

NATIONAL DEFENSE UNIVERSITY

NATIONAL WAR COLLEGE

**MAHAN'S ELEMENTS OF SEA POWER APPLIED TO THE
DEVELOPMENT OF SPACE POWER**

MARTIN E.B. FRANCE, LT COL, USAF/CLASS OF 2000
COURSE NUMBER 5602
SEMINAR B

FACULTY SEMINAR LEADER:
DR ROBERT LEVINE

FACULTY ADVISOR:
JACK LEONARD, COL, USAF

Report Documentation Page

*Form Approved
OMB No. 0704-0188*

Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

1. REPORT DATE 2000	2. REPORT TYPE N/A	3. DATES COVERED -			
4. TITLE AND SUBTITLE Mahan's Elements of Sea Power Applied to the Development of Space Power		5a. CONTRACT NUMBER			
		5b. GRANT NUMBER			
		5c. PROGRAM ELEMENT NUMBER			
6. AUTHOR(S)		5d. PROJECT NUMBER			
		5e. TASK NUMBER			
		5f. WORK UNIT NUMBER			
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) National Defense University National War College Washington, DC		8. PERFORMING ORGANIZATION REPORT NUMBER			
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)		10. SPONSOR/MONITOR'S ACRONYM(S)			
		11. SPONSOR/MONITOR'S REPORT NUMBER(S)			
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release, distribution unlimited					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified	UU	14	

As of today, no definitive work on space power theory, comparable to the writings of Clausewitz, Mahan, Mitchell (and others in their respective fields), is recognized by military theorists. Though most preliminary space power writing in the United States has centered on space as a logical extension of air power theory (if for no other apparent reason than the organizational location of most space forces within the U.S. Air Force), such treatment is akin to early Army characterizations of aircraft as a tool of the artillery or signal corps, restricted to supporting ground operations. While space is certainly a unique operational setting that has yet to be fully exploited, it shares many characteristics with the sea as an arena for commerce, transport, observation and conflict. In fact, because of the distances involved, the importance of constantly safeguarding the free flow of global commerce (e.g. information) in both peace and war, and the more or less permanent basing of key civil and military assets in orbit, space power, missions and responsibilities have many analogs to the sea. Therefore, one would do well to consider first the earlier work of sea power theorists, specifically A.T. Mahan, when attempting to develop a theory of space power and to develop strategies for space control.

Any theory of space power must begin with a short description of the characteristics of space and both the advantages afforded those who choose to operate there and the restrictions and hazards they face. Several excellent texts (e.g. Jerry Sellers' [Understanding Space: An Introduction to Astronautics](#))¹ describe the physics of space, the hurdles one faces in placing satellites in sustainable orbit, maneuver and/or recover them, and how individual satellites and constellations are designed for various missions. The common operational features of space include vast distances in three dimensions (from altitudes of about 100km to beyond lunar distances), a harsh environment, very high

speeds, generally predictable paths (orbits) dictated by mission requirements, and (currently) limited access points from which to deploy space assets, i.e. launch bases. Spacecraft are very expensive to design, build and deploy, often costing hundreds of millions or billions of dollars, and have useful lives on orbit ranging from months to a decade or more. Deployments (launches) are infrequent, complex events that are scheduled months or years in advance.

Though now cliché, space is the ultimate high ground. The global perspective afforded those able to operate in space remains its primary advantage. No point in the Earth's atmosphere or on the surface, (and, to an ever-increasing degree, below the surface), is completely immune from the view of satellites. Satellites launched into low Earth orbit (LEO) can pass over any point on the globe in less than 90 minutes and constellations of these vehicles can provide continuous line-of-sight coverage of any point on the globe depending on altitude and number. Satellites in geosynchronous Earth orbit (GEO) satellites remain fixed relative to a specific longitude on the Earth's equator at an altitude of about 22,400 miles, able to stare or survey. The intelligence advantage space assets provide, coupled with the opportunity to project power swiftly when needed, increase the importance of dominating space in peace and war.

If extended from two dimensions to three, Mahan's opening paragraph from Chapter I of **The Influence of Sea Power Upon History, 1660-1782**² applies equally today and may be rewritten by the space power theorist as follows:

The first and most obvious light in which space presents itself from the political and social point of view is that of a great network of highways; or better, perhaps, of a wide and deep ocean, through which information, men and their machines may pass in all directions, but on which some well-worn paths show that controlling reasons have led them to choose certain lines of travel rather than others. These lines of travel are called orbits; and the reasons which have determined them are

determined not only by Newtonian physics but by the current global social and political structure.

