Title Page

(1) Title of Research Project: LIQUID CRYSTALLINE DENDRIMERS.
(2) Name of Principal Investigator Valery P. Shibaev
(3) Name of Contractor Valery P. Shibaev
(4) Contract number № 68171-97-M-5822

FIRST INTERIM REPORT

November 1997 - December 1997

The Research reported in this document has been made possible through the support and sponsorship of the U.S. Government through its European Research Office of the U.S. Army. This report is intended only for the internal management use of the Contractor and U.S. Government.
1. AGENCY USE ONLY (Leave Blank)  2. REPORT DATE  3. REPORT TYPE AND DATES COVERED

4. TITLE AND SUBTITLE
LIQUID CRYSTALLINE DENDRIMERS. 1. Synthesis of five generations of carbosilane liquid crystalline dendrimers with terminal cyanobiphenyl groups.

5. FUNDING NUMBERS
C N68171-97-M-5822
WU 1

6. AUTHOR(S)
V.P. Shibaev, N.I. Boiko, A.M. Muzafarov, E.A. Rebrov, S.A. Ponomarenko, S.A. Amelechina

7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)
Chemistry Department, Moscow State University, Leninskiy Gory, GSP-3, 119899 Moscow, Russia

8. PERFORMING ORGANIZATION REPORT NUMBER
MSU ERO C 11R/98

9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)
Pearse A. McDade, Naval Regional Contracting Center, Detachment London, Block2, wing11, DOE Complex, Eastcote Road Ruislip, Middx, UK, HA4 8BS

10. SPONSORING/MONITORING AGENCY REPORT NUMBER

11. SUPPLEMENTARY NOTES

12a. DISTRIBUTION/AVAILABILITY STATEMENT
Distribution unlimited

12b. DISTRIBUTION CODE

13. ABSTRACT (Maximum 200 words)
Using the controlled layer by layer experimental technique via reiterated sequence of chemical reactions carbosilane LC dendrimers with terminal cyanobiphenyl mesogenic groups of generations 1 – 5 were synthesized. Molecular structure and purity of all new compounds were characterized by 1H-NMR spectroscopy and GPC analysis. Thermal behavior of LC dendrimers was investigated by means of polarizing optical microscopy and DSC methods. All LC dendrimers synthesized have mesophases of smectic types over wide temperature region. Values of glass transition temperatures of LC dendrimers do not depend on generation number, but isotropisation temperature raises with increasing of generation number of LC dendrimers. LC dendrimer of generation 5 bearing 128 cyanobiphenyl mesogenic groups displays unusual type of structural polymorphism, which is under investigation.

14. SUBJECT ITEMS
Liquid Crystals, Dendrimers, Liquid Crystalline Dendrimers, Synthesis, Hydrosilation, Smectics, Cyanobiphenyl Mesogenic Groups

15. NUMBER OF PAGES
3

16. PRICE CODE

17. SECURITY CLASSIFICATION OF REPORT
Unclassified

18. SECURITY CLASSIFICATION OF THIS PAGE
Unclassified

19. SECURITY CLASSIFICATION OF ABSTRACT
Unclassified

20. LIMITATION OF ABSTRACT
UL
BODY OF THE REPORT

(1) The Scientific Work done during the reporting period.

Synthesis of liquid crystalline (LC) carbosilane dendrimers of generations 1 - 5 with 8, 16, 32, 64 and 128 terminal mesogenic groups was performed.

Firstly, carbosilane dendrimers with terminal allyl groups G-n(All)m (n is the generation number, m is the number of terminal groups (allyl) shown in the parenthesis) were synthesized by divergent approach via Grignard/hydroisilylation reiterative stepwise technique. These dendrimers have four-functional central branching core and three-functional branching units leading to the degree of branching on the each step equal to two. In such a way for the first time the carbosilane dendrimers with 8, 16, 32, 64 and 128 terminal allyl groups, corresponding to generations 1, 2, 3, 4, and 5 have been synthesized respectively. Structure of all carbosilane dendrimers synthesized was confirmed by 1H-NMR spectroscopy. Purity and individuality of these compounds were approved by GPC analysis. All dendrimers synthesized are monodisperse individual substances with polydispersity less then 1.01.

Secondly, cyanobiphenyl mesogenic groups were modified in such a way that they have methylene spacer and active terminal groups Si-H capable of reacting with the terminal allyl groups of carbosilane dendrimers. Structure of all intermediates and final compounds were proved by 1H-NMR spectroscopy.

Finally, coupling of cyanobiphenyl mesogenic groups modified (H-Si-Und-CB) to the carbosilane dendrimers G-n(All)m was carried out via hydroisilylation reaction in toluene solution in the presence of Pt-catalyst. Reactions were continued until allyl groups have disappeared completely. It was controlled by decreasing to zero of intensities of characteristic proton multiplets in the regions of δ1 = 4.80 ppm and δ2 = 5.74 ppm due to allyl carbon-carbon double bonds near Si atom in the 1H-NMR spectra. Molecular constitution and purity of all new compounds were characterized by 1H-NMR spectroscopy and GPC analysis.

Thermal behavior of LC dendrimers was investigated by means of polarizing optical microscopy and differential scanning calorimetry. All LC dendrimers synthesized have mesophases of smectic types over wide temperature region. Values of glass transition temperatures of LC dendrimers do not depend on generation number, but isotropisation temperature raises with increasing of generation number of LC dendrimers. There are no any significant changes of the phase behavior from first to third generations. These LC dendrimers form smectic A and smectic C mesophases. LC dendrimer of fifth generation has at least two new mesophases different from those of the previous generations. The structures of these mesophases are under study by X-ray measurements now.

No scientific meetings related to the Project were attended in this period.

Paper entitled «Synthesis of carbosilane liquid crystalline dendrimers of generations first to five, containing terminal cyanobiphenyl mesogenic groups» by S. Ponomarenko, N. Boiko, E. Rebrov, A. Muzafarov, V. Shibaev was submitted to the journal «Vysokomolekulyarnie soedineniya» (in Russian), «Polymer Science» (English translation).

Abstract for the poster presentation was submitted to 17th International Liquid Crystal Conference, which will be held in Strasbourg (France) on July 19-24 1998.

(2) Research plans for remainder of the contract period

1. Synthesis of LC dendrimers.
   a) Synthesis of at least five generations of carbosilane LC dendrimers with other (methoxyphenyl benzoate and cholesteryl) terminal mesogenic groups.
   b) Synthesis of LC dendrimers with different spacer length (3, 4, 5, 6 methylene groups).
   c) Synthesis of LC dendritic statistical copolymers.
2. Study of phase behavior and structure of all LC dendrimers by the optical polarizing microscopy, DSC and X-ray methods.

(3) During the reported period no significant administrative actions were made.

(4)