

REPORT DOCUMENTATION PAGEForm Approved
OMB NO. 0704-0188

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1. AGENCY USE ONLY (Leave Blank)		2. REPORT DATE 19-May-2005	3. REPORT TYPE AND DATES COVERED Final Progress Report 01 July 2001 – 30 September 2004
4. TITLE AND SUBTITLE ULTRAFast OPTICAL CHARACTERIZATION OF WIDE BANDGAP SEMICONDUCTORS AND POLYATOMIC MOLECULES		5. FUNDING NUMBERS DAAG5598D0002	
6. AUTHOR(S) Henry O. Everitt		8. PERFORMING ORGANIZATION REPORT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Duke University 325 North Building, Research Drive Durham, NC 27708		10. SPONSORING / MONITORING AGENCY REPORT NUMBER 42618.8-PH-SR	
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) U. S. Army Research Office P.O. Box 12211 Research Triangle Park, NC 27709-2211		11. SUPPLEMENTARY NOTES The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision, unless so designated by other documentation.	
12 a. DISTRIBUTION / AVAILABILITY STATEMENT Approved for public release; distribution unlimited.		12 b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 words) <p>The focus of this effort was to measure the carrier dynamics in wide bandgap III-nitride materials. Measurements of carrier capture and recombination in InGaN and AlGaIn/GaN MQWs revealed a similar carrier capture time for both materials but a recombination time in the AlGaIn/GaN system at least a factor of ten faster. Studies of surface plasmon-enhanced recombination rates in GaN QWs indicated an acceleration of recombination rates by a factor of 100 simply by placing a silver coating <10 nm from the QW. GaN quantum dot optical properties were studied using both ultrafast and continuous wave techniques. The interplay of carrier confinement and piezoelectric field was ascertained in the manner in which it affected the luminescence wavelength and lifetimes. Generally speaking, the larger QDs demonstrated superior optical performance, while smaller QDs behaved much like quantum wells of the same thickness.</p>			
14. SUBJECT TERMS Ultrafast, wide Bandgap, semiconductor, nanostructures, plasmons		15. NUMBER OF PAGES 12	16. PRICE CODE
17. SECURITY CLASSIFICATION OR REPORT UNCLASSIFIED	18. SECURITY CLASSIFICATION ON THIS PAGE UNCLASSIFIED	19. SECURITY CLASSIFICATION OF ABSTRACT UNCLASSIFIED	20. LIMITATION OF ABSTRACT UL

NSN 7540-01-280-5500

Standard Form 298 (Rev.2-89)

Prescribed by ANSI Std. Z39-18

298-102

42618-PH-SR

ULTRAFAST OPTICAL CHARACTERIZATION OF WIDE BANDGAP
SEMICONDUCTORS AND POLYATOMIC MOLECULES

FINAL PROGRESS REPORT

01 July 2001 – 30 September 2004

Henry O. Everitt

May 19, 2005

U.S. ARMY RESEARCH OFFICE

DAAG55-98-D-0002

DUKE UNIVERSITY

ABSTRACT

The focus of this effort was to measure the carrier dynamics in wide bandgap III-nitride materials. Measurements of carrier capture and recombination in InGaN and AlGaN/GaN MQWs revealed a similar carrier capture time for both materials but a recombination time in the AlGaN/GaN system at least a factor of ten faster. Studies of surface plasmon-enhanced recombination rates in GaN QWs indicated an acceleration of recombination rates by a factor of 100 simply by placing a silver coating <10 nm from the QW. GaN quantum dot optical properties were studied using both ultrafast and continuous wave techniques. The interplay of carrier confinement and piezoelectric field was ascertained in the manner in which it affected the luminescence wavelength and lifetimes. Generally speaking, the larger QDs demonstrated superior optical performance, while smaller QDs behaved much like quantum wells of the same thickness.

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FINAL PROGRESS REPORT

ARO Proposal Number: 42618-PH

Grant Number: DAAG55-98-D-0002

Title of Proposal: Ultrafast Optical Characterization of Wide Bandgap Semiconductors and Polyatomic Molecules

Name of Institution: Duke University

(4) Statement of the problem studied

The focus of this effort was to measure the carrier dynamics in wide bandgap III-nitride materials. Measurements of carrier capture and recombination in InGaN and AlGaIn/GaN MQWs revealed a similar carrier capture time for both materials but a recombination time in the AlGaIn/GaN system at least a factor of ten faster. Studies of surface plasmon-enhanced recombination rates in GaN QWs indicated an acceleration of recombination rates by a factor of 100 simply by placing a silver coating <10 nm from the QW. GaN quantum dot optical properties were studied using both ultrafast and continuous wave techniques. The interplay of carrier confinement and piezoelectric field was ascertained in the manner in which it affected the luminescence wavelength and lifetimes. Generally speaking, the larger QDs demonstrated superior optical performance, while smaller QDs behaved much like quantum wells of the same thickness.