Just as shipping lanes are designed to minimize transit time and shipping cost, orbits are chosen to maximize operational effectiveness while minimizing launch costs. GEO satellites, mentioned earlier, allow broad coverage for observation and signal reception and transmission. Polar orbiting satellites in LEO can survey the entire globe once per day, while medium Earth orbit (MEO, 12 hour orbital period) offers the best compromise between coverage and constellation size for Global Positioning System (GPS) satellites. High latitude communications is supplied by a constellation of two or more satellites in inclined, highly elliptical or “Molniya” orbits named for their discoverer. Given a satellite’s mission, one can easily surmise its orbit and in some cases its actual location, much as a commerce raider can be reasonably sure of finding targets in specific shipping lanes given the point of origin and destination ports.

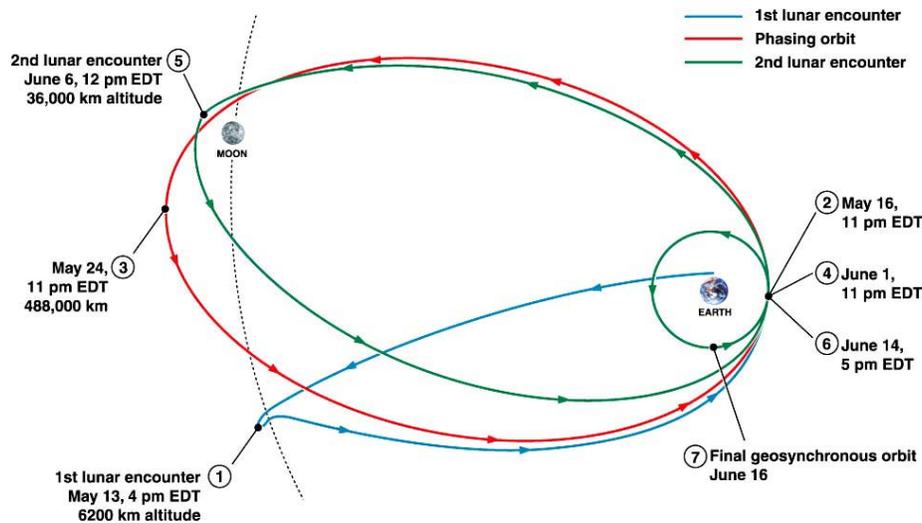
If a satellite’s orbit is its “well-worn path,” then two or more space analogs to seaports also exist. First, satellites are generally deployed from expendable boosters launched from fixed, well-known bases.³ In many cases, launch bases are on seacoasts for safety reasons. Second, sites from which information is transmitted to satellites for command and control or retransmission and those that gather data transmitted from the satellite (often the same site) constitute ports of origin and destination. The satellite itself might be considered a port of origin as well, if its primary role is to gather terrestrial or spatial information.

Space is also quite similar to the seas in that it is recognized by international law as available for use by all nations. Nations have the right to control access to their airspace much as they have the right to restrict access to their territorial waters, but this

right only extends upward to the practical limits of the atmosphere—a point not specifically defined by law or treaty. The resulting internationally accepted policy is that satellites may overfly sovereign nations whereas aircraft cannot.⁴ Nations may place satellites on orbit for commercial, research and national security purposes, with the exception for the weapons of mass destruction, forbidden by the Outer Space Law of 1967.⁵ Assignment of specific orbits—most importantly GEO longitudes—are, in turn, regulated by the International Telecommunications Union (ITU) to minimize radio frequency interference between satellites and ground control sites, reduce the possibility of collision and to assure adequate access to space for all nations. Compliance with regulations is monitored by satellite operators and United States Space Command (USSPACECOM), the latter publishing a catalog of all detectable man-made and natural satellites in Earth orbit. No direct (on-orbit) enforcement of ITU regulations is possible, though, leaving only terrestrial means (diplomatic action, economic sanctions, military action, etc) to resolve any potential conflict today.⁶

Like the seas, objects abandoned in space may also be claimed for salvage by those able to take control of the drifting asset. A landmark event occurred in 1998 when the AsiaSat-3 commercial communications satellite was left in a seemingly useless orbit following failure of its final stage. After the AsiaSat consortium settled their insurance claim for the failure and was reimbursed, the Hughes corporation (builders of the satellite) worked out an agreement with the underwriters to attempt to salvage the satellite. Hughes engineers proposed an innovative way to maneuver the satellite into a useful orbit by firing its on-board control rockets at specific times, causing it to actually loop around the Moon twice, finally settling into GEO (see figure below).⁷ Though this greatly reduced its

useful on-orbit life, Hughes paid for all maneuver costs (about \$1M) and now shares all satellite revenues with the underwriters⁸—potentially tens of millions of dollars per year.



