Clinical Inertia and Outpatient Medical Errors

Patrick J. O’Connor, JoAnn M. Sperl-Hillen,
Paul E. Johnson, William A. Rush, George Biltz

Abstract
Clinical inertia is defined as lack of treatment intensification in a patient not at evidence-based goals for care. Clinical inertia is a major factor that contributes to inadequate chronic disease care in patients with diabetes mellitus, hypertension, dyslipidemias, depression, coronary heart disease, and other conditions. Recent work suggests that clinical inertia related to the management of diabetes, hypertension, and lipid disorders may contribute to up to 80 percent of heart attacks and strokes. Clinical inertia is, therefore, a leading cause of potentially preventable adverse events, disability, death, and excess medical care costs. This paper addresses three specific objectives: (1) to present a conceptual model of clinical inertia that takes into account recent developments in human factors research, cognitive science, and organizational behavior; (2) to operationally define clinical inertia and propose simple clinical protocols that can be used to identify and map its incidence across populations of patients and physicians; and (3) to propose future research to reduce clinical inertia by specifically targeting the root causes of the problem. Ultimately, a better understanding of clinical inertia and the development of specific interventions to reduce it may be a productive strategy to reduce passive errors that contribute to hundreds of thousands of adverse events and tens of thousands of premature deaths annually in the United States.

Introduction
The contribution of medical errors to adverse clinical outcomes is well documented in recent reports from the Institute of Medicine (IOM) and other sources. Most reports of medical errors thus far have focused on errors related to inappropriate use or misuse of various therapies. However, it is likely that in chronic disease care, errors related to underuse of potentially efficacious therapy are very common and often lead to serious adverse events. Clinical inertia is a major factor that contributes to inadequate chronic disease care in patients with diabetes mellitus (DM), hypertension (HT), dyslipidemia, depression, coronary heart disease (CHD), and other conditions.

Failure to intensify therapy in patients with elevated blood glucose, blood lipids, or blood pressure fits the definition of medical errors given by the IOM. Clinical inertia leads to adverse events just as surely as erroneous injections of certain medications can rapidly lead to death in a fragile hospitalized patient. The principal substantive distinction between the adverse events caused by overuse or misuse of therapies, and adverse events attributable to clinical inertia
<table>
<thead>
<tr>
<th>1. REPORT DATE</th>
<th>2. REPORT TYPE</th>
<th>3. DATES COVERED</th>
</tr>
</thead>
<tbody>
<tr>
<td>2005</td>
<td>N/A</td>
<td>-</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>4. TITLE AND SUBTITLE</th>
<th>5a. CONTRACT NUMBER</th>
<th>5b. GRANT NUMBER</th>
<th>5c. PROGRAM ELEMENT NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>Clinical Inertia and Outpatient Medical Errors</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>6. AUTHOR(S)</th>
<th>5d. PROJECT NUMBER</th>
<th>5e. TASK NUMBER</th>
<th>5f. WORK UNIT NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)</th>
<th>8. PERFORMING ORGANIZATION REPORT NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agency for Healthcare Research and Quality 540 Gaither Road, Suite 2000 Rockville, MD 20850</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)</th>
<th>10. SPONSOR/MONITOR’S ACRONYM(S)</th>
<th>11. SPONSOR/MONITOR’S REPORT NUMBER(S)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

12. DISTRIBUTION/AVAILABILITY STATEMENT
Approved for public release, distribution unlimited

13. SUPPLEMENTARY NOTES

14. ABSTRACT

15. SUBJECT TERMS

16. SECURITY CLASSIFICATION OF:
   a. REPORT      b. ABSTRACT      c. THIS PAGE
      unclassified  unclassified  unclassified

17. LIMITATION OF ABSTRACT
   UU

18. NUMBER OF PAGES
    16

19a. NAME OF RESPONSIBLE PERSON

Standard Form 298 (Rev. 8-98)
Prescribed by ANSI Std Z39-18
in chronic disease care, is the time frame over which the adverse event occurs. In hospital settings misuse of a therapy may lead to adverse events in minutes to hours. In the context of outpatient chronic disease care, clinical inertia will inexorably lead to adverse events in a high proportion of patients, but it may take years or even decades for the consequent adverse event to declare itself.