In addition, and at no cost to the grant, a graduate student studied the excitation and relaxation of rare earth-doped GaN, especially Eu- and Er-doped GaN, as a function of temperature to map out the radiative and nonradiative relaxation pathways. A visiting researcher studied the spectra and ultrafast relaxation dynamics of ZnO thin films. Likewise, undergraduate researchers studied 1) variable stars in globular clusters, 2) the application of rotational shear interferometry to astronomical imaging, and 3) used a prism coupling technique was used to measure the indices of refraction of ZnSiN films for the first time.

(5) Summary of most important results

Ultrafast Characterization Facility

In 1998, we began to build a new facility to characterize wide bandgap III-nitride materials. This collaborative project involved researchers in the Duke EE and Physics Departments. Samples were provided from Steve DenBaars of UCSB through a DARPA contract with Craig Casey of Duke EE, and from unpaid collaborators Hadis Morkoc of VCU and John Muth and Saleh Bedair of NCSU. Our long-term goal is to develop the capability to do photoluminescence, photoluminescence excitation spectroscopy, degenerative and non-degenerative differential transmission, and time-resolved photoluminescence in the challenging blue-UV spectral region. A successful DURIP proposal by collaborator Stephen Teitworth of Duke to AFOSR allowed us to purchase a commercial regenerative amplifier, tunable optical parametric amplifier, and nonlinear crystals for pump wavelengths spanning 200 nm to 12 microns. These systems were operational throughout 2002.

A new collaborator, Prof. April Brown, joined Duke in 2002 as chair of the ECE Dept. Prof. Brown co-mentors one of Dr. Everitt's graduate students (Soojeong Choi) and wrote a DURIP proposal to purchase a streak camera for use in time-resolved photoluminescence measurements. It is anticipated that an increasing number of samples for study in Dr. Everitt's lab will come from the MBE machines of Prof. Brown.

Carrier relaxation pathways in InGaN and AlGaIn/GaN MQWs

We completed measurements of carrier relaxation processes in GaN-based multiple quantum well laser structures. Photoluminescence and photoluminescence excitation spectroscopy were performed on each sample to identify the peak emission and absorption wavelengths. We previously reported measurements of the electron capture times at the 0.5ps level. In 2002, time resolved, wavelength-nondegenerate differential measurements were used for the first time to characterize the relaxation pathways fully. The pump was the pulsed, frequency tunable output of the optical parametric amplifier, frequency converted to the UV using a series of nonlinear crystals. A pulsed white light continuum centered at 400 nm was generated by frequency doubling half the output of the regenerative amplifier and passing it through a D₂O water cell as the probe in these measurements.

By varying the pump wavelength and monitoring the corresponding changes in the relaxation pathways, a clearer picture of the relaxation mechanisms emerged. First, relaxation in GaN and InGaN epilayers was investigated to set the baseline performance. Next, ultrafast carrier relaxation was studied in both the InGaN MQWs provided by the S. DenBaars group of UCSB and the AlGaIn/GaN MQWs provided by the H. Morkoc group of VCU. Data was collected as a function of pump wavelength and intensity. Carrier capture was observed to occur within 0.5 ps of excitation in both types of samples, independent of excitation wavelength. For high intensity excitation, stimulated emission was observed to operate in a "sand from the hourglass" manner in which carriers removed in the MQW confined states are replaced from stockpiled carriers in the barrier levels. After stimulated emission quenched, carrier recombination was observed to operate in 50-100 ps for the AlGaIn/GaN MQWs while lasting at least 600 ps in the InGaN MQWs.

