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**DoD Compliance With The National Environmental Policy Act:**

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“Defense and the environment is not an either/or proposition. To choose between them is impossible in this real world of serious defense threats and genuine environmental concerns.”

Secretary of Defense Dick Cheney\(^1\)

I. INTRODUCTION

As the turbulent decade of the 1960's drew to a close, Congress, obviously concerned over degradation of the environment\(^2\) and, aware of a national demand for environmental leadership, and its previous failure to provide it,\(^3\) formulated and enacted a comprehensive national environmental policy known as the National Environmental Policy Act of 1969\(^4\) (NEPA).

NEPA, hailed by some as an "Environmental Bill of Rights,"\(^5\) was a landmark piece of legislation that, perhaps for the first time, emphasized the newfound significance that lawmakers had placed on cleaning up and protecting the environment. Simply stated, NEPA requires all agencies of the Federal Government, "in cooperation with State and

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\(^4\) NEPA, supra note 2, §§ 4332 (1994).

local governments, and other concerned public and private organizations,\(^6\) to prepare a “recommendation or report on proposals for legislation and other major Federal actions significantly affecting the quality of the human environment[.]. . . “\(^7\). Some consider the passage of NEPA as the entry of federal facilities into the “environmental age.”\(^8\)

The Department of Defense (DoD) is one of the federal agencies affected by the enactment of NEPA. DoD has taken affirmative steps to implement NEPA, as it applies to environmental effects of major DoD actions within the United States, in its regulations.\(^9\) In regards to military activities outside the United States\(^10\) however, DoD contends that Executive Order 12,114, and not NEPA, applies to its actions.

In recent times, the unresolved question of what NEPA obligations DoD faces with respect to the potential environmental effects of its major actions abroad, has been a bitterly contested issue. Critics of DoD’s posture argue that Congress intended NEPA to be applicable world-wide and have vigorously sought to use the courts to force a NEPA

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\(^6\) 42 U.S.C. § 4331(a).

\(^7\) 42 U.S.C. § 4332(2)(c).

\(^8\) L. Hourcle, Federal Facilities Law 450, George Washington University, Spring 1997, February 15, 1997, pp1-8. ("Prior to the enactment of NEPA, federal agency environmental law was little more than a patchwork of programs related to federal land use.")

\(^9\) 32 C.F.R. Ch. I, pt. 188.1 (emphasis added).

\(^10\) 32 C.F.R. Ch. I, pt. 187.1 provides guidance to DoD employees on department requirements and responsibilities with respect to DoD actions that do significant harm to places outside of the United States.
change that would impose its requirements for all overseas military actions.\textsuperscript{11} To date these critics have been relatively unsuccessful in pushing their agenda, but it is clear that their battle is far from over.\textsuperscript{12}

This note asserts that, except in certain circumstances,\textsuperscript{13} NEPA does not, and should not, apply to DoD military activities abroad, and further that proposed actions to amend NEPA or Executive Order 12,114 to mandate DoD overseas compliance are unnecessary. This view is not to be misconstrued as purporting to relieve DoD of its environmental responsibilities for military actions outside the United States. On the contrary, this note articulates the belief that changes to NEPA are unnecessary for two primary reasons:

First, DoD, through a proactive reexamination of its past environmental practices, has committed itself to being an environmental leader with respect to military operations, both during war and while supporting United Nations peacekeeping missions\textsuperscript{14}. Therefore, actions to amend NEPA are redundant and fail to consider the necessary flexibility that is required in order for DoD to perform its military mission and which is afforded through Executive Order 12,114 and department directives.


\textsuperscript{13} Arguably, NEPA might apply for some military actions when those activities occur in the global commons, which are defined as geographical areas that are outside the jurisdiction of any nation, and includes the oceans outside territorial limits and Antarctica. \textit{See Massey} discussion, infra, Section V.D.

\textsuperscript{14} See Operation Joint Endeavor discussion, \textit{infra}, Section VIII A. 2.
Second, in this age of global economy, a renewed emphasis on proposing world-wide unilateral application of an American environmental statute ignores the traditional rules of sovereignty as well as the complexities of numerous international environmental issues which exist today.\textsuperscript{15} Arguably, protection of the environment will be better served, not by projecting a United States environmental statute on a foreign sovereign, which actually could be viewed as degrading the environmental progress DoD has begun to achieve world-wide, but rather by utilization of both existing and future bilateral and multilateral environmental agreements.\textsuperscript{16}

This note examines and emphasizes the myriad of ways DoD protects the environment while conducting military operations abroad. This protection is being accomplished through a cultural emphasis on environmental awareness as well as through implementation of new regulations, guidelines, policies and practices.\textsuperscript{17} Part II will provide a broad examination of NEPA, its history, a review of the issue of extraterritorial application of its principles, an assessment of its possible application to DoD activities overseas, and recent attempts to amend its application. Part III examines the question of foreign sovereignty inherent in any argument supporting the extraterritorial application of a domestic statute, the customary international law obligations that United States military forces must comply with while deployed to a foreign sovereign, and two seminal American cases dealing with the extraterritorial application of U.S. statutes overseas.

This section also illustrates the potential problems with the application of NEPA overseas.


\textsuperscript{16} See Possible Alternatives to NEPA Unilateral Application discussion, infra, Section III D. 3.

\textsuperscript{17} See detailed discussion, Sections VII and VIII, infra.
and provides possible alternative solutions to its application.

Part IV explores Executive Order 12,114 and how it determines DoD’s ability to protect the environment abroad. It also explains how DoD has implemented this order within its agency. Part V focuses on the most relevant court cases which have interpreted NEPA’s extraterritorial application. Part VI highlights the history of Presidential Review Directive 23, its proposed changes to NEPA, and the possible ramifications of those changes on DoD overseas operations. Part VII updates current DoD directives and policies currently in effect which provide a baseline for all current DoD environmental compliance procedures.

Part VIII of this note examines recent DoD environmental actions which provide meaningful new insight into how DoD has evolved into an organization which proactively seeks to consider the delicate balance between protecting global environmental standards while accomplishing its primary mission of defending United States national and foreign policy interests. This note concludes with Part IX which provides a brief summary of proposed recommendations that would foster environmental protection overseas without requiring the need for unilateral application of NEPA.

II. THE NATIONAL ENVIRONMENTAL POLICY ACT

A. History

NEPA has been in existence since 1970. In enacting what is recognized as the nation’s basic environmental charter,\(^\text{18}\) Congress’ stated purpose was to:

\(^{18}\) 40 C.F.R. §1500.1(a) (1991). ("NEPA is our basic national charter for protection of the environment.")
“[D]eclare a national policy which will encourage productive and enjoyable harmony between man and his environment; to promote efforts which will prevent or eliminate damage to the environment and biosphere and stimulate the health and welfare of man; to enrich the understanding of the ecological systems and natural resources important to the Nation; and to establish a Council on Environmental Quality.”

Due to this sweeping language, NEPA, the most broadly applied of all the major environmental statutes, has been referred to as the “cornerstone” of modern American environmental law and has demonstrably changed the environmental face of the earth.

Congress’ broad mandate to protect the environment was examined and restated in *Weinberger v. Catholic Action of America* where the Supreme Court noted that NEPA has two distinct goals: “. . . to inject environmental considerations into the federal agency’s decision-making process by requiring the agency to prepare an environmental impact statement (EIS). . . [and] to inform the public that the agency has considered environmental concerns in its decision making process.”

NEPA satisfies these goals by imposing a continuing responsibility on our federal government to “use all practicable means to improve and coordinate Federal plans, functions, programs, and resources necessary to ‘assure for all Americans safe, healthful, productive, and esthetically and culturally pleasing surroundings.’”

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22 42 U.S.C. §4331 (b)(2).
Unlike other United States environmental laws, NEPA contains no substantive requirements and is essentially procedural in nature. To realize its intended result, Congress inserted several “action-enforcing” procedures into NEPA. Courts have interpreted these “action forcing” requirements as imposing non-discretionary duties upon federal decision makers which are then judicially enforceable. These procedures require that “to the fullest extent possible . . . all agencies of the federal government shall include in every recommendation or report or proposals for legislation and other major Federal actions significantly affecting the quality of the human environment, a detailed statement . . . on the environmental impact on the proposed [major federal] action.”

This detailed statement, known as the environmental impact statement (EIS), must include:

(i) the environmental impact of the proposed action,
(ii) any adverse environmental effects that cannot be avoided should the proposal be implemented,
(iii) alternatives to the proposed action,
(iv) the relationship between local short-term uses of man’s


environment and the maintenance and enhancement of long-term productivity, and,
(v) irreversible and irretrievable commitments of resources which would be involved in the proposed action should it be implemented.\textsuperscript{28}

Thus, the logic behind the EIS disclosure requirement is that the general public is made aware of the environmental considerations and concerns that have been taken into account by the responsible federal agency.\textsuperscript{29}

However, before starting the EIS process, the responsible federal agency must first determine whether this action is indeed necessary by preparing an environmental assessment (EA).\textsuperscript{30} The purpose of an EA is to verify the need for the project, whether there are any potential environmental effects resulting from it, and whether possible alternatives to the action exist.\textsuperscript{31} If an EA documents that the proposed action will result in no significant environmental impact, the agency can issue a finding of no significant impact (FONSI).\textsuperscript{32} In most cases, this finding will satisfy the NEPA requirement. If the agency does determine that a major federal project will result in significant, or potentially significant, environmental impacts, an EIS must be accomplished.\textsuperscript{33}

The enactment of NEPA, Title II, also created the Council on Environmental

\textsuperscript{28} 42 U.S.C. §4332(2)(c)(i)-(v).

\textsuperscript{29} See Weinberger, supra note 21 at 143.

\textsuperscript{30} 40 C.F.R. §1501.3(a).

\textsuperscript{31} 40 C.F.R. §1508.9.

\textsuperscript{32} 40 C.F.R. §1501.4(e), §1508.13.

\textsuperscript{33} 40 C.F.R. §1501.4(c).
Quality (CEQ)\textsuperscript{34} that functions within the office of the President and whose primary duty is to issue regulations pertaining to EIS requirements.\textsuperscript{35} CEQ is also responsible for accumulating and reviewing environmental studies and data; providing NEPA advice to other federal agencies; and assisting the President in formulating a national environmental policy.\textsuperscript{36} Historically, CEQ's interpretation of NEPA has been granted "substantial deference" by the courts.\textsuperscript{37}

NEPA describes the "heart"\textsuperscript{38} of the EIS as being the requirement for the agency to discuss all reasonable alternatives to the proposed action as well as the anticipated environmental consequences of these alternatives.\textsuperscript{39} Thus, under NEPA, federal agencies are required to take a "hard look" at the potential impact of their agency action on the environment.\textsuperscript{40} Failure by an agency to do so can result in the agency being held to

\textsuperscript{34} 42 U.S.C. §4342.

\textsuperscript{35} 40 C.F.R. pt. 1500. CEQ is also directed to assist the President in preparing an annual report to Congress on the condition of the environment, along with recommendations for improvements.

\textsuperscript{36} For a detailed summary of the functions and duties of the CEQ, See NEPA, supra note 2, §204, 42 U.S.C. §4344.


\textsuperscript{38} 40 C.F.R. §1502.14(a). ("This section [alternatives] is the heart of the environmental impact statement.") Id.

\textsuperscript{39} 40 C.F.R §1502.16.(a).

judicial scrutiny under the Administrative Procedures Act (APA).\footnote{5 U.S.C. §701-706 (1994).}

This NEPA requirement to demonstrate that alternatives to a proposed action have been thoroughly reviewed ensures that both the agency decision-maker and the public at large have all of the facts necessary to make an educated decision concerning all available options. Finally, the EIS "must include appropriate environmental mitigation measures not already included in the proposed action or alternatives."\footnote{40 C.F.R §1502.14(f) (1993).}

B. Department of Defense Environmental Practices

NEPA’s goal of environmental protection through federal agency policy action makes sense when one realizes that agencies of the federal government have historically been some of the nation’s worst polluters.\footnote{L. Hourcle, Federal Facilities Law 450, George Washington University, Spring 1997.} By the end of the 1980's, the Department of Defense, one of those agencies, had more than 27,000 military facilities\footnote{Id.} and over 2,000,000 active duty personnel stationed around the world.\footnote{M. Ruppert, Criminal Jurisdiction Over Environmental Offenses Committed Overseas: How to Maximize and When To Say "No", 40 A.F. L. REV. 1 citing to the DoD Selected Manpower Statistics for Fiscal Year 1994 table 2-16 (Sept 30, 1994) which listed active duty strength at 2,138,213 in 1988.} With responsibility for protecting American citizens, interests, and natural resources around the world, DoD is clearly one of the largest and most diverse of all the executive agencies.
Less impressive, however, is the fact that, other than the Department of Energy,\textsuperscript{46} no federal agency has a more deserved reputation for polluting the environment.\textsuperscript{47} This fact, if unrealized before, became public knowledge by the late 1980's when government reports indicated that up to one quarter of the United States military bases scheduled for closure were so badly contaminated that each was included on the EPA's National Priorities List (NPL)--the national roster of hazardous waste sites that pose serious health threats to humans and the environment.\textsuperscript{48}

The scope of the environmental damage discovered at DoD installations seriously undermined and demonstrated the weaknesses in the early environmental programs DoD had implemented to recognize and emphasize environmental protection within its agency.\textsuperscript{49}

\textsuperscript{46} Although DOE does not have the most contaminated sites, the estimated clean-up costs of DOE sites, due to the radioactive nature of its waste, is expected to far exceed the remaining federal facilities combined clean-up bill. DEPARTMENT OF ENERGY, DOE/EM-0232, ESTIMATING THE COLD WAR MORTGAGE: THE 1995 BASELINE ENVIRONMENTAL MANAGEMENT REPORT (1995).

\textsuperscript{47} M.R. Kassen, \textit{Inadequacies of Congressional Attempts to Legislate Federal Facility Compliance with Environmental Requirements}, 54 MD. L. REV. 1475, ("The vast majority of federal facilities that have released contamination into the environment are defense facilities, owned and operated by the Department of Defense or by the Department of Energy (DOE), the agency responsible for manufacturing and maintaining nuclear weapons.") \textit{Id.} at FN 3.

\textsuperscript{48} See Congressional Budget Office, Environmental Cleanup Issues Associated with Closing Military Bases 2 (1992); \textit{See} also, Cleaning up Federal Facilities: Controversy over an Environmental Peace Dividend, Env't Rep. (BNA) No. 8 at d27 (Jan. 13, 1993),("DoD has 17,000 contaminated sites in its cleanup inventory.")

\textsuperscript{49} One example of such a program is the Natural Resource Conservation Award which DoD has presented annually since 1962 to recognize military installations and Defense
While, perhaps, easy to place blame on DoD for its early unsuccessful environmental policies, a more knowledgeable understanding of the progress DoD has made can be appreciated only if one steps back and reflects upon how far the DoD environmental program has come since NEPA was enacted. Consider the comments of Mr. Gary Vest, the Principle Assistant to the Deputy Under Secretary of Defense for Environmental Security:

"In 1970, . . . [e]nvironment in the Unites States Department of Defense was non-existent. There was no program, there was no budget, there were no professionals, there was no body of policy. Now, 25 years later, the United States Department of Defense has in excess of a $5 billion annual budget.\textsuperscript{50} There is probably no environmental program in the Federal Government today that can equal that of the United States Department of Defense. It is exceptional.\textsuperscript{51}"

As observed by Mr. Vest, arguably, no federal agency has as aggressively attacked the employees who have achieved outstanding accomplishment in the conservation of natural resources DoD manages world-wide. DoD is responsible for maintaining and protecting approximately 25 million acres of land and water world-wide. Similarly, since 1973, DoD has recognized excellence in leadership and achievements in environmental quality by awarding the Environmental Quality Award to military installations and Defense employees that make significant progress in avoiding and controlling air, water, land and noise pollution. See handout, The Secretary Of Defense, Environmental Security Awards, April 24, 1997, The Pentagon, Washington D.C. While important in their own right, these initiatives pale in comparison to the changes DoD has recently made in its environmental policy.

\textsuperscript{50} See Hourcle, \textit{supra} note 8 in which the author states that for FY 98, DoD has requested $1.264 billion to clean up active bases and $857 million for clean up of bases scheduled for closure. These amounts do not include expenditures for environmental compliance for current DoD activities.

\textsuperscript{51} GRUNWALT, KING, AND McCLAIN, PROTECTION OF THE ENVIRONMENT DURING ARMED CONFLICT, U.S. NAVAL WAR COLLEGE INTERNATIONAL WAR STUDIES, VOL. 69, CHAPTER XXII, quoting the address of Mr. Gary Vest to participants at a Naval War College Symposium (September 1995).
problems of environmental contamination at its sites across the world as has DoD. Faced with many serious environmental issues,\(^{52}\) DoD, in an era of forced troop reductions and budget cuts, has single-mindedly expended a great deal of time, energy and finite resources on environmental protection and cleanup programs.\(^{53}\)

During the early 1990's, the Department of Defense continues to stress its absolute commitment to providing environmental excellence within the permissible limits of its defense mission. In 1991, in testimony provided to the House Armed Services Committee Hearings, Mr. Thomas E. Baca, Deputy Assistant Secretary of Defense for the Environment, declared the problem of cleaning up hazardous waste sites at military facilities to be DoD’s “largest challenge.”\(^ {54}\) However, there have been some notable bumps along the way.

The challenge in successfully accomplishing DoD’s goal became obvious when a General Accounting Office investigation reported in 1991 that DoD had failed to provide

\(^{52}\) Perhaps no DoD environmental issue is a serious as the on-going cleanup of the Rocky Mountain Arsenal outside Denver, Colorado where decades of environmental damage has resulted in a site that will take years to clean at an estimated cost of over $2 billion dollars. See, generally, Cleaning up Federal Facilities, supra note 48 at d27 (Jan. 13, 1993).

\(^{53}\) Id. (“In 1993 alone, funding for DoD environmental programs, including restoration efforts at operating and closing bases increased from $500 million to $2.2 billion.) Id. See also R. Wegman and H. Bailey, The Challenge of Cleaning Up Military Wastes When U.S. Bases Are Closed, 21 ECOLOGY L. Q. 865, 868 (1994), citing to Congressional Budget Office, Cleaning Up Defense Installations: Issues and Options 2 (1995) which estimates that overall cleanup costs in DoD will hit $30 billion by the year 2000.

sufficient guidance concerning appropriate hazardous waste disposal practices at its military bases overseas.\textsuperscript{55} Later that year, a House Armed Services Committee study similarly concluded that United States military environmental practices overseas were inconsistent with both U.S. and host nation environmental standards.\textsuperscript{56}

In light of these negative findings, Congress directed the Secretary of Defense to “develop a policy for determining applicable environmental requirements for military installations located outside the United States,” and “[i]n developing the policy, the Secretary shall ensure that the policy gives consideration to adequately protecting the health and safety of military and civilian personnel assigned to such installations.”\textsuperscript{57}

Stung by these critical reports, DoD undertook numerous actions to emphasize its commitment to environmental protection. The first, and perhaps easiest, action was the introduction of new agency environmental recognition programs designed to increase personnel awareness of DoD’s commitment to environmental excellence. Since 1994, DoD has recognized military installations and weapon system acquisition teams that demonstrate significant strides in reducing pollution at the source.\textsuperscript{58} The proposed goal of

\textsuperscript{55} See, Wegman & Bailey, supra note 53 citing to GENERAL ACCOUNTING OFFICE, HAZARDOUS WASTE MANAGEMENT PROBLEMS CONTINUES AT OVERSEAS MILITARY BASES 45 (1991), which examined the environmental operations at 10 U.S. military bases in Europe and Asia and found that these operations violated both U.S. and host nation environmental laws.

\textsuperscript{56} See House Armed Services Hearing, supra note 54 at 66.


\textsuperscript{58} The Secretary Of Defense, Environmental Security Awards, April 24, 1997, The
this program is that by “utilizing improved processes, the Department is [better] able to eliminate or reduce the amount of hazardous materials used while saving raw materials, energy, water and other resources.”

Likewise in 1994, DoD introduced two new environmental recognition programs, the Environmental Cleanup Award and Recycling Award. The purpose of the environmental cleanup program is to “contain or remove threats to human health or the environment that have resulted from past operations on DoD lands.” Similarly, the goal of the recycling program is to increase awareness that “recycling is growing in importance as a means of improving the environment and conserving natural resources” by avoiding landfill and associated costs.

While some might argue that these awards constitute only a minor agency environmental commitment, the bigger picture reflects that these programs impact upon the larger goal of increasing employee awareness to the importance of environmental protection in day-to-day dealings within the agency. DoD understands that this first step, increasing employee environmental awareness, is important in a large and diverse agency and appreciates that, in many cases, environmental protection is achieved one employee at a time.

In addition to the creation of these new programs, in the early 1990's, DoD

Pentagon, Washington D.C. handout.

59 Id.

60 Id.
specifically undertook three actions to better account for and improve its environmental compliance programs at overseas locations: (1) implementation of DoD Directive 6050.16 which created a process to establish and implement specific environmental standards at overseas installations;\(^\text{62}\) (2) adoption of the Overseas Environmental Baseline Guidance Document (OEBGD)\(^\text{63}\) to begin implementing the requirements of DoD Directive 6050.16; and (3) development of "final governing standards"\(^\text{64}\) to be used by all overseas military installations. To the consternation of some however, DoD did not take action to implement NEPA policies to its military actions overseas. Supporters of this position however, point to this policy as nothing more than a reaffirmation of traditional customary law, or in other words, respect for a nation's sovereignty.\(^\text{65}\)

\(^{61}\) Id.


\(^{63}\) DEPARTMENT OF DEFENSE ENVTL. OVERSEAS TASK FORCE, OVERSEAS ENVIRONMENTAL BASELINE GUIDANCE DOCUMENT (1992) [Hereinafter OEBGD]. See detailed discussion, infra Section VII. B.

\(^{64}\) Id. at para. 1-2. ("country-specific substantive provisions, typically technical limitations on effluent, discharges, etc., or a specific management practice, with which installations must comply.") See detailed discussion, infra Section VII.B.

