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A THEOREM ON MATCHINGS IN THE PLANE 2 SOME PLANAR
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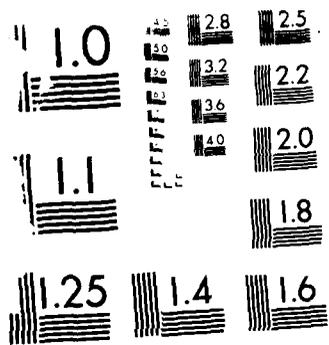
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A THEOREM ON MATCHINGS IN THE PLANE

Dedicated to the memory of Gabriel Dirac

by

Michael D. Plummer*
 Vanderbilt University
 Nashville, Tennessee, USA



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1. Introduction and terminology

Let G be a graph with $|V(G)| = p$ points and $|E(G)| = q$ lines. A **matching** in G is any set of lines in $E(G)$ no two of which are adjacent. Matching M in G is said to be a **perfect matching**, or **p.m.**, if every point of G is covered by a line of M . Let G be any graph with a perfect matching and suppose positive integer $n \leq (p-2)/2$. Then G is **n -extendable** if every matching in G containing n lines is a subset of a p.m.

The concept of n -extendability gradually evolved from the study of elementary bipartite graphs (which are 1-extendable) (see Heteyi (1964), Lovász and Plummer (1977)), and then of arbitrary 1-extendable (or "matching-covered") graphs by Lovász (1983). The study of n -extendability for arbitrary n was begun by the author (1980).

In this paper we are concerned with matchings in *planar* graphs. When we speak of an *imbedding* of planar graph G in the plane, we mean a topological imbedding in the usual sense (see White (1973)) and would remind the reader that such an imbedding is necessarily 2-cell. (See Youngs (1963).) If we wish to refer to a planar graph G together with an imbedding of G in the plane, we shall speak of the *plane* graph G .

The main result of this paper is to show that no planar graph is 3-extendable.

Throughout this paper, we will assume that all graphs are connected, that $\text{mindeg}(G) \geq 3$ and that $\text{mindeg}^*(G) \geq 3$, where $\text{mindeg}^*(G)$ denotes the size of a smallest face in an imbedding of G . For any additional terminology, we refer the reader to Harary (1969), to Bondy

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2. Some planar considerations

One of our main tools will be the so-called *theory of Euler contributions* initiated by Lebesgue (1940) and further developed by Ore (1967) and by Ore and Plummer (1969). Let v be any point in a plane graph G . Define the Euler contribution of v , $\Phi(v)$, by

$$\Phi(v) = 1 - \frac{\deg v}{2} + \sum_{i=1}^{\deg v} \frac{1}{x_i},$$

where the sum runs over the face angles at point v and x_i denotes the size of the i th face at v .

We shall require several simple lemmas. We include the proofs for the sake of completeness. The first is essentially due to Lebesgue (1940).

2.1. LEMMA. *If G is a connected plane graph, then $\sum_v \Phi(v) = 2$.*

PROOF. Let $p = |V(G)|$, $q = |E(G)|$ and r be the number of faces in any planar imbedding of G . Then

$$\sum_v \Phi(v) = \sum_v \left(1 - \frac{\deg v}{2} + \sum_{i=1}^{\deg v} \frac{1}{x_i} \right) = p - q + r = 2,$$

by Euler's classical formula. ■

2.2. LEMMA. *Let G be a connected plane graph with $\text{mindeg}^*(G) \geq 3$. Then for all $v \in V(G)$, $\Phi(v) \leq 1 - \deg v/6$.*

PROOF. Since $x_i \geq 3$ for all i , we have $\Phi(v) \leq 1 - \deg v/2 + \deg v/3$ and the result follows. ■

It follows from Lemma 2.1 that there must exist a point v in any plane graph G with $\Phi(v) > 0$. Let us agree to call any such point $v \in V(G)$ a **control point** (since such a point will be seen to "control", or limit, the degree of matching extendability in G).

It is well-known, of course, that any planar graph has points v with $\deg v \leq 5$. We would like to emphasize, however, that Lemma 2.2 tells us that we must have **control points** with degree 3, 4 or 5. Moreover, for any control point v , we have the inequality

$$\sum_{i=1}^{\deg v} \frac{1}{x_i} > \frac{1}{2} \deg v - 1.$$



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Since we are assuming that each $x_i \geq 3$, inequality (1) yields the following three diophantine inequalities:

$$\deg v = 3 : \quad \sum_{i=1}^3 \frac{1}{x_i} > \frac{3}{2} - 1 = \frac{1}{2}$$

$$\deg v = 4 : \quad \sum_{i=1}^4 \frac{1}{x_i} > 2 - 1 = 1$$

$$\deg v = 5 : \quad \sum_{i=1}^5 \frac{1}{x_i} > \frac{5}{2} - 1 = \frac{3}{2}.$$