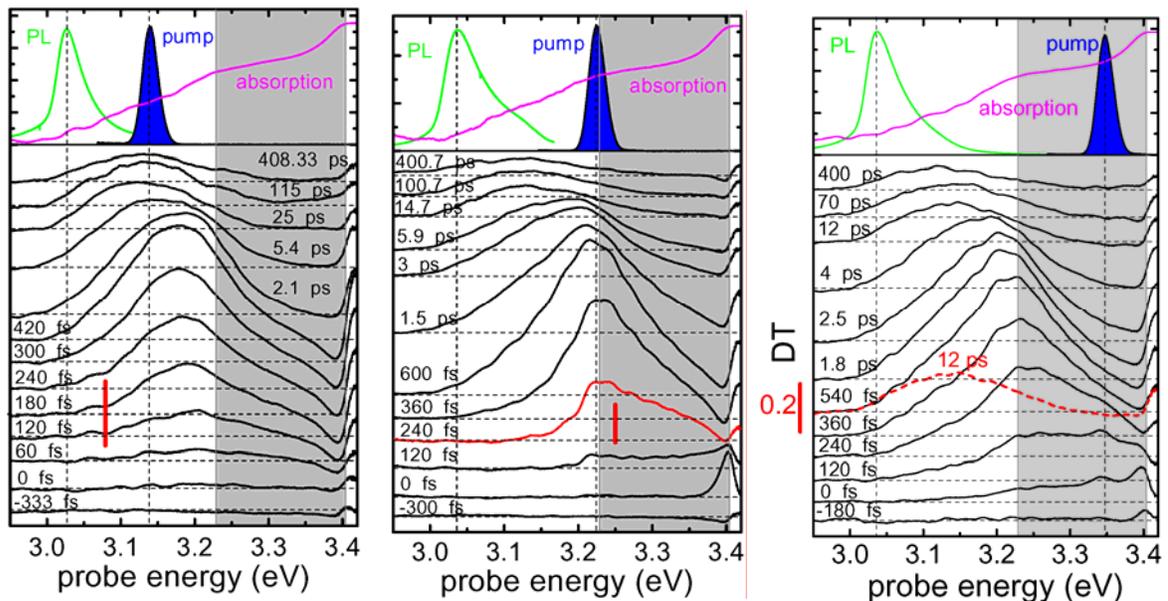


Figure 1. Time-resolved, wavelength nondegenerate differential transmission measurements of carrier relaxation in InGaN MQWs as a function of pump wavelength.

Surface Plasmon-enhanced Recombination

We began a new course of investigations in collaboration with Prof. Eli Yablonovitch of UCLA. His group provided us with a single InGaN quantum well with a thin cap layer (8 nm) over which was grown an even thinner layer of silver. Spontaneous emission into free space from an unsilvered quantum well occurs at a rate determined by Fermi's golden rule to be a function of the dipole matrix element and the photon density of states. Because the photon density of states of a surface plasmon can be dramatically larger than the free space value, the expectation was that the recombination rate could be dramatically accelerated. This can only occur if the emission from the quantum well is coincident with the asymptotic resonance frequency of the surface plasmon. Preliminary continuous wave photoluminescence data from UCLA had indicated that this may indeed be the case.

In 2001 and 2002, we undertook time-resolved photoluminescence measurements to confirm such accelerations directly. In collaboration with colleagues in Japan, we measured the luminescence decay times for the sample from the silvered and unsilvered sides as a function of excitation and emission wavelengths. We found as much as a factor of 100 acceleration in recombination times. A phenomenological model was developed to characterize this behavior and predicted a strong sensitivity to the separation between quantum well and silver coating. A factor of 10,000 enhancement is predicted for a similar, optimized structure. Further work is underway to demonstrate the validity of the phenomenological model and to extend the work into quantum dots.

