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SIGNAL PROCESSING AND ANALYSIS: STATISTICAL TECHNIQUES  
EFFICIENT ALGORITHM. (U) MOORE SCHOOL OF ELECTRICAL  
ENGINEERING PHILADELPHIA PA DEPT O. S A KASSAM

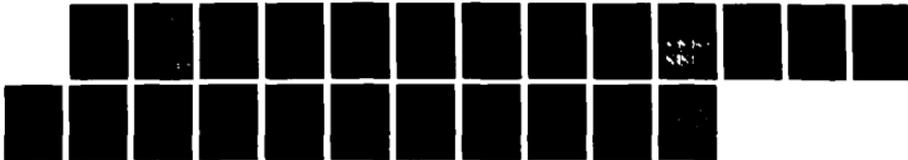
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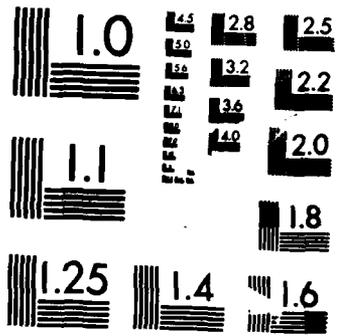
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CLASSIFICATION OF THIS PAGE

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REPORT DOCUMENTATION PAGE

1a. SECURITY CLASSIFICATION		1b. RESTRICTIVE MARKINGS	
1c. SECURITY CLASSIFICATION AUTHORITY		3. DISTRIBUTION/AVAILABILITY OF REPORT Approved for public distribution unlimited.	
1d. DECLASSIFICATION/DOWNGRADING SCHEDULE		5. MONITORING ORGANIZATION REPORT NUMBER(S) AFOSR-TR. 87-0948	
1e. MONITORING ORGANIZATION REPORT NUMBER(S)		7a. NAME OF MONITORING ORGANIZATION AFOSR/NM	
1f. NAME OF PERFORMING ORGANIZATION University of Pennsylvania		7b. ADDRESS (City, State and ZIP Code) AFOSR/NM Bldg 410 Bolling AFB DC 20332-8449	
1g. ADDRESS (City, State and ZIP Code) Dept. of Electrical Eng. Philadelphia, PA 19104-6390		9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER AFOSR -84-0255	
1h. NAME OF FUNDING/SPONSORING ORGANIZATION AFOSR		10. SOURCE OF FUNDING NOS.	
1i. OFFICE SYMBOL (If applicable) NM		PROGRAM ELEMENT NO. 61102F	PROJECT NO. 2304
1j. ADDRESS (City, State and ZIP Code) AFOSR/NM Bldg 410 Bolling AFB DC 20332-8448		TASK NO. A5	WORK UNIT NO.
11. TITLE (Include Security Classification) Equipment for Signal Processing & Analysis			
12. PERSONAL AUTHOR(S) Professor Saleem A. Kassam			
13a. TYPE OF REPORT Final		13b. TIME COVERED FROM 7/15/84 TO 7/14/85	
		14. DATE OF REPORT (Yr., Mo., Day) 8/27/86	
15. PAGE COUNT			
16. SUPPLEMENTARY NOTATION			
17. COSATI CODES		18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number)	
FIELD	GROUP	SUB. GR.	
19. ABSTRACT (Continue on reverse if necessary and identify by block number)  A VAX 11/750 minicomputer and an image display and graphics controller and additional pieces of hardware were acquired. This equipment has enabled the signal Processing Research Laboratory to be established at the University of Pennsylvania. The laboratory supports the needs of four faculty members and ten graduate students working on AFOSR and ONR sponsored research.			
20. DISTRIBUTION/AVAILABILITY OF ABSTRACT UNCLASSIFIED/UNLIMITED <input type="checkbox"/> SAME AS RPT. <input type="checkbox"/> DTIC USERS <input type="checkbox"/>		21. ABSTRACT SECURITY CLASSIFICATION D	
22a. NAME OF RESPONSIBLE INDIVIDUAL Maj. Woodruff		22b. TELEPHONE NUMBER (Include Area Code) (202) 767-5027	
		22c. OFFICE SYMBOL NM	

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**AFOSR-TR. 87-0943**

**FINAL TECHNICAL REPORT**

**AFOSR EQUIPMENT GRANT 84-0255**

**SIGNAL PROCESSING AND ANALYSIS: STATISTICAL TECHNIQUES, EFFICIENT  
ALGORITHMS, NONLINEAR PROCESSING, EM ANALYSIS**

Grant Amount: \$141,339

Grant Year: July 15, 1984 - July 14, 1985

Report Date: August 27, 1986

Saleem A. Kassam  
Principal Investigator

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This equipment grant was awarded for the purpose of upgrading our research capability in the areas of signal processing and analysis, on which we have been active for over ten years primarily under AFOSR sponsorship. At the beginning of the equipment grant period we had no laboratory associated with our research; we now possess a very useful laboratory facility, the SIGNAL PROCESSING RESEARCH LABORATORY, which has been established in two rooms made available to us in the electrical engineering department. An industrial cash grant was obtained to meet the power supply and air conditioning costs for the laboratory. The total area of the facility is approximately 800 square feet and houses the hardware which has been acquired under the equipment grant.

The two main hardware systems that have been possible through this grant are:

- 1) A VAX 11/750 minicomputer system with 4Mb of main memory, a floating point accelerator, communication ports, two 456 Mb disk drives, a tape drive, four terminals including two graphics terminals, two printers and two plotters;
- 2) An image display and graphics controller (Raster Tech) with two color monitors, which is interfaced to the VAX and to a DEC Rainbow microcomputer, and a video camera with a frame grabber resident on an IBM PC XT microcomputer for image capture.

Additional pieces of hardware acquired under this grant include a digital signal processing development system installed in an IBM PC XT microcomputer, an IBM PC AT microcomputer, communication modems and printers.

A primary need that the new laboratory has been serving very well is that of a reasonably powerful computational and simulation facility which can be coupled directly to signal processing hardware. Another major function of this laboratory is to provide image processing facilities which have turned out to greatly enhance our research activity in the applications of new signal processing ideas in this important area. The signal processing research laboratory is currently supporting the needs of four faculty members (Profs. Kassam, Ansari, Berkowitz and Kritikos) and ten graduate students, working primarily on AFOSR sponsored research and on other related research programs supported by ONR. In the area of statistical and digital signal processing this laboratory is supporting research in nonlinear and adaptive filtering techniques, nonparametric and robust detection and filtering, multidimensional digital filters, spectrum estimation, and computationally efficient algorithms in signal processing. In the area of electromagnetic analysis the equipment is supporting work in three-dimensional EM imaging, design of smart signal processing antenna arrays, and other related concepts.