\(^{65}\) Customary international law traditionally has held that the actions of a foreign nation on the soil of a host nation are governed by "lex situ", the law of the place, unless there is an agreement between the two nations as to the specific standard applicable. See RESTATEMENT (THIRD) OF FOREIGN RELATIONS LAW OF THE UNITED STATES §401-403 115 cmt. d (1986) [hereinafter RESTATEMENT].
C. NEPA’s Application to DoD Overseas Military Operations

The Department of Defense has military and civilian personnel assigned to hundreds of foreign countries around the world. Historically, United States overseas military operations have been governed by DoD regulations, statutes, multi-lateral and bi-lateral treaties, international laws, the specific laws of the host foreign nation, and Presidential Executive Orders.66

Since its enactment, DoD has traditionally dismissed the extraterritorial applicability of NEPA to military deployments overseas67 relying on the long established principle of law that for a statute to apply extraterritorially, it must contain language that makes “a clear expression of Congress’ intent for extraterritorial application.”68 As will be illustrated in Section V, United States courts have narrowly interpreted Congressional expression of intent to the point where, except in fact-specific situations, they have routinely upheld the presumption against extraterritorial application of U.S., and especially environmental, statutes.

D. Review of NEPA’s Legislative History

One need look no further than NEPA’s statutory language to see that Congress did


68 See discussion, infra, Section III C.
not clearly address how the statute was to be applied to major Federal actions abroad.\textsuperscript{69} Likewise, a review of the legislative history seeking clarification on how Congress intended NEPA to be applied outside the United States is equally fruitless.\textsuperscript{70} Non-deterring, critics of the DoD policy against applying NEPA to its overseas actions interpret portions of the statutory language to support their position that NEPA was intended to cover all world-wide activities.\textsuperscript{71}

Specifically, proponents of a global application of NEPA interpret language found within the statute such as “harmony between man and his environment”\textsuperscript{72}, “restoring and maintaining environmental quality to the overall welfare and development of man [...]”\textsuperscript{73} and “recognizing the world-wide and long-range character of environmental problems and where consistent with the foreign policy of the United States, lend appropriate support to initiatives, resolutions, and programs...”\textsuperscript{74} as clear support of Congress’ intent to apply NEPA to federal agency action around the world.\textsuperscript{75}

\textsuperscript{69} 42 U.S.C. §§4321-4370.

\textsuperscript{70} NRDC v. Nuclear Regulatory Comm’n, 647 F. 2d 1345, 1367 (D.C. Circ. 1981) (“NEPA’s legislative history illuminates nothing in regard to extraterritorial application.”) See detailed discussion, infra, Section V. A.


\textsuperscript{72} 42 U.S.C. §4321.

\textsuperscript{73} 42 U.S.C. §4331.

\textsuperscript{74} 42 U.S.C. §4332(f).

\textsuperscript{75} See, Riechel, supra note 71 at 122; See also G. Keller, Note, Greenpeace U.S.A. v. Stone: The Comprehensive Environmental Impact Statement and the Extraterritorial
A complete reading of NEPA seems to support the opposite view. Consider the following legislative history language: "[t]he purpose of the bill [NEPA] as hereby reported, is to create a Council on Environmental Quality (CEQ) with a broad and individual overview of current and long-term trends in the quality of our national environment." Similarly Section 101(a) of NEPA in explaining the phrase "man and nature can exist in productive harmony" limits the meaning of the word "man" to present and future generations of Americans."

These examples prove that statutory language can be interpreted to support a number of positions. Consider also the following language: "[t]he Congress authorizes and directs that, to the fullest extent possible. . . all agencies of the Federal Government shall [comply with the provisions of this chapter] . . ." Likewise the following language suggests the possibility that Congress understood that situations might exist which would make application of NEPA inappropriate: "[i]n order to carry out the policy set forth in this chapter, it is the continuing responsibility of the Federal Government to use all practicable means, consistent with other essential considerations of national policy to improve and coordinate Federal plans, functions, programs, and resources." DoD's position has been that "because the statute [NEPA] does not specify whether it applies to


77 42 U.S.C. §4331(a) (emphasis added).

78 42 U.S.C. §4332(2) (emphasis added).

79 42 U.S.C. §4331(b) (emphasis added).
adverse effects upon foreign environments or to actions taken outside of the United States.\textsuperscript{80} It does not apply to major DoD overseas military activities.\textsuperscript{81}

When NEPA is viewed relative to the language of other U.S. environmental statutes, DoD’s rationale is certainly defensible. For example, the Clean Air Act\textsuperscript{82} declares as its purpose: “to protect and enhance the quality of the Nation’s air resources so as to promote the public health and welfare and the productive capacity of its population. Likewise, the Clean Water Act’s stated primary objective is “to restore and maintain the chemical, physical, and biological integrity of the Nation’s waters” which are defined as “waters of the United States.”\textsuperscript{83} Finally, CERCLA,\textsuperscript{84} which establishes the procedures for remediation of past hazardous waste practices, requires the President to adopt a National Contingency Plan (NCP)\textsuperscript{85} that addresses releases or threatened releases


\textsuperscript{81} DoD bases its position on a 21 June 1978, DoD OGC Legal Memorandum entitled: THE APPLICATION OF THE NATIONAL ENVIRONMENTAL POLICY ACT TO MAJOR FEDERAL ACTIONS WITH ENVIRONMENTAL IMPACTS OUTSIDE THE UNITED STATES 15-16 which concluded that an analysis of the NEPA legislative history provided no direct evidence of Congressional intent to apply the EIS requirement to federal actions when the impact is outside the United States.

\textsuperscript{82} Clean Air Act, 42 U.S.C. §7401(b) [hereinafter CAA].

\textsuperscript{83} Federal Water Pollution Control Act, 33 U.S.C. §§1251(a) 1362(7) (1996).(emphasis added) [hereinafter CWA].


\textsuperscript{85} Id. at §9605.
of hazardous substances "throughout the United States."\textsuperscript{86}

Even the CEQ, whose function is to assist the President in formulating national environmental policy,\textsuperscript{87} has added to the confusion on the extraterritorial issue. In 1976, CEQ issued a memo which concluded that NEPA was to be interpreted broadly:

"The human environment is not limited to the United States, but includes other countries and areas outside the jurisdiction of any country (e.g., the high seas, the atmosphere). The Act contains no express or implied geographic limitation of environmental impacts to the United States or to any other area. Indeed such a limitation would be inconsistent with the plain language of NEPA, its legislative purpose, the Council’s Guidelines, and judicial precedents."\textsuperscript{88}

However, almost immediately, under intense pressure from the Department of State,\textsuperscript{89} CEQ reconsidered its conclusion on this issue and withdrew this memo.\textsuperscript{90}

Currently, CEQ regulations do not address, or in any way clarify, NEPA’s extraterritorial application.\textsuperscript{91}

Clearly when it chooses, Congress can be quite specific in articulating how and

\textsuperscript{86} Id. at §9605(a)(8)(B) (emphasis added).

\textsuperscript{87} NEPA, supra note 2, §204.


\textsuperscript{89} 42 U.S.C. §4332(2)(F). (NEPA requires that any action taken under its authority to be “consistent with the foreign policy of the United States”. . . and [taken] in coordination with the guidance of the Secretary of State.) Id.


\textsuperscript{91} 40 C.F.R. §1500-17 (1995).
where an environmental statute will be applied. Therefore, it might be fair to assume that Congress was as clear as it intended to be on the issue when it enacted the NEPA legislation. Critics, on the other hand, while admitting NEPA’s silence as to extraterritorial application, place the blame squarely on Congress’ failure to recognize the importance of clarifying whether NEPA was intended to apply outside the United States when the legislation was passed in 1970. This argument is unconvincing and self-serving. Congress has had ample time to amend or modify NEPA’s statutory language to provide language clarification and has chosen not to do so.

E. Congressional Attempts to Modify or Amend NEPA

Some might question whether the goal to amend NEPA to specifically cover extraterritorial environmental impacts truly is an issue about which DoD and other federal agencies should be alarmed. Recent history suggests that support for a NEPA change, to include amending the presumption against extraterritorial application, wavers back and forth, but never completely disappears.

This is best demonstrated by reviewing fairly recent Congressional attempts to amend NEPA. Periodically, Congress entertains legislation which, if enacted, would

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92 See, 22 U.S.C. §2151p, Section 117 of the Foreign Assistance Act (“The President, in implementing programs and projects under this part, and part X of this subchapter, shall take fully into account the impact of such programs and projects upon the environment and natural resources of developing countries.”) (emphasis added).

93 See, Riechel, supra note 71 at 117.

94 See Section III, infra, discussion on Foreign Sovereignty and Section IV, infra, discussion on Executive Order 12,114 for two possible explanations on why Congress has not amended NEPA.
amend or modify the manner in which NEPA would apply to major Federal actions that occur overseas. In most cases, these changes would require the strict application of NEPA outside the United States.

In 1989, Congressman Gerry Studds (D-MA) introduced a bill titled the Office of Environmental Quality Appropriations, Authorization, 95 which would have required the CEQ to promulgate regulations intended to increase federal agency analysis of the environmental effect of its actions outside the U.S. with respect to global warming and ozone depletion. It failed to muster enough votes for passage. Similarly, another bill tendered by Congressman Studds in 1991 titled the Office of Environmental Quality Reauthorization Act, 96 which would have amended NEPA's EIS provision, also did not pass.

During the same time in the United States Senate, Senator Frank Lautenberg (D-NJ) offered a number of bills which, had they passed, would have amended NEPA's extraterritorial application and EIS requirements. 97 In 1993, during the bitter debate over the North American Free Trade Agreement (NAFTA), Congressman Major Owens (D-NY) offered legislation that would have made NEPA applicable to extraterritorial actions.

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of the Federal government. Congressman Owens’ bill would have amended NEPA’s §102 EIS provision and would have required the President to include, with every request for legislation to implement any trade agreement, a detailed statement analyzing any extraterritorial major federal action resulting from the proposed legislation. This proposed legislation also failed to gather enough bi-partisan support for passage. While these proposed bills were defeated before passage, there is little indication that, given the right circumstances, Congress will not revisit this issue.

To date, there are perhaps two reasons why Congress has been reluctant to broaden the scope of NEPA as it applies to the overseas actions of federal agencies: the question of sovereignty and the issuance of Executive Order 12,114 which provided federal agencies specific guidance concerning the effects of major federal actions abroad. Executive Order 12,114 will be discussed in detail in Section IV infra.

III. THE QUESTION OF FOREIGN SOVEREIGNTY

When discussing the issue of whether NEPA should apply the overseas activities of federal agencies, one is inevitably drawn to the central question: should the United States unilaterally impose the requirements of a domestic environmental statute on United

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99 Id.

100 See T. Digan, NEPA and the Presumption Against Extraterritorial Application: The Foreign Policy Exclusion, 11 J. CONTEMP H. L. POL’Y 165, 192 (1994) in which the author states that the late Congressman Mike Synar (D-Okla) noted in an interview in 1994 that some members of Congress had recognized that NEPA needed to be clarified in order to successfully apply it to extraterritorial U.S. actions.
States federal agency activities which occur within the territory of a foreign sovereign and have little, if any, impact within the United States? Supporters argue that the United States as the richest and most powerful nation in the world has a global duty and obligation to unilaterally promote environmental protection anywhere in the world.  

Critics of a U.S. approach to environmental protection through unilateral application of NEPA counter that while protection of the environment is important, there are better ways to achieve this protection without resorting to actions which could be perceived as a blatant disregard for a nation’s foreign sovereignty. To truly appreciate the complexities of this issue requires a review of the principles of customary international law.

A. **Customary International Law**

International law is a misnomer. While some commentators see international law as the law governing “the international community of states,” skeptics contend that

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102 S. Spracker & E. Naftalin, *Applying Procedural Requirements of U.S. Environmental Laws to Foreign Ventures: A Growing Challenge to Business*, 25 INT’L LAW 1043 (1991) (“Dissatisfied with both the pace and substantive content of these regulatory efforts [outside the United States], some environmental groups have attempted to extend judicially the application of U.S. environmental standards to ventures in other countries.”)

103 *See generally, S. Whitney, Should the National Environmental Policy Act Be Extended to Major Federal Actions Significantly Affecting the Environment of Sovereign Foreign States and the Global Commons, 1 VILL. ENVTL. L. J. 431 (1990)*

104 LOUIS HENKIN ET AL., INTERNATIONAL LAW 338 at xxix (2d ed. 1987).
international law is simply a “legal fiction” since there is no international legislature to make it, no international executive to enforce it, and no effective international judiciary to develop it or to resolve disputes about it.”

Proponents contend that this rationalization misses the mark. To these supporters, what essentially matters about international law is not whether the international system has legislative, judicial or executive branches, corresponding to those in a democratic society; what matters is whether international law is reflected in the policies of nations and [the] relations between [them].” Obviously, as long as nations continue to originate and abide by laws enacted to govern the relationship between themselves and the rest of the world, there will remain an accepted body of international law.

In order to be included within the rules of international law, a nation must first exercise control and authority, otherwise known as “sovereignty,” over a permanent permanent

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105 Interview with Lt Col (s) Ronald S. McClain, 24 June 1997. Lt Col (s) McClain, a Judge Advocate General in the United States Marine Corps., has an LL.M degree with a concentration in international and environmental law from George Washington University School of Law. He has had a number of international law articles published and has previously served as an international law course instructor at the Naval War College in Newport, Rhode Island. Lt Col (s) McClain currently serves as Deputy Director of Operational Law, HQ USCENTCOM MacDill AFB Florida.

106 While few in number, decisions of The International Court of Justice (ICJ) are widely respected, but are not considered precedential. See Statute of the International Court of Justice, 48 Y.B.U.N. 1052, 59 Stat. 1031, T.S. No. 933 (Art 59 provides that a decision of the ICJ “has no binding force except between the parties and in respect of that particular case.”)

107 See HENKIN ET AL., supra note 104 at xxix.

108 M. JANIS, AN INTRODUCTION TO INTERNATIONAL LAW 7, AT 8 (1988).

109 Id. Sovereignty is defined as “[t]he supreme, absolute, and uncontrollable power by
population and a territory certain. 110 Indeed some believe that the ability of a nation to exercise jurisdiction over its population and territory is the crown jewel of sovereignty. 111 To be included under the umbrella of international law, nations must have, at least, de facto authority to prescribe, adjudicate, and enforce laws within their territories. 112

International law, however, also works to limit a nation’s ability to impose its national will beyond its territorial borders. 113 As one commentator noted:

“The jurisdiction of the nation within its own territory is necessarily exclusive and absolute. It is susceptible of no limitation not imposed by itself. Any restriction upon it, deriving validity from an external source, would imply a diminution of its sovereignty to the extent of the restriction, and an investment of that sovereignty to the same extent in that power which could impose such restriction. All exceptions, therefore, to the full and complete power of a nation within its own territories, must be traced up to the consent of the nation itself.” 114

However, international treatises, in some instances, recognize the authority of a nation to enforce its laws outside its territories. 115 This concept recognizes that, in

which any independent state is governed.” BLACK’S LAW DICTIONARY 1396 (6th ed. 1990).

110 See HENKIN ET AL., supra note 104, at 286-287.

111 W. WRISTON, THE TWILIGHT OF SOVEREIGNTY 7 (1992). ([I]t “has always been, in part, based on the idea of territoriality,” and as such, “[t]he control of territory remains one of the most important elements of sovereignty.”) Id.

112 HENKIN ET AL., supra note 104, at 286.

113 Id.

114 Id. (quoting The Schooner Exchange v. McFaddon, 11 U.S. (7 Cranch) 116, 136 (1812)).

115 See RESTATEMENT, supra note 65 at §402 (“[A] state has jurisdiction to prescribe law with respect to . . . conduct outside its territory that has or is intended to have a

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certain situations, one nation may be compelled by circumstances to impose its jurisdiction over another sovereign.\textsuperscript{116} Thus, under international law, a nation may exercise its jurisdiction and apply domestic laws extraterritorially under the following circumstances:

1. Conduct which takes place in whole or in part within the territory of the sovereign nation;
2. Activity occurring outside the sovereign nation's territory with a substantial effect within its territory;
3. Activities involving the sovereign's citizens, which takes place both outside and inside its territories; and,
4. Conduct by non-citizens occurring outside of the country by non-citizens when directed against the security of the sovereign country.\textsuperscript{117}

However, even if a nation meets the conditions to exercise extraterritorial jurisdiction over another sovereign, this act must be deemed reasonable.\textsuperscript{118} Courts traditionally deem whether such imposition of jurisdiction is reasonable by balancing several factors, such as, the connection between the regulated conduct and the territory of the regulating country, the extent to which another nation has an interest in regulating the substantial effect within its territory.

\textsuperscript{116} For instance, in recent times, the United Nations Security Council has imposed economic sanctions on Iraq, a certain infringement on its national sovereignty, due to Iraq's numerous violations of international law. See P. Conlan, Lessons From Iraq: The Functions of Iraq Sanctions Committee as a Source of Sanctions Implementation Authority and Practice, 35 VA. J. INT'L L. 633, 649-50 (1995)


\textsuperscript{118} Id. at 2.
activity, and the likelihood of conflict with the laws of the other sovereign. Any attempt to impose NEPA on United States government activities serving in a foreign land should, to some extent, be balanced against the sovereignty factors inherent within that particular nation under these basic principles. An examination of past extraterritorial application practices of U.S. statutes is helpful in understanding this sensitive issue.

B. Extraterritorial Application of U.S. Statutes: American Banana and Foley

American jurisprudence has long espoused a traditional theory of sovereignty based on territoriality. While Federal courts recognize that Congress has the authority to enforce the laws it enacts beyond the boundaries of the United States and its territories, the question of whether Congress has exercised its authority to enforce its laws outside the United States is generally resolved through statutory construction. As far back as the early 1900's, American courts demonstrated a reluctance to impose extraterritorial application of U.S. laws, even if both parties were American companies. Two seminal cases, American Banana Co. v. United Fruit Co. and Foley Brothers, Inc. v. Filardo, point out the hesitancy of courts in this situation. Issues involving the

119 Id. (citing RESTATEMENT §403 (1987)).

120 Id.


122 Id.


proposed application of United States statutes abroad generally involve a review of the principles set out in these two cases.

1. *American Banana Co. v. United Fruit Co.*

In *American Banana*, the court dealt with the seizure of banana plantations, located in Panama,\(^{125}\) owned by the American Banana Company, a multi-national American company and chief rival of the United Fruit Company, another multi-national American corporation with extensive fruit businesses in Panama,\(^{126}\) by the Costa Rican government. Prior to the seizure, United Fruit had tried without success to force American Banana to sell them its operation in Panama.\(^{127}\)

After a brief hearing, the Costa Rican military awarded the American Banana plantations to a local farmer, who in turn sold the property to United Fruit.\(^{128}\) The plaintiffs, who lost their business as a result of the alleged illegal seizure, sued in American courts asserting that the Costa Rican action violated section 7 of the Sherman Act which amounted to an unlawful monopoly.\(^{129}\)

Although both courts were American corporations, the Supreme Court refused to accept jurisdiction and rejected the plaintiff’s argument that the Sherman Act applied to

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\(^{125}\) *Id.* at 354. Panama at that time was part of the United States of Columbia. After a peasant revolt led to the declaration of an independent government, Costa Rican soldiers, at the behest of UFC, entered Panama and seized the American Banana plantation. *Id.*

\(^{126}\) *Id.* at 350.

\(^{127}\) *Id.*

\(^{128}\) *Id.* at 354-355.

\(^{129}\) *Id.*
this situation by stating that to apply it would result in “an interference with the authority of another sovereign, contrary to the comity of nations.” Reasoning that the “acts causing the damage were done . . . outside the jurisdiction of the United States. . .” the court opined that statutes must be “confined in their operation and effect to the territorial limits” of the enacting legislative body. The court concluded that, notwithstanding United Fruit’s action, to rule the foreign seizure as unlawful under U.S. antitrust laws would be paramount to interfering with Panama’s national sovereignty.

2. Foley Brothers, Inc. v. Filardo

Forty years later, the ruling in American Banana was revisited by the Supreme Court. The issue facing the court in Foley was whether a statute enacted by Congress, the Eight Hour Law, could be applied extraterritorially. The plaintiff in Foley was an American cook hired to prepare meals for construction workers employed at a number of construction sites in Iran and Iraq. As might be expected, from time to time, the

130 Id. at 356.
131 Id. at 355.
132 Id. at 357.
133 Id. at 356. Of course, this theory is interesting when noting that it was Costa Rican soldiers, not Panamanian or Colombian troops who affected the seizure. Perhaps another indication of the Court’s willingness to apply the presumption.
136 Foley Brothers, Inc. v. Filardo, supra note 134 at 286.
137 Id. at 283.
plaintiff's duty hours exceeded the eight-hour shift for which he had contracted.\footnote{Id.}

Unhappy with this situation, plaintiff sought overtime compensation for which he would have been statutorily mandated back in the United States.\footnote{40 U.S.C. §324-325 (1940),(The Eight Hour Law provided that no employee “shall be required or permitted to work more than eight hours in any one calendar day upon such work” unless when paid for work “in excess of eight hours per day at not less than one and one-half times the basic rate of pay.”)} Upon being rebuffed by management, plaintiff sued in American court seeking the extraterritorial application of the Eight Hour Law to his situation overseas. Plaintiff's argument was initially successful and he prevailed in the New York Court of Appeals.\footnote{Foley Brothers, Inc. v. Filardo, supra note 134 at 284.}

Upon appeal however, the Supreme Court, relying on the presumption against extraterritorial application articulated in American Banana,\footnote{231 U.S. 347 (1909).} reversed the lower court decision.\footnote{Foley Brothers, Inc. v. Filardo, supra note 134 at 290-91.} Stating that "the legislation of Congress, unless a contrary intent appears, is meant to apply only within the territorial jurisdiction of the United States. . ."\footnote{L. K. Caldwell, Environmental-Affecting Activities of Federal Agencies Abroad: Foreign Nations and Protected Global Resources (A Report to the Army Environmental Policy Institute, Paper No. 2) 3, (April 9, 1993) at 8.} the court solidified the traditional presumption against extraterritorial application and embraced it as a canon of statutory construction.\footnote{J. Turley, Legal Theory: "When in Rome": Multinational Misconduct and the Presumption Against Extraterritoriality, 84 NW. U. L. REV. 598, 607 (1990).}
3. **Present Day Application of Foley**

Thus, today, courts, when faced with interpreting an ambiguous statute, continue to follow the assumption endorsed by the *Foley* court that “Congress is primarily concerned with domestic conditions,”\(^{145}\) when enacting a particular law, unless it expresses its intent to apply the law extraterritorially—a test that can be hard to decipher. In order to rebut the presumption, courts require a clear expression of legislative intent, or in other words, a “plain statement of extraterritorial effect.”\(^{146}\) To determine Congressional intent, courts review the plain language of the statute; whether the statute provides for mechanisms of overseas enforcement such as venue and investigative authority; whether Congress addressed potential conflicts with foreign laws; and the legislative history of the statute.\(^{147}\)

This is not to suggest that courts always refuse to extend U.S. laws to foreign nations.\(^{148}\) As will discussed *infra*, in *Environmental Defense Fund v. Massey*,\(^{149}\) the court outlined three exceptions to the rule against extraterritorial application of U.S.

