifics of various types of peacekeeping operations.

Virtually every well-known classification divides these operations into three groups:

• Those which employ primarily non-force methods of armed forces actions (such as observing and various forms of monitoring) in order to fortify political and diplomatic efforts to halt and settle a conflict;
• Those which combine political methods with active operations by an armed peacekeeping force that does not, however, conduct any combat operations;
• Those which involve the use of force, including combat actions, to compel peace, in concert with political efforts, or even without them.

Peacekeeping. Peacekeeping is a common term for various types of activity carried out: to resolve conflict; to prevent conflict escalation; to halt or prevent military actions; to uphold law and order in a conflict zone; to conduct humanitarian actions; to restore social and political institutions whose functioning has been disrupted by the conflict; and to restore basic conditions for daily living. The distinctive feature of peacekeeping operations is that they are conducted under a mandate from the UN or regional organizations whose functions include peace support and international security.
English-language sources call these operations "peace operations" (USA) or "peace support operations" (NATO). Peace operations are subdivided into the types shown below.

**Operations (or Actions) to Preserve Peace.** English-language sources refer to such operations as "military support of diplomacy" (US Armed Forces), or "conflict-prevention missions" (NATO). The goals of operations to preserve peace include:

- preventing the deterioration of a situation in an internal or international conflict zone, and preventing armed actions;
- resolving and regulating conflicts.

Non-force political and diplomatic methods play the primary role in the achievement of these goals, e.g., mediation, helping to organize negotiations, developing and implementing compromise solutions to the conflict as a whole, or to individual components of the conflict situation (preventive diplomacy).

"Good offices" are actions taken by a state, an international organization, or prominent state political or public officials, to help the parties in an armed conflict, whether international or non-international, establish direct contact for the start of negotiations that would settle the conflict. While such "good offices" help bring the sides into direct contact with one another, they stop short of actually conducting the negotiations between the sides.

Mediation is the conduct of negotiations by a state, an international organization or prominent state political or public officials, i.e., negotiations with the parties to an armed conflict, whether international or non-international, in order to halt the conflict or regulate some of its aspects (prisoner exchanges, defense of innocent civilians, etc.). The mediating body conveys the offers from one party in the conflict to another. In addition, the mediating body, as it sees fit, may also make its own recommendations about possible avenues of conflict resolution. The mere rendering of "good offices" evolves into actual mediation.

In conducting such operations, the role of peacekeeping forces consists of:

- establishing and maintaining contacts between the opposing armed parties in order to establish and build trust, among other things;
- providing for the security of political structures that perform peacekeeping functions;
- preventing and halting incidents that could draw in the armed groups of the opposing sides and thus disrupt the process of peaceful settlement;
- acting as the guarantor of cease-fire agreements and treaties.

One important use of armed forces for preserving the peace is the preventive deployment of collective (or international) peacekeeping forces in zones of possible or actual escalation of tension. Such a deployment may also play a significant political and psychological role in the peaceful regulation process, since a peacekeeping force may be viewed as a guarantor that neither of the opposing sides will achieve a military victory by suddenly violating an armistice, breaking off negotiations, etc.
Basic Terminology and Concepts in International Peacekeeping Operations: An Analytical Review

Peacemaking. Peacemaking operations are conducted with the mutual consent of the combating sides, or possibly at their request. For example, the parties may decide, independently or under pressure from international organizations or individual states, to cease military actions, but they are unable to do so without help from the world community and international peacekeeping forces.

Among the goals of such operations are:
- helping stop military actions;
- organizing the negotiation process.