The computer facility is operated entirely by the users themselves. Maintenance and software costs are being met by laboratory fees charged to grants and contracts, as well as from industrial sources of gifts and grants. In fact, one very positive result of the establishment of this laboratory is that industrial equipment and cash gifts have been easier to get.

This laboratory has proved to be a tremendous resource to us. We are hoping that we will be able to continue to keep it efficient and current through the addition of new hardware and software, for which we are always seeking new funds and gifts from various sources.

The attached set of copies of viewgraphs are from a recent talk that we have prepared describing our primary research areas at the laboratory.

**SIGNAL AND IMAGE PROCESSING**

at the

**SIGNAL PROCESSING RESEARCH LABORATORY**

## STATISTICAL SIGNAL PROCESSING

Detection, Estimation, and Filtering  
Robust and Nonparametric Techniques  
Quantization and Coding

## DIGITAL SIGNAL PROCESSING

Digital Filter Structures  
Two-Dimensional Filters  
Multi-Rate Processing  
Signal Reconstruction  
Spectrum Estimation

## IMPLEMENTATION CONSIDERATIONS

Applications-Specific VLSI Processors  
Analog/Digital VLSI

## APPLICATION AREAS

Image Processing and Coding  
Spatial Array Processing  
Radar  
Speech Processing

**SIGNAL PROCESSING RESEARCH LABORATORY**

**SOME SPECIFIC RESEARCH AREAS**

- SIGNAL AND IMAGE RESTORATION
- SPATIAL ARRAY SIGNAL PROCESSING
- DIGITAL FILTER STRUCTURES
- VLSI IMPLEMENTATIONS

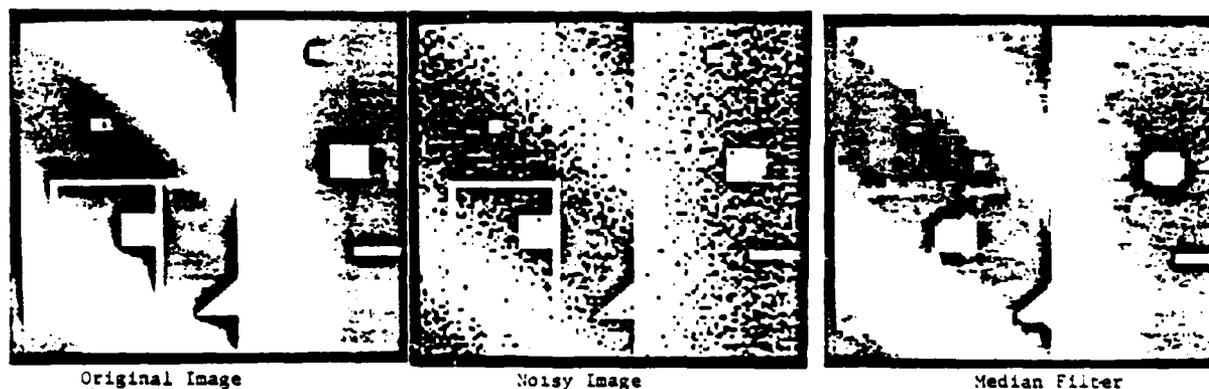
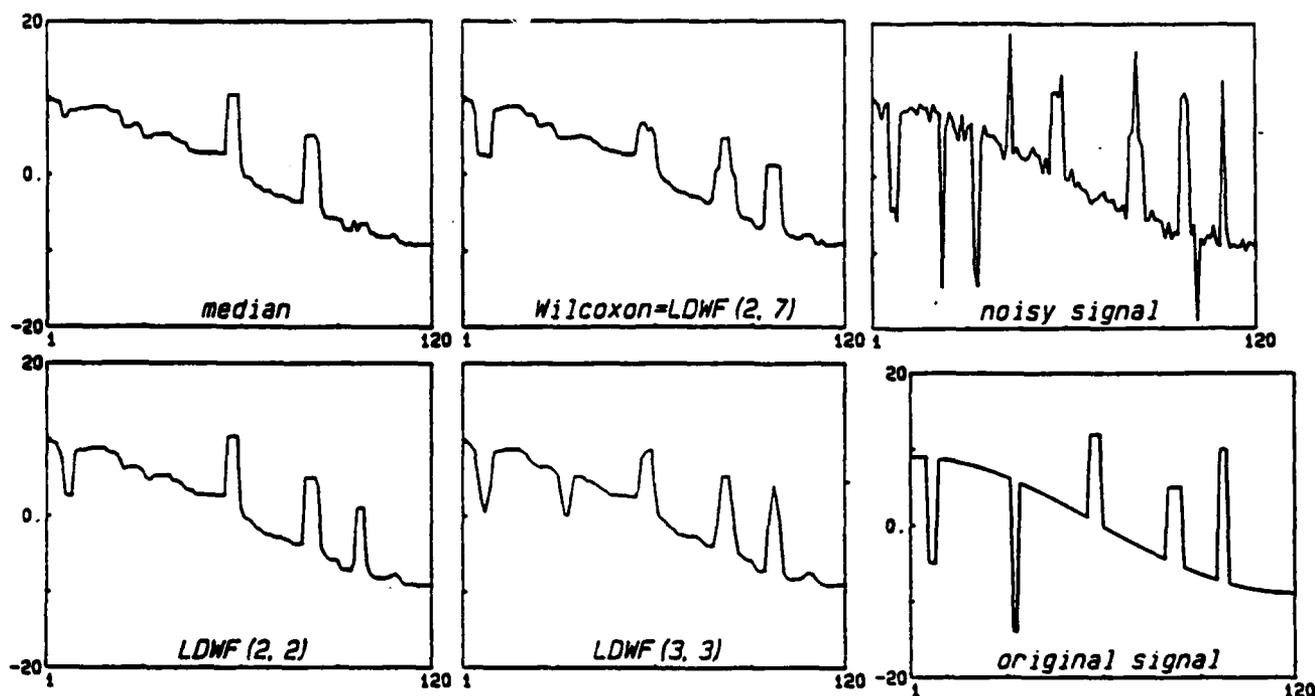
- **SIGNAL AND IMAGE RESTORATION**

1. **APPLICATION OF ROBUST ESTIMATION TO SMOOTHING, FILTERING, AND ENHANCEMENT OF SIGNALS AND IMAGES**

- Non-Gaussian (Impulsive) Noise Suppression
- Preservation of Edges in Signals
- Frequency Selectivity