\(^{145}\) *Foley Brothers, Inc. v. Filardo*, *supra* note 134 at 285.


\(^{148}\) See, S. Shenfield, *Thoughts on Extraterritorial Application of the United States AntiTrust Laws*, 52 FORDHAM L. REV. 351, 361 (1983). (“Over the course of the next thirty-six years, courts struggled to find ways to circumvent *American Banana*’s holding and sought to fit foreign activities into categories that would permit United States courts to find territorial jurisdiction under United States anti-trust laws.”)

laws.\textsuperscript{150} Simply put, these exceptions refer to situations in which Congress has clearly indicated its intent to apply the legislation extraterritorially;\textsuperscript{151} when a failure to apply a statute overseas would negatively affect the ability of the United States government to properly function;\textsuperscript{152} and finally, when a statute regulates decision-making which originates in the United States, but is primarily felt in foreign nations.\textsuperscript{153} In the case of the last exception, the presumption is still held valid if important foreign policy issues are at stake.

Supporters of an amendment to NEPA seize on this last exception to argue that the \textit{Foley} review does not and should not apply to NEPA application to federal agency actions because NEPA applies to decisions made by federal officials most often located in the United States.\textsuperscript{154} This position is overly simplistic and fails to recognize or appreciate the impact that a NEPA EIS requirement would have on a foreign sovereign. While the court in \textit{Massey} may have reasoned such an intrusion would be acceptable in a global common, such as Antarctica, it is doubtful that the intrusive nature of an EIS gathering investigation in a foreign sovereign would be consistent with either nation’s foreign policy interests especially in situations where the foreign nation has already

\textsuperscript{150} 986 F.2d 528, 531 (D.C. Cir. 1993).

\textsuperscript{151} Id. (citing Aramco, 499 U.S. at 255).

\textsuperscript{152} Id.

\textsuperscript{153} Id. at 531-532.

\textsuperscript{154} See Caldwell, \textit{supra} note 143 at 8.
initiated an EIS type process.\textsuperscript{155}

This argument also fails to understand the difficulty in assessing exactly where DoD has made a decision, ranging from contracts issues, tactical weaponry, strategic planning and environmental protection, that might impact the environment. Obviously many decisions are made in Washington D.C., but many others are made at the various command levels and later elevated to the Pentagon. In other cases, broad policy changes are made in Washington and more specific decisions to implement the broad policy are made in the field.

Arguably, a Foley review normally serves the intended purpose of protecting against unintended conflicts between United States domestic laws and those of foreign nations.\textsuperscript{156} However, even if the courts determine that the Congressional intent on NEPA,\textsuperscript{157} would not apply it outside the United States or its territories, does not mean that steps are not taken to protect the outside the U.S. environment in other ways. In the case of DoD, executive orders, department regulations, international agreements, defense treaties and Status of Forces Agreements combine to provide a baseline of protection for both humans and the environment.

C. \textbf{Status of Forces Agreements (SOFA)}

As might be expected, under international law, the actions of a foreign nation

\textsuperscript{155} See Brauchler, \textit{supra} note 66 at FN 79.

("sending state") within the borders of a sovereign host nation is governed by host nation law unless the two nations reach an agreement otherwise.\textsuperscript{158} As previously noted, the United States has thousands of troops, performing various duties, at hundreds of overseas locations around the world.\textsuperscript{159} Therefore, under customary international law, the daily acts and conduct of these members are governed by either, specific host nation laws or by mutual defense agreements or treaties drafted between the host-nation and the United States.\textsuperscript{160}

Historically, in order to further United States military and foreign policy interests, DoD has entered into a number of Status of Forces Agreements (SOFA) with the various nations that host United States armed forces.\textsuperscript{161} These broad international agreements generally outline the legal obligations which govern the activities of U.S. forces in the host nation. The SOFA often details specifics such as to what percentage each nation is willing to contribute to maintain the operation of the installation as well as the substantive and procedural standards which govern any claim for damages, either

\textsuperscript{158} See RESTATEMENT, supra note 65 at §§401-403 115 cmt. d (1987).

\textsuperscript{159} The following countries and areas make available military bases or installations for use by the United States. In Europe: Belgium, Federal Republic of Germany, Greece, Iceland, Italy, The Netherlands, Portugal (Azores), Turkey, and the United Kingdom. In East Asia and the Pacific: Australia, Japan and Korea. In the Western Hemisphere: Bermuda, Canada, Cuba, Greenland (Denmark), and Panama. In the Indian Ocean: Diego Garcia (The United Kingdom). See R. Erickson, Status of Forces Agreements: A Sharing of Sovereign Prerogative, 37 A.F.L. REV. 137 (1994).

\textsuperscript{160} Id.

\textsuperscript{161} Id. A SOFA is a bilaterally negotiated agreement between the foreign host nation and the United States concerning the presence of American troops in the foreign country.
environmental or otherwise, against the United States.\footnote{See NATO SOFA, infra, at Art. VIII; JAPAN SOFA, infra, at Art. IV.}

However, as discussed earlier, the question of sovereignty often makes negotiating these type of agreements difficult. Traditionally, the United States has been reluctant to waive any portion of its sovereignty regarding the activities of American armed forces in a host nation. This makes the notion of a unilateral application of a U.S. statute overseas doubly troublesome to many critics of the call for extraterritorial application of NEPA.

Perhaps the best known SOFA agreement is the NATO SOFA.\footnote{Agreement Between the Parties to the North Atlantic Treaty Regarding the Status of Their Forces, June 19, 1951, 4 U.S.T. 1792, 194 U.N.T.S. 67 [Hereinafter NATO SOFA].} It involves most Western European nations in which the United States maintains a military presence.\footnote{See Erickson, supra, note 159. Also See BLAKER, UNITED STATES OVERSEAS BASING: AN ANATOMY OF A DILEMMA (1990).} The purpose of these bilateral or multinational agreements is to allow each nation to confer and agree upon the activities that United States military members will be allowed to perform in the host nation. Given the vagaries of diplomacy and sovereignty issues, this mechanism is crucial to DoD success as without an agreement from the host-nation, or in the alternative, an applicable international agreement, American military forces would be unable to accomplish their overseas mission.

The SOFA sets the stage for DoD’s environmental obligations in a foreign nation. Although SOFA’s are not governed by Executive Order 12,114, these agreements, allow
DoD the flexibility necessary to assist the host nation in identifying ways in which to address environmental protection in a cooperative setting. This cooperative atmosphere has resulted in agreements by the United States to comply with host nation environmental standards that are equal to or greater in protection than DoD governing standards.

As an example, recent SOFA agreements have resulted in the United States agreeing to analyze overseas base construction and operations, base consolidation actions, base transfers, and troop training.\(^{165}\) These DoD actions arguably provide for a more comprehensive environmental regime than was ever anticipated under the Executive Order and again demonstrates DoD’s commitment to environmental excellence.

However, as mentioned earlier, the United States, while eager to reach accommodation with the foreign host necessary to achieve its military goal, has always been extremely reluctant about ceding any degree of its sovereignty in agreements concerning the status of its forces overseas.\(^{166}\) An example is the NATO SOFA which does not require the United States to "obey" host nation law, but rather only obligates it to "respect the law of the receiving state."\(^{167}\) The same U.S. obligation holds true for both

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\(^{165}\) See Brauchler, *supra*, note 66 at 486.


\(^{167}\) *Id.* citing to NATO SOFA Art. II: ("It is the duty of a force and its civilian component. . . to respect the law of the receiving state, and to abstain from any activity inconsistent with the spirit of the present Agreement.") *Id.*
the JAPAN SOFA\textsuperscript{168} and the KOREA SOFA\textsuperscript{169}.

Understandably, the ambiguity surrounding the meaning of the term "respect" with regard to environmental actions taken at DoD installations has, at times, led to controversy and conflict between the host nation and the United States.\textsuperscript{170} In practice however, DoD has interpreted the United States obligation to "respect" the law of the host nation as requiring avoidance of any environmental activities which could result in the degradation of the environment or result in a violation of the host nation law.\textsuperscript{171}

This task has not been as easy as it might appear to be at first glance. Most SOFA's were drafted prior to the passage of NEPA and before the advent of international environmental awareness that exists for many developed nations today. As one might expect of documents created in the early 1960's, most SOFA's contained few, if any, reference to a specific requirement for U.S. forces overseas to comply with host nation environmental laws,\textsuperscript{172} if any host nation laws even existed.


\textsuperscript{170} See Erickson, supra, note 159.

\textsuperscript{171} See Phelps, supra note 166 at 67.

What normally resulted was that DoD allowed overseas installation commands to decide how its bases were going to protect the health and safety of personnel residing on base, as well as the environment. True to form, different military departments used a variety of methods and approaches to achieve “environmental protection.” 173 Pragmatically, this inconsistent, and sometimes unacceptable, approach represented a serious problem for DoD in its effort to maintain uniform environmental standards prior to implementation of the OEBGD.174

This problem was discovered in 1991 by the House Armed Services Committee, Environmental Restoration Panel which determined that when local host nation environmental laws were lax, DoD demonstrated little, if any, incentive to assert more stringent compliance requirements at its facilities.175 Without a uniform “minimum standard” in place, individual overseas commanders were left to decide whether they would comply with less restrictive, host nation environmental laws or with the more stringent DoD standards established for stateside bases.

To little surprise, the conclusion was that commanders, faced with budgetary constraints, complied only to the minimum extent they believed necessary to protect the

173 See Wegman & Bailey, supra note 53 at 937 for a detailed discussion of military environmental practices in the Philippines during the late 1980’s.

174 See Section VII, infra, for a detailed discussion of the OEBGD.

175 1991 House Armed Service’s Hearings, supra note 54 at 66. This panel focused on environmental compliance procedures at U.S. bases in the Philippines and Korea. Id.
health and welfare of their personnel.\textsuperscript{176} This inconsistent approach\textsuperscript{177} to environmental management resulted in a half-hazard application of environmental protection that resulted in environmental degradation\textsuperscript{178} and renewed Congressional criticism.\textsuperscript{179} As will be discussed extensively in Section VII infra, this episode also hastened the implementation of the OEBGD.\textsuperscript{180}

While this discovery did not represent a DoD success story, and continues to provide fodder for supporters seeking a NEPA amendment specifically covering DoD overseas actions, DoD has learned from these past practices. With that negative experience in mind, DoD, with regards to environmental protection, has taken numerous steps to ensure that overseas military units comply with the more strict of the applicable SOFA, host nation law or OEBGD/FGS. Recent examples of this affirmation includes Article 54\textsuperscript{181} of the German NATO SOFA Revised Supplementary Agreement,\textsuperscript{182} which

\textsuperscript{176} See Wegman & Bailey, supra note 53 at 937.

\textsuperscript{177} 1991 House Armed Service's Hearings, supra note 54 at 132. The problems were really evident at U.S. installations in the Far East where host nation environmental laws were less stringent than comparable U.S. laws. In the Philippines, the Navy declared that it would require its overseas bases to conform to U.S. drinking water and hazardous waste standards, while air and waste water pollution controls would be governed by host country standards.

\textsuperscript{178} Id. The House Armed Service's Committee Environmental Restoration Panel discovered that U.S. bases in the Far East consistently failed to meet clean water standards which were mandated for domestic stateside facilities.

\textsuperscript{179} Id. at 66.

\textsuperscript{180} OEBGD, supra note 63. See Section VII, B. infra.

\textsuperscript{181} The Agreement to Amend the Agreement of 3 August 1959, as Amended by the Agreement of 21 October 1971 and 18 May 1981, to supplement the Agreement between
requires United States forces in Germany to apply German law in assessing the environmental impact before undertaking any impending military project. In addition, specific environmental provisions of the Revised Supplementary Agreement are far-reaching and will have tremendous day-to-day implications for DoD units stationed in Germany.\textsuperscript{184}

Among the more relevant environmental provisions include requirements for U.S. forces to ensure that only low-pollutant fuels, lubricants and additives, in accordance with German environmental regulations, are used in operation of aircraft, vessels and motor vehicles;\textsuperscript{185} a requirement to ensure that German rules and regulations for the limitation of noise and exhaust gas emissions are observed to the extent that it is not excessively burdensome to do so;\textsuperscript{186} and, lastly, a requirement that U.S. forces observe German

\begin{footnotesize}
the Parties to the North Atlantic Treaty regarding the Status of their Forces with respect to Foreign Forces Stationed in the Federal Republic of Germany. [hereinafter Revised Supplementary Agreement].

\textsuperscript{182} Id. Although signed at Bonn, Germany on 18 March 1993 and ratified by the Federal Republic of Germany and the Sending states of Belgium, United States, The Netherlands, Great Britain, and Canada, the agreement, to date, has not been ratified by France.

\textsuperscript{183} Id. Art. 53, para 1 provides an exception to this requirement for “internal matters which have no foreseeable effect on the rights of third parties or on adjoining communities or the general public.” Id.

\textsuperscript{184} Id. The Agreement will enter into force thirty days following ratification by France. Id. at Art. 83, para 2.

\textsuperscript{185} Id. at Art. 54B. This article provides an exception if such use is incompatible with the technical requirements of these aircraft, vessels or motor vehicles. Id.

\textsuperscript{186} Id.

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regulations pertaining to the transport of hazardous materials.\textsuperscript{187}

Other international agreements governing military activities in European countries to which the United States had committed also limit actions which could threaten the environment. For instance, in Spain, United States military forces must conform to Spanish laws applicable to its own military with regard to hazardous waste, pollutants and toxic substances.\textsuperscript{188} In Greece, where the United States military presence is treated in “guest” status, the local Greek installation commander on American bases establishes the environmental standards to which DoD forces must conform at that location.\textsuperscript{189} Ideally, these standards are at least as stringent as OEBGD/FGS guidelines.

Fundamentally then, by incorporating host nation laws, (like the examples listed above,) which, often, are stricter than comparable United States environmental laws into its governing FGS, DoD improves upon its past overseas environmental protection record without the need for unilateral application of a domestic environmental statute like NEPA.\textsuperscript{190} If a situation arises, like the Greece example, in which a foreign host nation

\textsuperscript{187} \textit{Id.} at Art. 57.


\textsuperscript{190} One practical problem in incorporating host nation environmental laws into the FGS of a particular nation is that DoD currently has no expertise in staying current with the number of host environmental laws, which are always changing; and, no control or input
environmental law is inadequate or less stringent than comparable U.S. environmental protection standards, DoD will attempt, within applicable foreign policy and national security parameters, to ensure that OEBGD/FGS guidelines are enforced. In this way, DoD takes the steps necessary to ensure that established procedures and policies designed to ensure the highest level of environmental protection consistent with completing the mission are met.

D. The Problem with United States Unilateral Application of NEPA

This article is not intended to be an indictment of NEPA or an analysis of its pitfalls or short comings. Quite the contrary, NEPA’s success story stands on its own merits. Since 1970, NEPA has achieved one of the more admirable records of environmental protection of any environmental statute enacted.\(^{191}\) However, within the United States, the use of litigation to force federal agencies to comply with NEPA’s “action forcing” provisions through declaratory judgments and injunctive relief is the tool that has greatly contributed to its success.

As such the unilateral application of NEPA to DoD overseas activities is potentially violative of foreign sovereignty, and disruptive to U.S. foreign policy, and as

\(^{191}\) In Commemorating the twentieth anniversary of NEPA, Congressman Gerry Stuuds (D-MA) noted “[t]his landmark law which originated 20 years ago in this Subcommittee stands today as the most important environmental statute in the world.” Office of Environmental Quality Reauthorization, 1989: Hearing on H.R. 219 Before the Subcomm. on Merchant Marine and Fisheries, 101st Cong. 2d Sess. 1 (1989).
will be discussed later, unnecessary in light of the current situation.

1. The Problem of Requiring NEPA Public Participation in Foreign Nations

The heart of NEPA is a requirement for federal agencies to prepare an EIS if a proposed major federal action will have significant effect on the surrounding environment.\textsuperscript{192} The point of this exercise is to incorporate environmental considerations in to the day-to-day thinking and planning of federal agencies.\textsuperscript{193} If an EIS is necessary, it is often a detailed and expensive undertaking. Some experts suggest that the average cost of preparing an EIS can range up to half a million dollars and require eighteen months of operational time.\textsuperscript{194} This fact makes the application of NEPA overseas an incredible burden to DoD in both time and resources.

After completing the EIS, NEPA requires the agency to provide a minimum 45-day public comment period time during which the public at large is afforded an opportunity to review and comment on the draft EIS.\textsuperscript{195} Unfortunately, these public reviews are often a precursor to lengthy litigation concerning the proposed federal course of action. Within the United States these delays have been considerable and have


\textsuperscript{193} Id. at §4321 (1996).

\textsuperscript{194} This expenditure comes directly out of the operational budget of the military service and not from a supplementary appropriation from Congress. See Brauchler, supra note 66 at 500.

\textsuperscript{195} NEPA, supra note 2 at §1506.10(c).
resulted in litigation costly to both DoD and the opposing litigant.\textsuperscript{196}

Unlike the U.S., many nations do not have a history of allowing public participation in the activities of governing, nor do many show any inclination to initiate such public scrutiny of government actions.\textsuperscript{197} Further, in some parts of the world providing public information may put U.S. personnel at risk. Providing detailed information about U.S. practices overseas, as is done in the U.S., could be of great interest to terrorist elements such as those responsible for the bombing of the Khobar Towers in Saudi Arabia.\textsuperscript{198}

Imposing NEPA requirements overseas also ignores the political realities which governs the DoD mission. In many places around the world, American military forces are disliked and are the focal point for many government opposition groups. These groups oppose the United States military presence for a variety of reasons ranging from cultural differences to religious and nationalistic beliefs. Opening up internal DoD decision making processes to foreign citizens who are already inclined to interfere with

\textsuperscript{196} See Brauchler, \textit{supra} note 66 at 500.


\textsuperscript{198} On June 27, 1996, terrorists opposed to the United States military presence in Saudi Arabia, detonated a truck bomb outside the perimeter fence adjacent to King Abdul Aziz Air Base in Dhahran, Saudi Arabia. The force of the blast destroyed the Khobar Towers apartment complex, home to many of the 2250 American service personnel assigned to the air base. Nineteen Air Force members died as a result of the explosion. See Washington Post, Jun 29, 1996 at Section A, page 22.
the DoD mission will inevitably lead to protest and opposition to the proposed action. This opposition from groups who outwardly advocate against the DoD presence in their country could be lengthy and could seriously impact United States foreign policy interests.199

Another point that needs to be addressed is the legality, under customary international law, of having environmental actions involving a foreign nation litigated before, and decided by, U.S. courts. At the heart of this issue is the fact that, in applying NEPA or any other domestic statute extraterritorially, the U.S. may be, in principle or practice, infringing upon a foreign sovereign’s territorial integrity. Under both international law and U.S. case law this practice is frowned upon.

The sensitive foreign policy issue of having an environmental case involving environmental impacts felt solely within a foreign sovereign litigated in a United States court room, was reviewed in two cases involving the question of NEPA’s extraterritoriality: Greenpeace U.S.A. v. Stone200 and NEPA Coalition of Japan v. Aspin201 In Greenpeace, plaintiff’s sued DoD alleging that the Army had failed to conduct a comprehensive EIS before transporting U.S. nerve gas munitions across

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199 See generally, discussion of Greenpeace USA v. Stone (attempt by German citizens to stop the transport of U.S. Army chemical weapons across Germany enroute to disposal facility outside Germany) and NEPA Coalition of Japan v. Aspin, (Japanese citizens Seek to prevent U.S. Navy from erecting a new housing development), infra, Section V B./E.

200 748 F. Supp. 749, 761 (D. Haw. 1990), appeal dismissed as moot, 924 F.2d 175 (9th Cir. 1991).

Germany enroute to disposal at Johnson Atoll.\textsuperscript{202} The court held that it could not justify the political and foreign policy implications of imposing the ramifications of a domestic U.S. environmental statute (NEPA) on a foreign sovereign for acts taking place within that foreign jurisdiction.\textsuperscript{203}

In \textit{NEPA Coalition}, plaintiffs, a coalition of Japanese citizens and American environmentalists, alleged that DoD was required under NEPA to prepare an EIS for certain activities at United States Navy installations in Japan.\textsuperscript{204} In dismissing this claim, the court was blunt:

"By requiring DoD to prepare EISs, the court would risk intruding upon a long standing treaty relationship. Such risk suggest the presence of a nonjusticiable political question. At a minimum they raise prudential concerns over the competence of the judiciary to enter an area with no direct effects in the U.S. The preparation of EISs would unnecessarily require DoD to collect environmental data from the surrounding residential and industrial complexes, thereby intruding on Japanese sovereignty. In addition, DoD would have to access the impact of Japanese military activities at these bases."\textsuperscript{205}

These opinions reflect the court's recognition of the foreign affairs headaches and embarrassments that the U.S. would face if NEPA were to be applied extraterritorially against a foreign sovereign. Imposing the requirements of NEPA in an overseas setting would result in U.S. agencies conducting invasive inspections and fact-finding

\textsuperscript{202} \textit{Greenpeace U.S.A. supra} note 200 at 752.