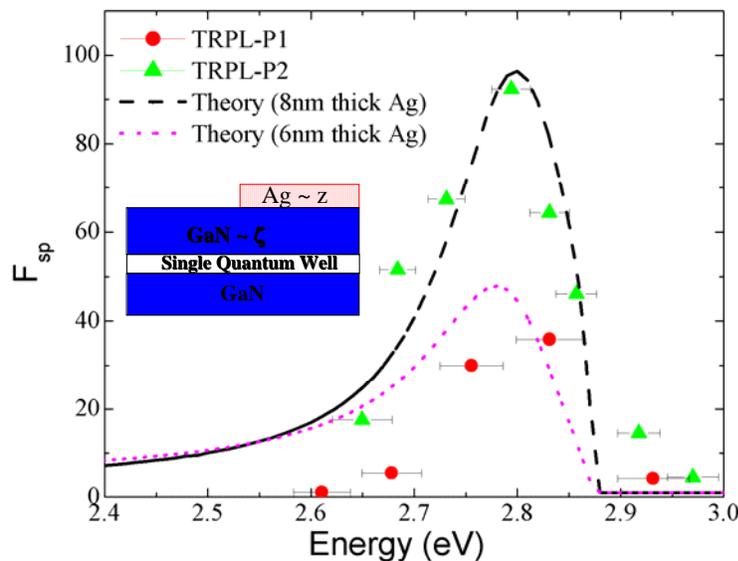


Figure 2. Time-resolved photoluminescence from the silvered and unsilvered portions of an InGaN quantum well, demonstrating the accelerated rate of surface-plasmon mediated recombination.

Emission Efficiency of GaN QDs

GaN quantum dots grown by the Hadis Morkoc group of VCU were studied using continuous wave and time-resolved photoluminescence techniques in collaboration with

researchers in Japan. The quantum dot samples were typically grown stacks of 20 or 40 layers in order to improve the emission intensity. Time-resolved photoluminescence of the quantum dots was performed using a frequency-tripled Ti:Sapphire laser and a streak camera during a visit by NRC post doc Arup Neogi to Japan, then analyzed here at Duke. It was observed that single layer QD emission was weaker and faster than multiple layer QDs, probably because of cooperative relaxation through vertically coupled QDs in the multilayer systems. It was further observed that the smaller QDs were dominated in the optical properties by carrier confinement, with emission energies blue-shifted above the GaN band gap. Fairly short emission lifetimes were observed, and a shift in emission energy with time revealed a quenching of the smallest dots as recombination and nonradiative relaxation depopulated them in favor of the largest dots. Conversely, the largest dots were dominated by the effects of the piezoelectric field which red-shifts the emission below the GaN bandgap. Fairly long emission lifetimes were observed, and efficient emission with little nonradiative loss was observed even at room temperature. The surprising conclusion reached was that the larger QDs behaved more like quantum dots while the smaller ones behaved more like quantum wells.

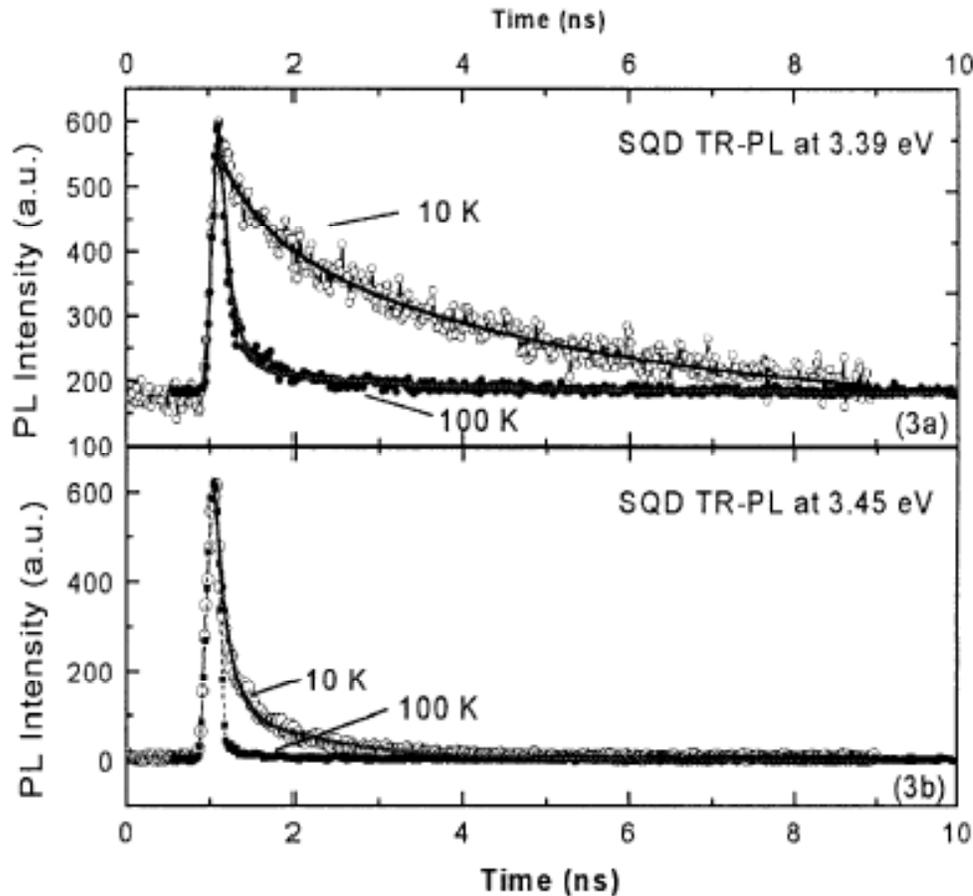


Figure 3. Time-resolved photoluminescence from GaN quantum dots (single layer QDs) as a function of temperature and excitation energy.