**Operational definition of clinical inertia**

In instances of clinical inertia, both of the following must occur: (a) The patient fails to achieve major evidence-based clinical goals, and (b) the patient fails to receive appropriate intensification of pharmacotherapy in a defined period of time. Figures 1–3 show simple algorithms that operationally define clinical inertia in the care of patients with diabetes, hypertension, or lipid disorders. These algorithms can identify specific instances of clinical inertia using routinely available clinical data, including diagnostic, laboratory, and pharmacy data.

![Figure 1. Algorithm to identify clinical inertia related to glucose therapy](image)

To operationally define clinical inertia, several decisions must be made. First, the clinical goals of care must be selected. For chronic diseases such as hypertension, diabetes, and lipid disorders, these goals are not solidly evidence based. Second, the therapy of the disease must be defined in such a way that it can be measured. With data now available, it is easier to recognize and measure drug therapy than it is to measure lifestyle interventions that are an important part of chronic disease care. This represents a limitation of the algorithms in Figures 1–3. Finally, one must define a time window from the date of a visit, test, or other clinical event within which intensification of therapy is designated as timely.
The measured patterns of clinical inertia will vary depending on how treatment goals, therapies, and time windows are selected or defined. Flexibility in how clinical inertia is defined could be seen by some as a limitation. However, from the point of view of care improvement, this sort of flexibility may often be an advantage because it allows local tailoring of initiative and interventions. Thus, the algorithms in Figures 1–3 are presented as examples rather than as concrete definitions of how to measure clinical inertia.
Prevalence and cost of clinical inertia

Prevalence

It is clear from numerous clinical trials that the final common pathway leading to glycemic, lipid, and blood pressure (BP) control is intensified pharmacotherapy.\(^6\)–\(^8\) Failure to appropriately intensify pharmacologic therapy is also a fundamental cause of inadequate chronic disease control in routine office practice. Although nonpharmacologic strategies have beneficial impact on BP, lipids, and glycemic control,\(^9\) the magnitude of these effects are substantially less, on average, than the effects of pharmacologic interventions. For example, salt restriction, dietary approaches to stop hypertension (DASH), or alcohol restriction may lower systolic blood pressure (SBP) about 5–10 mm Hg on average, while drug combinations routinely lower SBP more than 10 mm Hg in many clinical trials.\(^10\)–\(^12\)

Despite evidence that intensified therapy is usually needed to achieve and maintain evidence-based chronic disease care goals, a number of studies document high levels of clinical inertia in patients with diabetes or lipid disorders\(^13\), \(^14\) and demonstrate that more active clinical management improves absolute glycated hemoglobin (A1c), low-density lipoprotein-cholesterol (LDL), and SBP control.\(^12\), \(^15\)

Cost

The frequent occurrence of serious adverse events as a direct result of clinical inertia has been well documented by both epidemiological studies and randomized clinical trials. Recent metanalyses suggest that for approximately every 20 adults with type 2 diabetes with an A1c value 1 percent over the current goal of 7 percent, 1 patient will have suffered a potentially avertable microvascular complication over a 5-year time frame. For every 20 diabetes patients with LDL 30 mg/dl above goal, there will be 1 excess myocardial infarction or stroke over 5 years. For every 20 patients with SBP 10 mm Hg above 150 mm Hg, there will be 1 additional heart attack or stroke, plus 1 additional occurrence or worsening of a microvascular complication over 5 years.\(^6\), \(^8\), \(^12\), \(^16\)–\(^19\)

More than 12 million adults are under treatment for type 2 diabetes in the United States, and at best only 20 percent simultaneously have their A1c, SBP, and LDL at goal. Thus, clinical inertia in diabetes care may lead to several hundred thousand serious adverse events, billions of dollars of excess health care charges for these events, and tens of thousands of excess deaths per year in the United States alone.\(^20\), \(^21\)

What are the causes of clinical inertia?