We shall see in the next section that we shall need solutions to these inequalities only in the $\deg v = 4$ and $\deg v = 5$ cases. The solutions for these two inequalities are listed below:

$\deg v = 4 :$	$(3, 3, 3, x)$	$x = 3, 4, \dots$
	$(3, 3, 4, x)$	$x = 4, \dots, 11$
	$(3, 3, 5, x)$	$x = 5, 6, 7$
	$(3, 4, 4, x)$	$x = 4, 5$
$\deg v = 5 :$	$(3, 3, 3, 3, x)$	$x = 3, 4, 5.$

(Note that for the sake of conciseness, we list each solution in monotone non-decreasing order, although other cyclic orderings of faces of these sizes about a point are certainly possible and must be considered. See Ore and Plummer (1969).)

3. The main result

We shall need two basic results about n -extendable graphs. The proofs may be found in Plummer (1980).

3.1. THEOREM. *If $n \geq 2$ and G is n -extendable, then G is also $(n-1)$ -extendable.* ■

3.2. THEOREM. *If $n \geq 1$ and G is n -extendable, then G is $(n+1)$ -connected.* ■

Of course, since no planar graph can be 6-connected, this immediately tells us that no planar graph is 5-extendable. However, we now show that this result can be sharpened.

3.3. THEOREM. *No planar graph is 3-extendable.*

PROOF. Suppose G is a 3-extendable plane graph. Then by Theorem 3.2, graph G is 4-connected and hence $\min \deg v \geq 4$. But then by the results of Section 2, graph G must contain a control point v of degree four or five. The possible facial configurations about point v are listed in

FIGURE 3.1.

FIGURE 3.2.

FIGURE 3.3.

Section 2 and we proceed to treat each. (Note that since our graphs are, in particular, 3-connected here that the subgraph induced by the set of all points adjacent to our control point v is always a cycle.)

(3, 3, 3, x). In this case we must have the configuration of Figure 3.1 and we see that $\{e, f\}$ cannot be extended to a perfect matching. Hence G is not 2-extendable. But then G is not 3-extendable by Theorem 3.1 and we have a contradiction.

(3, 3, 4, x). Here $x \geq 4$ and we must have either the configuration of Figure 3.2a or 3.2b. In the former, $\{e, f, g\}$ does not extend to a perfect matching. In the latter, $\{e, f\}$ does not extend and again G is not 3-extendable by Theorem 3.1. So in either case we get a contradiction.

(3, 3, 5, x). Here $x \geq 5$ and we have the configurations of Figure 3.3a and 3.3b. In the former, $\{e, f, g\}$ does not extend and in the latter $\{e, f\}$ does not extend. As before, we have a contradiction.

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FIGURE 3.4.

FIGURE 3.5.

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$(3, 3, 3, 3, x)$. Here $x \geq 3$ and we have the configuration of Figure 3.5. Let us label the neighbors of v in clockwise order as u_1, u_2, u_3, u_4 and u_5 .

Suppose there is a point $w \notin \{u_2, u_3, u_4, u_5, v\}$, but w is adjacent to u_1 . Then $\{u_1w, u_2u_3, u_4u_5\}$ is a matching of size three which cannot extend to a perfect matching, a contradiction. So the neighborhood of u_1 , $N(u_1) \subseteq \{u_2, u_3, u_4, u_5, v\}$. We know that $\{u_2, v, u_5\} \subseteq N(u_1)$, but since G is 4-connected, we have that $\deg u_1 \geq 4$, and so u_1 is adjacent to at least one of u_3 and u_4 . Suppose u_1 is adjacent to u_3 . Then $\deg u_2 = 3$, a contradiction.

By symmetry, a similar contradiction is reached if u_1 is adjacent to u_4 . ■

Concluding remarks

In the decomposition theory of graphs with perfect matchings (see Lovász and Plummer (1985)), two important classes of "building blocks" are (1) 1-extendable *bipartite* graphs and (2) *bicritical* graphs. A graph G is *bicritical* if $G - u - v$ has a perfect matching for all choices of distinct points u and v . There is a nice relationship among 2-extendable graphs, 1-extendable bipartite graphs and bicritical graphs. In particular, we have the following result. For the proof, see Plummer (1980).

FIGURE 4.1. A 3-extendable toroidal graph

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The present paper is concerned with the planar case. Although we now know that no planar graph is 3-extendable, there are many such graphs which are 2-extendable. The dodecahedron, the icosahedron and the cube are but three familiar examples. We shall present a more detailed study of 2-extendable planar graphs in a subsequent paper.

Let us conclude by noting that there do exist 3-extendable graphs which can be imbedded on the surface of the torus. The Cartesian products of two even cycles $C_{2m} \times C_{2n}$, ($m, n \geq 2$) are such graphs. See Figure 4.1 for an imbedding of $C_4 \times C_4$.