Eu-doped GaN

Rare earth-doped GaN, particularly Eu-GaN and Er-GaN, have received attention recently because of their potential as atomic-like narrow linewidth (1-10 nm), long radiative lifetime (0.1-1 ms) emission in the visible wavelength region. The Duke USSL has employed the streak camera to perform time-resolved photoluminescence measurements in order to characterize the energy transfer pathways of these compelling emitters. In the earliest work, it was found that 300nm pulsed excitation of the GaN host created a rapid (0.4 μ s) rise in the TRPL signal for the 4f-4f transitions $^5D_0 \rightarrow ^7F_2$ and $^5D_0 \rightarrow ^7F_3$, followed by a biexponential decay. The slower of the two decay features ($\sim 200 \mu$ s), normally associated with radiative decay of the 5D_0 state, was found to include nonradiative decay to an impurity/trap state as well. The faster of the two decay features ($< 50 \mu$ s) was almost unobservable below 180K but grew dramatically in amplitude above 180K. This too was attributed to interaction with an impurity/trap state whose energy defect with 5D_0 was estimated to be 16 meV. A rate equation model was developed to reveal the roles of various contributing energy transfer mechanisms and to extract their individual decay constants. The final model clearly revealed the important role played by the impurity/trap state and the inappropriateness of ascribing the slower decaying feature as solely the radiative decay process.

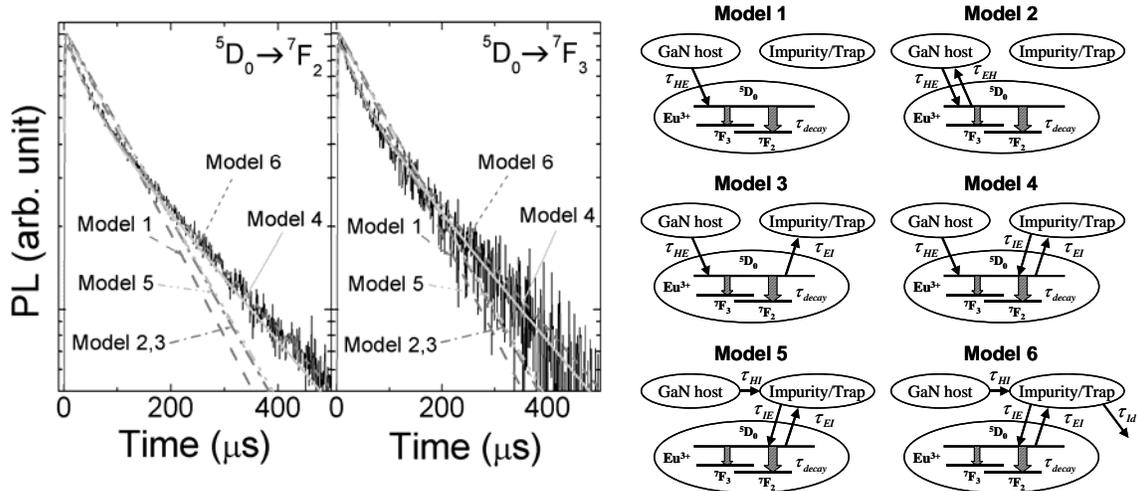


Figure 4. Room temperature TRPL data for the $^5D_0 \rightarrow ^7F_2$ and $^5D_0 \rightarrow ^7F_3$ transitions, and a schematic diagram of the rate equation model that describes the salient energy transfer mechanisms. The inability of most models to reproduce the data eliminated them from consideration as a description of the energy transfer pathways.