Figure 4 shows a conceptual model of the causes of clinical inertia. We postulate that clinical inertia has three principal sources: physician factors, patient factors, and office system factors. If, as we hypothesize, clinical inertia has
Clinical Inertia

several sources that may interact in complex ways, then the development of interventions to reduce clinical inertia may best be multifactorial in nature to optimize their effectiveness.

Figure 4. Conceptual model illustrating the relative contribution of physician factors, patient factors, and office system factors to clinical inertia. Clinical inertia is defined as failure to intensify treatment in a patient who has not yet achieved evidence-based goals of care.

Physician factors that contribute to clinical inertia

In a pioneering paper on clinical inertia, Phillips et al.\textsuperscript{22} enumerates three physician factors that contribute to the problem. First, physicians overrate the quality of the care they already deliver and substantially underestimate the number of patients in need of intensified pharmacotherapy. There is substantial evidence to support this argument.\textsuperscript{23–27}

Second, physicians make “soft excuses” to avoid intensifying care. These include blaming patients for nonadherence to previous recommendations, citing lack of time at office visits, or suggesting that the physician can tell (without asking) that the patient will resist any suggestion to intensify therapy. While there is a certain level of nonadherence within the diabetes patient population, it should not be used as an excuse for inaction in specific cases without strong supporting evidence for its existence, such as not filling prescriptions. Both qualitative and quantitative studies support this argument.\textsuperscript{28–31}

Third, physicians lack the relevant knowledge, tools, training, and office systems to support active care of those with chronic diseases. There is substantial evidence to support this third point as well.\textsuperscript{32–38}

While the clinical points made by Phillips et al. are highly relevant, there are additional considerations related to the physician’s role in clinical inertia that can be gleaned from a cognitive science analysis of the processes involved in chronic
disease care. In chronic disease management, the task faced by health care providers (and the patient) is one in which decisions are made to control a process that is manifest in varying states of patient health. In this setting the provider must make a series of decisions over time, where each decision is dependent on past as well as future choices. The setting is subject to change, both autonomously and as a result of past decisions.

Under these conditions, decisions can be organized as a strategy comprised of three parts: (1) setting clinical goals, (2) initiating appropriate treatment, and (3) titrating (adjusting) treatment over time to reach clinical goals. Implementation of such a strategy must also recognize and manage conditions that interfere with achieving goals.

The presence of clinical inertia in the management of chronic disease can be represented as patterns of care based on the use of a decision strategy (as above) to achieve particular states of patient health (e.g., level of BP). Such patterns of care reflect failure of one or more parts of the decision strategy (i.e., failure to set appropriate goals, failure to initiate appropriate treatment, failure to titrate to goal). To assess the failures of thinking underlying these patterns, we draw on research conducted to explain failures of decisionmaking more generally. In such work there is evidence to suggest that failures of decisionmaking of the sort that we have identified as clinical inertia can be grouped into three categories:

1. In the first category, decisions are made based on goal-related pathologies of two types. In one type, goals continually shift over time, so that decisions are never consistent and final goal states are never achieved. (This type of pathology is sometimes referred to as thematic vagabonding.) A second type of goal pathology for this category of clinical inertia is one in which decisions are made based on goals with which the decisionmaker is most familiar (and most comfortable), even though they may be inappropriate. This type of pathology is often referred to as goal fixation, since it typically occurs in the face of evidence that the current decision strategy is unsuccessful.

2. A second category of clinical inertia is based on use of a faulty control strategy. To control a time-varying process, decisions must reflect not only current states, but future states as well. The use of information to take action based on anticipated system states is referred to as feed forward process control. An alternative strategy is feedback control. In feedback control, decisions are based solely on current information. The mental model employed with feedback control typically fails to reflect both time-dependent as well as positive feedback processes. Because information regarding the appropriateness of a given mental model is often obscure, the decision agent fails to realize that the mental model being used is disconnected from reality. As a result, control of patient states is never achieved.