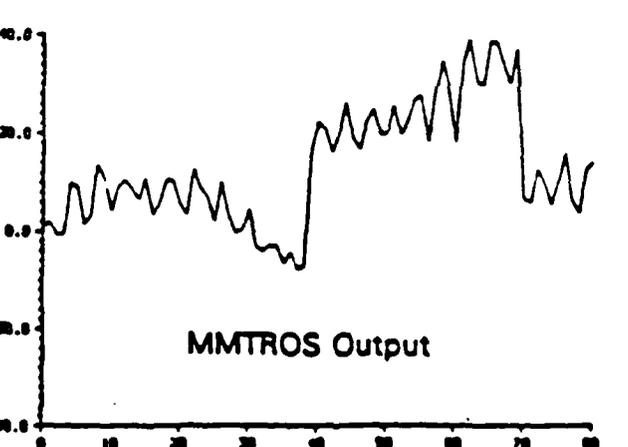
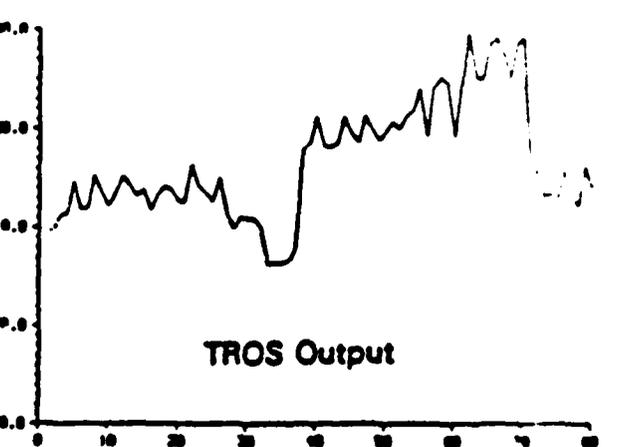
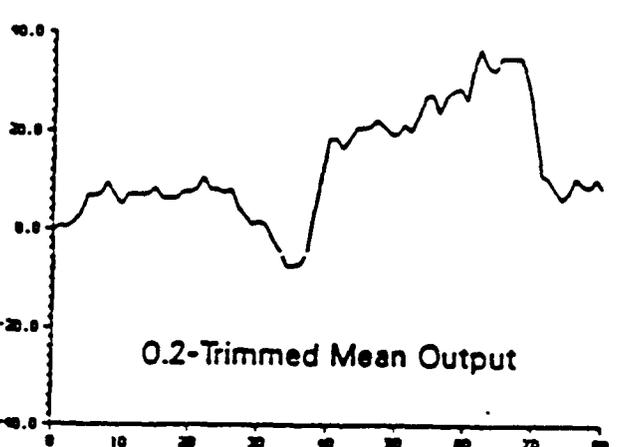
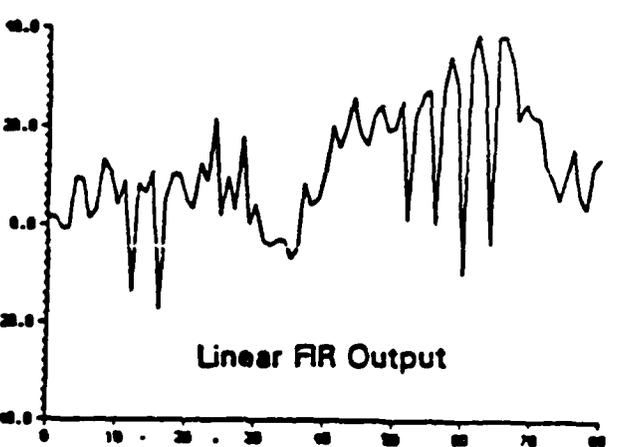
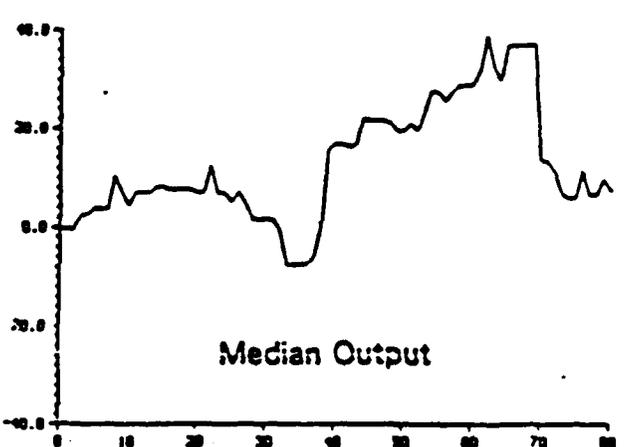
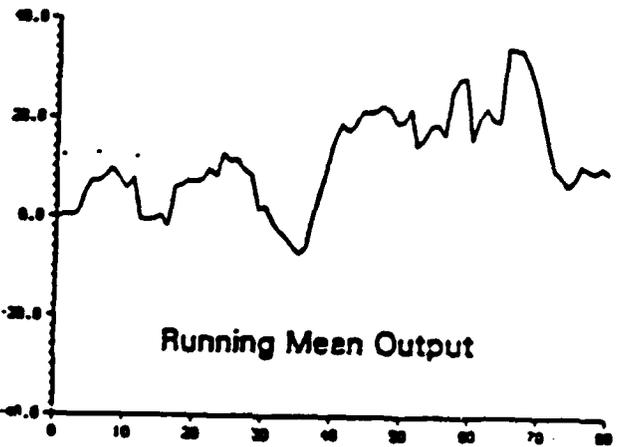
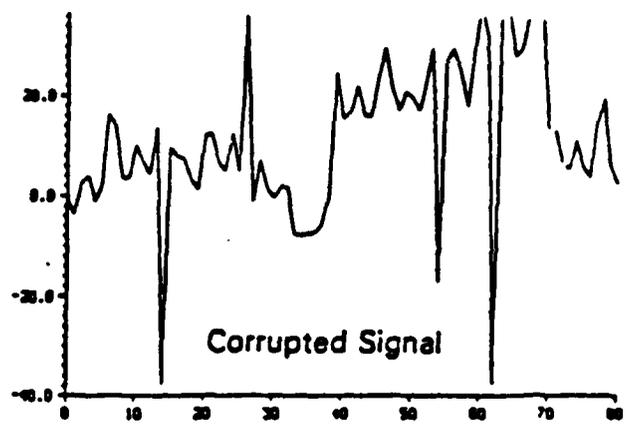
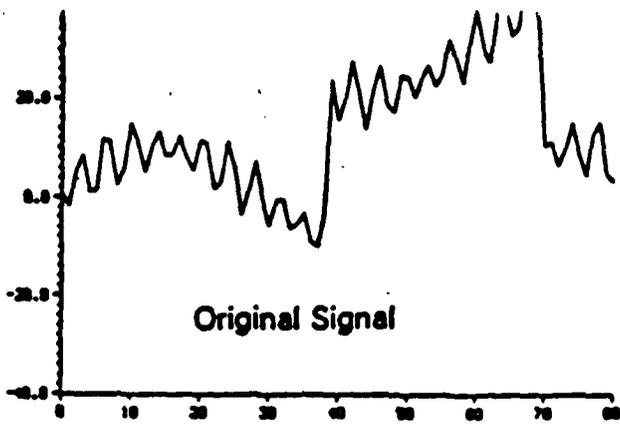
**NEW NONLINEAR DATA-ADAPTIVE FINITE-WINDOW FILTERS (VIEWED AS GENERALIZATIONS OF MEDIAN FILTERS) PERFORM VERY WELL**