\textsuperscript{203} \textit{See} detailed discussion, Section V. B. \textit{infra}.

\textsuperscript{204} \textit{NEPA Coalition, supra} note 201 at 467.

\textsuperscript{205} \textit{Id.} at 467 FN 5. For a detailed discussion, \textit{See} Section V. E. \textit{infra}.
investigations within a foreign territory and would require the sovereign state to provide intrusive discovery material for litigation occurring within the United States. As the court noted in *NEPA Coalition*, there is no doubt that Congress, in drafting NEPA, did not intend this result. To argue otherwise fails to comprehend the importance of customary international law, sovereignty or the importance of maintaining foreign relations with other nations.

Furthermore, considering the steps already in place to protect the overseas environment ranging from executive orders, department directives and SOFA and other international agreements, any approach to require compliance with NEPA would not seem to increase environmental protection. Instead, as discussed above, this result would more likely damage foreign relations and the national security relationship between the United States and the host foreign nation. It is difficult to believe that Congress would ever knowingly open this foreign policy “pandora’s box.”

These issues, and many others like them, have not been seriously contemplated by the courts or by Congress. Until all of the possible consequences to our military forces, national security and/or foreign relations have been addressed, it is premature to suggest applying NEPA to extraterritorial activities, unless DoD is granted a waiver from complying with the most burdensome, military and diplomatic, aspects of the statute.

2. **Would NEPA Application Conflict with SOFA?**

As discussed earlier, the United States has entered into many Status of Forces

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206 *Id.* ("There is no evidence that Congress intended NEPA to encompass the actions of a foreign sovereign within its own territory.") *Id.*
Agreements (SOFA) with the nations which host its forces. These agreements generally outline the obligations and processes that govern the actions of these U.S. forces. Before proposing the extraterritorial application of NEPA, it is important to understand how unilateral application of a domestic environmental statute might be viewed by a foreign sovereign. It is also necessary to determine whether compliance with NEPA would conflict with applicable SOFA’s.

Under customary international law, a sovereign has the right to make the laws governing its territory and the right to exercise jurisdiction, without foreign interference, over both persons and object located within that sovereign. For the United States to impose a domestic environmental statute on a foreign sovereign, the statute must not conflict with applicable international laws and must be reasonable in view of the foreign sovereign’s national interests.

Implementing the extraterritorial application of NEPA, especially the public comment and participation provisions, would appear to violate customary international law and respected foreign policy principles. Under international law, nations negotiate and reach agreement between governments, not through separate interaction with individual citizens. DoD, in complying with NEPA, besides antagonizing a host nation, would be forced to deal directly with foreign citizens on a host of sovereign

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207 See HENKIN, ET AL, supra note 104 at 286-287.

208 See RESTATEMENT, supra note 65 at §403 (1987).

209 See Brauchler, supra note 66 at 491.
environmental issues, a task very possibly differing with previously approved host nation environmental legislation, as well as the customary international law concept that contacts are between sovereign governments.

Recognizing that environmental awareness is a staple of many political philosophies, any interaction by the U.S. with individual foreign citizens, within a NEPA public participation context, over national environmental concerns might be viewed by the host nation as a foreign intrusion into its national political process and a violation of international law. However, DoD has in place environmental protection measures that provide the necessary precautions to ensure both manageable and affordable safety to both humans and the environment rendering unilateral application of NEPA unnecessary.

3. Possible Alternatives to Unilateral Application of NEPA

Most supporters of extending NEPA to overseas activities cite to the fact that the world continues to face many “global problems such as climate change, ozone depletion, acid rain, ocean pollution and protection of living resources. These problems are quintessentially global in nature.” Pointing to urban plight and limited economic resources of many developing countries which has led to lower environmental standards

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210 *Id.* There is also the question of who would be responsible for this dialogue. Normally, the U.S. Ambassador reporting to the State Department has overall responsibility for U.S. activities in a foreign country.

211 *Id.* Besides involving the United States in a touchy foreign policy dilemma, this action would also violate existing SOFA’s. Current SOFA provisions would require DoD to obtain consent of the foreign host prior to entertaining public participation in such matters due to prohibitions against U.S. involvement in foreign political activities.

212 *See* Whitney, *supra* note 103 at 470.
than accepted within the United States and other developed nations\textsuperscript{213} and recognizing that the United States has greater resources available to protect the environment, these supporters assert that applying NEPA extraterritorially is the most effective manner in which to uphold the U.S. obligation to the global environment.\textsuperscript{214} Less noble reasons for applying the statute, of course, is to provide more stringent management of DoD, and other federal agency, environmental actions abroad.\textsuperscript{215}

Proponents for a global application of NEPA note that the U.S. is a signatory to the Convention on Environmental Impact Assessment in a Transboundary Context.\textsuperscript{216} The argument continues that the signatories to the Convention pledged to “take the necessary legal, administrative or other measures to implement the provisions of [the] Convention, including . . . the establishment of an Environmental Impact Assessment (EIA) procedure that permits public participation and preparation of the EIA” described in the Convention..\textsuperscript{217} Therefore, the argument concludes that the U.S., in keeping with its international law responsibilities, should take steps to implement the treaty by amending NEPA.\textsuperscript{218}


\textsuperscript{214} See Spracker & Naftalin, supra note 102 at 1043.

\textsuperscript{215} See Reichel, supra note 71 at 139.

\textsuperscript{216} 30 I.L.M. 800 (1991) [hereinafter Convention].

\textsuperscript{217} Id. at Art. 2 para 2, 30 I.L.M. 800, 803.

\textsuperscript{218} See Digan, supra note 100 at 195.
While logical on its face, closer examination reveals a flaw. As discussed earlier, in order for a court to accurately determine whether NEPA should be applied extraterritorially requires a review of jurisdiction and how that concept of customary international law is balanced against interference with the sovereign rights of other states. Traditionally, courts have considered three factors in determining whether a domestic statute should be applied extraterritorially: jurisdiction to adjudicate, jurisdiction to prescribe, and reasonableness.\textsuperscript{219} Jurisdiction to adjudicate requires the court to determine whether Congress intended the court to have subject matter and personal jurisdiction in which to hear the case.\textsuperscript{220} If this intent is evinced, either on the statutes face or through a reading of the legislative history, the court must still ensure that the dispute has been filed with the appropriate district court\textsuperscript{221} and that proper venue has been achieved.\textsuperscript{222}

Even if Congress intended American courts to exercise adjudicative jurisdiction, the court must determine whether Congress, itself, enjoys prescriptive jurisdiction, normally recognized as a nation’s capacity to enforce its laws over its citizenry and their conduct, before applying a law extraterritorially.\textsuperscript{223} The exercise of prescriptive

\textsuperscript{219} See Burghlea, supra note 12 at 352.

\textsuperscript{220} Id. citing to U.S. CONST. art. III; 28 U.S.C. §§1330,1331 (1993).

\textsuperscript{221} Id. citing to 28 U.S.C. §1391 (1993).

\textsuperscript{222} Id. citing to RESTATEMENT, supra note 65 at Part IV (1987)

\textsuperscript{223} Id. at §402.
jurisdiction is premised on one of two separate principles: territoriality or universality. As might be expected, the principle of territoriality "confers jurisdiction because the actions in question take place in or have some impact on a nation's territory. A sovereign can exercise territorial jurisdiction either objectively (over conduct that occurs within a sovereign's territory) or subjectively (over conduct taken abroad but which has an impact within the sovereign's territory.) The principle of universal jurisdiction allows a sovereign to punish certain offenses without regard to where the transgression was committed. However, under international law, this principle is construed very narrowly, asserted only for war crimes and piracy acts. Arguably, in the absence of customary international law, this principle, if asserted in an environmental context, would probably require all nations to be signatories to international agreements condemning specified acts.

Lastly, courts must determine that the exercise of jurisdiction, whether to

224 Id. at §403.

225 See Burghelea, supra note 12 at 353.

226 Id.

227 Id. citing to RESTATEMENT, supra note 65 at §404.

228 Id. citing to BARRY E. CARTER & PHILIP R. TRIMBLE, INTERNATIONAL LAW 709 (1991)

229 Id.
adjudicate or prescribe, is reasonable.\textsuperscript{230} Absent a reasonable rationale, a sovereign’s ability to exercise jurisdiction extraterritorially is greatly weakened.\textsuperscript{231} However, even if the test for reasonableness is met, the court must still balance the need to assert jurisdiction against the customary international law concept of respecting the sovereign affairs of other states.\textsuperscript{232} This is especially true in the environmental realm where issues are deemed to be local concerns best regulated by the sovereign.\textsuperscript{233} Under customary international law, another nation’s interference in such an area of local concern would be considered to be unduly intrusive.\textsuperscript{234} American courts have historically agreed with the view that interference with a sovereign’s control over local or national issues is paramount to intruding upon that sovereigns’ jurisdiction and have therefore been consistent against applying domestic statutes extraterritorially.\textsuperscript{235}

The U.S. as a signatory to the Convention on Environmental Impact Assessment in a Transboundary Context pledged to issue an impact assessment prior to a decision to

\textsuperscript{230} Id. citing to RESTATEMENT, supra note 65 at §403(2) which outlines the factors that a court may use to determine reasonableness.

\textsuperscript{231} Id.

\textsuperscript{232} Id. at 353.

\textsuperscript{233} Id. at 356.

\textsuperscript{234} See Turley, supra note 144 at 645.

\textsuperscript{235} See Burghelea, supra note 12 at 356. Also See discussion Section III. B. Extraterritorial Application of U.S. Statutes.
authorize, within its jurisdiction, actions that are "likely to cause a significant transboundary impact." The U.S. satisfies this obligation through implementation of NEPA procedures within the United States and its territories. In areas outside the acknowledged jurisdiction of the United States, as recognized through customary international law, the U.S. satisfies its international environmental responsibilities through adherence to Executive Order, DoD directives, regulations and policies, and separate international agreements. In this way, the U.S. protects the environment while comporting with the primary purpose of the presumption against extraterritoriality which is "to protect against the unintended clashes between the [United States] laws and those of other nations which could result in international discord."

Moreover, proponents of an amended NEPA, while asserting that the U.S. would satisfy its international law obligation by applying the statute abroad, fail to consider how this action would violate foreign policy and the basic principles of international law previously in place, The Stockholm Declaration of 1972. Principle 21 states:

236 Id. (emphasis added).

237 Convention at Art 2 para 3, 30 I.L.M. at 804.


239 Declaration of the United Nations Conference on the Human Environment, 11 I.L.M. 1416, 1420 (1972) reproduced from U.N. DOC. A/CONF. 48/14 and Corr. 1 (1972). Recognizing that international law frowns upon one sovereign trying to assert its laws upon another, the Carter Administration created Executive Order 12,114 to assist federal agency actions overseas. DoD, through promulgation of directives intended to implement the order satisfies the assessment requirement at its overseas locations, consistent with existing international agreements and foreign policy interests.
“[S]tates have, in accordance with the Charter of the United Nations and the principles of international law, the sovereign right to exploit their own resources pursuant to their own environmental policies, and the responsibility to ensure that activities within their jurisdiction or control do not cause damage to the environment of other states or of areas beyond the limits of national jurisdiction.”

Notwithstanding the global changes in environmental awareness since the Declaration was signed in 1972, this language clearly supports the view that sovereigns have the exclusive right to apply their own environmental policies when conducting projects that affect their environment. For the United States to unilaterally presume to have a higher right or loftier appreciation of a nation’s environmental concerns would violate existing international laws and smacks of “unbridled paternalism” which could lead to distrust and an inevitable foreign policy breakdown.

More importantly for the global environment, the extraterritorial application of NEPA’s environmental impact assessment process could lead to a degradation of previous environmental protection provisions, such as the International Environmental Impact Assessment Law, which has been adopted by more than 75 nations as a strategy for confronting both domestic and transnational pollution. Instead of promoting global environmental protection, this U.S. action could result in weakening valuable existing

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240 Id.

241 Conversation with Lt Col Richard Phelps, Chief of Environmental Law, United States Air Forces in Europe, March 1997. See also Maragia. supra note 15 at 192.

international regimes set up to foster international cooperation in environmental protection.

What history does seem to suggest is that true environmental reform, and protection, is best handled through international diplomacy, cooperation and resolution rather than attempted unilateral action by one nation. Proponents of a world-wide application of NEPA argue that this approach note is idealistic, believing instead that national sovereignty is too entrenched to allow one nation to impose its legislative will upon another. Idealistic or not, the only way to confront international environmental threats is to create a relationship among nations that does not infringe upon any particular state’s sovereignty, yet provides environmental benefits. This is the approach of the Convention on Environmental Impact in a Transboundary Context which encourages sovereign nations to develop compatible procedures for their mutual benefit while respecting established principles of sovereignty and international law.

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243 This was foreseen by the drafters of Executive Order 12,114 who ensured that the order expressly avoided any act or appearance of an act of interference by the U.S. upon the sovereignty of a foreign nation. See discussion, Section IV infra.


245 Id.

246 See Convention supra note 216 at Preamble which outlines the cooperative purpose of the Convention. ("...[D]etermined to enhance international cooperation in assessing environmental impact in particular in a transboundary context, ...commending the ongoing activities of States to ensure that, through their national legal and administrative provisions and their national policies, environmental impact assessment is carried out.")
The position of the Convention is logical when considering that it benefits all nations when environmental protection is achieved on a global scale. The problem that supporters of an amended NEPA fail to consider, or give due credence to, is that global application of NEPA could actually disrupt the sense of global environmental community that must take root if true protection is to be achieved. The reason for this has been noted earlier, the environmental priorities of the U.S., perhaps the world's most developed nation, differs significantly with many of the world's developing nations. For the U.S. to impose the requirements of NEPA in one of these nations ignores the fact that environmental priorities are different around the world, economic capabilities are limited, and emphasis on environmental concerns may not be universally appreciated. To press ahead could cause significant extraterritorial consequences to the foreign nation and markedly impact the U.S. ability to foster a global attitude of environmental protection.

\[247\] Developing nations share many of the same problems central to environmental degradation: excessive populations growth that hinders development efforts aimed at environmental protection; lack of environmental pollution awareness; lack of discipline in complying with rules and regulations intended for maintaining pollution levels; political instability; and, poor economies. See Maragia, supra note 15 at 191.

\[248\] See Burghelea, supra note 12 at 372. ("[e]nvironmental priorities differ among countries at various levels of development. The less-developed countries are likely to have fewer resources and less ability to afford environmental protection than the wealthier countries.").

\[249\] It is understandable that the imposition of a NEPA-type process by the U.S. could cause significant consequences to a third world nation that has few economic resources to absorb such a process and little, if any, inclination to relinquish its decision-making control over its natural resources to us.

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It would also be a potential setback to foreign policy interests.\(^{250}\) Finally, the perception of U.S.-induced pressure could result in a backlash of anti-environmental actions effectively eliminating many of the environmental gains made around the world in the past twenty years.\(^{251}\)

The best way to approach environmental protection overseas is to reach agreement through international discourse. For example, the global community has learned that ocean dumping is best controlled through international compacts such as the London Dumping Convention.\(^{252}\) Likewise, protection of the world’s living resources has best been achieved through international agreements such as the United Nations Convention on the Law of the Sea,\(^{253}\) and the International Convention for the Regulation of Whaling.\(^{254}\) These type of approaches are consistent with the manner in which DoD presently handles its environmental programs overseas.\(^{255}\) DoD, through its regulations

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\(^{250}\) See HENKIN, ET AL., supra note 104 at 339.

\(^{251}\) The reason for this backlash is simple: extending a U.S. domestic statute to a foreign nation encroaches upon the authority of that sovereign to engage in its own national decision-making. This could result in the foreign nation rebelling against any form of environmental “enforcement.” See Maragia, supra note 15 at 191.

\(^{252}\) 11 I.L.M. 1294 (1972).

\(^{253}\) 21 I.L.M. 1261 (1982).

\(^{254}\) 25 I.L.M. 1587 (1986).

\(^{255}\) Taking this approach to the next level, DoD has recognized that it can provide a leadership role in international environmental protection and security by taking advantage of its military-to-military relationship with nations around the world. To that end, DoD has begun a process in which it encourages other militaries around the world to begin, or enhance, cultural [environmental] change in terms of their attitude toward the environment. An example of this approach was the Western Hemisphere Defense
and directives, made pursuant to Executive Order, helps achieve environmental protection through SOFA agreements to which it is a party. The flexibility of these procedures allow DoD to recognize and react to differing environmental concerns at each of the countries in which it has a force stationed.

IV. EXECUTIVE ORDER 12,114

A. Background

In 1979, President Jimmy Carter, concerned about the possible environmental effects of federal agency actions abroad, and the lack of clarity on this issue,256 promulgated Executive Order 12,114, Environmental Effects Abroad of Major Federal Actions, which had the effect of imposing limited “NEPA-like” compliance on federal agency actions overseas.257 Arguably, the principle reason for issuing the order, which created an entirely independent and separate regime of law governing federal agency

Environmental Conference hosted by the Office of the Deputy Under Secretary of Defense for Environmental Security and the United States Southern Command (SOUTHCOM) held June 2-4, 1997 in Miami, Florida. This conference, which hosted senior military officials and elected officials from most of the nations of Central and South America, focused on developing strategies and plans to address military environmental needs and goals. The primary goal of conference attendees was to allow military leadership, civilian government and representatives from academia to establish a foundation for future cooperation on the environmental dimension of currently assigned military missions and defense forces. The object of this, and other DoD initiatives, is to get foreign military forces to think about what individual forces can do to improve and protect the environment. This exercise fits nicely within DoD’s goal of demonstrated leadership in environmental excellence and is a positive role as the agency moves into the 21st century.


257 Exec. Order No. 12,114, supra note 101 at Sec. 2-1.
environmental decision-making outside the United States, was the Carter Administration’s recognition that NEPA did not apply to overseas decision-making.

While clearly intended to further the purpose of NEPA in overseas actions, Executive Order 12,114 never cites to NEPA specifically as its authority, but instead, declares that: “[b]y virtue of the authority vested in me [as President] by the Constitution and laws of the United States[,] . . .” 258 This order “further[s] the purpose of the National Environmental Policy Act. . .” 259 The genesis of this order represents an attempt to balance the foreign policy considerations of DoD actions overseas with the need to protect the overseas environment in which these actions occur. 260 It was also designed to provide federal agency actions abroad with procedures that protected the environment but also provided the flexibility NEPA failed to provide concerning foreign policy and sovereignty issues.

The “authority” language of the order was drafted intentionally to eliminate the requirement of federal agencies to have to explicitly adhere to NEPA guidelines which would hamper the agency’s bid to develop their own procedures. 261 Unlike NEPA, Executive Order 12,114 clearly states that it “represents the United States government’s exclusive and complete determination of procedural and other actions to be taken by Federal agencies to further the purpose of the National Environmental Policy Act, with

258 Id. at Preamble.

259 Id. at Sec. 1-1.

260 See Brauchler, supra note 66 at 481.
respect to the environment outside the geographical borders of the United States and its territories and possessions. The order required all federal agencies to implement the provisions within eight months of the effective date of the order.

Recognizing that NEPA requirements abroad could potentially interfere with the sovereignty of foreign host nations, the order was intended to assist federal agencies in regulating international environmental concerns through bilateral or multilateral approaches. To that end, Executive Order 12,114 Seeks to “further environmental objectives consistent with the foreign policy and national security policy of the United States” by directing DoD (and other federal agencies) to establish and implement procedures for four categories of major federal actions that are subject to the procedural requirements of the order instead of NEPA:

(a) Actions significantly affecting the environment of the global commons outside the jurisdiction of any nation (e.g., the oceans or Antarctica);
(b) Actions significantly affecting the environment of a foreign nation not participating with the U.S. and not otherwise involved in the action;
(c) Actions significantly affecting the environment of a foreign nation by generating a toxic or radioactive product which is prohibited or strictly regulated in the U.S.; and;
(d) Actions outside the U.S., its territories and possessions which significantly affect natural or ecological resources of global importance designated for protection by the President or international agreement.

261 See, Gaines, supra note 256 at 146.

262 Exec. Order No. 12,114, supra note 101 at §2-1. (emphasis added).

263 Id.

264 Id. at §2-5(b).

265 Id. at Preamble.

266 Id. at Sec. 2-3(a)-(d).
In order to comply with the order, Federal agencies are required to document the impacts of the various actions listed above under Section 2-3 by using environmental impact statements, environmental studies, and environmental assessments and other reviews.\textsuperscript{267}

Executive Order 12,114 also provides several exemptions to certain actions that can have an important bearing on whether a federal agency is required to provide the environmental analysis required under the order. Among the exemptions relevant to this paper are the following:

(1) Actions not having a significant effect on the environment outside the United States as determined by the agency;\textsuperscript{268}
(2) Actions taken by the President;\textsuperscript{269}
(3) Actions taken by or pursuant to the direction of the President or Cabinet officer when the national security or interest is involved or when the action occurs in the course of an armed conflict.\textsuperscript{270}

Understanding the delicate nature of foreign sovereignty concerns, the drafters inserted broad policy considerations into the Executive Order which act to allow a federal agency to modify the preparation of an environmental document when necessary to meet critical national interests.\textsuperscript{271}

\textsuperscript{267} Id. at Sec. 2-4(a)(i)-(iii).

\textsuperscript{268} Id. at Sec. 2-5(a)(i).

\textsuperscript{269} Id. at Sec. 2-5(a)(ii). See, discussion on “Operation Sea Signal.” infra, Section VIII A. 1.

\textsuperscript{270} Id. at Sec. 2-5(a)(iii).

\textsuperscript{271} Some of these modifications which are of interest to this paper include considerations which:

(1) Enable the agency to decide and act promptly as when required;
(2) Avoid adverse impacts on foreign relations or infringement in fact or in appearance of other nations’ sovereign responsibilities; and,
(3) Ensure appropriate reflection of . . . national security considerations.