HGS-1 2nd LUNAR FLYBY ORBITAL TRAJECTORIES



Large proportions of the raw materials and finished products of the Industrial Age were (and still are) borne by ships at sea, just as much of the raw and processed data of the Information Age traverses space and is therefore dependent on satellites in orbit to gather, process and/or relay them to the end user. Current commercial and national security space activities generally fall into four general categories: communications; navigation and timing; remote Earth sensing, surveillance and reconnaissance; and scientific research. Multibillion-dollar military communications systems such as MilStar, DSCS (Defense Satellite Communications System) and UFO (UHF Follow-On) provide secure, nuclear-hardened communications for American forces worldwide. GPS provides extremely accurate position, velocity and timing information for a myriad of applications. Precision guided munitions employed in Kosovo and Iraq, civilian transportation networks such as

trucking and aircraft, cellular phone networks, shipping, search and rescue workers and even growing numbers of individual hikers and automobilists depend on the GPS signal to function effectively. Spaceborne sensors provide missile warning and tracking, multispectral and optical Earth imaging systems make available critical environmental and intelligence information to farmers, conservationists and military commanders at nearly all levels, while LEO and GEO meteorological satellites have revolutionized weather forecasting over the last 40 years. Where shipping enabled global commerce, information flow and conflict in earlier centuries, space power has much the same effect during the present day.

Seapower, as defined by Mahan, is not a single property, but a combination of factors that figured prominently in a nation's security, prosperity and influence in the world. A nation possessing sea power could enrich itself through trade, protect and expand its commerce and possessions abroad, and "make possible the most glorious and most useful enterprises."⁹ Mahan then elaborated six conditions that define a nation's seapower--at least in the latter 19th Century: geographical position; physical conformation; extent of territory; number of population; character of the people; and character of the government.¹⁰ Even though the primary focus of Mahan's work was to guide the education of future commanders, not to define naval theory,¹¹ these elements seem generally applicable to space power at the beginning of the 21st Century as well, and may serve as a guide to developing a better understanding of the elements of space power.

Though all nations have some access to space, unlike a landlocked nation's inability to reach the sea directly, the first three of Mahan's conditions still play an extremely important role in defining a nation's space power. The fact that the Earth

rotates from west to east, imparting a “boost” (directly related to the latitude of the launch site) to any eastwardly launched satellite grants an advantage to any nation with territory or possessions near the equator. Since all current boosters are staged vehicles that drop expended stages downrange from launch, physical conformation and extent of territory argues in favor of spacefaring nations able to place their spaceports either on the seacoast, where an uninhabited corridor exists into which debris may fall (e.g. Cape Canaveral) or centrally located in a great land mass as was the case with the former Soviet Union’s Baikonur Launch Complex, now located in Kazakhstan. Extent of territory also has serious security implications, allowing other ground-based space assets such as command and control centers to be housed hundreds or even thousands of miles from any external borders.

In the case of the United States, launch bases are conveniently located on each coast. Cape Canaveral is used for eastward launches to LEO, MEO and GEO because of its relatively low latitude (28.5° N), while polar-orbiting satellites are launched southward from Vandenberg AFB, California, a coastal promintory. Other launch options abound, including a newly complete (albeit austere) polar launch site on Kodiak Island, Alaska, the possibility of launching from Hawaii in any direction, or even launches of proposed reusable, single-stage-to-orbit (SSTO) launch vehicles (no falling debris) from one of several landlocked western states. By contrast, Israel’s budding space program is restricted by the sensitivity of its Arab neighbors to falling missile parts if it launches in any direction but due west across a well-populated Mediterranean Sea, while it’s territorial security has always been problematic due to its small size and proximity to enemies. India, too, has sensitive neighbors but can launch across the Indian Sea, while France and

other European nations have banded together to overcome their geographical disadvantage by launching from Kourou (5° N), French Guiana. Geographically speaking, two potential future space powers might be Australia and Brazil, where plans already exist for the construction of commercial spaceports. Finally, just as Russia has historically struggled for warm water seaports from which to exert her power, she is now faced with a unique situation whereby her primary spaceport is located on foreign soil and many of her production facilities are now part of the Ukraine following the breakup of the Soviet Union. While agreements between Russia and Kazakstan over Baikonur appear stable, the possibility of future complications cannot be fully discounted.