- Extension to Image Sequence Processing
- Applications in Edge Detection, Motion Estimation, Recognition, Tracking
- Application in CFAR Radar
- Fast Hardware Implementations



COMPARISON OF  
 MEDIAN AND  
 RANK-BASED  
 FILTERS (LDWF)  
 FOR SIGNAL  
 RESTORATION

Sample Filtering Results Using Windows of Size 5



- **SIGNAL AND IMAGE RESTORATION**

## **2. RESTORATION OF SIGNALS AND IMAGES FROM PARTIAL INFORMATION**

- Restoration from Fourier Transform Magnitude Only

**NEW HILBERT SPACE FRAMEWORK ALLOWS  
REPRESENTATION OF CONSTRAINTS AS CONVEX SETS.  
GUARANTEED CONVERGENCE TO GOOD SOLUTIONS**

- Extension to Image Sequence Processing
- Consideration of Other Constraint Combinations
- Study of Tolerance to Noise

- **SPATIAL ARRAY SIGNAL PROCESSING**

1. **LOW-SIDELobe BEAM CHARACTERISTICS FROM NARROWBAND HIGH-RESOLUTION BOUNDARY ARRAYS**

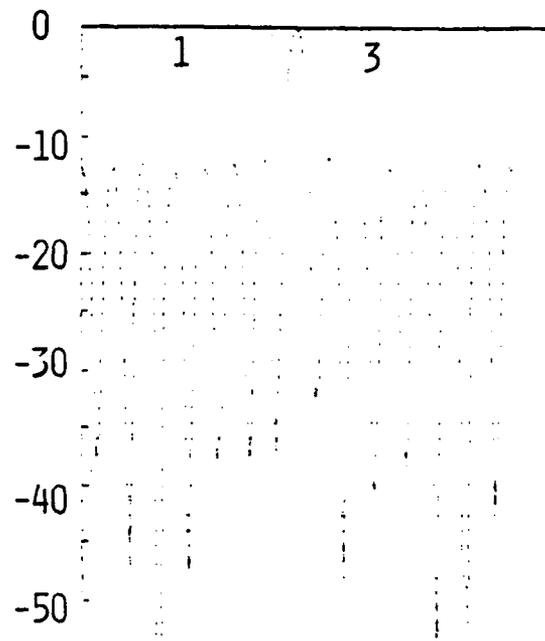
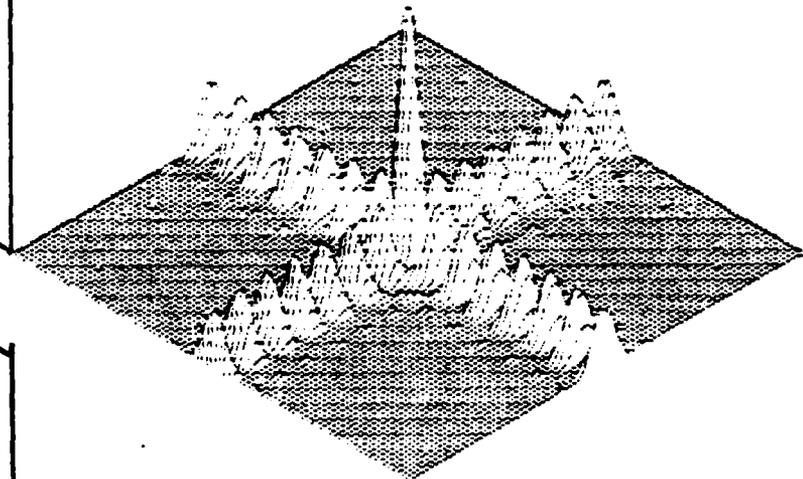
- Array Elements Spaced Along Boundary of Convex Planar Aperture

NEW SYNTHESIS THEOREM ALLOWS EFFECTIVE INTERPOLATION INSIDE BOUNDARY. ACHIEVED BY PROCESSING TRANSMIT/RECEIVE DATA TO SYNTHESIZE INDIVIDUAL BEAMS, LINEAR COMBINATION OF THESE CONVERGES TO IDEAL SOLUTION

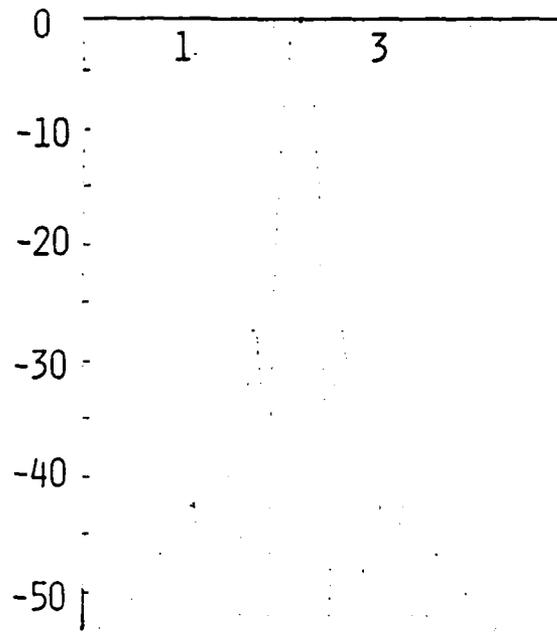
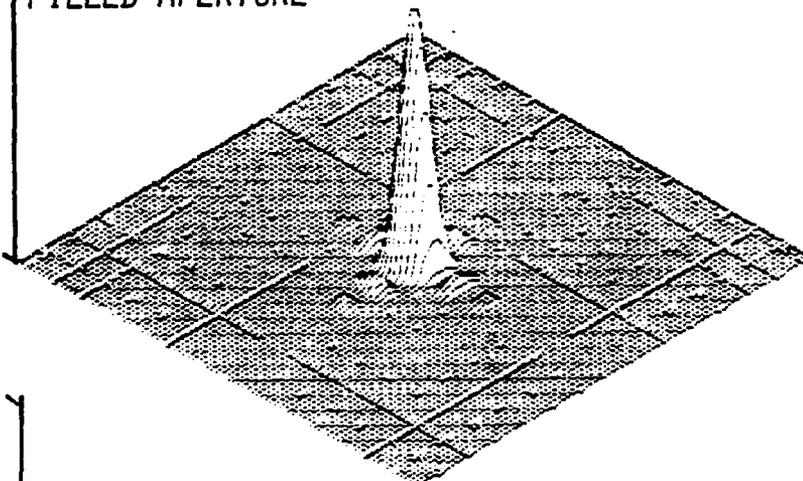
- Study Effects of Noise and Element Position Uncertainties
- Combination of Super-Resolution and Low-Sidelobe Processing
- Implementation Considerations

