

REPORT DOCUMENTATION PAGE

Form Approved
OMB No. 0704-0188

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AD-A244 129



ion is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this reporting burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Avenue, Washington, DC 20540, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503

2. REPORT DATE 12-20-91	3. REPORT TYPE AND DATES COVERED Annual 10/01/90 - 09/30/91
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Surface and Defects Excitations in Covalently Bonded Solids	5. FUNDING NUMBERS N00014-90-J-1370
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6. AUTHOR(S) Prof. J.D. Joannopoulos	4145276-04
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7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Research Laboratory of Electronics Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139	8. PERFORMING ORGANIZATION REPORT NUMBER
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9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) Office of Naval Research 800 North Quincy Street Arlington, VA 22217	10. SPONSORING/MONITORING AGENCY REPORT NUMBER
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SELECTED

11. SUPPLEMENTARY NOTES
The view, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy, or decision, unless so designated by other documentation.

12a. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution unlimited.	12b. DISTRIBUTION CODE
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13. ABSTRACT (Maximum 200 words)
Work by Prof. Joannopoulos and his collaborators is summarized here

91-19124

14. SUBJECT TERMS	15. NUMBER OF PAGES
	16. PRICE CODE

17. SECURITY CLASSIFICATION OF REPORT UNCLASSIFIED	18. SECURITY CLASSIFICATION OF THIS PAGE UNCLASSIFIED	19. SECURITY CLASSIFICATION OF ABSTRACT UNCLASSIFIED	20. LIMITATION OF ABSTRACT UL
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OFFICE OF NAVAL RESEARCH
PUBLICATIONS/PATENTS/PRESENTATIONS/HONORS REPORT
for
1 Oct. 90 through 30 Sept 91

R&T Number: 4145276

Contract/Grant Number N00014-90-J-1370

Contract/Grant Title: SURFACE AND DEFECTS EXCITATIONS
IN COVALENTLY BONDED SOLIDS

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- a. Number of Papers Submitted to Refereed Journals but not yet published: 0
- b. Number of Papers Published in Refereed Journals: 10 (List Attached)
- c. Number of Books or Chapters Submitted but yet yet Published: **None**
- d. Number of Books or Chapters published: **None**
- e. Number of Printed Technical Reports & Non-Refereed Papers: **None**
- f. Number of Patents filed: 1
- g. Number of Patents Granted: **None**
- h. Number of Invited Presentations at Workshops or Prof. Society Meetings: 7 (List Attached)
- i. Number of Presentations at Workshops or Prof. Society Meetings: **None**
- j. Honors/Awards/Prizes for Contracts/Grant Employees: 4 (List Attached)
- k. Total Number of Graduate Students and Post-Docs Supported at least 25% this year on this contract grant:

Grad Students 1 and Post Docs 1
Grad Student Female 0
Grad Student Minority 0
Grad Stud. Asian e/n 1
Post-Doc Female 0
Post-Doc Minority 0
Post-Doc Asian e/n 0

(b) Publications in Refereed Journals

1. A. Rappe, K. Rabe, E. Kaxiras and J.D. Joannopoulos, "Optimized Pseudopotentials," Phys. Rev. Rapid Comm. **B41**, 1227 (1990).
2. M. Payne, E. Tarnow, P. Bristowe and J.D. Joannopoulos, "Ab-initio Materials Science and Engineering Using the Molecular Dynamics Methods for Total Energy Pseudopotential Calculations," Molecular Simulations **4**, 79 (1990).
3. M. Needels, J.D. Joannopoulos, S. Pantelides and Y. Bar-Yam, "Oxygen Complexes in Si," Phys. Rev. **B43**, 4208 (1991)
4. M. Needels, J.D. Joannopoulos, Y. Bar-Yam and S. Pantelides, "The Enchanting Properties of Oxygen Atoms in Silicon," Mat. Res. Soc. Proc. **209**, 103 (1991)
5. R. Wolfe, M. Needels and J.D. Joannopoulos, "The Electronic Structure of Oxygen in Silicon as Revealed by Volume Visualization of Ab-Initio Calculations," Proc. IEEE Conf. "Visualization '91", San Diego (1991), in press.
6. R.D. Meade, K.D. Brommer, A.M. Rappe and J.D. Joannopoulos, "Photonic Bound States in Periodic Dielectric Materials", Phys. Rev. Rapid Comm. in press (1991).
7. T. Arias, M. Payne and J.D. Joannopoulos, "Precise and Efficient Ab-Initio Molecular Dynamics," Phys. Rev. B in press (1991).
8. E. Yablonovitch, T. Gmitter, R. Meade, A. Rappe, K. Brommer, and J.D. Joannopoulos, "Donor and Acceptor Modes in Photonic Band Structures" Phys. Rev. Lett. **67**, 3380 (1991)
9. A. Rappe and J.D. Joannopoulos, "The Desigr. of Convergent and Transferable Ab-Initio Pseudopotentials", Proc. Nato Adv. Study Inst., Aussois, France (1991), Vol **205**, p. 409
10. J.D. Joannopoulos, P. Bash and A. Rappe, "Modern Iterative Minimization Techniques in Quantum Chemistry", Chemical Design Automation News, Aug. (1991).

(h) **Invited Papers**

1. J.D. Joannopoulos and A. Rappe, NATO Adv. St. Inst., "Computer Simulation in Material Science", Aussois, France (1991).
2. J.D. Joannopoulos, "Physics Computing '91", Bull. APS (1991).
3. R. Meade, K. Brommer, A. Rappe and J.D. Joannopoulos, Proc. Prog. Elect. Res. Symposium, Cambridge (1991).
4. R. Meade, A. Rappe, K. Brommer and J.D. Joannopoulos, Amer. Optical Soc. Meeting, San Jose (1991).
5. T. Arias. (J.D. Joannopoulos, M. Payne), Total Energy Workshop, Trieste (1991).
6. J.D. Joannopoulos, Gordon Conference on Adhesion (1991).
7. J.D. Joannopoulos, Workshop on Epitaxy, Interfaces and Defects, Pittsburgh (1991).

(j) **Honors, etc.**

1. John Joannopoulos School of Science Teaching Award
2. Andrew Rappe JSEP Fellowship
3. Tomas Arias AT&T Bell Labs Fellowship
4. Kyeongjae Cho Korean Overseas Scholarship

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