\textit{Id. at Sec. 2-5(b)(i-iii)}. 
The order also provides federal agencies with the authority to provide for categorical exclusions\textsuperscript{272} (CATEXs) and other exemptions, in addition to those previously specified, as they may be necessary to meet "emergency circumstances, situations involving exceptional foreign policy and national security sensitivities and other such special circumstances."\textsuperscript{273}

As opposed to NEPA however, Executive Order 12,114 affords federal agencies the flexibility necessary to accomplish their overseas mission. One example of this flexibility is the fact that Executive Order 12,114 limits the scope of actions required in preparing an EIS.\textsuperscript{274} For example, in many cases the executive order allows the federal agency to prepare a less comprehensive EA of its actions.\textsuperscript{275} This can be an important benefit to American forces required to make environmental assessments in time-sensitive operational deployments.

Another important difference between NEPA and Executive Order 12,114 is the definition of what constitutes an action significantly affecting the environment. Unlike

\textsuperscript{272} A categorical exclusion allows a federal agency to forgo an EA or EIS if the agency concludes that the proposed action would normally not, individually or cumulatively, result in significant harm to the environment.

\textsuperscript{273} Exec. Order No. 12,114, supra note 101 at § 2-5(c) which requires the authorizing agency to consult, as soon as feasible, with the Department of State and the CEQ.

\textsuperscript{274} See EIS list supra note 271; See also G. Pincus, Note, The "NEPA-Abroad" Controversy: Unresolved by an Executive Order, 30 BUFF. L. REV. 611, 638-51 (1981).

\textsuperscript{275} See, Exec. Order No. 12,114, supra note 101 at §§2-3 through 2-5, 3 C.F.R. §§357-59 (1980).
NEPA which applies to actions “affecting the quality”\textsuperscript{276} of the environment, which arguably includes positive and negative effects, under the Executive Order the threshold required before requiring assessment is the more focused standard of whether the action “does significant harm” to the environment.\textsuperscript{277} This definition recognizes it is unknowing harm that is sought to be avoided.

**B. DoD Implementation of E.O. 12,114 through DoD Directive 6050.7**

DoD implemented Executive Order 12,114 through DoD Directive 6050.7\textsuperscript{278} in August 1979 by declaring that the directive:

> “provides policy and procedures to enable Department of Defense (DoD) officials to be informed and take account of environmental considerations when authorizing or approving certain major federal actions that do significant harm to the environment of places outside the United States.”\textsuperscript{279}

The proposed purpose of the directive was to “establish internal procedures to achieve this purpose, and nothing in it shall be construed to create a cause of action.”\textsuperscript{280}

In essence, the directive highlights the most significant differences between Executive Order 12,114 and NEPA. As previously discussed, NEPA requires an EIS to be completed for all major federal actions that may have a significant impact on the environment. The EIS, often a lengthy and comprehensive document, must justify the

\textsuperscript{276} 42 U.S.C. §4332(2)(C) (1988)

\textsuperscript{277} Exec. Order No. 12,114, supra note 101 at §3-4, 3 C.F.R. §360 (1980).

\textsuperscript{278} DoD Dir. 6050.7 *Environmental Effects Abroad of Major Department of Defense Actions* (31 Mar 1979).

\textsuperscript{279} *Id.* at para. A.

\textsuperscript{280} *Id.* at para A.

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purpose and the need for the proposed agency action through compilation of extensive 
environmental data. Clearly, unless authorized by a sovereign host, it would be 
impermissible for DoD, or any other federal agency, to perform a unilateral inspection 
and evaluation required by an EIS in a foreign territory.

In contrast, the directive provides much more flexibility by allowing DoD to 
prepare either an environmental review,\textsuperscript{281} which can be prepared unilaterally by DoD, or 
a bilateral or multilateral environmental study,\textsuperscript{282} which are produced between the sending 
and receiving states. This allows DoD the ability to take into consideration the various 
complexities of foreign policy which affect its overseas mission. More importantly, the 
order, as implemented by DoD through Directive 6050.7, recognizes the unique mission 
requirements of the United States Department of Defense and affords it the required 
flexibility to deal with the foreign host nation in ways that NEPA would not allow.

The directive further states that Executive Order 12,114 “provides the exclusive 
and complete requirement for taking account of considerations with respect to actions that 
do significant harm to the environment of places outside the United States.”\textsuperscript{283} Finally, 
the directive asserts that “Executive Order 12114, . . prescribes the exclusive and

\textsuperscript{281} Concise reviews of the environmental issues involved that are prepared unilaterally 

\textsuperscript{282} Bilateral or multilateral environmental studies, relevant or related to the proposed 
action, by the United States and one or more foreign nations or by an international body 
or organization in which the United States is a member or participant. \textit{Id.} at encl. 2, 

\textsuperscript{283} \textit{Id.} at para. A. (emphasis added).
complete procedural measures and other actions to be taken by the Department of
Defense to further the purpose of the National Environmental Policy Act with respect to
the environment outside the United States.\textsuperscript{284}

C. DoD Directive 6050.7, Enclosures 1 & 2

DoD Directive 6050.7 has two enclosures which provide the requirements for
DoD environmental considerations. Enclosure 1 implements the requirements of
Executive Order 12,114 with respect to major DoD actions that do significant harm to the
environment of the global commons.\textsuperscript{285} Enclosure 2 implements the requirements of
Executive Order 12,114 as it applies to the following actions:

(a) Major federal actions that significantly harm the environment of a
foreign nation that is not involved in the action. The focus of this category is on
the geographical location of the environmental harm and not on the location of the
action;

(b) Major federal actions that are determined to do significant harm to the
environment of a foreign nation because they provide to that nation: (1) a product.
\ldots that is prohibited or strictly regulated by federal law in the United States
because its toxic effects on the environment create a serious public health risk; or
(2) a physical project that is prohibited or strictly regulated in the United States by
Federal law to protect the environment against radioactive substances; and,

(c) Major federal actions outside the United States that significantly harm
natural ecological resources of global importance designated for protection by the
President. \ldots\textsuperscript{286}

\textsuperscript{284} \textit{Id.} at para. D. 5.

\textsuperscript{285} "Global Commons" is defined as geographical areas that are outside the jurisdiction
of any nation and includes the oceans outside the territorial limits and Antarctica. \textit{Id.} at
para. C.4.

\textsuperscript{286} \textit{Id.} at encl. 2, sec. B 1(a)-(c).
Enclosure 2 also provides clarification as to what constitutes a “federal action” and “major action.” The directive excludes the deployment of ships, aircraft, or other mobile military equipment from the definition of major action. The directive requires the use of two documents when considering environmental actions, environmental studies, and environmental reviews. Contrasted with the EIS requirement, which is usually prepared as a unilateral document, these documents are flexible in application and encourage DoD’s consultation with a host foreign nation during preparation. This flexibility is vital to DoD’s ability to negotiate overseas environmental issues with foreign hosts.

The directive provides for categorical exclusions in certain circumstances

287 Id. at encl.2, sec. C. 2. (“An action that is implemented or funded directly by the United States Government. It does not include actions in which the United States participates in an advisory, information-gathering, representational, or diplomatic capacity but does not implement or fund the action; actions taken by a foreign government or in a foreign country in which the United States is a beneficiary of the action, but does not implement or fund the action; or actions in which foreign governments use funds derived indirectly from United States funding.)

288 Id. encl. 2, sec. C. 5. (“An action of considerable importance involving substantial expenditures of time, money, and resources, that affects the environment on a large geographic scale or has substantial environmental effects on a more limited geographic area, and that is substantially different or a significant departure from other actions, previously analyzed with respect to environmental considerations and approved, with which the action under consideration may be associated.”)

289 Id. at C. 5., page 2.

290 Id. encl. 2, sec. C.(1)(a)(1-2)).

291 Id. at encl. 2, sec. D.(1-3), (“An environmental study is a cooperative action and not a unilateral action undertaken by the United States. It may be bilateral or multilateral, and it is prepared by the United States in conjunction with one or more foreign nations... “)
and a list of general exemptions is located in Enclosure 2 which, similar to the exemptions found in Executive Order 12,114,\textsuperscript{292} relieves many overseas military activities from the compliance requirements of the directive.\textsuperscript{293} Furthermore, the directive authorizes DoD to establish two additional exemptions that apply only to

\textsuperscript{292} Exec. Order No. 12,114, \textit{supra} note 101 at Section 2-5(a)(i)-(vii).

\textsuperscript{293} DoD Dir. 6050.7, \textit{supra} note 278 at encl. 2, Sec. C.3.(a)(1)-(10). These exemptions are listed as follows:

1. Actions that the DoD component concerned determines do not do significant harm to the environment outside the United States or to a designated resource of global importance.

2. Actions taken by the President, to include signing bills into law; signing treaties and other international agreements; the promulgation of Executive Orders; Presidential proclamations; and the issuance of Presidential decisions, instructions, and memoranda.

3. Actions taken by or pursuant to the direction of the President or a cabinet officer in the course of an armed conflict.

4. Actions taken by or pursuant to the direction of the President or a cabinet officer when the national security or national interest is involved. This determination must be made by the Assistant Secretary of Defense (Manpower, Reserve Affairs, and Logistics).


6. The decisions and actions of the Office of the Assistant Secretary of Defense (International Security Affairs), the Defense Security Assistance Agency, and the other responsible offices within DoD components with respect to arms transfers to foreign nations.

7. Votes and other actions in international conferences and organizations. This includes all decisions and actions of the United States with respect to representation of its interest at international organizations, and at multilateral conferences, negotiations, and meetings.

8. Disaster and emergency relief actions.

9. Actions involving export licenses, export permits, or export approvals, other than those relating to nuclear activities.

10. Actions relating to nuclear activities and nuclear materials, except actions providing to a foreign nation a nuclear production or utilization facility, as defined in the atomic Energy Act of 1954, as amended, or a nuclear waste management facility.
DoD operations. These exemptions are “case-by-case exemptions” and “class exemptions.” Case-by-case exemptions, other than those specified above, may be required due to emergencies, national security considerations, exceptional foreign policy requirements, or other special circumstances which preclude or are inconsistent with the preparation of environmental documentation and the taking of other actions prescribed by the enclosure. Class exemptions, on the other hand, are for circumstances which may exist where a class exemption for a group of related actions is more appropriate than a specific exemption.

Finally, the directive authorizes categorical exclusions established by the Department of Defense. These exemptions, in light of policy decisions made by DoD, arguably, represent a great improvement over NEPA which does not provide a ready exemption mechanism from EIS requirements in the event that overseas military units are deployed into an emergency situation.

V. JUDICIAL INTERPRETATION OF NEPA’S EXTRATERRITORIAL APPLICATION

Largely as a result of the ambiguity of NEPA’s statutory language, the

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294 Id. at encl. 2, Sec. C.3.b.

295 Id. at encl. 2, Sec. C.3.b.(1).

296 Id. at encl. 2, Sec. C.3.b.(2). Class exemptions may be established by the assistant Secretary of Defense (Manpower, Reserve Affairs, and Logistics) who, with the Assistant Secretary of Defense (International Security Affairs), shall consult, before approving the exemption, with the Department of State and the Council on Environmental Quality.

297 Id. at encl. 2, Sec. C.4.
unanswered question of whether NEPA applies to major Federal actions overseas has been left to the purview of the courts. Prior to *Environmental Defense Fund v. Massey*, 298 most courts had refused to hold NEPA applicable outside the United States, although most courts limited their findings to the facts of the instant case.

**A. NRDC v. Nuclear Regulatory Comm’n.**

*NRDC v. Nuclear Regulatory Comm’n*, 299 dealt with whether the NRC had to prepare an environmental impact statement to consider potential health and safety impacts, solely within the Philippines, resulting from its authorization to export a nuclear reactor to that nation. The NRDC asserted that the NRC did not have the authority to export a nuclear reactor without completing an EIS after the United States and the Philippines signed a treaty which included provisions for the United States sale of a nuclear reactor. 300

Based on this agreement, Westinghouse, an American corporation, sought an export license from the NRC to ship the reactor. 301 Following established procedures, the NRC forwarded the request to the State Department for review and coordination. The State Department subsequently recommended approval of the request for export. 302

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299 647 F.2d 1345,1366 (D.C. Circ. 1981)

300 *Id.* at 1351

301 *Id.*

302 *Id.* at 1352 (The record indicates that the State Department had two initial concerns with the export of the reactor. The first was the fact that the reactor was to be placed along side an identified earthquake fault line in the Philippines. Second, there were over
NRC then authorized the export of the reactor.\(^{303}\) However, prior to that approval, NRC examined and found that the exportation of the reactor "would not create unacceptable health, safety and environmental risks to U.S. territory or the global commons."\(^{304}\) It did not, however, prepare an EIS examining the environmental impact of the proposed sale in the Philippines.\(^{305}\) The NRDC then sued to force an EIS that would cover the effects in the Philippines.\(^{306}\)

The court disagreed with the NRDC position and concluded that NEPA was primarily concerned with international cooperation instead of unilateral environmental protection through its application.\(^{307}\) As a basis for its decision, the court noted that the Nuclear Non-Proliferation Act\(^{308}\) did not require the NRC to perform an administrative review of environmental impacts in a receiving country.\(^{309}\) The court also focused on the number of bilateral treaties and international agreements existing between the two

32,000 Americans assigned to military bases in the Philippines within a few miles of the proposed site of the reactor. These concerns were eventually resolved to the satisfaction of the agency in discussions with the Philippine Atomic Energy Commission.) \(\text{Id.}\)

\(^{303}\) \(\text{Id.}\) at 1366.

\(^{304}\) \(\text{Id.}\).

\(^{305}\) \(\text{Id.}\) at 1353.

\(^{306}\) NRDC contended that the NRC finding was meaningless in light of the nearly 32,000 U.S. military and civilian personnel stationed near the reactor site. \(\text{Id.}\) at 1355.

\(^{307}\) \(\text{Id.}\) at 1366. ([NEPA's language] "looked toward cooperation, not unilateral action, in a manner consistent with [American] foreign policy.") \(\text{Id.}\)


\(^{309}\) NRDC v. Nuclear Regulatory Comm'n, supra note 299 at 1362.

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countries which indicated to the court that Congress had not intended NEPA, a domestic United States law, to interfere with this type of proposed action.\textsuperscript{310}

The court further went on to hold that NEPA was to be applied internationally only "where consistent with the foreign policy of the United States."\textsuperscript{311} Thus, the court, relying on the foreign policy exception to NEPA, upheld the presumption against its extraterritorial application and affirmed NRC's approval of the nuclear reactor export license to the Philippines.\textsuperscript{312}

B. \textbf{Greenpeace, USA v. Stone}

Likewise, in \textit{Greenpeace, USA v. Stone},\textsuperscript{313} the District Court in Hawaii concurred with a DoD proffered position that NEPA did not apply to a major federal actions occurring overseas. Petitioner, Greenpeace sued \textit{Seeking} a preliminary injunction arguing that the United States government, in this case, the Army, had violated NEPA by failing to prepare an EIS while planning to transport U.S. nerve gas through Germany en route to a German port for shipment through open, international waters. \textsuperscript{314}

The Army planned to transport the missiles to Johnston Atoll, a U.S. territory

\textsuperscript{310} \textit{Id.} at 1364.

\textsuperscript{311} \textit{Id.} at 1366, quoting 42 U.S.C. § 4332(2)(F).

\textsuperscript{312} \textit{Id.} at 1368.

\textsuperscript{313} 748 F. Supp. 749, 761 (D. Haw. 1990), appeal dismissed as moot, 924 F.2d 175 (9th Cir. 1991).

\textsuperscript{314} \textit{Id.} at 752.
controlled by DoD, located in the Pacific ocean. The nerve gas was to be incinerated at a designated DoD disposal system on the island. The Army completed three EISs in accordance with NEPA with respect to the storage and incineration facility at Johnston Atoll, but did not complete an EIS with regard to the proposed transportation route through Germany. The Army did, however, receive permission from the German Federal Minister of Transport to move the nerve gas from its storage site to the German North Sea port of Nordenham for shipment.

In addition to the three EISs prepared under NEPA, the Army also completed a Global Commons Environmental Assessment (GCEA) examination pursuant to Executive Order 12,114, which explored the potential impacts of the nerve gas shipment from Nordenham to the territorial waters extending 12 nautical miles from Johnston Atoll.

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315 Id.
316 Id.
317 Id. at 753. In 1983 the Army published an EIS which addressed the construction and operation of the facilities designed to destroy the chemical weapons which were already stored on Johnston Atoll. In 1988, The Army published a second EIS addressing the disposal of solid and liquid wastes which the Johnston Atoll Chemical Agent Disposal System (JACADS) project would produce. In 1990, the Army published a second supplemental EIS which specifically addressed disposal of the European stockpile at Johnston Atoll. This supplemental EIS addresses the impact of (1) the transportation of the munitions from the edge of the territorial waters surrounding Johnston Atoll to a pier on the Atoll; (2) unloading the munitions at the JACADS facility; (3) storage of the munitions at the facility; and (4) the destruction of the munitions. Id. at 754
318 Id. at 753.
319 Id. at 754.
Atoll.\textsuperscript{320} The result of this Global Commons assessment concluded that "normal operations. . . would cause no significant impact on the environment of the global commons. . .\textsuperscript{321}

In its suit, Greenpeace argued that the Army was required under NEPA to complete a comprehensive EIS which would cover the removal, shipment and destruction of the munitions.\textsuperscript{322} However, the court, relying on the "guidance [drawn] from the [NRDC v. NRC] court's reasoning"\textsuperscript{323} held that Greenpeace had failed to overcome the presumption against NEPA extraterritorial application and denied the preliminary injunction motion.\textsuperscript{324} In finding against Greenpeace, the court again focused on "the political question and foreign policy considerations which would necessarily result from such an application of a United States statute . . .\textsuperscript{325}

Specifically, the court deemed dispositive the fact that the munitions shipment was made in accordance with an agreement between Presidents Reagan and Bush and the West German Chancellor Kohl.\textsuperscript{326} Respecting German sovereignty, the court held that

\begin{footnotesize}
\begin{itemize}
\item \textsuperscript{320} Id.
\item \textsuperscript{321} Id. at 762, note 14. Some of the acts the Army considered to be outside normal operations that would have impacted the global commons included a fire on board the ship transporting the nerve gas, the ship being lost at sea, or a terrorist attack. Id.
\item \textsuperscript{322} Id.
\item \textsuperscript{323} Id. at 759, note 10.
\item \textsuperscript{324} Id. at 757.
\item \textsuperscript{325} Id.
\item \textsuperscript{326} Id. at 757-8. President Reagan had agreed to remove the nerve gas from
\end{itemize}
\end{footnotesize}
application of NEPA to actions outside the United States would cause foreign policy conflicts and interfere with the decision-making functions of both the United States and foreign sovereigns.\textsuperscript{327} The court held that the EIS requirement only applied to the incineration process at Johnston Atoll and not to transportation routes through Germany.

C. The District Court Massey Decision

In \textit{Massey}, the Environmental Defense Fund (EDF) brought suit in the United State District Court for the District of Columbia seeking a court ruling that would prevent the National Science Foundation (NSF) from building a facility to incinerate food wastes at its McMurdo Station in Antarctica.\textsuperscript{328} EDF argued that the incineration might “produce highly toxic pollutants which could be hazardous to the environment”\textsuperscript{329} and asked the court for declaratory and injunctive relief under 42 U.S.C. 4332(2)(c)\textsuperscript{330} asserting that NSF had violated NEPA’s requirement to prepare a proper EIS as required under NEPA Germany by December 1992. President Bush, upon taking office, agreed to speed up the removal no later than December 1990.

\textsuperscript{327} \textit{Id.} at 761. The court was especially concerned that applying NEPA to the move would result in the appearance of a lack of U.S. respect for Germany’s “sovereignty, authority and control over actions taken within its borders.” This was due primarily to the fact that the West German government had reviewed and approved the operation and a West German court had denied a request for injunctive relief brought by West German citizens to halt the movement. \textit{Id.} at 760.


\textsuperscript{329} \textit{Id}. Although the NSF was improving its incineration method from an open pit fire to a technically superior incinerator system.

\textsuperscript{330} \textit{Id}.
and Executive Order 12,114.\textsuperscript{331}

The District Court, using the Supreme Court analysis articulated in \textit{Equal Opportunity Employment Commission v. Arabian American Oil Co.} (Aramco)\textsuperscript{332} determined that for a statute to apply extraterritorially "the affirmative intention of the Congress [must be ] clearly expressed."\textsuperscript{333} After reviewing the applicable NEPA provisions, the court, finding no clear expression of Congress' affirmative intention to apply NEPA extraterritorially,\textsuperscript{334} dismissed the case citing lack of subject matter jurisdiction.

\textbf{D. The Court of Appeals Massey Decision}

The United States Court of Appeals for the District of Columbia reversed the \textit{Massey} district court.\textsuperscript{335} Its rationale centered around two points: whether Antarctica was a sovereign nation for NEPA purposes and whether the decision-making process to incinerate the waste was made in the U.S. or Antarctica.

As a basis for its reasoning, the court cited three general categories of cases for which the presumption against the extraterritorial application of statutes does not apply.\textsuperscript{336}

\begin{footnotes}
331 Id.


334 Id.


336 Id. at 531.
\end{footnotes}
The first, when “an affirmative intention of the Congress clearly expressed” extends the scope of the statute to cover conduct occurring outside the U.S.  

Second, the presumption is not usually applied when a failure to extend the statute to conduct abroad will result in adverse effects within the U.S.  

Lastly, the court held the presumption is not applicable when the regulated conduct occurs within the United States since by definition “an extraterritorial application of a statute involves the regulation of conduct beyond U.S. borders.”