The political aspect of these operations consists of arranging contacts, either direct or through intermediaries, for purposes of stopping fire, or for a first-time separation of the armed combating sides, as well as to prepare and initiate negotiations to bring the conflict under control. In this process, the armed peace force performs the following basic missions:
- separating the armed combatants, to include establishing demilitarized or buffer zones along the lines of contact between the two sides;
- monitoring cease fires;
- assisting in the development of the military portions of armistice or cease-fire agreements;
- preventing and suppressing attempts to resume combat actions, including such attempts on the part of unruly field commanders;
- facilitating contacts between combatant field commanders, or between such commanders and government troops, in order to resolve specific problems in halting combat actions in various local zones and establishing peace there;
- preventing incidents which could disrupt the cease fire;
- maintaining law and order in the zones of separation between the warring sides;
- guarding strategic and important objectives, such as reservoirs, power stations, and factories, damage to which would represent a threat to the populace;
- assisting with the conduct of humanitarian actions, including providing for their security.

Peacemaking operations are generally initiated when the combatants agree to halt their fire, and they usually conclude when armistices are signed.

Peacekeeping Operations. Peacekeeping operations are also conducted with the consent of one or all sides of the conflict and fall into one of two categories. The first includes operations that are a sort of logical or practical continuation of peacemaking operations. After an armistice has been signed, negotiations begin in order to bring about the peaceful resolution of the conflict.

The second category includes operations conducted to implement a previously signed accord. One such example would be the NATO operation being carried out in Bosnia subsequent to the Dayton signing. In this case, the goal of the operation, including its military aspect, is assuring implementation of the terms of the agreement by all parties involved in the conflict.
As concerns the military, peacekeeping forces perform the following missions, in addition to other peacekeeping tasks. Peacekeeping forces:

- provide the military portion of cease-fire agreements, armistices or other peaceful conflict resolution methods, including a system of cease-fire lines, demilitarized and buffer zones, reduced-arms zones, and various types of special-status regions, etc.;
- assist in the exchange of territories, if such is called for by peaceful treaty;
- help set up refugee camps and assembly points for dislocated persons;
- maintain law and order and help organize the activities of civilian authorities within their zones of responsibility;
- investigate complaints and pretensions in regard to armistice violations or violations of conflict settlement agreements;
- organize, if called for by the appropriate treaties, the collection and monitoring of certain categories of weapons, primarily heavy weapons;

As a rule, peacekeeping operations last as long as there exists the possibility that armed combat might resume or that any other form of conflict might arise.

Coercive actions are measures which the UN undertakes, based on its Charter, against states that create a threat to peace, violate the peace or commit aggression. The UN Charter prohibits resorting to war in order to implement foreign policy or resolve international disputes. The UN Security Council enjoys broad powers to take coercive measures against any state which violates this prohibition. Measures employed may not involve the use of an armed force (economic blockades, railway and air transport shutdowns, etc.), or they may involve using an armed force. Coercive measures are taken by the Security Council on behalf of the UN, or as assigned by regional UN organizations (Article 8 of the Charter). These measures may be taken either during an international or non-international armed conflict.

**Peace Enforcement.** Peace enforcement operations involve the use of an armed force, or the threat of such use, in order to compel combatants to cease their fighting and seek peace. Such operations might include combat actions taken by a peacekeeping force in order to separate and disarm the warring sides. These operations might be directed at all warring parties, or at a single party that refuses to submit to cease-fire demands.

On a practical level, peace enforcement actions include:

- carrying out international sanctions against the opposing sides, or against the side that represents the driving force in the armed conflict;
- isolating the conflict zones and preventing arms deliveries to the area, as well as preventing penetration of the area by armed formations;
- delivering air or missile strikes on positions of the side that refuses to halt its combat actions;
- rapid deployment of peace forces to the combat zones in numbers sufficient to carry out the assigned missions, including localizing the conflict and disarming or eradicating any armed formations that refuse to cease their warfare.

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**Peace Enforcement.** Peace enforcement operations involve the use of an armed force, or the threat of such use, in order to compel combatants to cease their fighting and seek peace. Such operations might include combat actions taken by a peacekeeping force in order to separate and disarm the warring sides. These operations might be directed at all warring parties, or at a single party that refuses to submit to cease-fire demands.