3. The third category of clinical inertia is based on the use of faulty control actions. There are three types in this category. In the first
type, the threshold for taking action is either inaccurate or missing. In either case, the initial action is incorrect and the system response is not as expected. Subsequent actions are also in error, since knowledge of appropriate thresholds fails to cover future states as well as the initial state. The second type of failure is based on choice of response taken as the control action. Here the issue is lack of knowledge (or faulty knowledge) regarding the kind or amount of action taken (e.g., the type and dose of medication). A related issue is failure to understand how multiple actions should be coordinated over time so as to achieve a desired effect (titration to goal). The third type of failure in this category of clinical inertia is based on lack of understanding of the side effects or consequences of actions taken. Many of the pathologies of thinking comprising clinical inertia stem from failure to understand how positive and negative feedback processes are linked in the system under consideration. As a result, the process of interest (e.g., patient state) may deteriorate (or become unpredictable) as a consequence of actions intended to correct it.

**Patient factors that contribute to clinical inertia**

Patient factors that contribute to the problem of clinical inertia may include patient denial of a disease, the belief that a particular disease is not dangerous, medication nonadherence, or resistance to adopting lifestyles that support chronic disease care. Several studies suggest that about one-third of the problem of inadequate diabetes care is related to patient factors such as those listed in Figure 4.47, 48

Most patient-related factors that contribute to clinical inertia are familiar to physicians, but a few comments may be called for. Clinical inertia may derive in part from the patient’s mental model of the disease process. A patient who does not really believe they have diabetes, or believes that diabetes is not a serious disease, may be unwilling to make the lifestyle adjustments needed to care for diabetes. It is possible that interventions targeted directly to such a patient’s mental model of diabetes may reduce clinical inertia by increasing the patient’s willingness to intensify treatment. The power of mental models and their susceptibility to influence using marketing approaches is illustrated by successful direct-to-consumer pharmaceutical advertisements that encourage treatment of conditions such as erectile dysfunction, facial wrinkles, and tinea ungui. Similar strategies that encourage greater use of statins and certain antihypertensive or glucose-lowering therapies have also created substantial market demand for specific newer therapies. This approach would be expected to reduce clinical inertia, if flexibility in selection of specific pharmacologic agents is emphasized.

**Office system factors that contribute to clinical inertia**

A number of office system factors that may contribute to the problem of clinical inertia are listed in Figure 4. These include a reactive style of practice in which the delivery of clinical care is triggered by a patient-initiated visit, with the
patient setting the clinical agenda for the visit. In many settings, primary care physicians, who are variably skilled in the science and art of chronic disease care, deliver care. Moreover, clinical practice guidelines, which seek to reduce the amount of variation in the delivery of chronic disease care, may lead to loss of appropriate customization of care to the clinical status and behavioral readiness of individual patients.

**Promising approaches to reduce clinical inertia**

Despite the need for effective strategies to reduce clinical inertia and promote a more active approach to chronic disease care, few researchers have developed interventions based on learning theory or office systems theory to reduce clinical inertia in chronic disease care. We postulate that interventions that address the principal factors contributing to clinical inertia—physician factors, patient factors, and office system factors—will be powerful enough to reduce the rate of clinical inertia related to chronic disease care in primary care settings.

A variety of practical approaches to reduce clinical inertia are possible. While it seems reasonable to direct intervention strategies to specific root causes of inertia, quality improvement theory suggests that multiple interventions that simultaneously target multiple factors tend to be more powerful than interventions that limit their focus to a single factor. We ask the reader to keep this guiding principle in mind when considering the following list of promising strategies to reduce clinical inertia in chronic disease care.

**Feedback to physicians and/or patients on quality of chronic disease care**

One strategy that has been somewhat successful as a way to reduce clinical inertia is monitoring and providing feedback of quality of care to physicians. Typically, patients with selected chronic diseases are identified using diagnostic codes, and information on selected aspects of their care is systematically given as feedback to provider teams. The information is specific enough to support patient-specific clinical actions to remedy deficits in care. These active interventions with patients may be prioritized on the basis of factors such as resource availability, patient readiness to change, or degree of risk of complications.