With these criteria as a basis, the Massey appellate court faulted the lower court opinion for failing to address the “threshold question of whether the application of NEPA to agency actions in Antarctica presents an extraterritorial question at all.” The appellate court held that “NEPA is designed to control the decision-making process of United States federal agencies, not the substance of agency decisions.” In continuing, the court noted that NEPA “created a process whereby American officials, while acting in the United States, can reach enlightened policy decisions by taking into account environmental effects.” Since the United States exercised “a great measure of legislative control” over Antarctica, a sovereign less continent, the court concluded that “the

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337 Id.
338 Id.
339 Id.
340 Id. at 532.
341 Id.
342 Id.
presumption of territoriality has little relevance and a dubious basis for application” in this case.  

Citing NEPA’s broad language, and absent a conflict with international law or foreign policy considerations, the court held that the statute applied to decisions regarding actions having environmental effects partly or entirely outside the United States. For a federal agency, like DoD, which conducts much of its decision-making process in the United States, the only saving grace to Massey was the court’s parting caveat which noted that “we do not decide [today] . . . how NEPA might apply to actions in a case involving an actual foreign sovereign. . .” (emphasis added).

E. NEPA Coalition of Japan v. Aspin

Another sovereignty issue arose in NEPA Coalition of Japan v. Aspin, the first extraterritorial case decided after Massey which clearly lays out the NEPA foreign policy exception. Plaintiffs, a coalition of Japanese citizens and American environmentalists, alleged that DoD was required under NEPA to prepare an EIS for certain activities at United States Navy installations in Japan. The plaintiff’s asserted that DoD’s failure to

343 Id. at 534.
344 Id. at 536.
345 Id.
346 Id. at 537
348 Id. at 467.
comply with NEPA constituted non-compliance.\textsuperscript{349} What is interesting about \textit{NEPA Coalition} is the fact that plaintiffs, with the experience gained from the earlier \textit{Massey} litigation, asserted the same argument used so successfully in \textit{Massey} to try and overcome the defense raised by the government--the presumption against extraterritoriality.\textsuperscript{350}

In particular, the plaintiff's focused on the portion of the \textit{Massey} holding which found the decision-making process in Antarctica to be "uniquely domestic."\textsuperscript{351} Asserting that the situation in Japan was similar, plaintiff's argued that since all military decision-making for activities in Japan was initiated in Washington D.C., the foreign policy exception to NEPA should not apply.\textsuperscript{352}

The D.C. District Court, deciding that \textit{Massey} was not controlling,\textsuperscript{353} concluded that the "legal status of United States bases in Japan is not analogous to the status of American research stations in Antarctica" and granted summary judgment for the government.\textsuperscript{354} The court, in distinguishing \textit{Massey}, held that the \textit{Massey} court opinion was limited to its facts and clearly revolved around the unique status of Antarctica, a

\textsuperscript{349} \textit{Id.}


\textsuperscript{351} \textit{Id.} at 3.

\textsuperscript{352} \textit{Id.} at 1.

\textsuperscript{353} \textit{NEPA Coalition of Japan, supra} note 347 at 467. (The court noted that the \textit{Massey} court expressly limited its ruling by refusing to determine whether NEPA might apply to actions involving a sovereign nation.) \textit{Id.}

\textsuperscript{354} \textit{Id.}
sovereign-less continent.\textsuperscript{355} Furthermore, the court noted that the \textit{Massey} court itself, had expressly limited its ruling by failing to resolve the question of whether NEPA would apply to federal actions overseas involving a sovereign nation.\textsuperscript{356}

Dismissing the plaintiff's argument that Navy activities in Japan were of "domestic" origin, the court cited the fact that, unlike Antarctica, United States military operations in Japan were governed by international treaties\textsuperscript{357} and a Status of Forces Agreement.\textsuperscript{358} The court concluded that these international agreements were better able to respond to the environmental concerns addressed by the plaintiffs\textsuperscript{359} and that "requiring DoD to prepare an EIS "... would risk intruding upon a long standing treaty relationship."

Moreover, the court held that plaintiff’s were unable to show that Congress intended NEPA to apply in situations where there is a "substantial likelihood that treaty relations will be affected."\textsuperscript{361} Based on this reasoning, the court had no difficulty

\textsuperscript{355} Id.

\textsuperscript{356} Id. (Citing \textit{Environmental Defense Fund v. Massey}, supra note 335 at 537.


\textsuperscript{359} \textit{NEPA Coalition}, supra note 347 at 467. (One Subcommittee established by the treaty deals primarily with the environment and noise abatement.)

\textsuperscript{360} Id.

\textsuperscript{361} Id. citing to \textit{NRDC v. Nuclear Regulatory Comm'n}, supra note 299 at 1366-67 (D.C. Cir. 1981).
determining that the presumption against extraterritoriality applied "with particular force" to the case.\textsuperscript{362} Furthermore, noting that "even if NEPA did apply . . . no EIS would be required because U.S. foreign policy interests outweigh the benefits from preparing an EIS."\textsuperscript{363} However, the court noted that their determination affirming the presumption against extraterritoriality was fact-specific and did not address whether NEPA might apply in other factual contexts.\textsuperscript{364}

A review of the decisions reached in these cases demonstrate that while routinely upholding the presumption against extraterritorial application of NEPA, with the marked exception of Massey, courts have uniformly failed to address the underlying issue of whether NEPA applies extraterritorially in areas not recognized as a global common. (emphasis added). Decisions are based on the facts presented in the instant case and are carefully drafted to limit application to those facts. Taken together, these cases illustrate the fact that where United States foreign policy interests have been significant, courts have been nearly unanimous in upholding the presumption against extraterritorial application of NEPA. Perhaps even more interesting is that this has been accomplished while avoiding any serious in-depth study on the potential effects of the extraterritorial application of U.S. environmental statutes.

\textsuperscript{362} Id. The court made clear the importance of the fact Japan was instrumentally involved in the proposed Navy action.

\textsuperscript{363} Id. at 468. For a detailed discussion as to how this policy is being changed by DoD, See Section VIII B. Modification of DoD Directive 6050.7, infra.

\textsuperscript{364} Id.
VI. PRESIDENTIAL REVIEW DIRECTIVE 23 (PRD 23)

In the aftermath of the Massey decision, the Clinton Administration directed the National Security Council (NSC) to conduct an interagency Presidential review concerning the question of NEPA’s extraterritoriality and the application of Executive Order 12,114. The purpose of a Presidential review directive is to evaluate the current status of an existing law or policy with the intent of remedying any major weaknesses it may have. In response to this tasking, the NSC issued a report on 26 January 1994 which concluded that NEPA does not apply to United States activity in other nations and should not be revised to encompass such activity.

However, the report also suggested numerous Executive Order 12,114 amendments which would require greater public participation, limitations on the "participating country” exception to EO 12,114, modifications in the “emergency/disaster relief” exemption, and environmental assessment of international agreements. It has been suggested that these proposed changes to the order “would


366 See, Brauchler, supra note 66 at FN 1.


368 Partly as a result of this review, DoD is currently working to revise the provision of DoDD 6050.7 which exempts it from performing an environmental review if the host foreign nation is participating in the proposed activity.

369 See, Brauchler, supra note 66 at 489.
cripple... the performance of its [DoD] duties abroad, thus endangering the overall success of its overseas mission." However, no action has been taken on PRD 23 since 1994 and some DoD officials believe it may have been permanently shelved in light of recent environmentally positive changes DoD has made to its directives and policy.  

VII. CURRENT DoD OVERSEAS ENVIRONMENTAL COMPLIANCE POLICIES

Since the late 1980's, the language and actions coming out of the Pentagon concerning the environment have reflected a conscientious decision to embrace the environmental responsibility inherent in being the world's last true military superpower. Consider the words of former Secretary of Defense Richard Cheney in 1989:

"This Administration [Bush] wants the United States to be the world leader in addressing environmental problems and I want the Department of Defense to be the Federal leader in agency environmental compliance and protection."

As promising as this type of rhetoric was however, critics of DoD's environmental record in the late 1980's continued to berate DoD's position on overseas environmental protection. One issue confronting DoD was its failure to promulgate new environmental direction. At that time the two main directives in force, DoD Directive 5100.50 and 6050.7 had been issued in 1973 and 1979, respectively. Another, more troubling issue at that time, was the fact that DoD, despite its avowed commitment to environmental

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370 Id.

371 Interview with Col Deborah Suchinski, USAF, Deputy Legal Counsel, Office of the CJCS Legal Counsel, Pentagon, Washington D.C. (March 1997).

excellence, had repeatedly failed to establish a uniform set of environmental compliance standards for its overseas installations.\(^{373}\) It seemed clear that no recognition of DoD’s “proposed” new approach to environmental protection would be given serious consideration until identifiable progress and modifications to the environmental program were made.

Arguably, since the early 1990’s, this progress has been made. These changes, positive in nature and scope, are resulting in dramatic day-to-day improvements in environmental protection overseas and have begun to be viewed as the “model” for other militaries around the world.\(^{374}\)

A. **DoD Directive 6050.16 (DoDD 4715.5)**

By the end of 1991, DoD, under intense pressure from both Congress and environmental groups\(^{375}\) to finalize a comprehensive overseas environmental compliance policy, promulgated Directive 6050.16, *DoD Policy for Establishing and Implementing Environmental Standards at Overseas Installations.*\(^{376}\) In 1996, this directive was replaced by DoDD 4715.5, *Management of Environmental Compliance at Overseas*

\(^{373}\) Contrast this situation with the practice in the United States where the Defense Environmental Restoration Act (DERA), 10 U.S.C.A. §§ 2701-2707, is utilized to fund the CERCLA requirements to DoD military bases.

\(^{374}\) *See* note 255 *supra* for a discussion on the DoD Environmental Security Program and the effect it has had upon foreign military forces and their attitudinal change toward environmental protection.

\(^{375}\) *See* Wegman & Bailey, *supra* note 53 at 937.
Installations\textsuperscript{377} with a few minor changes to the original.

Directive 6050.16 created the first comprehensive process by which to determine environmental protection standards applicable to United States military bases overseas. According to the directive, its purpose was to implement "environmental guidance and standards to ensure environmental protection"\textsuperscript{378} during "the operations of the DoD components at installations and facilities outside the territory of the United States."\textsuperscript{379} These standards, which are based on "generally accepted environmental standards" required at military bases within the continental United States,\textsuperscript{380} were to be published within a baseline guidance document,\textsuperscript{381} which was called the Overseas Environmental Baseline Guidance Document, or OEBGD.\textsuperscript{382}

B. The Overseas Environmental Baseline Guidance Document (OEBGD) and Final Governing Standards (FGS).

In late October 1992, DoD, in response to the congressional directive contained in

\textsuperscript{376} DoD Dir. 6050.16, supra note 62.

\textsuperscript{377} DoD Dir. 4715.5, supra note 62.

\textsuperscript{378} DoD Dir. 6050.16, supra note 62 at para A.1.

\textsuperscript{379} Id. at para B.2.

\textsuperscript{380} Id. at para C.1.

\textsuperscript{381} Id.

\textsuperscript{382} OEBGD, supra note 63.
the FY91 defense authorization bill, \textsuperscript{383} published the Overseas Environmental Baseline Guidance Document, a nineteen-chapter document designed “to provide specific criteria which established baseline guidance for environmental protection on DoD installations overseas.”\textsuperscript{384} The document is intended to be used by the executive agents (EAs) appointed for nations where significant DoD activities are located.\textsuperscript{385} In sum, this document provides EAs in foreign nations instructions on how to handle host nation environmental standards which “provide less protection to human health and the natural environment than the baseline guidance.”\textsuperscript{386}

The OEBGD also discusses the strategy of the process and provides technical environmental criteria\textsuperscript{387} to be used by the EA as a baseline for the environmental standard development process.\textsuperscript{388} These criteria, which are based on “generally accepted environmental standards,”\textsuperscript{389} are to be used by EAs in developing “final governing

\textsuperscript{383} See Wegman & Bailey, supra note 53 at 938. The directive from Congress required DoD to develop a more coherent environmental policy for its overseas facilities. \textit{Id} at 936.

\textsuperscript{384} \textit{Id.} at para. 1-1.

\textsuperscript{385} \textit{Id.} at para. 1-2.

\textsuperscript{386} \textit{Id.}

\textsuperscript{387} \textit{Id.} (“Particular substantive provisions of the baseline guidance document that are used by the Executive Agent to develop final governing standards for a country.”) \textit{Id.}

\textsuperscript{388} \textit{Id.} at para. 1-3.

\textsuperscript{389} DoD Dir. 4715.5, \textit{supra} note 62 at para. F. 2. a.
standards" (FGS)\textsuperscript{390} to be used by military units within a specified geographic area of responsibility.\textsuperscript{391} As indicated, the OEBGD process is intended to be dynamic and used to assist DoD in attaining it's goal of being on "... the forefront of environmental compliance and protection."\textsuperscript{392}

In determining FGS's, the responsible EA evaluates both the baseline standards provided within the OEBGD as well as the applicable host-nation standards which are "adequately defined and generally in effect or enforced against host-government and private sector activities."\textsuperscript{393} The EA uses the OEBGD to establish the FGS unless the OEBGD is inconsistent with host nation or applicable international agreements which, taken together or individually, provide more protection to human health and the environment.\textsuperscript{394} Thus, the standard most protective of the environment typically becomes the final governing standard.\textsuperscript{395}

In the event, a nation's individual environmental protection standards cannot be considered individually due to inclusion within a comprehensive regulatory scheme, the EA may make a comparison on a broader scope.\textsuperscript{396} In these situations, the EA determine

\textsuperscript{390} \textit{Id.} at para F.3.c.

\textsuperscript{391} OEBGD, \textit{supra} note 63 at 1-3.

\textsuperscript{392} \textit{Id.} at para. 1-3.

\textsuperscript{393} DoD Dir. 4715.5, \textit{supra} note 62 at para. F. 3.b.(2).

\textsuperscript{394} \textit{Id.} at para F.3.c.(1).

\textsuperscript{395} \textit{Id.}

\textsuperscript{396} \textit{Id.} at para F.3.c.(2).
the threat to human health or the environment by observing how the overall regulatory scheme compares to the OEBGD guidance standard. Based on that conclusion, the directive authorizes the EA to base the FGS on the more protective requirements.\textsuperscript{397}

When an EA determines the final governing standards, these criteria become the "sole compliance standards at [military] installations in foreign countries."\textsuperscript{398} Once standards are set, the directive requires the DoD Component commander to ensure compliance requirements are met.\textsuperscript{399} In some cases, the isolated nature of a particular overseas military site precludes the publishing of FGS’s for that location. In that event, the installation commander and his environmental staff are responsible for ensuring that environmental protection standards meet the more protective criteria of either the OEBGD, applicable host nation environmental standards, or environmental considerations found in international agreements.\textsuperscript{400} Conversely, a commander may not adopt environmental standards more restrictive than those outlined in the FGS unless agreed to by the EA.\textsuperscript{401}

The development of the OEBGD incorporated applicable portions of DoD directives and considered U.S. environmental laws to include: SDWA,\textsuperscript{402} TSCA,\textsuperscript{403}

\begin{footnotesize}
\begin{enumerate}
\item \textit{Id.}
\item \textit{Id.} at para E.3.a and F.3.e.
\item \textit{Id.} at para B.1.b. and E.3.a.
\item \textit{Id.} at para F.3.h.
\item OEBGD, \textit{supra}, note 63 at pp. 1-7 \textit{Implementation}
\end{enumerate}
\end{footnotesize}
RCRA,\textsuperscript{404} CWA,\textsuperscript{405} CAA,\textsuperscript{406} and ESA,\textsuperscript{407} among others.\textsuperscript{408} As extensive as it is, however, the OEBGD is not intended to be a compilation of all U.S. laws and regulations.\textsuperscript{409} Instead the guidance is intended to provide a baseline, a minimum standard of environmental protection to be observed at installations and facilities overseas.\textsuperscript{410}

The OEBGD and FGS contain standards for the following areas: air emissions; drinking water; wastewater; hazardous materials; solid and hazardous waste; medical waste management; petroleum, oil, and lubricants; noise; pesticides; historic and cultural resources; endangered species and natural resources; polychlorinated biphenyls; asbestos; radon; EIS, environmental effects abroad of major federal actions; spill prevention and response planning; and underground storage tanks, among others.\textsuperscript{411}

However, the OEBGD and final governing standards do not apply to military

\textsuperscript{403} Toxic Substances Control Act, (TSCA) 15 U.S.C. §§ 2601-2692

\textsuperscript{404} Resource Conservation and Recovery Act, §§ 6901 to 6992k (1994) [hereinafter RCRA].

\textsuperscript{405} CWA, supra note 85.

\textsuperscript{406} CAA, supra note 84.


\textsuperscript{408} OEBGD, supra, note 65 at para. 1-4.

\textsuperscript{409} See generally discussion, Phelps, supra note 166 at 67.

\textsuperscript{410} \textit{Id.}

\textsuperscript{411} OEBGD, supra, note 65 at chts 2-19.
aerial, the operation of naval vessels, operational deployments, cleanup or remedial actions, or NEPA. Once published, DoD components in a foreign nation must comply with the final governing standards established for that country. In order to ensure that FGS standards are maintained, and that compliance is being enforced, DoD requires military units to perform periodic environmental compliance audits at every installation. Each of the services performs this valuable inspection through the use of its own inspection system: the Air Force’s E-CAMP, the Army’s ECAS, and the Navy’s ECE. In the Air Force, failure to conform to FGS criteria are listed as “findings” in the audit and must be reported to the installation commander for resolution and correction.

These FGS self-audits are vital to the DoD environmental protection program for two reasons. First, and a practical consideration in these days of budgetary constraints,

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412 Id. para. 1-1.
413 Id.
414 Id.
415 DoD Dir. 4715.5, supra, note 335 at para. 3.e.
416 Id. at para E.3.d.
417 AFI 32-7045, Environmental Compliance Assessment and Management Program (5 April 1994).
418 AR 200-1, Environmental Protection and Enhancement (6 May 1996).
419 OPNAVINST 5090.1B, Environmental and Natural Resources Program Manual (1 November 1994).
420 AFI 32 7045, Supplement 1. “Findings” are broken down into four main categories: Significant, Major, Minor, and Good Management Practices. Id.

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employing the audit as a “self-policing” procedure designed to identify environmental program noncompliance areas is economically beneficial because deficiencies are generally less costly to correct than if first identified by regulators.\textsuperscript{421} Second, these audits increase the general awareness and education of DoD personnel to the responsibility of environmental protection and are used to identify problem areas, practices, and additional planning areas that will require future DoD budgeting outlays.\textsuperscript{422}

Based on the guidance contained within both Directive 4715.5 and the OEBGD, the importance of the role of the EA cannot be overstated. The EA is the ultimate “regulatory” authority for DoD components, installations, and facilities in the host nation.\textsuperscript{423} The EA is responsible for publishing initial FGS standards and criteria, revalidating the FGS annually,\textsuperscript{424} updating the FGS, generally every two years,\textsuperscript{425} and providing advice to commanders and their staff on environmental issues as necessary.\textsuperscript{426}

C. DoD Directives 4715.1

Finally, as noted supra, in order to update and modernize its position on overseas

\textsuperscript{421} Interview with Lt Col Marc Trost, Chief of Air Force Environmental Restoration Branch, Air Force Legal Services Agency Environmental Law and Litigation Division, (September 1997).

\textsuperscript{422} Id.

\textsuperscript{423} See detailed discussion, Phelps, supra note 166 at 67.

\textsuperscript{424} Id. at 68.

\textsuperscript{425} Id. (“the need to update the FGS may result from significant changes in either the OEBGD or host-nation law changes.”) Id.

\textsuperscript{426} Id.
environmental protection, DoD recognized the need to replace the outdated DoD Directive 5100.50, "Protection and Enhancement of Environmental Quality"\textsuperscript{427} which historically gave little attention or direction to commanders attempting to protect the environment of overseas military installations. In order to proceed with its environmental commitment, DoD implemented Directive 4715.1,\textsuperscript{428} "Environmental Security" to replace 5100.50.

The new directive\textsuperscript{429} applies to world-wide military operations,\textsuperscript{430} and establishes policy for environmental security\textsuperscript{431} within DoD. The directive declares as one of its goals: "to display environmental security leadership within DoD activities worldwide and support the national defense mission" by "ensuring that environmental factors are integrated into the DoD decision-making processes that may have an impact

\textsuperscript{427} Under this directive United States military forces overseas were required to conform to either the environmental quality standards of the host nation, international agreements, or applicable Status of Forces Agreements.

\textsuperscript{428} DoD Dir. 4715.1, \textit{Environmental Security}, (24 February 1996)

\textsuperscript{429} The term "environmental security" is credited to Mr. Gary Vest, Principle Assistant Deputy Undersecretary of Defense (Environmental Security). According to Mr. Vest, the principle is intended to "strengthen national security by integrating environmental, safety and health considerations into defense policies." Address by Mr. Gary Vest entitled Environmental Security, Joint Environmental Conference, Washington D.C. (27 September 1994).

\textsuperscript{430} DoD Dir. 4715.1, \textit{supra} note 428 at para. B. 2.

\textsuperscript{431} The directive defines Environmental Security as a program to enhance readiness by institutionalizing DoD's environmental, safety, and occupational health awareness, making it an integral part of the Department's daily activities. It is comprised of restoration, compliance, conservation, pollution prevention, safety, occupational health, explosive safety, fire and emergency services, environmental security technology, and international activities.
on the environment and are given appropriate consideration along with other relevant factors.\footnote{DoD Dir. 4715.1, supra, note 428 at para. D. 1.}

The directive lists fourteen goals to achieve environmental leadership ranging from protection and preservation of the quality of the environment; reducing risk to human health and the environment by remediating contamination resulting from past DoD activities; minimizing adverse environmental impacts; and supporting international activities,\footnote{International environmental activities include bilateral or multilateral agreements, information exchanges, and cooperative agreements. Id. at Definitions (k).} consistent with national security policy, related to environmental security programs.\footnote{Id. at para D.1-14.}

In reference to overseas activities, D 4715.1 requires DoD personnel to comply with FGS for the host nation, or in areas where no FGS has been issued, the criteria in the OEBGD.\footnote{Id. at para D.13. a.} The directive also requires DoD to comply with applicable international agreements, SOFA’s, and DoD Directives, Instructions, and policies when responding to environmental contamination caused by DoD in areas outside the United States.\footnote{Id. at para D.13. b.}

Finally, the directive requires personnel to comply with all requirements for
environmental analysis of actions outside the United States established by applicable United States statutes, international agreements binding on the United States, Executive Orders, or DoD policies.\textsuperscript{438}

VIII. SEEKING BALANCE: ENVIRONMENTAL PROTECTION AND MISSION ACCOMPLISHMENT

A. Recent DoD Environmental Case Studies

1. Operation Sea Signal

Whether PRD 23 has been “shelved” temporarily or permanently, it is interesting to note the effect a national debate on extending the extraterritorial application of NEPA can have on the DoD environmental decision-making process. In light of \textit{Massey}, a conservative assessment of DoD’s overseas NEPA obligations could be summed up by stating that overseas military actions that do not result in an adverse environmental impact in the U.S. do not fall within the requirements of NEPA, however, the DoD activity must still comply with Executive Order 12,114 and DoD Directive 6050.7.