Whereas number of population is probably not a limiting factor for space power as it might have been at one time for sea power, the national character and character of government are certainly important parts of space power. Mahan states that “if sea power be really based upon a peaceful and extensive commerce, aptitude for commercial pursuits must be a distinguishing feature of the nations that have at one time or another been great upon the sea.”¹² Extended to the current day, then, Mahan would grant the space power advantage to those nations whose people share an aptitude for commercial pursuits, for they will be the first to exploit space for commercial purposes. A modern component of a population’s “commercial character” is also its willingness to embrace technology in everyday life. Perhaps no other element of sea power so directly translates to space power today as national character, especially since the end of the Cold War (during which space power had a less significant commercial sector).

Mahan would not be surprised that the two largest market economies in the world are also the two most robust space powers. The European space launch industry and its

Ariane boosters are almost entirely responses to commercial needs for communications and Earth sensing/imaging satellites. The U.S space market is exploding with direct broadcast television, hand-held GPS units, internet access and a wide variety of global communications systems. Other nations are also participating in space, joining commercial ventures with leading space powers to overcome the expense of booster and satellite development which prohibits many smaller economies from participating directly in space. The cost of buying a satellite and having it launched by one of the primary space powers is well within the means of many nations. In fact, 35 nations have payloads in orbit and many more are expected to do so in the coming years. The open market in space tends to strengthen the economies of all involved and enhance the stature of the primary space powers.

Russia again provides an excellent counterexample. Prior to the collapse of the Soviet Union, some would argue that Russia was the world's preeminent space power. They had launched and operated space stations, communications, intelligence and warning satellites, a navigation constellation, and had a vigorous scientific program in place. However, the Russians built their space program almost entirely for national security purposes--without any basis in the commercial factors that make a nation a full space power. With the end of the Cold War and resultant diminution of threat to national security, coupled with an economy in ruins, the Russian space program collapsed. That the Russians, as well as the Ukrainians, have maintained any capability at all is largely due to massive infusions of capital from free-market governments (e.g. International Space Station "partnership" with NASA and other nations), and corporations such as

Boeing and Lockheed-Martin who have bought and commercialized tremendous portions of the Russian space infrastructure and knowledge base at fire sale prices.

Mahan also finds favor in governments that actively stimulated shipping, markets and commerce and cites the British as an example for creating, equipping and maintaining her navy and its bases of operation.¹³ No better example of such stewardship can be found than the U.S. government's development of GPS, around which a multibillion dollar per year industry now exists. A more recent example is the Evolved Expendable Launch Vehicle (EELV) with which the Department of Defense intends to reduce launch costs by first underwriting the development costs of two new families of low-cost boosters for both military and commercial launches, then purchasing launch services from the prime contractors to reduce the cost of operating a separate military launch infrastructure. The European Space Agency used a similar approach to develop their Ariane boosters. NASA is also spurring work in revolutionary launch technologies by funding a series of "X" vehicles that will ultimately lead to fully reusable SSTO launchers that operate not unlike commercial aircraft.

Following his elements of sea power, Mahan proceeds to a discussion of the importance of naval power. In his view, naval power consists of three elements: position; bases; and the fleet itself. He defined naval power as "the possession of that overpowering power on the sea which drives the enemy's flag from it, or allows it to appear only as a fugitive; and which by controlling the great common, closes the highway by which commerce moves to and from the enemy's shores."¹⁴ The current space analog to naval power is space control, defined by USSPACECOM as "the ability to assure access to space, freedom of operations within the space medium, and an ability to deny

others the use of space, if required.”¹⁵ The similarities in the definitions are obvious. Mahan’s purpose for a naval force was ultimately to strangle the enemy from the sea, to compel one’s adversary to discontinue resistance and bend to one’s will by destroying its commerce, denying it an ability to trade on world markets and ultimately causing its economy to crash. With information playing such a critical current role in any current or future conflict, the primary role of a space force then could be to strangle the enemy from the heavens, depriving him of the information necessary to function effectively in the global economy and to mount effective resistance against terrestrial or spaceborne forces, while fully protecting one’s space assets.