On a practical level, peace enforcement actions include:

- carrying out international sanctions against the opposing sides, or against the side that represents the driving force in the armed conflict;
- isolating the conflict zones and preventing arms deliveries to the area, as well as preventing penetration of the area by armed formations;
- delivering air or missile strikes on positions of the side that refuses to halt its combat actions;
- rapid deployment of peace forces to the combat zones in numbers sufficient to carry out the assigned missions, including localizing the conflict and disarming or eradicating any armed formations that refuse to cease their warfare.

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   - provide the military portion of cease-fire agreements, armistices or other peaceful conflict resolution methods, including a system of cease-fire lines, demilitarized and buffer zones, reduced-arms zones, and various types of special-status regions, etc.;
   - assist in the exchange of territories, if such is called for by peaceful treaty;
   - help set up refugee camps and assembly points for dislocated persons;
   - maintain law and order and help organize the activities of civilian authorities within their zones of responsibility;
   - investigate complaints and pretensions in regard to armistice violations or violations of conflict settlement agreements;
   - organize, if called for by the appropriate treaties, the collection and monitoring of certain categories of weapons, primarily heavy weapons;

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Upon successful completion of these missions, i.e., after cessation of military actions, the peace force switches over to actions that are typical of peacekeeping or peacemaking.

It must be noted that a number of peace enforcement operations conducted under a UN mandate have taken the form of "classic" warfare. Such instances would include the UN operation in Korea during the 1950-53 war, and Desert Storm, conducted against Iraq.

**Peace-Building Operations.** These operations represent the whole aggregate of actions and measures that may be undertaken once the military phase of a conflict concludes. Their purpose is to prevent any renewal of the conflict. In addition to military security measures, such as those taken during peacekeeping operations, peace "building" includes: restoring social and political structures and institutions capable of preventing renewal of the conflict; restoring, first and foremost, trust between the previously warring parties; providing at least a minimal guarantee that the population will survive; resolving problems of refugees and other categories of people who have suffered during the conflict; and restoring the economy and effective operation of civilian structures, including those responsible for law and order, health care and education.

Generally speaking, both civilian and military peacekeeping forces and organizations take part in peace-building operations. The military’s specific job is to effect a final demobilization of the now former combatants’ armed formations.

**Humanitarian Actions.** Humanitarian actions, in whatever form or on whatever scale, are a part of almost all peace operations. In addition, they are carried out independently from other efforts aimed at ending the conflict and restoring peace.

Humanitarian actions are undertaken to provide relief. They support the survival of the civilian population who have fallen victim to military actions. Very often these actions are conducted through the joint efforts of peacekeeping forces and international organizations, both governmental and non-governmental. Humanitarian actions generally include:

- delivering food, medicine, medical personnel, necessity items, tents, etc., to a conflict zone;
- setting up medical facilities, refugee camps, food distribution points, schools and other institutions to sustain those who suffered in the conflict;
- evacuating certain groups of the population, such as children, from zones hit by the conflict;
- restoring basic survival support systems, such as water lines, sewers, food storage facilities, power supply stations, etc.
- burying of remains.

The first responsibility of peace forces is to protect those who are performing the humanitarian missions, as well as to guard equipment, food, medicine and other items of material value. In practice, however, it is most often the military themselves who carry out the bulk of the humanitarian work. English-speaking sources describe such operations as "humanitarian assistance operations," or "humanitarian missions."
International Police Operations

Intervention by one or several states into the internal affairs of another state may involve the use of an armed force. Intervention carried out against a state’s will constitutes a flagrant violation of international law. When intervention is carried out at the request of the state in which armed conflict is underway, that intervention is lawful and legal.

Large-scale police operations, which are carried out by the forces of one state on the territory of another, occupy a definite place among operations-other-than-war. Police actions, in contrast to peacekeeping operations, are usually conducted pursuant to the decision of a state’s national leadership, rather than a decision taken by various international organizations, although the latter is also possible. It has often been the case that one country conducts such operations without the consent of the host country.

The goal of international police actions is to suppress criminal activity that has an international character, eradicate criminal communities and terrorist organizations, and to free hostages, etc.