TWO-WAY PATTERNS,  $20\lambda$  SQUARE APERTURE

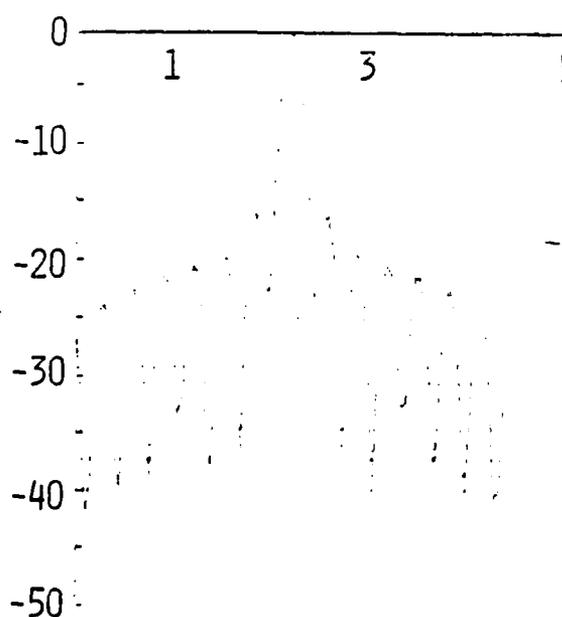
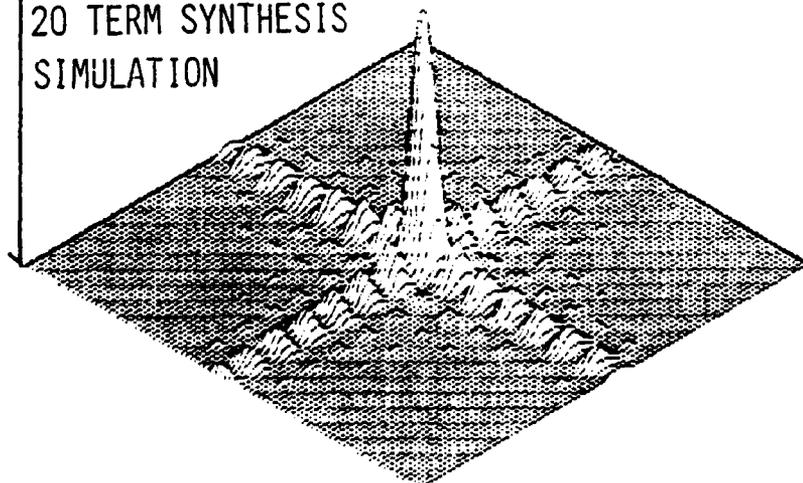
CONTINUOUS  
BOUNDARY ONLY



CONTINUOUSLY  
FILLED APERTURE



BOUNDARY  
 $0.5\lambda$  SPACING,  
20 TERM SYNTHESIS  
SIMULATION



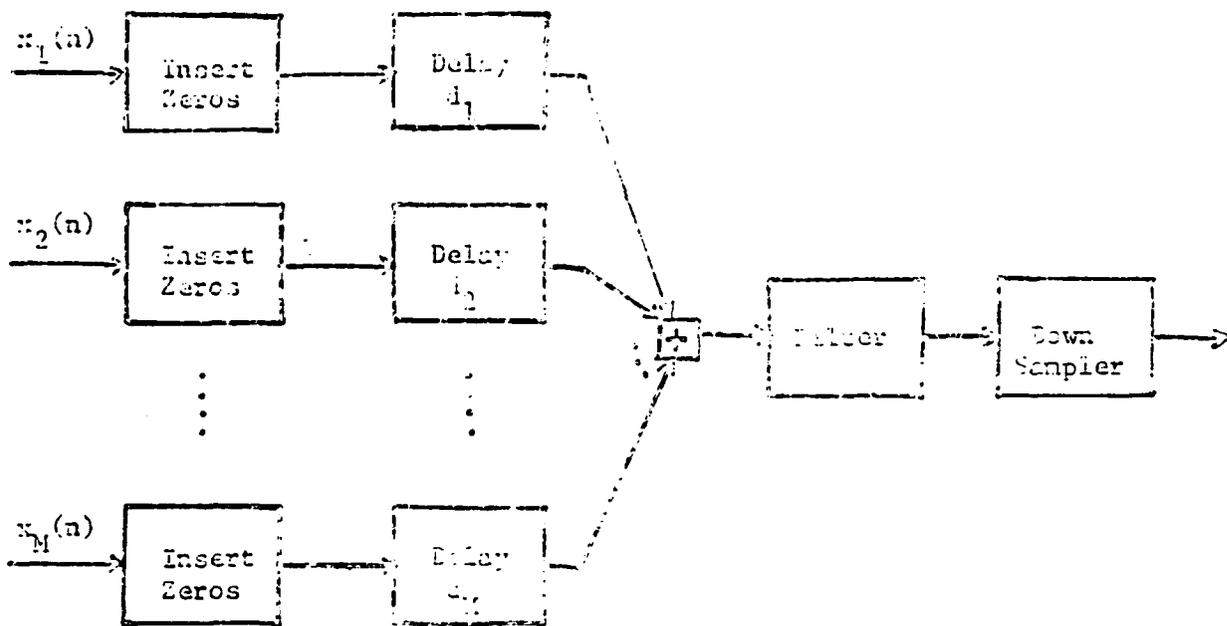
- **SPATIAL ARRAY SIGNAL PROCESSING**

## **2. DIGITAL INTERPOLATION BEAMFORMING**

- **High-Speed Beamforming for Linear Arrays**

**USE OF MULTI-RATE PROCESSING AND NEW RECURSIVE  
DIGITAL FILTER STRUCTURES FOR COMPUTATIONALLY  
EFFICIENT BEAMFORMING AND SCANNING**

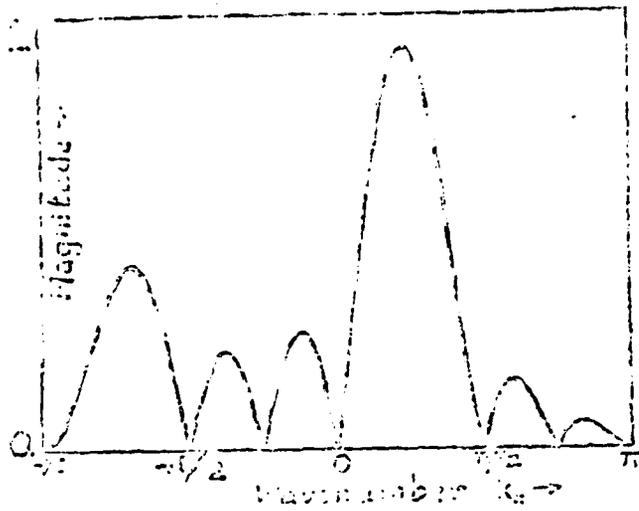
- **Extensions to Non-Uniformly Spaced and Two  
Dimensional Arrays**