Feedback of information on care directly to patients has also been done with positive results in some settings. Such a strategy could be as simple as mailing a patient their A1c or LDL lab results with advice on what to do in response to the value. More sophisticated feedback, including providing graphs indicating trends in A1c or LDL values over time, with indicators of evidence-based goal, and with suggestions for both lifestyle changes and the likely need to intensify or change pharmacotherapy, have been shown to be acceptable to most patients in some recent studies.\(^\text{49, 50}\) Such strategies may serve the synergistic purposes of activating both the patient and the patient’s physician to focus on selected aspects
of care where intensification of care is likely to provide significant benefit to the patient.

**Cognitive interventions targeting specific decision pathologies**

Diagnostic tools that assess a given physician’s decisionmaking pathologies have recently been developed by others and us, and are reported elsewhere in this publication. One type of diagnostic tool relies on simulated cases presented to individual physicians in an interactive format. It takes about 60 minutes of monitoring a physician’s performance on such cases to identify specific errors related to the physician’s goal setting, control strategies, control actions, or deficiencies in necessary knowledge or skills related to chronic disease care. A second approach is to use algorithms to search automated clinical databases and passively identify errors of omission or commission that actually occur in the care a particular physician provides to his or her real patients. The accuracy of this method of identifying decisionmaking pathologies also appears to be acceptable and is described elsewhere in these volumes.

Once a diagnosis has been established, specific learning interventions may be applied to address the decision pathology. Many types of learning interventions to either physicians or patients may be considered. The efficacy of customized case-based learning, with selection of teaching cases to focus on the identified decision pathology, has been successful in some fields of inquiry, and its application to reduce clinical inertia and medical errors appears promising. We are currently investigating one customized approach to case-based learning. Case-based learning approaches are currently being applied by several medical schools and by the National Board of Medical Examiners to improve the training of medical students and residents.

**Enhanced primary care—frequent office visits**

Clinical trials are designed to provide planned care that achieves specified clinical objectives. In contrast, usual primary care practice is too often chaotic and unplanned. It has been argued that incorporating key design features of clinical trials into routine chronic disease care may dramatically improve care and reduce clinical inertia. However, clinical trial protocols are notoriously expensive and too resource-intensive to provide a practical template for usual care. As primary care physicians with experience in both routine primary care practice and in clinical trials, we have identified three particular features of clinical trial protocols that may be transferable to routine primary care practice. One feature is frequent, carefully planned office visits. The second feature is timely and tailored decision support for providers, to prompt appropriate initiation and adjustment of medications until specified clinical goals are achieved. The third feature is emphasis on physician accountability by introducing visit resolution tools that systematically record whether recommended intensifications were actually implemented.
The recommended visit interval of 3–6 months for those with diabetes, hypertension, and some other chronic diseases is based on expert opinion rather than empiric data. This visit interval may be appropriate for patients who have already achieved acceptable levels of BP, A1c, or lipid control. However, patients who have not achieved recommended clinical goals may, if they exhibit motivation to improve their care, benefit from more frequent visits to sustain focus on specific domains of care.54, 55 The argument for more frequent chronic disease care visits for those not in control is reinforced by experience in the various clinical trials. For example, in some clinical trials, an initial “pulse” of four consecutive monthly visits improved both SBP and A1c substantially. These improved levels of care were subsequently maintained by visits every 4 months for more than 3 years. From these observations we conclude that (a) a pulse of four consecutive monthly visits enables effective and timely intensification of therapy and leads to sustained improvement in chronic disease care, and (b) after such a pulse of visits, return to an every-4-month visit frequency is sufficient to maintain improved care for many patients.

There are many theoretical reasons why increased frequency of office visits may reduce clinical inertia in routine office practice:

- The physician has more opportunities to intensify care.
- Frequent visits may send the patient the message that intensified care is important and reduce patient resistance to initiation or up-titration of pharmacotherapy.
- More frequent assessment of response to the previous medication adjustments allows more rapid titration to clinical goal.
- The physician and patient increase their familiarity and presumably their trust of one another.
- The physician and patient learn that frequent medication adjustments are a predictable part of excellent chronic disease care, rather than a sign of failed therapy.