Ultrafast studies of ZnO films

Toward the end of this project, former student Umit Ozgur returned to perform a variety of measurements on commercially available ZnO bulk materials and on ZnO thin films sputtered on a sapphire substrate at VCU. Several bound and free exciton transitions along with their first excited states have been observed at VCU at low temperatures, with the main neutral-donor-bound exciton peak at 3.3605 eV with a linewidth of 0.7 meV dominating the PL spectrum at 10 K (Figure 5a). This bound exciton transition remained below 150 K, whereas the A-free exciton transition at 3.3771 eV persisted up to room temperature. A free exciton binding energy of 60 meV is obtained from the position of the

excited states of the free excitons. Additional intrinsic and extrinsic fine structures such as polariton, two-electron satellites, donor-acceptor pair transitions and LO-phonon replicas have also been observed and investigated in detail. Time-resolved PL measurements at room temperature reveal a bi-exponential decay behavior with typical decay constants of ~ 170 ps and ~ 864 ps for the as-grown sample (Figure 5b). Thermal treatment is observed to increase the carrier lifetimes when performed in a forming gas environment.

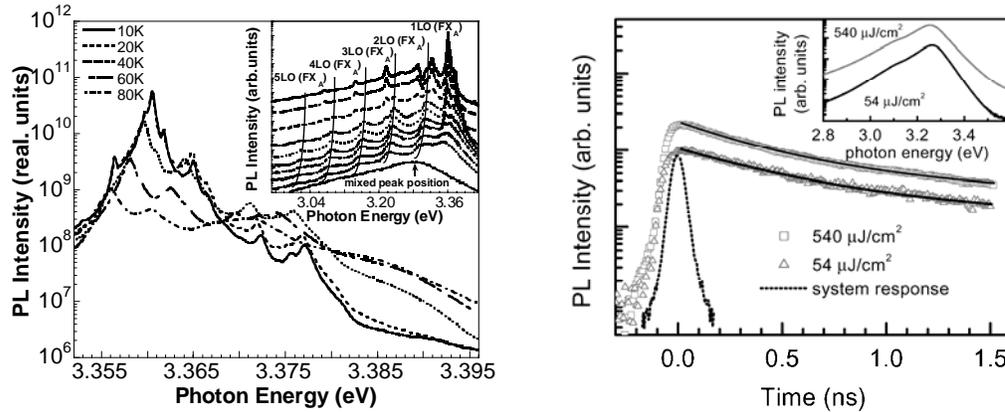


Figure 5. a) Temperature dependent peak positions of A-free exciton, $FX_A^{n=1}(\Gamma_5)$ and its 1LO- and 2LO-phonon replicas. Also Shown temperature evaluation of the peak position of two major neutral-donor bound exciton transitions at 3.3606 and 3.3650 eV. The $FX_A^{n=1}(\Gamma_5)$ data were fit using Varshni equation and LO-phonon replicas were fit with the equation shown on the figure. b) Room temperature time resolved PL data for the FG treated sample at two different excitation densities. The solid lines are from bi-exponential fits to the experimental data. The inset shows the time-integrated PL for the two excitation densities.

(6) Listing of Publications and Presentations

Peer-Reviewed Publications

23. A. Teke, U. Ozgur, S. Doan, X. Gu, H. Morkoc, B. Nemeth, J. Nause, and H.O. Everitt, "Excitonic fine structure and recombination dynamics in single crystalline ZnO", *Phys. Rev. B* **70**, 195207 (2004).
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18. A. Neogi, H. O. Everitt, H. Morkoç, T. Kawazoe, and A. Tackeuchi, "Optical properties of GaN/AlN quantum dots grown by molecular beam epitaxy", Appeared in *IEEE ECE 2003 Proceedings* (July 2003).
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Coupling”, *Phys. Rev. B*, **66**, 153305 (2002). e-Print Archive: *cond-mat* /0204150, 5 April 2002. Selected for *Virtual Journal of Nanoscale & Technology*, 21 October 2002.

12. J. Strader, H.O. Everitt, and S. Danford, "Variable Stars in the Core of the Globular Cluster M3,” *Monthly Notices Royal Astronomical Society* **335**, pp. 621-627 (Sept. 2002). e-Print Archive: *astro-ph* / 02031352, 8 March 2002.