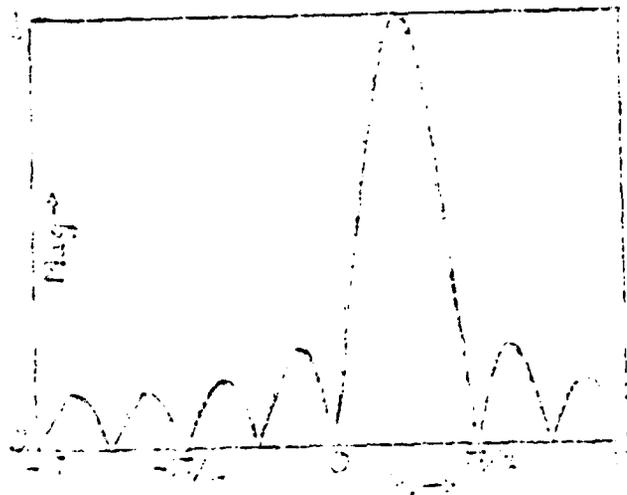
SCHEME FOR DIGITAL INTERPOLATION BEAMFORMING



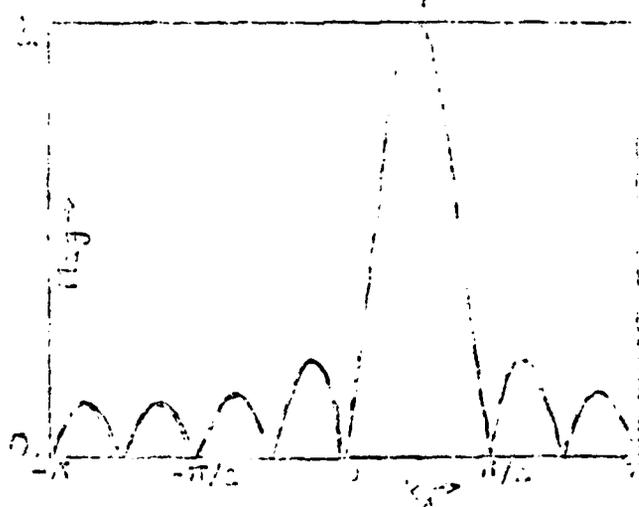
INTERPOLATOR USING PARALLEL SECTIONS IN PARALLEL



OUTPUT AT LOW  
SAMPLING RATE



OUTPUT AT HIGH  
SAMPLING RATE



OUTPUT AFTER  
INTERPOLATION

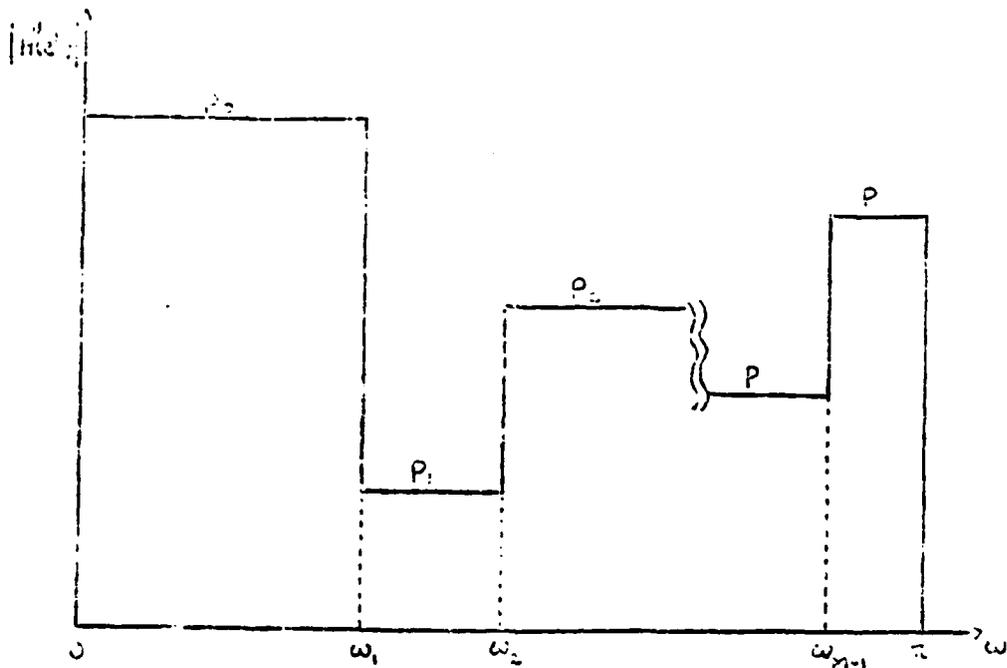
- **DIGITAL FILTER STRUCTURES**

**INFINITE IMPULSE RESPONSE STRUCTURES  
FOR FILTERING AND SAMPLING-RATE  
CONVERSION**

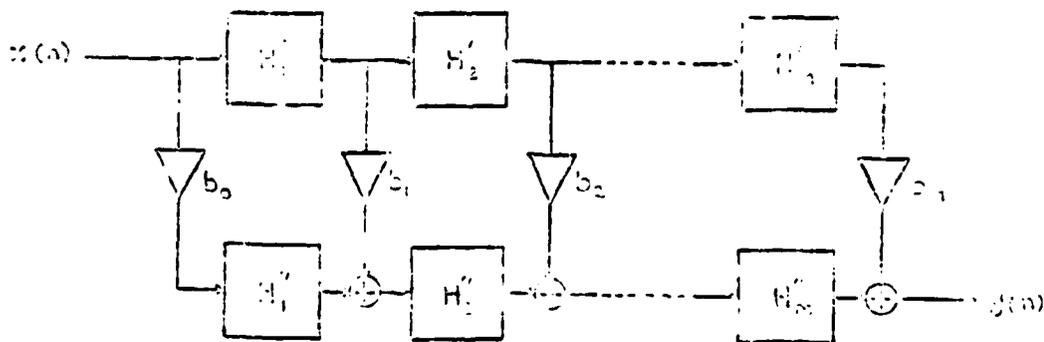
- Multi-band, Multi-level Infinite Impulse Response(IIR) Filters
- Linear-Phase IIR Filters
- Interpolators and Decimators