Examples of such operations might include actions by the American armed forces to combat the Latin American drug trade; Israeli operations to destroy terrorist bases in Lebanon; Moroccan troop actions against POLISARIO rebels in the Western Sahara; the seizure by American troops of President Noriega after the latter became enmeshed in drug trafficking; and a number of other examples. Because of intense situations in the peripheral regions of the former USSR and the increase in organized crime hotbeds there, Russian authorities may be faced with the need to strike terrorist bases, narcotrafficking centers, and so on, which lie outside the borders of the Russian Federation.

The legal aspects of such operations are very poorly developed. In several instances they could be classified as interference in the internal affairs of a sovereign state. The criteria used to differentiate between national liberation forces engaged in a lawful struggle, on the one hand, and terrorists and separatists, on the other, are absolutely arbitrary.

Terminology Used in Peacekeeping Operations Documents

Peacekeeping operations now have a fairly well-developed document base. The most important documents defining the actions of peacekeeping forces include: the mandate for the operation; the status-of-forces agreement; the operations plan; the rules of engagement; and the standard operating procedures.

The Mandate. The peacekeeping operations mandate provides the legal basis for the deployment and actions of the peacekeeping force. Ideally, it formulates the goals and missions of the peacekeeping force: size and structure of the international armed force that will carry out the operation; definition of the command and control system for the operation; responsibility of the international organization carrying out the peacekeeping operation and the government of the host
Basic Terminology and Concepts in International Peacekeeping Operations: An Analytical Review

country; and other basic points. Most peacekeeping operations mandates have been UN Security Council resolutions. In the majority of cases, UN mandates are in effect only for a short time, usually three to six months. This makes possible a fairly flexible reaction to a changing situation, as well as corrections to the force composition and its missions.

**Status-of-Forces Agreement (Combined International Forces).** This agreement is concluded between the UN (or other organization under whose mandate the peace operation is conducted) and the country on whose territory the peacekeeping force is deployed. It defines the basic rights, immunities and privileges of the peacekeeping personnel, and it also governs:

- financial problems, including the use of local currency;
- issues of peacekeeping personnel troop movement across the territory of the host country; rules for the use of transport centers, including airfields and ports;
- nature of coordination between peacekeeping personnel and the local armed forces, special forces, etc.;
- conditions and rules for using local personnel;
- conditions for peacekeeping personnel use of electricity, water, various day-to-day services, and payment for these services;
- issues of civil and criminal liability of peacekeeping personnel;
- other practical material, legal or daily-living issues.

The more detailed and skillfully prepared the status-of-forces agreement, the fewer problems arise for the peacekeeping force as it organizes the operation and performs its functions.

**The Operations Plan, or the Peacekeeping Force Commander’s Concept.** The operations plan is a very important document that defines the specific actions to be taken by the peacekeeping force as it carries out the international organization’s mandate. The plan takes the form of a directive from the commander of the peacekeeping forces and contains the following basic points:

- command and control structure for the peacekeeping force; procedures for assigning operational, administrative and civilian personnel; chain of command; and the degree of authority which the various levels of command enjoy;
- detailed description of the operational missions of the peacekeeping force as a whole and of its primary subunits;
- areas of responsibility for the various national contingents of the collective peacekeeping force;
- rules on information and accountability;
- relationships between the peacekeeping units and the government and local authorities in the host county;
- tasks involving combat readiness, intelligence collection and the security of the peacekeeping contingent;
- composition and missions of the reserves;
• rights, authorities and procedures for the peacekeeping force in the conduct of searches and seizures of weapons and military equipment from private individuals, as well as in incidents involving violations of private property;
• relationships with the mass media, as well as other practical issues of the daily activity of the peacekeeping force.

Rules of Engagement. One of the most important principles of international peacekeeping operations is restraint in the use of force (weapons). This principle is usually formulated as follows: weapons may be used only under extreme circumstances, when there is no other way to protect the life and health of service personnel. Much significance is attached to the creation, adoption and observance of the "rules of engagement" (abbreviated "ROE" in English-language sources). These rules strictly govern all instances involving the justifiable use of weapons, as well as the restrictions and rules for their use.