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30. U. Ozgur, C. Liu, S-J Cho, H. Morkoc, J. Foreman, H.O. Everitt, "Ultrafast carrier relaxation in bulk and epitaxial ZnO", Optical Society of America Annual Meeting, Frontiers in Optics/Laser Science XX, Rochester, NY (October, 2004)

29. H. O. Everitt, Hongying Peng, C. W. Lee, J. M. Zayada, D. S. Lee, A. J. Steckl, "Time-resolved spectroscopic analyses of Eu^{3+} clusters in GaN", Optical Society of America Annual Meeting, Frontiers in Optics/Laser Science XX, Rochester, NY (October, 2004)

28. H. Y. Peng, C. W. Lee, H. O. Everitt, D. S. Lee, A. J. Steckl, and J. M. Zavada: Time-resolved Studies of the Optical Excitation and Emission from Eu^{3+} Centers in GaN, *International Workshop on Nitride Semiconductors*, Pittsburgh, Pennsylvania. (July, 2004).

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24. U. Ozgur, H. O. Everitt *et al.*, "Ultrafast carrier relaxation in group-III nitride multiple quantum wells," SPIE Photonics West 2004, San Jose, CA (January, 2004)

23. U. Ozgur, H. O. Everitt *et al.*, "Ultrafast carrier relaxation and stimulated emission in AlGaIn/GaN multiple quantum wells, "Optical Society of America Annual Meeting, Frontiers in Optics/Laser Science XIX, Tucson, AZ (October, 2003)

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19. A. Neogi, H. O. Everitt *et al.*, (Poster) "Effects of strain on carrier recombination in GaN quantum dots," CLEO/QELS Meeting, Baltimore, MD (May 2003). Published in *OSA Trends in Optics and Photonics Series 89, Conference on Lasers and Electro-Optics Post conference Digest*, QWA16/1,2 (2003).
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13. Ü. Özgür, C-W. Lee, and H. O. Everitt, "Generation and Control of Coherent Acoustic Phonons in InGaN Multiple Quantum Wells," American Physical Society March Meeting, Indianapolis, IN. (March 2002)
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7. H. O. Everitt, Ü. Özgür, C-W. Lee, H. C. Casey, Jr., M. J. Bergmann, A. Abare, S. Keller, S. P. DenBaars, and J. F. Muth "Measurement of Fundamental Parameters and Control of Coherent Phenomena in Bulk and Multiple Quantum Well III-Nitride Materials," 22nd Army Science Conference, Baltimore, MD. (December 2000)
6. Ü. Özgür, M. J. Bergmann, and H. O. Everitt, "Sub-picosecond generation and coherent control of acoustic phonons in InGaN multiple quantum wells," ILS-XVI Meeting (Optical Society of America), Providence. (October 2000)
5. Ü. Özgür, M. J. Bergmann, H. C. Casey, Jr., H. O. Everitt, A. C. Abare, S. Keller, and S. P. DenBaars, "Sub-picosecond optical measurement of carrier relaxation in InGaN multiple quantum wells", 6th Annual Wide Bandgap III-Nitride Workshop, Richmond. (March 2000)
4. Ü. Özgür, M. J. Bergmann, H. C. Casey, Jr., H. O. Everitt, and J. F. Muth, "Refractive Indices determined by waveguide measurements for Epitaxial $\text{Al}_x\text{Ga}_{1-x}\text{N}$ films with $x=0.0, 0.04, 0.07, 0.10, 0.20$," 6th Annual Wide Bandgap III-Nitride Workshop, Richmond. (March 2000)
3. M. J. Bergmann, Ü. Özgür, H. C. Casey, Jr., and H. O. Everitt, "Ultrafast optical measurements on InGaN multiple quantum wells," American Physical Society Annual Meeting, Minneapolis. (March 2000)
2. M. J. Bergmann, Ü. Özgür, H. O. Everitt, and H. C. Casey, Jr., "Sub-picosecond optical measurements of carrier relaxation in InGaN multiple quantum wells," SESAPS Annual Meeting, Chapel Hill, NC. (November 1999)
1. Ü. Özgür, M. J. Bergmann, H. C. Casey, H. O. Everitt, and J. F. Muth, "Refractive indices obtained by waveguide measurements of epitaxial $\text{Al}_x\text{Ga}_{1-x}\text{N}$ films with $x=0.0, 0.04, 0.08, 0.11, 0.20$ Epitaxial Layers," American Physical Society Annual Meeting, Atlanta. (March 1999)

(7) Scientific Personnel Supported
Ümit Özgür (Graduate Student)

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Soojeong Choi (Graduate Student)
John Foreman (Graduate Student)
Arup Neogi (NRC Post Doctoral Researcher)
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Brice Crawford (Undergraduate)
Jay Strader (Undergraduate)
Mark Ammons (Undergraduate)
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(8) Inventions
None.