**CONFIGURATIONS OF ALLPASS BUILDING BLOCKS RESULT  
IN SCHEMES WITH ATTRIBUTES OF LOW COMPLEXITY,  
LOW QUANTIZATION EFFECTS, AND MODULARITY**

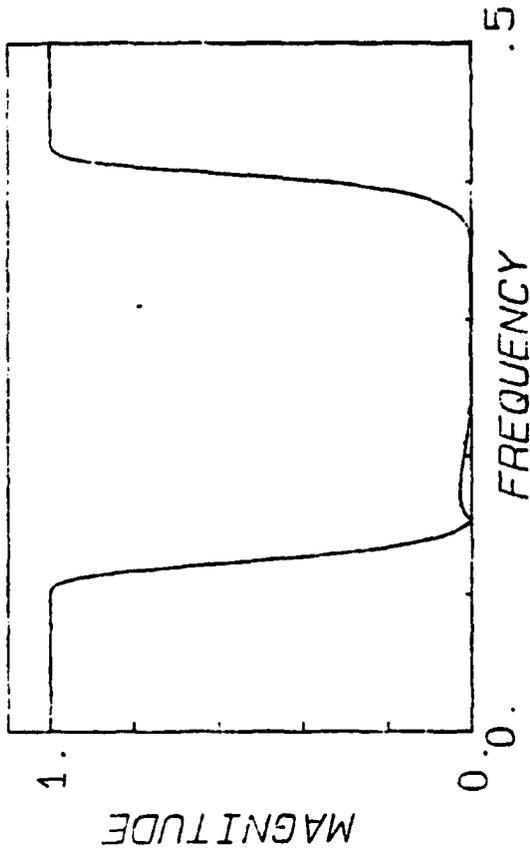
- Incorporation of coefficient constraints in allpass building blocks
- Allpass and generalized separable two-dimensional filters
- Applications in image processing and coding and adaptive filtering



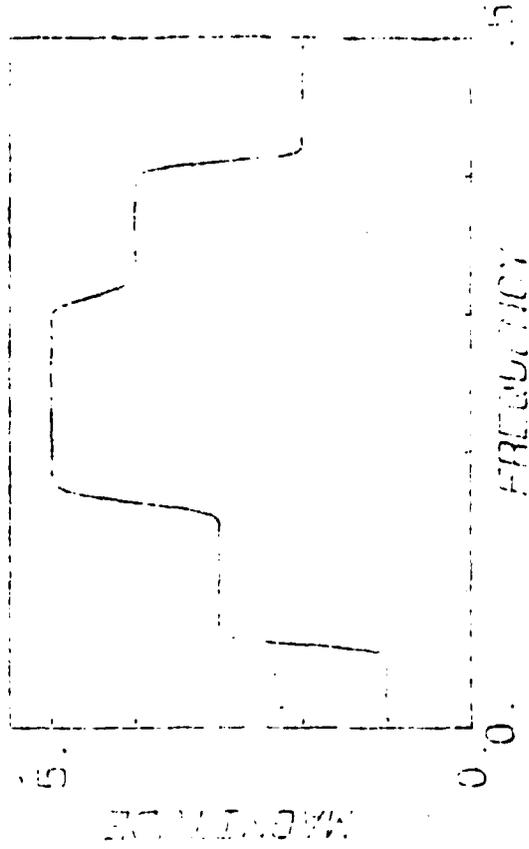
Ideal response of M-level filter (levels  $p_0, p_1, \dots, p_M$ )



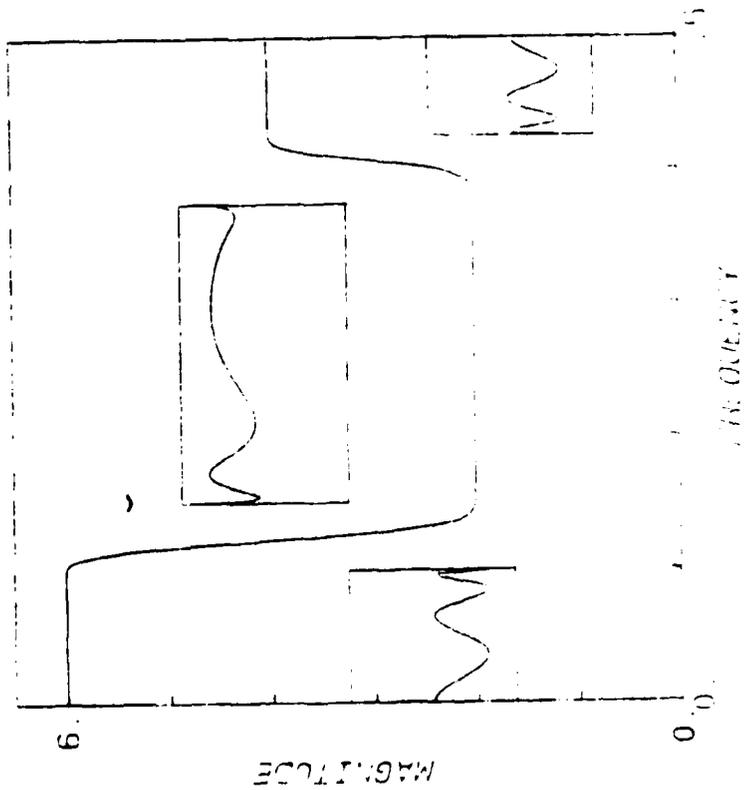
M-level filter configuration using allpass sections



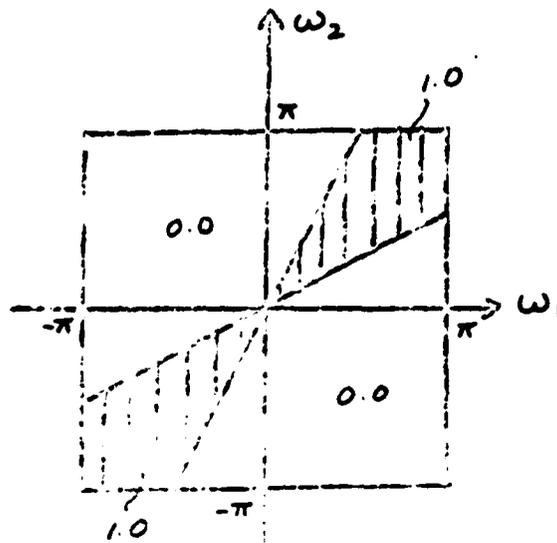
Magnitude response of 3-level filter with differential path gains



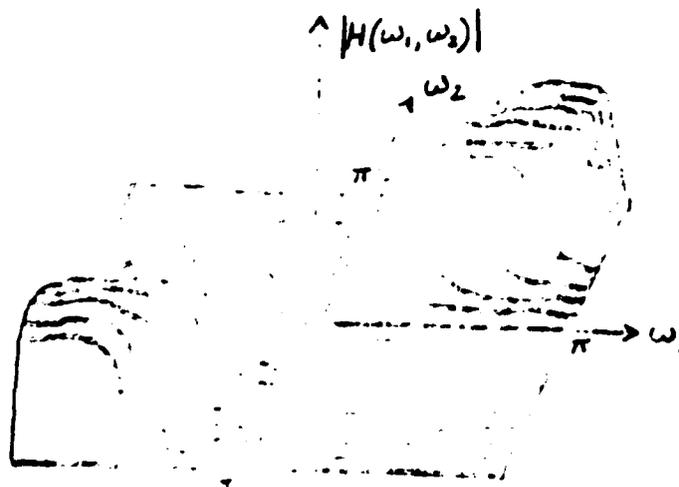
Magnitude response of a 5-level filter.