The key elements covered in the rules of engagement are the following:
• rules for carrying and storing weapons;
• definition of the possibilities and rules for the justifiable use of weapons, including: self-defense of peacekeeping personnel, defense of peacekeeping posts and facilities, support of other peacekeeping subunits, [enforcing] compliance with the conditions of demilitarized and buffer zones, and prevention of violent flare-ups that threaten the life and health of the population.

The rules of engagement state:
• the requirement for, and rules for, giving clear warning as to the possibility that weapons may be used;
• the requirement that actions be taken to forestall situations which could cause arms to be used;
• the display of decisive intent to use weapons if the actions requiring their use do not cease;
• accountability for an inappropriate use of weapons.

Standard Operating Procedures. The standard operating procedures are a composite document containing the operation mandate, the peacekeeping force commander’s concept, and the instructions and orders that spell out the actions of the force on the sector level. The standard operating procedures contain: historical and political information; the organizational and administrative structure of the sector and its headquarters; a breakdown of duties; missions of operational units and services; basic provisions on the rules of engagement; the operations plan; and other directives. In other words, the standard operating procedures contain all the information and directives essential for the successful practical functioning of the peacekeeping units and subunits on the tactical level.
Peacekeeping Forces. Peacekeeping forces are civilian and military personnel designated by the national governments of the countries participating in the peace operation. These personnel are placed at the disposal of the international organization under whose mandate the given operation is being conducted.

Generally, peacekeeping forces are made up of national contingents under international command. Each national contingent is assigned either a zone of responsibility or specific functional duties.

Coordination between the national contingents and the peacekeeping operation command is usually governed by those parts of the operations plan that set forth the chain of command and the powers of responsible personnel on the operational and administrative staff.

Internally, the national contingents operate in accordance with their own manuals and regulations, except in instances covered by the operations plan, the status-of-forces agreement or other directives.

Zone of Responsibility. The zone of responsibility is the sector of territory in the host nation that is designated for a specific national contingent. This contingent bears responsibility for executing the missions of the peacekeeping operation within the geographical boundaries of its sector.

Demilitarized Zones, Buffer Zones and Security Zones. Demilitarized zones, buffer zones and security zones are areas defined either by the mandate or the operations plan. Special conditions are imposed for these areas. For example, deployment of the combatant armed forces in this area may be prohibited, and/or positioning of combatant weapons in these areas may be prohibited or restricted.

As a rule, no combatant armed formations or weapons may be located in a demilitarized zone. Less stringent restrictions may be placed on buffer and security zones. For example, heavy weapons may be prohibited here. Perhaps combat formations will be permitted in such an area, but with no weapons whatsoever. In buffer and security zones, law and order are generally maintained by the peacekeeping force. The peacekeeping force also monitors compliance with the conditions of the zone.

These zones are usually established: along the combatant’s engagement lines or sectors, in areas that hold particular strategic value, or in areas where the fighting is especially fierce.

Levels of De-Escalation and Resolution of the Conflict. The purpose of peace operations is generally to bring military actions to a halt and to assist in the gradual de-escalation and settlement
of the conflict. In this regard it would be useful to define the basic stages of such resolution, which would then make it possible to formulate more precisely the goals and missions of the peace operation during each of the stages.

**Settling the Conflict**

The complete settlement (or resolution) of a conflict assumes the elimination of the conflicting interests of the countries or non-state entities, i.e., elimination of those conflicting interests which in their practical implementation resulted in political, economic, diplomatic or military confrontation. Such settlement becomes possible under the following circumstances:

- If one or all sides of the conflict reassess their interests and relinquish those which led to the conflict. As a rule, such processes take years, if not decades, and they are coupled with enormous changes in the geopolitical situation of the given regions, a new generation, economic interests, and other factors. Thus, some peace operations are extremely protracted, and, as a rule, offer no clear chances for success.