One example was Operation Sea Signal. On 20 August 1994, the United States Atlantic Command (USACOM) was tasked by the Chairman of the Joint Chiefs of Staff (CJCS), at the direction of the President, to modify Operation Sea Signal to immediately prepare and operate a Cuban and Haitian migrant holding camp at Naval Air Station Guantanamo, Cuba.\textsuperscript{439} Operation Sea Signal was an humanitarian mission designed to

\textsuperscript{438} \textit{Id.} at para D.13. c.

\textsuperscript{439} Memorandum, Lieutenant General Walter Kross, Director Joint Staff, to The UnderSecretary of Defense for Technology for Acquisition and Technology (17 October 1994). (On file with the Office of the Chairman’s Legal Counsel, OCJCS, Washington D.C.) [hereinafter Memorandum].
intercept and detain the large numbers of individuals fleeing from turmoil and unrest in Haiti and Cuba in unsafe or overburdened boats. At the height of the “boatlift” Naval and Coast Guard units delivered an average of 1172 migrants a day over a 25-26 day period. At the peak of the internment, 14,156 Haitian and 30,831 Cuban migrants were at the camps.

There were no host nation requirements, base rights, SOFA or other international agreement or applicable U.S. legislation which directly applied to the operation. Pursuant to the CJCS order, USACOM issued a message to the Commander- in-Chief Atlantic Fleet (CINCLANTFLT) and the Navy Installation Commander at Guantanamo Bay (COMNAVBASE GTMO) ordering them, per the requirements of Executive Order 12,114 and DoDD 6050.7, to conduct an environmental review (ER) on the issues surrounding the construction of the migrant camp. The message noted that due to the volatility of the situation and the need for the U.S. to act and react immediately to changing conditions, modifications on preparation and content of the ER were appropriate under EO 12,114, Section 2-5(b) and DoDD 6050.7, Encl. 2, para E. 6. A.


441 Id. at page 9.

442 Id. at page 5.


444 Id. at page 1.
Based on the urgency of the operation, USACOM limited the review to environmental issues associated with the actual and potential migrant camp operation. Specific issues addressed included solid waste disposal, sewage disposal practices, a survey on the presence of endangered species, and the least harmful location for construction should new camps be necessary.\textsuperscript{445}

What is interesting about Operation Sea Signal is the manner in which the decision to conduct an ER was reached. The initial Navy opinion was to seek an E.O. 12,114 review exemption based on a determination that the migrant camp construction deserved a finding of no significant environmental impact\textsuperscript{446} (FONSI) on base. Although this approach may have been the way DoD might have handled a similar issue in the past, the presence of on-site environmental professionals quickly led to their assertion that, based on the facts, a FONSI determination was without merit.\textsuperscript{447} That opinion, as well as subsequent expansion of the camp and a clearing of undeveloped woods, made a FONSI recommendation politically and environmentally unattainable.\textsuperscript{448}

It was decided that although several realistic E.O. 12,114 exemptions existed,\textsuperscript{449}

\textsuperscript{445} \textit{Id.} at page 2.

\textsuperscript{446} Actions not having a significant impact on the environment are exempt from the requirements of Executive Order 12,114, sec. 2-5(a)(i) and DoDD 6050.7, encl. 2, sec. C. 3. a.(1).

\textsuperscript{447} Statement of CMDR. D. Sheperd, Environmental Documentation Requirements at Guantanamo NAS Memorandum, dated 31 August 1994. (On file with the Office of the Chairman’s Legal Counsel, OCJCS, Washington D.C.) [hereinafter Statement].

\textsuperscript{448} \textit{Id.}

\textsuperscript{449} USACOM could have requested an exemption under either EO 12,114, section 2-
an ER was the most beneficial course of action to take\textsuperscript{450} even though it involved a greater outlay of scarce resources.\textsuperscript{451} A decision to perform an ER was decided for three reasons: to minimize damage to the environment caused by the migrant camp operation, to deflect possible criticism that DoD had failed to consider the environmental impacts of its operation there, and to strengthen DoD’s position in the then on-going PRD 23 discussion which favored a less administratively structured approach to proposed changes to the procedures for consideration of overseas environmental impacts of DoD actions.\textsuperscript{452} This decision reflects a concern for the environment as well as a pragmatic approach to the realities of 1990's DoD operations.

The ER explored what were considered to be the three most important environmental issues: a concern for the habitat and possible effects upon it, solid waste generation and disposal issues, and health and sanitation matters.\textsuperscript{453} The review determined that large areas of the base were undeveloped and provided relatively undisturbed habitat for numerous tropical plants and animals, to include the cuban ground iguana, common hutia, two varieties of mangroves, several species of cactus, and

\textsuperscript{5(a)(iii), for actions being taken at the direction of the President or a Cabinet Officer or EO 12,114, Section 2-5(a)(vii), for actions based on the grounds of emergency or relief effort.}

\textsuperscript{450} Under DoDD 6050.7, \textit{supra} note 278 at encl. 2, sec. C.1.a.(2), \textit{See} also Statement \textit{supra} note 447.

\textsuperscript{451} \textit{See} Statement, \textit{supra} note 447.

\textsuperscript{452} \textit{Id.}

\textsuperscript{453} \textit{See} Environmental Review, \textit{supra} note 440.
numerous migratory bird species. Siting decisions sought to avoid these areas.\textsuperscript{454}

Solid wastes generated by the construction and operation of the camps was systematically collected and disposed of at the existing base landfill. The primary waste stream consisted of paper plates and packaging, styrofoam cups, and related substances.\textsuperscript{455} The amount of waste generated determined that a second disposal site would be needed and had already been identified and cleared. In the event the rate of accumulations started to exceed the capability of the landfill operations, short duration pit burning was to be considered.\textsuperscript{456}

Hazardous wastes were deemed to be minimal and were handled in accordance with established base requirements. Sewage was collected in porta-johns and hauled to a sewage lagoon where chlorine and other disinfectants were added for health and safety reasons.\textsuperscript{457} The remaining solids were scheduled to be “land-farmed” by adding biodigesters or, in the alternative, recollecting the sewage and metering it through the sanitary sewer system for ocean discharge.\textsuperscript{458} Of particular concern was the presence of lead on the base, especially at the rifle range where pulverized lead could be found in the

\textsuperscript{454} Id. at page 4.

\textsuperscript{455} Id.

\textsuperscript{456} Id.

\textsuperscript{457} Id.

\textsuperscript{458} Id. at page 5. A proposal to barge and dispose of the sewage at sea was considered and rejected due to the long permitting process necessary under the Marine Protection, Research, and Sanctuaries Act (MPRSA). 33 U.S.C. §§1401-1445.
berm and impact area. The review recommended that this area be excluded from the camp siting and, as an added precaution, groundwater not be used as a drinking water source.

The review listed numerous mitigation measures, but noted that due to the emergent nature of the humanitarian operation, limited mitigation measures had actually been employed. The review concluded that the base had undergone some environmental degradation, but that the best possible environmental decisions had been reached given the rapid, fluid nature of the mission. The review also concluded that given the external nature of the driving factors there was no feasible alternative to the camp location.

Based on the review, USACOM requested an exemption from 12,114

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See Environmental Review, supra note 440 at page 5.

Id.

Id. at page 7. Some measures completed included a San Diego Zoo team who visited the base to identify suitable relocation areas for the iguana population and to develop a short-term “coexistence” policy to restore any biological systems damaged by the humanitarian mission. In addition, JTF bivouacs were sited on all base athletic fields and golf course to take advantage of cleared areas, thus staving off land disturbing activities. Other proposed mitigation measures included placement of silt fences along newly cleared areas to restrict erosion, sedimentation and potential reef damage; restoration of ground cover along the shoreline by planting pitted bluestem or madia grasses as soon as possible to further stabilize the soil; and development of long-term restoration plans

Id. at page 8.

Id. at page 9.

Id.
documentation requirements for the on-going construction of the migrant camp.\(^{464}\) This request was approved by The Under Secretary of Defense for Acquisition and Technology (USDA&T) who declared that all national interest activities undertaken to execute the President’s directive were exempt from the requirements of Executive Order 12,114 and DoD Directive 6050.7.\(^{465}\)

In his letter, USDA&T declared that “it was DoD policy to consider pertinent environmental considerations when making decisions regarding DoD activities and operations world-wide.”\(^{466}\) He also requested that “appropriate commands perform and document environmental analyses and mitigate negative impacts of this and similar actions abroad, to the extent practicable and consistent with national security requirements.”\(^{467}\) He ended his letter by commending USACOM for “considering environmental impacts and for performing the environmental review of the construction and operation of these vitally important migrant camps.”\(^{468}\)

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\(^{464}\) See Memorandum, supra note 439.


\(^{466}\) Id.

\(^{467}\) Id.

\(^{468}\) Id.
2. Operation Joint Endeavor

a. Background of DoD Involvement in Bosnia

As the world’s last remaining superpower, the United States also has vital and
vested, national security interests in Europe. As might be expected, the bitterly divisive
and deadly civil war fought in the former Yugoslavia, now split into three factions,469
threatened to interfere with those interests. On December 5, 1995, NATO’s Foreign and
Defense Ministers jointly endorsed OPLAN 10405 (“Joint Endeavor”) the military plan
for the Implementation Force in Bosnia, (IFOR) which ultimately set the stage for the
largest military operation in NATO history: to create a peace in the former Yugoslavia.470
The U. S., as a NATO charter member, agreed to provide approximately one-third of the
force necessary to establish the IFOR in accordance with the Dayton Peace Accords.471

On December 16, 1995, the North Atlantic Council approved deployment of the
IFOR main force and General George Joulwan, USA, ordered NATO forces to deploy to
Bosnia.472 Upon arrival, the primary responsibility of this United Nations task force was
to protect the force; execute transfer of the U.S. sector from the United Nations Protection

469 The nation is split into what is now known as Bosnia, Herzegovina, and Croatia.

470 See generally, NATO Involvement in Balkan Crisis Fact Sheet located on United
States Department of State web site (visited Jul. 11, 1997)

471 Id. IFOR’s main body of almost 60,000 troops consisted of troops from all 16 NATO
allies as well as troops from 16 other non-NATO countries, including Russia. This force
was fully deployed by mid-February 1996.

472 Id. at Chronology: Dayton Peace Agreement located on United States Department of State
web site (visited Jul. 11, 1997) <http://www.state.gov/www/current/bosnian conflict
chron.html.>
Force (UNPROFOR); establish Joint Military Commissions; establish a logistics
network; and expand the destroyed Tuzsla airfield.\textsuperscript{473}

\textbf{b. DoD Environmental Considerations in Bosnia}

Although the environmental policies reflected in both the OEBGD and FGS do
not apply to operations such as Joint Endeavor,\textsuperscript{474} DoD still is responsible for ensuring
that adequate steps are taken to guarantee that all necessary environmental actions and
considerations required under either executive order, international agreement, or
department directive have been satisfied.\textsuperscript{475} With as big an operation as Joint Endeavor,
these requirements are normally included within a specific mission Operations Plan or
OPLAN. In 1995, DoD prepared a mission OPLAN\textsuperscript{476} to detail United States
involvement\textsuperscript{477} in Operation Joint Endeavor.

Within the OPLAN are included a number of annexes which detail and define
particular DoD requirements and responsibilities for the deployment. One such section is
an environmental annex which DoD now requires included within specific mission

\textsuperscript{473} \textit{Id.}

\textsuperscript{474} OEBGD, supra note 63 at Preamble.

\textsuperscript{475} Exhibit 1 to Tab B to Appendix 5 to Annex D to USCINCEUR OPLAN 4243(U),
\textit{Environmental Assessments (U) 2 Dec 1995 (EUCOM ENVIRONMENTAL ANNEX
[hereinafter Environmental OPLAN Annex Exhibit 1].

\textsuperscript{476} Tab B to Appendix 5 to Annex D to USCINCEUR OPLAN 4243(U), \textit{Environmental
Considerations and Services(U) 2 Dec 1995 (EUCOM ENVIRONMENTAL ANNEX
[hereinafter Environmental OPLAN Annex].

\textsuperscript{477} This OPLAN governed the U.S. military delegation that was part of the NATO IFOR
force deployed to Bosnia-Herzegovina in support of the Dayton Peace Accord.
plans. The purpose of such an annex is to stress consideration of environmental impacts and efforts to avoid or minimize environmental consequences during all aspects of the operation. Operation Joint Endeavor’s annex incorporates environmental requirements found in Executive Order, policy directives and manuals, and Joint Staff publications. It was prepared by the U.S. Army European Command, (EUCOM) “to provide guidance to protect the health and welfare of U.S. personnel and the environment during the conduct of operations resulting from implementation of this plan.”

Relatively speaking, the Joint Endeavor environmental annex is very detailed and covers the spectrum of possible environmental concerns. However, as one might expect of a military operation being conducted in the middle of a foreign battleground, the annex makes it perfectly clear that efforts to avoid or minimize adverse environmental impacts, however important, must be balanced against the requirements of force protection and military necessity for mission accomplishment. For example, United States military personnel are responsible for properly disposing of their own wastes and must take

478 JCS Publication 4-04, Joint Doctrine For Civil Engineering Support, 22 Feb 1995.

479 Col. D. Carr, Considerations for the Development of a DoD Environmental Policy for Operations Other Than War, AEPI-IFP-197 (30 May 1997).

480 See Environmental OPLAN Annex, supra note 476.

481 Id. at para 1.a.

482 Id. at para 3.a.2. ([t]he annex requires the “best practice and feasible environmental engineering and sanitary practices for the protection of human health and the environment. . .” these standards are conditioned upon “. . .force protection and mission accomplishment.”)
appropriate actions to ensure safe disposal. In that vein, while the annex preaches avoidance of actions inconsistent with this concept of proper waste disposal, it recognizes and allows dumping or abandonment of waste when justified under combat or other hostile conditions. Finally, the annex notes that existing security conditions, preparation time and access, constraints on force size and limited detailed knowledge of existing environmental conditions are limiting factors to the OPLAN.

Critics may contend that these actions conflict with the environmental protections outlined in Executive Order or department directives. However, the Major Assumption section of the annex states that Executive Order 12,114 and DoD Directive 6050.7 do not apply to this operation. The reason for this assumption is simple. Executive Order 12,114 applies to “major Federal actions significantly affecting the environment of a foreign nation not participating with the United States and otherwise involved in the action.” In regards to Directive 6050.7, “no action is required . . .with respect to federal actions that affect only the environment of participating or otherwise involved foreign nations. . .”

DoD’s position is that since Operation Joint Endeavor was a United Nations

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483 Id. at para 3.a.4.
484 Id.
485 Id. at para 1.c.
486 Id. at para 1.b.
487 Environmental OPLAN Annex Exhibit 1, supra note 475.
488 Id.
sanctioned, multi-nation peace enforcement operation involving the movement of a multi-
national force led by NATO in support of the Dayton Peace Accords, all actions
contemplated within the operation, which might impact the environment, were done with
the consent, participation, and involvement of the foreign host potentially affected by the
action. Therefore, as evidenced by the international agreement authorizing the IFOR
actions, neither Executive Order 12,114 or DoDD 6050.7 are applicable. Nonetheless,
DoD clearly states within the annex that, participating nation involvement aside,
consideration of environmental impacts and efforts to minimize adverse impacts by U.S.
military personnel are to be accomplished during all aspects of the operation.

In addition to outlining the Purpose, Major Assumptions and Concept of
Operations, the annex lists the environmental protection responsibilities of the deployed
on-scene commanders; service components; and the Defense

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489 See HQ USEUCOM ECLA 9 Feb 96 Memorandum to the Commander, Defense
Reutilization Marketing Region-Europe on file at that office. [Hereinafter ECLA
Memorandum].

490 Id.

491 Id. at para 3. a. 1.

492 Id. at para 2. a-f. (These commanders include the USAREUR (Fwd)/COMNSE,
COMNSE Chief Engineer, COMNSE Environmental Engineer, USAREUR (Fwd)
COMNSE SJA, USAREUR (Fwd) COMNSE Surgeon, and USAREUR (Fwd)
COMNSE Safety Officer.)

493 Id. at para 2. g. (Service Components are responsible for implementation and compliance of
the environmental section of Annex D within each service and for developing supporting
environmental annexes. They are also responsible for collecting, storing, and transporting unit-
produced hazardous materials and wastes IAW component guidance.) Id.
Logistics Agency. The annex also carefully outlines the operational requirements for
the following areas: Potable Water, Grey Water, Wastewater/Human Waste, Solid
Waste, Infectious Medical Waste, Noninfectious Medical Wastes, Hazardous
Materials, Hazardous Wastes, NBC Wastes, Natural Resources, and Historical

Id. at para 2. g. (DLA is responsible for receiving accountability and physical
custody of excess hazardous materials and hazardous wastes and arranging for final
disposal. DLA is also responsible for ensuring compliance with applicable international
agreements in the transboundary shipment of these hazardous wastes. See, next section
infra.). Id.

Id. at para 3. c. 1. a-c. (DoD personnel are required by the OPLAN to protect water
supply sources from contamination by suitable placement and construction of wells and
surface treatment systems, and siting and maintenance of septic systems and on-site
treatment units.) Id.

Id. at para 3. c. 2. (Mess, Bath, and Laundry operations) Id.

Id. at para 3. c. 3. (Sewage will be disposed of using existing sewage systems where
possible. If such facilities have exceeded their capacity, are not functional, do not exist,
or if the transport (via sewage trucks) to a suitable treatment system is not possible,
human waste shall be disposed of according to field sanitation procedures.) Id.

Id. at para 3. c. 4. (Solid waste will be disposed of at existing landfills, using
contracted assets, whenever feasible. Field locations will use open burning where use of
host-nation landfills is not feasible.) Id.

Id. at para 3. c. 5. a-d. (The annex defines infectious medical waste as waste
produced by medical and dental treatment facilities which is specifically managed
because it has the potential for causing disease in man and may pose a risk to both
individual or community health if not managed properly.) Id.

Id. at para 3. c. 6. a-b. (The annex defines non-infectious medical waste as waste
created in medical and dental treatment facilities that does not require special
management because it has been determined to be incapable of causing disease in man or
which has been treated to render it non-infectious. Noninfectious medical waste shall be
disposed of as a solid waste) Id.

Id. at para 3. c. 7. a-e. (The annex defines a hazardous material as any material that,
based on either chemical or physical characteristics, is capable of posing an

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and Cultural Resources.\textsuperscript{505}

Under the annex, units must appoint an environmental compliance officer who will ensure unit compliance with the OPLAN environmental requirements.\textsuperscript{506} This person carries out his/her duties by conducting regular assessments of activities which pose a potential for environmental problems (e.g., vehicle maintenance areas, POL and hazardous waste storage areas).\textsuperscript{507} Any adverse or significant findings discovered must be forwarded within 24 hours to the USAREUR (Fwd) COMNSE Environmental Engineer who has been delegated "executive agent" status.\textsuperscript{508} As the person principally responsible for ensuring compliance with the environmental annex, the COMNSE Environmental Engineer is also responsible for "developing more detailed environmental..."

\textsuperscript{502} \textit{Id.} at para 3. c. 6. a-e. (The annex defines a hazardous waste as any discarded material that may be a solid, semi-solid, liquid, or contained gas that exhibits a characteristic of a hazardous waste (e.g., ignitability, corrosivity, reactivity, or toxicity) which has the potential to be harmful to human health or the environment, due to its quantity, concentration, chemical or physical characteristics.) \textit{Id.}

\textsuperscript{503} \textit{Id.} at para 3. c. 9.

\textsuperscript{504} \textit{Id.} at para 3. c. 10. (Commanders are to consider protection of natural resources, to include all plants and animals, and in particular, any endangered or threatened species and avoid or minimize adverse impacts.) \textit{Id.}

\textsuperscript{505} \textit{Id.} at para 3. c. 11.

\textsuperscript{506} \textit{Id.} at para 3. c. 12.

\textsuperscript{507} \textit{Id.}

\textsuperscript{508} \textit{Id.} at para 2. c.
services guidance and standards," as may be deemed necessary depending on the situation.

