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FINAL REPORT  
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**CONTRACT NO. N00014-81-K-0742  
TASK NO. NR 049-506  
SIGNAL PROCESSING**

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I. Introduction

The research carried out under this contract is categorized under seven major topic areas. In all of these areas, the work and results have been thoroughly documented through the publication of technical reports, conference papers and journal articles. In Section II, a brief summary of the work in each of these topic areas is given. In Section III is a complete listing of all of the publications based on work supported in whole or in part under this contract. One publication has been selected as an example of each of the major topic areas, although it is not necessarily representative of the broad scope of the work under this topic. This set of papers is contained in the Appendix. Each of the publications selected has had, or is expected to have, a significant impact in the research community. Section IV is a complete list of technical reports based on work supported in whole or in part under this contract. Section V is a summary of honors and awards received during the life of this contract.

II. Topic Summaries

Symbolic Signal Processing

The research in this area focussed on the development of symbolic representations of signals and the use of symbolic manipulation to provide an environment for signal processing system design. It also developed innovative tools and techniques for combining both numerical and symbolic technologies in signal processing systems. Work reported under this aspect of the project is generally considered to be the first demonstration of a symbolic signal processing environment for algorithm design. Under this project, two software packages were developed. The first, SPLICE (Signal Processing Language and Interactive Computing Environment), was provided to a large number of DARPA-supported research groups. The second, E-SPLICE, was the first demonstration of the use of symbolic manipulation of signal processing expressions to develop new algorithms.

Signal Reconstruction

In this aspect of the research, a number of new and potentially very important results were developed on the reconstruction of signals from partial information plus constraints. For example, it was shown that, both theoretically and practically, a finite length signal could be exactly recovered from only its Fourier transform phase or magnitude. Furthermore, it was shown and demonstrated that two-dimensional signals such as images could be reconstructed from a single set of threshold crossings if the signals are bandlimited. A variety of iterative algorithms were also developed for signal reconstruction and parameter identification based on noisy and incomplete data.

Spectral Analysis

Much of our work in this topic area was concerned with the development of methods for multidimensional spectral estimation. In particular, a new method of maximum likelihood spectral estimation was developed but with significantly better resolution than prior methods. We also developed a computationally attractive algorithm to solve the two-dimensional maximum entropy spectral estimation problem. In other work, a number of fast and efficient algorithms for maximum entropy spectral analysis were developed.

Acoustic And Sonar Signal Processing

Effort under this aspect of the project consisted of joint work with the Woods Hole Oceanographic Institution on the development of new signal processing techniques for measurement of ocean characteristics and the ocean bottom reflection coefficients. A new and efficient algorithm for the determination of the Hankel transform was developed and applied to ocean acoustics measurements with considerable success. Also, under this phase of the work, a

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new scheme for multiple-beam sonar imaging was developed. A particularly efficient implementation of one aspect of the associated signal processing was found using the symbolic signal processing design environment developed under this contract.

#### Speech Processing

A principal accomplishment under this aspect of the research was the development of a new model for speech incorporating a mixed voiced-unvoiced multiband spectral representation. This model was used to develop an extremely high-quality speech compression system which subsequently underwent extensive testing by the Department of Defense.

#### Image and Video Processing

Much of the work in this area involved motion estimation and compensation for video and scene analysis for images. In particular, several new algorithms were developed for motion estimation and applied to video compression for low data rate video conferencing.

#### Signal Modelling and Short-Time Fourier Analysis

Signal modelling through parametric representations and through time dependent or short time Fourier analysis is important in a variety of signal processing contexts. In our work in this area under this contract, we developed a theoretical basis for signal representation and reconstruction through short-time Fourier analysis with and without the incorporation of phase.

III. Publications

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V. Honors and Awards

**Jae S. Lim**

- 1984 1984 Graduate Student Council Teaching Award
- 1984 1984 Harold E. Edgerton Faculty Achievement Award
- 1985 1985 IEEE ASSP Society Senior Award
- 1985 1985 ASSP Senior Paper Award, "Signal Estimation from Modified Short-Time Fourier Transform", (with **Daniel W. Griffin**).
- 1985 IEEE Fellow
- 1989 Became full Professor in the Department of Electrical Engineering and Computer Science, M.I.T.

**Bruce R. Musicus**

- 1982-1983 Became Assistant Professor in the Department of Electrical Engineering and Computer Science, M.I.T.
- 1983-1985 Class of 1956 Career Development Assistant Professor
- 1986-Present Rockwell International Career Development Assistant Professor

**Cory S. Myers**

- 1984 Centennial Young Engineers Award, IEEE Society of Acoustics, Speech and Signal Processing

**Alan V. Oppenheim**

- 1981 Graduate Student Council Teaching Award, Department of Electrical Engineering and Computer Science, M.I.T.
- 1984 IEEE Centennial Medal, Acoustics, Speech and Signal Processing Society, "in recognition of your work for and contributions to our Society and its areas of interest".
- 1987 Elected to membership in the National Academy of Engineering.
- 1988 IEEE 1988 Education Medal, "For leadership in engineering education through teaching, textbooks, and video tape series in digital signal processing".

## APPENDIX

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