The marginal direct cost of a sequence of four visits at monthly intervals includes the cost of only two extra office visits (relative to an average of five clinic visits per patient per year), plus the cost of any additional medications or tests done at the “extra” visits. This marginal cost may compare quite favorably with the cost of other interventions to improve chronic disease care, some of which involve use of expensive home-monitoring equipment or elaborately structured patient education programs. For cost comparison, case management programs offered by outside vendors are typically implemented for a longer period of time than 4 months and often cost from $75 to $150 per patient per month, or $900 to $1,800 per patient per year, exclusive of increased medication costs.
Clinical Inertia

Enhanced primary care—clinical decision support

Decision support is a critically important component of chronic disease care, especially for patients with complex chronic diseases, such as hypertension.\textsuperscript{52, 56–59} Decision support is defined as timely information made available to providers, which prompts appropriate intensification of therapy to reach evidence-based hypertension care goals. Three recent randomized trials illustrate both the potential and the limitations of currently available decision support systems for chronic disease care.\textsuperscript{35, 36, 60}

In 2003, Meigs et al.\textsuperscript{36} reported that an electronic medical record (EMR) that prompted physicians to intensify therapy, but did not provide tailored or specific decision support (what drug, what dose), failed to improve A1c levels. In a 2002 Mayo Clinic study, a similar rudimentary decision support delivered through an EMR system increased frequency of A1c testing but failed to improve A1c levels.\textsuperscript{35} A recent report from Tierney et al., in Indiana demonstrated that even more sophisticated clinical decision support interventions did not improve the care of heart disease patients in office settings.\textsuperscript{60} From these and other studies we conclude that (a) decision support of a general nature does lead to changes in physician behavior, and (b) to improve A1c levels, more specific and tailored decision support based on and tailored to specific physicians’ cognitive processes may be needed.

An alternative to EMR-based decision support interventions is paper-based, tailored clinical support and simple treatment algorithms to guide chronic disease care. In a clinical trial we are currently participating in, paper-based clinical decision support tools have led to very low rates of clinical inertia and correspondingly high rates of clinical improvement for SBP, A1c, and lipids. From this we conclude that (a) decision support does not have to be EMR-based to be effective, and (b) tailored information based on simple treatment protocols (what drug, what dose) can lead to improved SBP, A1c, and lipid control.

Enhanced primary care—visit resolution and accountability tools

A key strategy used to change physician’s behavior in large clinical trials is routine documentation, after each office visit or telephone contact, of whether protocol-determined changes in therapy were actually made. If recommended changes in therapy were not made, the physician must report why not. Not only does such documentation provide the opportunity to enumerate and classify physician-reported reasons for clinical inertia, but it also provides a strong element of accountability to providers who must justify a specific occurrence of clinical inertia. Simple visit resolution and accountability tools direct physician attention to proper drug intensification and provide useful documentation of physician-reported reasons (Phillips’ “soft reasons”) why therapy was not intensified. This information on common reasons for clinical inertia—even when the physician knows that clinical goals are not being met—facilitates the evolution of ongoing efforts to reduce clinical inertia.
Financial incentives

Positive incentives to physicians to focus on certain clinical goals may also be effective ways to reduce clinical inertia. For example, providing cash payments to medical groups that achieve targeted levels of A1c, LDL, or SBP in certain groups of patients, such as those with diabetes or heart disease, has often led to more effective care through more intensive pharmacotherapy. Factors that amplify or dampen the effect of various types of incentives offered at various points in the care delivery system need further investigation.

Barriers to improving clinical inertia

A discussion of strategies to reduce clinical inertia would not be complete without mentioning some of the real-world factors that may impede application of potentially effective interventions. Monitoring and feedback of clinical information, which is the heart of most improvement strategies, requires vigilance to assure that patient privacy or confidentiality is not violated. This problem is mitigated to some degree if the information is developed, stored, and applied locally, for example, at the level of the patient’s clinic. The Health Insurance Portability and Accountability Act of 1996 (HIPAA) regulations and some State laws related to privacy or confidentiality may make centralized approaches to patient-level data impractical, unethical, or illegal.