While the potential inadequacies of the OPLAN Annex exist, the plans and procedures contained within it have resulted in more efficient management of wastes generated by U.S. military members and has greatly increased the awareness of DoD’s responsibility to protect the environment. However, certain problems concerning the disposal of waste from U.S. troops overseas continue and threatens to disrupt the overall environmental success rate DoD has achieved in Bosnia-Herzegovina.

c. **Basel Convention on Transboundary Shipments**

As might be expected, United States military forces generate a great quantity of hazardous waste during the course of normal military operations. These full tempo operations have traditionally resulted in waste products ranging from routine household waste to oils, solvents and petroleum-based products used primarily to clean aircraft parts and tools. Operation Joint Endeavor has been no exception. Realizing the potential scope of the waste disposal problem in a nation decimated by civil war, DoD contracted

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509 *Id.*


511 *Id.*

512 *Id.* (As of 31 December 1996 the total quantity of wastes produced during Operation Joint Endeavor totaled 1,817,000 KG. This waste ranged from 100 kg of disposed ink cartridges to 457,262 kg of used lead acid batteries.)
with the Defense Logistics Agency (DLA) to arrange for the proper management and
disposal of the hazardous wastes\(^{513}\) resulting from U.S. IFOR operations and activities.\(^{514}\)
DLA, acting as DoD's agent in this matter, is required to comply with the disposal
requirements of either the host nation law or applicable international agreements. After
studying the possibilities for safe waste disposal in Bosnia-Herzegovina, DLA's
approach, consistent with DoD's emphasis on environmental leadership, was to develop a
treatment-based approach for setting the recovery/disposal standards for each anticipated
waste stream for the area of responsibility (AOR).\(^{515}\)

Normally, at established military bases around the world, either DoD or the host
nation has the waste disposal facilities necessary to properly dispose of waste generated.
However in operational deployments adequate hazardous waste disposal facilities may
not exist within the occupied territory. During Operation Joint Endeavor it was
discovered that while hazardous waste disposal facilities in Hungary, a staging area for
IFOR forces considered to be within the AOR, were suitable for managing and disposing
of DoD waste, the same could not be said for the waste disposal facilities in Croatia and
Bosnia-Herzegovina.\(^{516}\) In those areas, DoD was forced to determine the type and amount

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\(^{513}\) The term "hazardous waste" refers to wastes not only regulated as hazardous wastes
under U.S. laws, but also many "special" wastes, such as oil-contaminated solids, spent
dry-cell batteries, used antifreeze, etc. \textit{Id.} at page 3.

\(^{514}\) \textit{Id.}

\(^{515}\) \textit{Id.} at page 5.

\(^{516}\) \textit{Id.} at page 8.
of waste that would be feasible and environmentally safe to dispose of in-country.\textsuperscript{517} In an effort to provide some economic relief to the stricken region, DoD decided to process certain less hazardous BTU-rich wastes in Bosnia-Herzegovina such as used petroleum products and non-halogenated solvents which were burned at an energy recovery plant near Tuzsla, Bosnia-Herzegovina.\textsuperscript{518} Lead acid batteries were processed at a lead recycling facility in Slovenia.\textsuperscript{519} The recovered usable product from the wastes were used to rehabilitate the local economies in parts of Bosnia-Herzegovina.\textsuperscript{520}

In the case of other hazardous wastes however, DoD quickly deemed it unsafe and unrealistic to dispose of the waste in-country.\textsuperscript{521} Due to the unsafe facilities and the lack of industry infrastructure necessary to dispose of the generated hazardous waste, DoD determined that much of the waste would have to be transported to other countries outside the AOR to guarantee that these wastes were disposed of in a safe and environmentally sound manner.\textsuperscript{522} As practical as this action may seem, some viewed it as a violation of

\textsuperscript{517} In-country is used to refer to any activity conducted within Bosnia-Herzegovina.

\textsuperscript{518} \textit{Id.} at page 11.

\textsuperscript{519} \textit{Id.}

\textsuperscript{520} \textit{Id.} In an effort to encourage the concept of “Nation Building” in the former republics of Yugoslavia, DLA decided to allow some less hazardous waste streams to be managed in Bosnia-Herzegovina. This act was intended to play a small role in helping to re-build the nation’s infrastructure, such as the power utility, and contribute to other efforts to revitalize the industrial sector. \textit{Id.}

\textsuperscript{521} \textit{Id.} at page 12.

\textsuperscript{522} \textit{Id.}
the Basel Convention.\textsuperscript{523}

The Basel Convention sets forth the basic procedures and conditions for the transboundary shipment and disposal of hazardous wastes. The thrust of the Convention is to dispose of waste in the nation in which it was generated in order to improve and achieve environmentally sound waste management practices.\textsuperscript{524} The Convention signatories believe that the transport of hazardous waste risks serious harm to human health and the environment. In an effort to alleviate this risk, the Convention seeks to limit the transboundary shipment of waste.\textsuperscript{525} The Convention defines “transboundary movement” as:

\begin{quote}
[A]ny movement of hazardous waste or the wastes from an area under the national jurisdiction of one state to or through an area under the national jurisdiction of another state, or to or through an area not under the jurisdiction of any state, provided at least two nations are involved in the movement.\textsuperscript{526}
\end{quote}

The Convention does allow, under limited circumstances, nations to send or receive hazardous waste for disposal from other signatory nations contingent upon proper notification and approval of the parties involved, but this provision excludes the import or export of any hazardous waste from non-signatory nations.


\textsuperscript{524} Id. at Preamble. See also generally Phelps, \textit{supra} note 167 at 72.

\textsuperscript{525} Id. at Art. 2, para. 8.

\textsuperscript{526} Id. at Art. 2, para. 3.
nations, unless these actions are taken as a result of existing “bilateral, multilateral, or regional agreements or arrangements” which are consistent with and “do not derogate from the environmentally sound management of hazardous wastes and other wastes as required by this Convention.”

As of January 1997, 108 nations have ratified the Convention. Although the United States signed the Convention on March 22, 1989, it has not yet been ratified due to a Senate failure to pass required implementing legislation. Nonetheless, as an original signatory, the U.S. is obligated to refrain from taking any actions concerning waste disposal and its transboundary shipment that would violate the terms of the Convention.

DoD, realizing that that there were no bilateral agreements on hazardous waste disposal negotiated between Bosnia-Herzegovina and any other neighboring country, recognized that negotiating such a bilateral agreement would take longer than IFOR was allowed to deploy in-country. DoD, therefore, took the position that the shipment of hazardous waste generated by a DoD installation or overseas facility, especially when achieved aboard sovereign American ships, was excluded from the Convention requirements.

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527 See Phelps, supra note 167 at 72 citing to Art. 4, para. 5.
528 Id. at Art. 11, para 1.
529 Id.
531 Agreed Text, Applicability of the Basel Convention to U.S. Military Facilities
DoD also argued that in accordance with Article 11 of the Convention, the various SOFA agreements, which were presently in place and allowed for the overseas transboundary shipment of hazardous wastes, were consistent with the "agreements or arrangements" language found in the Convention agreement and therefore met, if not technically, at least the spirit of the Convention.\footnote{See Phelps, supra note 167 at 73. See also Agreed Text, supra note 531 (to ensure that the waste disposal problem was resolved prior to deployment of forces, NATO negotiated SOFA Agreements and/or Transit Agreements with Austria, Bosnia-Herzegovina, Croatia, Czech Republic, Hungary, Slovakia, Slovenia, and Former Republic of Yugoslavia (FRY) to allow for the transboundary shipment of these wastes.) See detailed discussion infra.} Despite its critics,\footnote{See Phelps, supra note 167 at 73. ("The transport of DoD generated hazardous waste to another country for disposal clearly violates the Basel Convention.") Id.} DoD’s interpretation of the Convention requirements afforded it the ability to retrograde a significant amount of hazardous waste back to the United States\footnote{As late as 1991, DoD was sending approximately 3 million tons of its yearly overseas hazardous waste back to the United States. See, generally, Rodgers, supra note 188.} thus allowing it the flexibility required to both protect the environment while still meeting its military responsibilities.

\textbf{d. Application of Basel Convention in Operation Joint Endeavor}

The Basel Convention does not specifically address whether its provisions governing waste shipment are applicable during periods of armed conflict or civil war. DoD has incorporated the spirit of the Convention’s position which

\textit{Overseas}, undated (abt Spring, 1994), which represents the agreed position of representatives of DoD, each military department, Department of State, DLA, and EPA.
discourages transboundary shipment of hazardous waste in both its directives\textsuperscript{535} and OEBGD.\textsuperscript{536}

However, as noted previously, the OEBGD did not apply to Operation Joint Endeavor. That fact notwithstanding,\textsuperscript{537} DoD made it clear that environmental standards were to be met during the operation. To facilitate this policy and in order to protect human health and the environment, DoD ensured that adequate disposal standards were set for the disposal of waste generated during the operation which respected both host nation law, if existing, and international laws on transporting waste out of country. Furthermore, the OPLAN Annex for Joint Endeavor also included restrictions on the disposal of hazardous waste generated during the deployment.\textsuperscript{538}

\textbf{B. Modification of DoDD 6050.7}

The requirements of DoD Directive 6050.7 implementing Executive Order 12,114

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\textsuperscript{535} See DoD Directive 4715.5, \textit{supra}, note 64 at para F.4.a. ("...DoD Components shall not dispose of wastes in a foreign nation that are generated by DoD actions and that are considered hazardous under either U.S. law or applicable host nation standards, unless the disposal complies with either the OEBGD or FGS... and is in accordance with any applicable international agreement. Absent an applicable international agreement that grants disposal authority, explicit or implicit concurrence is required by the appropriate authorities of the nation where the disposal takes place.")

\textsuperscript{536} See OEBGD, \textit{supra}, note 63 at para 1-1.

\textsuperscript{537} See discussion, Section VII. B \textit{supra}.

\textsuperscript{538} \textit{Id.} at para. 3. c. 8. (c) and (d). ("Transboundary shipment [of hazardous waste] will comply with applicable international agreements"... "U.S. generated hazardous wastes will only be disposed of in a host nation if it can be determined that disposal will be conducted in an environmentally sound manner.")
}
do not apply to United States military activities overseas if the foreign host nation is participating in the activity.\textsuperscript{539} This exclusion is controversial since in practice it results in most overseas military activities, which might cause an impact to the environment, being exempted from the environmental analysis requirements of the order.\textsuperscript{540}

In response to recent criticisms to this exclusion, DoD is currently working on proposed changes to DoD 6050.7 to provide a “systematic approach for determining whether actions to be undertaken, controlled, or funded by a DoD Component may have a significant adverse effect on the natural and physical environment outside the United States and, if so, the environmental analysis, if any, that must be prepared and considered by DoD officials before they authorize or approve such actions.”\textsuperscript{541} Like the original directive which required DoD to respect treaty obligations and the sovereignty of foreign nations,\textsuperscript{542} the draft directive specifically does not apply when it would come directly in conflict with an applicable international agreement.\textsuperscript{543}

The draft directive includes five primary types of environmental analyses:

\textsuperscript{539} Id. at encl. 2, Sec. B 1.a. (“... the requirements of this enclosure apply only to... major federal actions that significantly harm the environment of a foreign nation that is not involved in the action.”).

\textsuperscript{540} E.g., See, Whitaker, supra note 367 at 30 in which the author states that every single military action taken pursuant to Operations Desert Shield/Storm was exempted from the requirements of Executive Order 12,114.

\textsuperscript{541} DoD Dir. 6050.7, Analyzing Defense Actions With the Potential for Significant Environmental Impacts Outside the United States, (Draft, 27 February 1997), para A.3.

\textsuperscript{542} DoD Dir. 6050.7 Environmental Effects Abroad of Major Department of Defense Actions (31 Mar 1979), para D.3.

\textsuperscript{543} DoD Dir. 6050.7, Draft, supra note 541 at para B.4.
categorical exclusions,\textsuperscript{544} overseas environmental impact statements,\textsuperscript{(OEIS)}\textsuperscript{545} overseas environmental assessments,\textsuperscript{(OEA)}\textsuperscript{546} environmental studies,\textsuperscript{(ES)}\textsuperscript{547} and environmental reviews.\textsuperscript{(ER)}\textsuperscript{548}

Perhaps most important, considering the criticism directed against the original directive, is the fact that the revised draft directive broadens the "participating nation" language to require DoD components, in some circumstances, to comply with the requirements for analysis regardless of whether the host nation is participating in the activity.\textsuperscript{549} Specifically, the draft directive requires DoD to prepare either an ES or an

\textsuperscript{544} The purpose of the categorical exclusion is to allow efficient, timely consideration of environmental factors for a proposed major DoD action by determining that it belongs to a previously identified class of major DoD actions that will not, individually or cumulatively, have significant, adverse impacts on the environment absent extraordinary conditions. \textit{Id.} at para 5.a.

\textsuperscript{545} The purpose of the overseas environmental impact statement is to ensure environmental factors are considered along with other pertinent factors before a decision is made to proceed with a major DoD action outside the United States and outside the jurisdiction of any foreign nation.\textit{(global commons)} \textit{Id.} at para 6.a.

\textsuperscript{546} The purpose of the overseas environmental assessment is to determine whether an OEIS is necessary. This is achieved by determining whether the proposed action, taking into consideration any mitigation measures the proponent is prepared to undertake, will have significant, adverse impacts on the environment. \textit{Id.} at para 6.b.

\textsuperscript{547} An environmental study is an analysis of the likely environmental effects of a major DoD action that could have significant, adverse effects on the environment of a foreign nation and is prepared by the United States in conjunction with one or more nations. \textit{Id.} at para 7.a.

\textsuperscript{548} An environmental review is an analysis of the likely environmental effects of a major DoD action that could have significant, adverse effects on the environment of a foreign nation and is prepared solely by the United States. \textit{Id.} at para 7.b.

\textsuperscript{549} \textit{Id.} at para F.3.b.
ER, even if the host nation is participating in the activity, if DoD believes that the major action (emphasis added):

1. Will have a significant, adverse effect on the environment of a foreign nation;\textsuperscript{550}
2. Will have significantly adverse effects on the environment of a foreign nation and will provide to that nation a closely regulated product or physical project;\textsuperscript{551} or a nuclear production or utilization facility as defined in 42 U.S.C. 2014, et seq., or a nuclear waste management facility;\textsuperscript{552} or,
3. Will occur outside the United States and have significant adverse effects within a foreign nation, on natural or ecological resources of global importance designated for protection by the President under E.O. 12,114, or by the Secretary of State in the case of such a resource protected by international agreement binding upon the United States.\textsuperscript{553}

In all other cases, DoD will be required to determine whether the participating nation is applying an environmental analysis regime\textsuperscript{554} to the major action. If such a regime is being applied, the DoD activity is to request, subject to national security or foreign policy concerns, a copy of the EA so that the U.S. activity can make an informed decision about its own participation in the action.\textsuperscript{555} If the participating host-nation is not

\textsuperscript{550} Id. at para F.7.c.1.

\textsuperscript{551} A product or project that produces a principle product or an emission or effluent that would be prohibited or strictly regulated as a serious risk to human health under Federal law in the United States. Id. at encl.2, para 2.

\textsuperscript{552} Id. at para F.7.c.2.

\textsuperscript{553} Id. at para F.7.c.3.

\textsuperscript{554} A formal process that, as provided by host nation law and implemented in practice, provides reasonable assurance that host nation decision-makers will be provided reasonably complete information on the environmental effects of major DoD actions in which the host nation is participating. Id. at encl.2, para 6.

\textsuperscript{555} Id. at para F.3.c.2.
applying an environmental regime to the major DoD action, the new directive requires DoD to offer to assist with the analysis, subject to United States national security or foreign policy concerns.\textsuperscript{556}

Finally, recognizing the delicate foreign policy issues that exist for DoD forces overseas, the draft directive authorizes the DoD Component to decide whether to proceed with the proposed action even if the participating nation chooses not to provide the requested analysis or to jointly analyze the action. Prior to proceeding however, DoD must carefully consider the consequences of its action on the basis of information readily available and only after respecting any national security or foreign policy concerns.\textsuperscript{557}

When effective, the new DoD Directive 6050.7 will be a better organized, more comprehensive document than Executive Order 12,114, and will have addressed the biggest loophole to effective environmental protection--the participating nation exclusion. This improved process continues the DoD effort to be environmentally responsible while striving to achieve its mission.

\section*{IX. CONCLUSION}

It would be easy to say that DoD cannot be trusted to protect the environment based on its past environmental record.\textsuperscript{558} However, events over the past decade demonstrate that DoD fully understands and appreciates the synergy between

\textsuperscript{556} \textit{Id.} at para F.3.c.3.

\textsuperscript{557} \textit{Id.} at para F.3.c.4.

\textsuperscript{558} See discussion, Section II. B. \textit{supra}.
environmental protection and mission success, in other words, that protection of the environment and success of the military mission are not exclusive. Recent regulatory and policy changes emphasize DoD’s willingness to protect the environment, balanced against the needs of force protection and military necessity, during operations both within the United States and overseas.

Continually building upon this policy since the early 1990's, DoD has committed itself to being a leader in environmental security and has taken affirmative acts to ensure that this commitment is a long-lasting one. Executive Order 12,114, taken together with revised DoD directives, policies and regulations, provide a comprehensive determination of DoD overseas environmental procedures, and furthers those environmental objectives consistent with foreign and national security interests. DoD Directive 6050.7, the implementation of Executive Order 12,114 within the DoD, requires military decision-makers, from the MAJCOM to the installation level, to be informed of relevant environmental issues and to seriously consider those issues when planning military actions.

Sensitive to perceived loopholes, such as the “participating host-nation exception,” and in hopes of heading off any PRD-23 type challenges to either NEPA or Executive Order 12,114, DoD is revising Directive 6050.7 to expand the environmental analysis requirement of the executive order to ensure that environmental actions are considered regardless of host nation involvement. This change will increase the number of actions that result in some type of environmental review and potentially will lead to increased DoD overseas environmental protection.
DoD is also mandating comprehensive decision-making by requiring environmental planning at established overseas facilities under Joint Publication, (Joint Pub 3-34) during the preparation of operations orders and contingency plans.\textsuperscript{559} Currently being developed, Joint Pub 3-34 will address a broader scope of environmental issues closely aligned with operations, such as operational movement, maneuver and force protection and environmental support.\textsuperscript{560} Other environmental issues to be addressed in Joint Pub 3-34 will include operational planning, environmental stewardship, environmental compliance, mitigation and restoration and waste disposal.\textsuperscript{561} To further that end, DoD has approved a joint doctrine initiative to create a standardized environmental annex which expands upon the current Joint Pub 4-04 format. This new annex, “Annex L”, will be the template for all Joint OPLAN Environmental Annexes.\textsuperscript{562} Annex L stresses consideration of environmental impacts and efforts to avoid or minimize adverse environmental consequences during all aspects of the operation, even those not included within Executive Order 12,114 or DoD guidance.

DoD’s use of directives such as 6050.7, 4715.1 and 4715.5, along with necessary exemptions they contain, provide DoD with the needed flexibility to accomplish the mission and appropriate guidance to protect the overseas environment. DoD has demonstrated that it intends to make these directives more comprehensive than the

\textsuperscript{559} See Carr, supra note 479 at 22.

\textsuperscript{560} Id.

\textsuperscript{561} Id.
previous Executive Order guidance which should foster continued environmental awareness and increased protection to both humans and the environment.

By establishing a minimum standard of environmental compliance procedures through implementation of the OEBGD in 1992, DoD began, in earnest, the job of providing consistent agency direction to military members, civilian employees and defense contractors world-wide. Recently, DoD has been working to improve upon the guidelines used to establish final governing standards applicable to overseas locations. The trend continues to indicate that DoD will implement, some time in the future, a policy that requires executive agents to incorporate host nation environmental requirements into local FGS's, even in a nation such as Germany which has begun to set extremely stringent environmental standards. This policy should close any remaining loopholes in the OEBGD process and eliminate the potential for military environmental failures like those discovered by Congress in the early 1990's.

DoD has also demonstrated that it understands the sensitivities involved in forging environmental policies overseas. To that end, any proposed change to NEPA must fully explore the possible ramifications to foreign sovereignty and how any NEPA change would impact foreign policy and national security interests. It seems clear that attempting to implement NEPA on a global basis puts tremendous pressure on the general international law principles of respect for sovereign foreign nations. Unless, a sovereign is agreeable to extensive foreign intrusion and allows the U.S. to substitute its environmental policies in place of the foreign host nation regime, this idea will be

562 Id.

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difficult to put into practice.

Likewise, any proposal to amend NEPA must fully understand and appreciate the underlying tensions involved in this issue to include the developed v. undeveloped nation issue central to any intent to apply NEPA extraterritorially. While the idea of applying a broad, sweeping environmental statute, like NEPA, across the globe in an effort to solve all of the world's environmental problems sounds fiercely American, the reality is a little less grand. The United States must come to grips with the factors which influence environmental decision-making in poor, undeveloped nations. In my opinion, the only way to do this successfully is through international discourse and compromise.

Discussion and compromise aside, the real challenge is to craft consensus among nations that results in true global protection without the need for encroachment on a nation's sovereignty. This approach makes sense when looking at the recent track record of environmental successes world-wide and realizing that most of these successes have come when nations sit down and discuss ways to make consensual improvements to environmental matters.\(^{563}\) It is very unlikely, in this age of environmental awareness, that one nation would relinquish its voice in matters concerning environmental areas, or more importantly, the national interests inherent in those matters. The United States would not

\(^{563}\) See United Nations Conference on Environment & Development: Convention on Biological Diversity, 31 I.L.M. 818 (1992) (Art 3 states that nations have "the responsibility to ensure that activities within their jurisdiction or control do not cause damage to the environment of other states or of areas beyond the limits of national jurisdiction.") Id. at 824. See also United Nations Conference on Environment & Development: Framework Convention on Climate Change, May 9, 1992, 31 I.L.M. 849 (Seeking to stabilize greenhouse gases "at a level that would prevent dangerous anthropogenic interference with the climate system.") Id. at 854.
do it and it is paternalistic to think that poorer, less powerful nations will.

To be successful, nations must employ diplomacy, negotiation and international agreements, such as the Stockholm\textsuperscript{564} and Rio Declarations,\textsuperscript{565} to enforce environmental protection around the world. A nation that agrees to enforce environmental protection should not do so through an artificial mechanism, such as NEPA, but instead should follow its own stated principles and national interests expressed in an international agreement. This approach will strengthen international commitment to environmental protection while relieving the U.S. of the "role" of global environmental policeman.

Through both word and action, DoD clearly understands the changing dynamics of environmental awareness and protection and the unique role it plays in both. By acknowledging its past mistakes and aggressively targeting future improvements, DoD has demonstrated that it will not retreat on its commitment of being an environmental leader in the 21st century.


\textsuperscript{565} Rio Declaration on Environment and Development, \textit{U.N. DOC A/CONF. 151/26 (1992)}. It is important to note that these Declarations are not treaties and do not, by themselves, create a legally binding contract or obligation, while still reflecting customary international law. \textit{See} Gardner, \textit{supra} note 248 at FN 180.