Magnitude response of 3-level filter



IDEAL MAGNITUDE RESPONSE OF NARROWBAND FPM FILTER



APPROXIMATION OF A GENERALIZED SEPARABLE FILTER

- **VLSI IMPLEMENTATIONS**

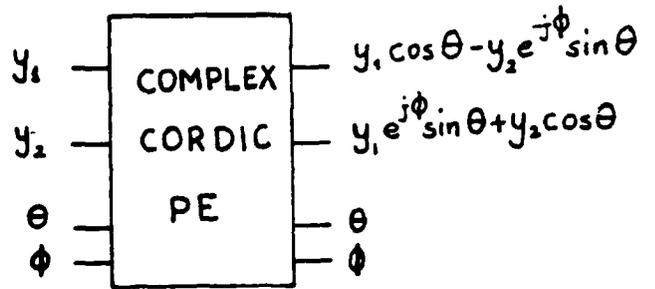
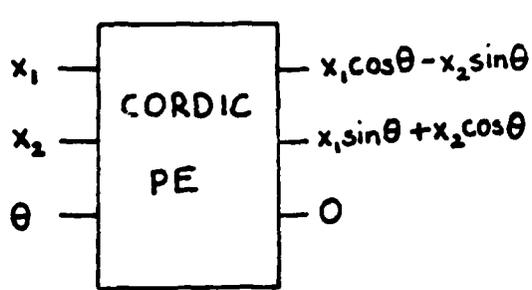
**EFFICIENT IMPLEMENTATION OF HIGH-RESOLUTION SPECTRUM ESTIMATION ALGORITHM**

- **Multiple Signal Classification (MUSIC) Algorithm Implementation**

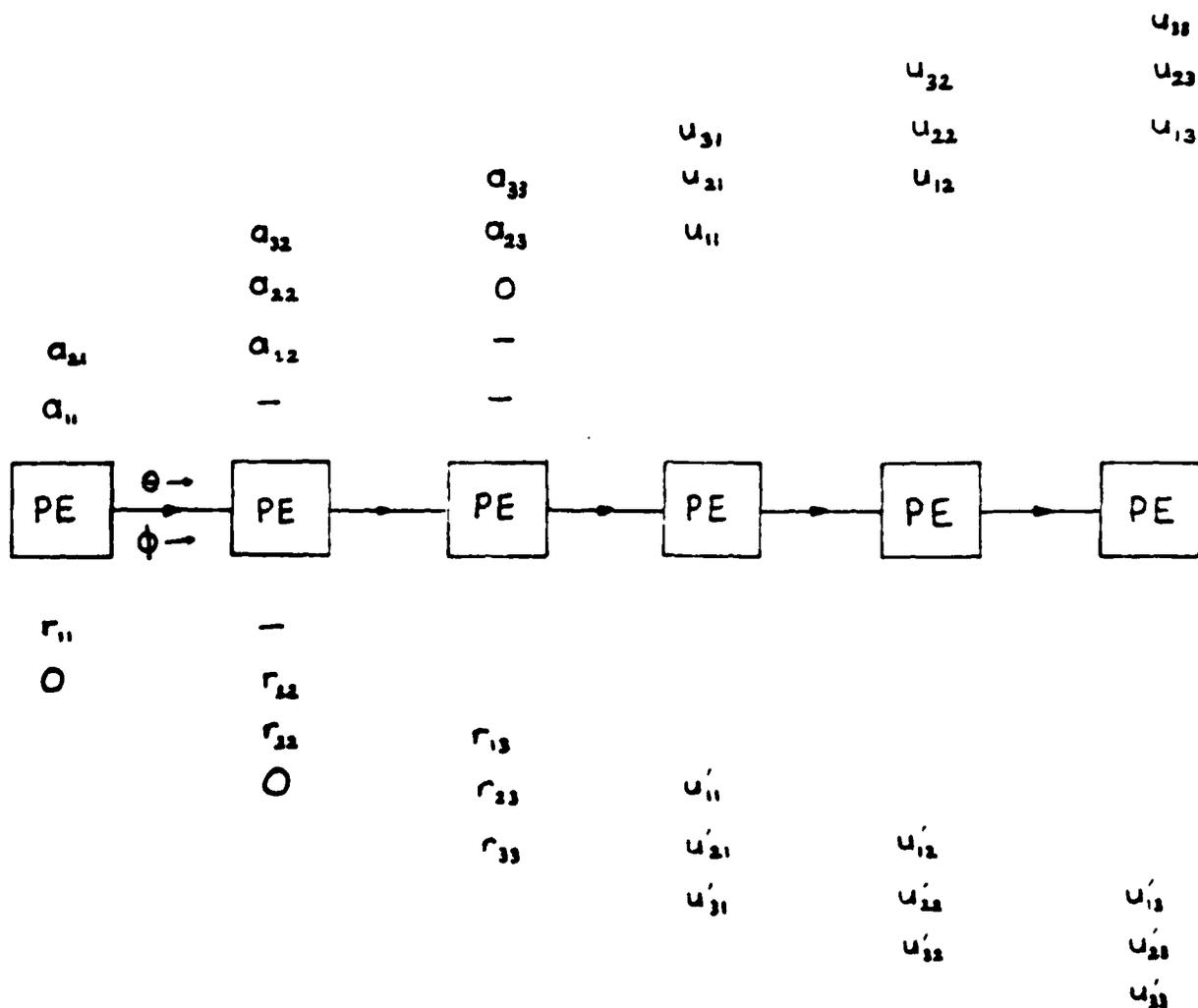
**SYSTOLIC ARRAY SCHEME BASED ON THE USE OF CORDIC BUILDING BLOCKS**

- **Use of CORDIC blocks for filter implementations (e.g. allpass blocks)**
- **Interpolation beamforming using CORDIC blocks**
- **New general-purpose signal processor for filter implementations with structural constraints**

# PROCESSING ELEMENTS (PE's):



## SYSTOLIC ARRAY FOR EIGENVALUE - VECTOR COMPUTATION



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