- If there is a radical compromise which produces a sort of "exchange" of territorial, economic or political pretensions, ambitions and interests. History does offer examples of such compromises. However, putting together such a compromise takes a very long time, even if not the protracted period of time required in the first instance.

- If one of the combatants (or one of the sides) is completely destroyed, or if that side is eliminated as an entity in international relations, or if its interests and methods of attaining them change radically. Germany and Japan provide such an example. Their defeat in World War II removed focal points of conflicting international interests which had been quite enduring.

"Freezing" of the Conflict

Given the foregoing, a so-called "freezing" of the conflict might be a relatively realistic goal for peace operations. The parties to the conflict, although retaining their incompatible interests, relinquish the realization of these interests. This comes about under the pressure of circumstances and pressure from the international community, or because the parties’ interests have become less important. One form of conflict "freezing" may be a sort of cul-de-sac, i.e., a realization by the sides that continuation of the conflict offers no future, since neither side can ever win. In both instances, the point of the peace operation is to create a guarantee for both sides of the conflict that a resumption of military actions is either impossible or extremely unlikely. Such a guarantee is of the utmost importance in creating a political-psychological atmosphere that will help reduce the level of the conflict and bring about cooperative relations between the sides.
The "Festering" Conflict

"Festering" conflicts are the most dangerous, since they may escalate into open military conflict. In this situation, both sides, or at least one side, are looking for the right moment to initiate combat, in the hope that they will gain the element of surprise, some military advantage or some other circumstance that could bring them victory.

Such a conflict status presents the most complex scenario for a peacekeeping force, since it requires them to be in a heightened state of alert, always ready to act to prevent armed clashes. Strict compliance with the conditions of demilitarized and buffer zones becomes especially important, as does the monitoring of stockpiles of weapons collected from the combatants. Intelligence requirements rise sharply. The peacekeeping force must be prepared to switch from peacekeeping to peace enforcement operations. In the political arena, timely preparations for peace enforcement become especially important.

CALL/FMSO PRODUCTS “On-Line”

Thanks to the explosion in the Internet technologies, many soldiers are using Internet providers or on-line services, such as CompuServe or America OnLine. For those of you that have not yet attempted to contact CALL, there are two ways to access information from CALL/FMSO on-line: E-mail and the World Wide Web (www). CALL has transitioned to a Web-based access to the CALL data base (DB) (CALLDB) as of 1 August 1996. The CALL and FMSO Home Page addresses are:

http://call.army.mil

Along with FMSO Studies, CALL also offers the following products:

- **CTC Bulletins, CTC Observations and Trends Products:** These products are periodic publications that provide current lessons/TTP and information from the training centers (see Combat Training Center (CTC) Branch Section).

- **Special Editions:** Special Editions are newsletters related to a specific operation or exercise. Special Editions are normally available prior to a deployment and targeted for only those units deploying to a particular theater or preparing to deploy to the theater.

- **News From The Front! Bulletin:** This bulletin is a bi-monthly product that contains information and lessons on exercises, real-world events, and subjects that inform and educate soldiers and leaders. It provides an opportunity for units and soldiers to learn from each other by sharing information and lessons with the Total Force.

- **Training Techniques:** Accessed from the CALL Homepage. The Army's first on-line publication. It is focused at TTP for brigade and below.

- **Handbooks:** Handbooks are “how to” manuals on specific subjects (i.e., rehearsals, inactivation).

- **Initial Impressions Products:** A product developed during and immediately after a real-world operation (Bosnia) and disseminated in the shortest time possible for follow-on units for use in educating personnel and to support training prior to deployment to a theater. Training products (i.e., vignettes) may also be produced to support the follow-on unit to focus training activities.

Many customers are sending in their requests for information to CALL by E-mail, which is the simplest way to communicate with CALL. By sending an E-mail note to the CALL mailbox (please include your street address), you can register for future electronic publications, make requests for information or publications, or send in your own observations, tactics, techniques and procedures and articles. CALL’s current E-mail address is:

**call@leav-emh1.army.mil**

*Support CALL in the exchange of information by telling us about your successes so they may be shared and become Army successes!*