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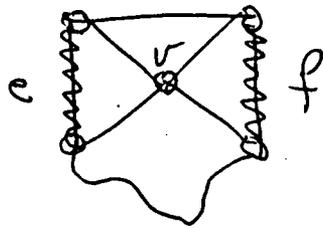
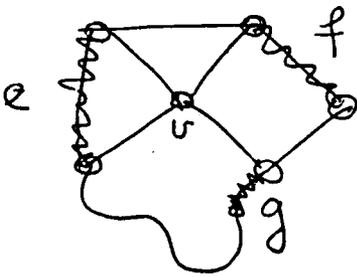
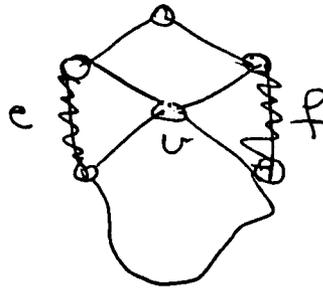


Figure 3.1.

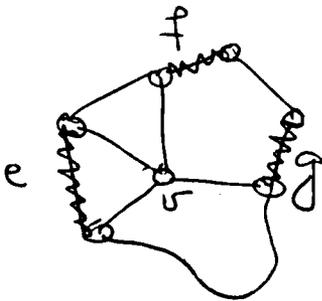


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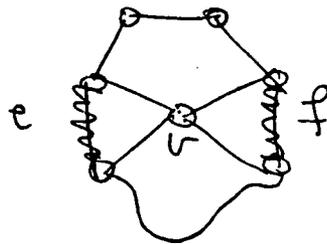


(b)

Figure 3.2.

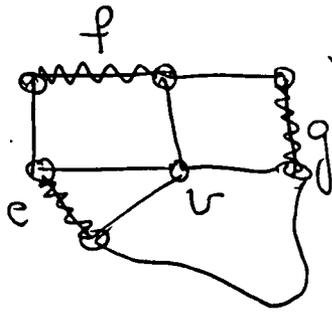


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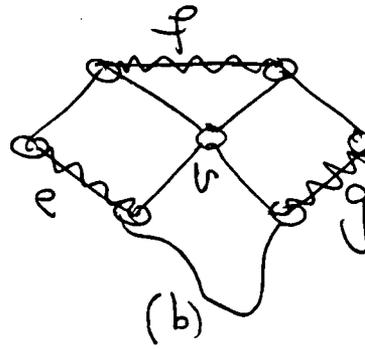


(b)

Figure 3.3.



(a)



(b)

Figure 3.4.

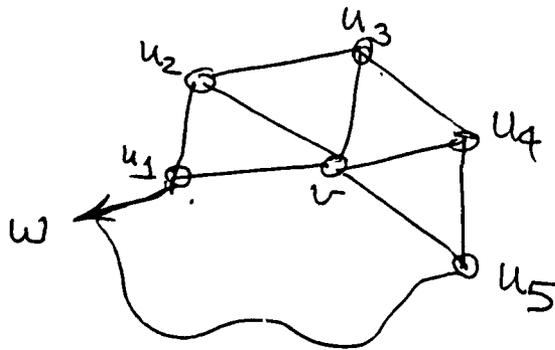


Figure 3.5

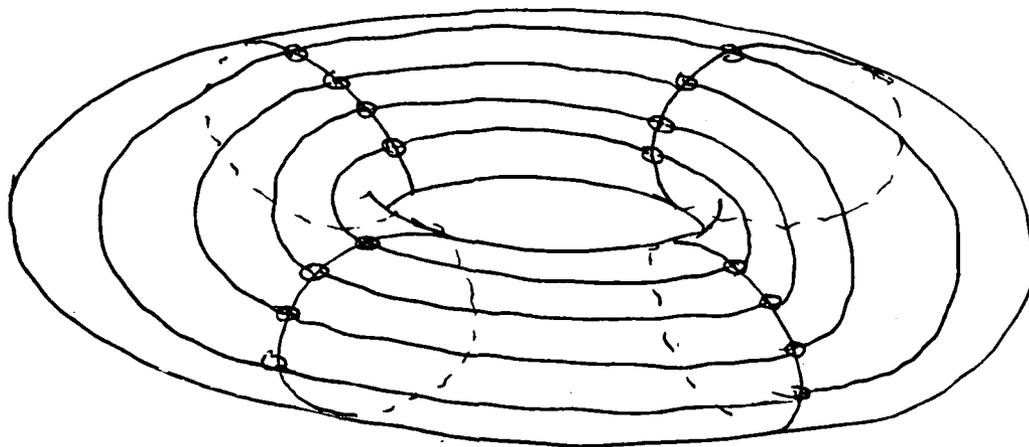


Figure 4.1.

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