Another fundamental obstacle to overcoming clinical inertia is physician disagreement on the evidence supporting a care recommendation or disagreement with clinical goals, even when supported by evidence. One of the potential benefits of clinical guidelines is to specify clinical goals. Conversely, when clinical guidelines advocate discordant goals, the resultant confusion among practitioners makes efforts to reduce clinical inertia more difficult.

Often, physicians appropriately tailor clinical goals—for example, BP, A1c, or LDL goals—to specific patient circumstances. Thus, patients who are elderly, have serious comorbidities, or have affective or substance-abuse problems are often not treated as aggressively as other patients. Unfortunately, physician judgments about patient desires, motivation, and readiness to change may be erroneous. Moreover, numerous studies have shown that more appropriate lipid treatment is provided for men than women. These considerations suggest that “tailoring” of care is often appropriate, but may sometimes be done in a way that does not optimize well-defined clinical benefits.

Methodological challenges in clinical inertia research

To advance research on clinical inertia, a number of methodological points will need refinement and further conceptual or practical development. First, the working definition of clinical inertia is evolving and will likely need modification as it is applied across a broad clinical spectrum.
Second, the operational definition of clinical inertia is necessarily time-dependent and can be measured from the time of an office visit, test, or other assessment until a later point in time. The time window should be selected to allow sufficient time for an appropriate medication change to impact its target measure, yet represent a clinically reasonable outer bound for the clinically acceptable time interval between data collected at a visit or test, and clinical action.

Third, the adequacy of therapy is a concept that requires reflection and clear conceptual definition. If adequate therapy is being provided, but the patient has yet to reach evidence-based clinical goals, the problem is not clinical inertia. The definition of “adequate therapy” can become operationally quite complex.

Conclusion

Clinical inertia contributes to many medical errors and contributes to widespread failure to achieve evidence-based goals related to blood pressure control, glucose control, lipid control, and other clinical domains.65, 66 There is little doubt that clinical inertia contributes enormously to the burden of potentially preventable adverse events, deaths, and excess long-term health care costs caused by inadequate chronic disease control.16, 17, 67

Clinical inertia derives from a number of sources and is influenced by physician, patient, and office system factors. Physician factors include specific decisionmaking pathologies, overestimation of care actually delivered, disagreement with evidence-based goals of care, and “soft reasons” to avoid the efforts required to intensify therapy. Patient factors include unawareness of the need to intensify therapy, denial or overly optimistic views of the risks presented by chronic diseases, and avoidance of increased expenses and side effects associated with more intensive therapy. Office system factors include lack of data to monitor the quality of care and routinely identify patients in need of more intensive care, lack of visit planning, lack of active outreach to patients in need of care, and failure to implement decision support strategies—especially those that provide actionable information at the time of an office visit.

Fortunately, advances in behavior change science34, 40, 43, 68–72 and the increasing availability of EMRs and other office systems to better support chronic disease care57, 73–75 may support conceptually cogent and practical interventions to reduce clinical inertia. On the basis of available evidence, we recommend three major avenues to reduce clinical inertia: (a) cognitive behavioral interventions directed to physicians, (b) information systems redesign interventions, and (c) patient-direct interventions to increase demand for more intensive chronic disease care. Further work is needed to assess the comparative effectiveness and return on investment of various interventions to reduce the problem of clinical inertia.
Author affiliations

All of the authors are affiliated with HealthPartners Research Foundation, HealthPartners Medical Group, University of Minnesota Carlson School of Management, Minneapolis, MN.

Address correspondence to: Dr. Patrick O’Connor; Senior Clinical Investigator, HealthPartners Research Foundation, 8100 34th Avenue South, Minneapolis, MN 55440-1524. Phone: 952-967-5034; fax: 952-967-5022; e-mail: Patrick.J.Oconnor@Healthpartners.com

References