The difference in the two definitions may seem to be only in tone, but it implies a vulnerability as well as strategic direction. USSPACECOM does not speak of “overpowering power in space” largely because no such capability exists today. Not only does the United States possess no comprehensive means of directly attacking an adversary’s space forces on orbit, it also lacks any ability to actively defend its assets already on orbit from a surface-based or orbital attack. The result is an unprecedented amount of wealth representing overwhelming strategic value left undefended in space today, with the target date for fielding systems capable of protection and negation in space no sooner than 2020 by even the most optimistic forecast.¹⁶ While directly attacking our assets is made admittedly difficult by the distances and speeds involved, the possibility of a successful, limited attack using technology available to any spacefaring nation or even some limited to intermediate range ballistic missiles is real.¹⁷ The result is an assumed sense of space superiority that exists if for no other reason than successful, documented attacks on U.S. systems have not yet occurred.

Beginning with the Persian Gulf War, each contingency during this decade has relied increasingly on space power to achieve its goals. There is no reason to think this trend will not continue, if not accelerate. Recent events in Kosovo not only highlighted this point, they evidently triggered some sensitivity to our growing vulnerability. On 19 October 1999, testifying before the House Armed Services Committee on the lessons of Kosovo, Lieutenant General Marvin Esmond, the Air Force's Deputy Chief of Staff for Air and Space Operations stated that:

“Space superiority was assumed from the start of hostilities. In this operation space was a neutral sanctuary that both the United States and Serbia used to their own advantage. Serbia did not threaten the United States' space capabilities. However, the heavy US reliance upon space today reflects a dependence that is expected to grow in the future. It is important that future operations characterize the space environment as is currently done for land, sea, and air and assess actions needed to gain and maintain space superiority.”

By Mahan's definition, the United States did not adequately exert space power in the Balkans, nor did it achieve space control as defined by USSPACECOM. The United States has certainly arrived at a point where the importance of space power and the control of space is a primary concern of military leaders. Perhaps it's time we approached space power from the historical analogy that sea power presents, develop the capability to assure space control in the not-too-distant future and take a lesson from Alfred Thayer Mahan.

¹ Jerry Jon Sellers, Understanding Space: An Introduction to Astronautics (New York: McGraw-Hill, 1994)

²“The first and most obvious light in which the sea presents itself from the political and social point of view is that of a great highway; or better, perhaps, of a wide common, over which men may pass in all directions, but on which some well-worn paths show that controlling reasons have led them to choose certain lines of travel rather than others. These lines of travel are called trade routes; and the reasons which have determined them are to be sought in the history of the world,” A. T. Mahan, The Influence of Sea Power on History, (New York: Dover Publications, 1890), p. 25.

³ The only two current exceptions to this are Orbital Science's Pegasus booster air-launched from a converted jetliner and the Boeing Sea Launch system that uses a mobile, floating platform to launch rockets from mid-ocean.

⁴ The question of freedom of overflight for spacecraft may be contested at such as single-stage-to-orbit boosters or “spaceplanes” capable of significant powered maneuvering in space are used to overfly nations at very low orbital altitudes.

⁵ William B. Winn, “Chapter 21, Limits on Mission Design,” Space Mission Analysis and Design, ed. J.R. Wertz and W.L. Larson, (Dordrecht: Kluwer Academic Publishers, 1991), pp. 683-687.

⁶ Winn, pp. 688-689.

⁷ http://www.hughesglobal.com/pressreleases/photos/flyby/flyby2_hirez.jpeg

⁸ Bruce A. Smith, “Commercial Satellite Complete Lunar Flyby,” *Aviation Week and Space Technology*, 18 May 1998, pp. 74-5.

⁹ John Gooch, “Maritime Command: Mahan and Corbett,” Seapower and Strategy, ed. C.S. Gray and R.W. Barnett (London: Tri-Service Press, 1989), p.32 quote from Choiseul.

¹⁰ Mahan, p. 28-29.

¹¹ Jon Tetsuro Sumida, Inventing Grand Strategy and Teaching Command: The Classic Works of Alfred Thayer Mahan, (Baltimore: Johns Hopkins University Press, 1997), p. 24.

¹² Mahan, p. 50.

¹³ Gooch, p 33.

¹⁴ Gooch, p. 33.

¹⁵ “Long Range Plan: Implementing USSPACECOM Vision for 2020,” March 1998, pp. 19-20.

¹⁶ Long Range Plan, pp. 36-44.

¹⁷ Major Martin E.B. France, “Antipodal Points: Implications for the Future of Space Surveillance and Control,” Air Power Journal, Spring 1996.