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**On the First and a Related Adiabatic  
Invariant With a Force in the  
Direction of the Velocity**

CHARLES W. DUBS

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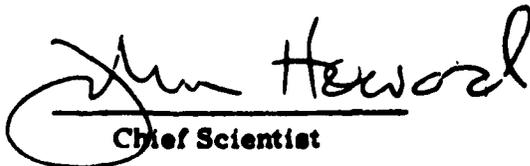


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20. Abstract (Continued)

dependence in the  $\pm \vec{v}$  direction.  $dC/dt$  is independent of this force, and is the same derived relativistically as not. For the simplest field with the following gradients, the rigorous proof shows  $C$  to be constant to second order of the gradient of  $B_{\parallel}$  in the direction of  $\vec{B}$ , and to first order of the gradient of  $B_{\perp}$  perpendicular to  $\vec{B}$ . The adiabatic invariance of  $C$  is useful for analytical treatments of trapped and auroral protons slowing down between nonforward scatterings.  $\Phi$  but not  $K$  is also adiabatically invariant with slowing down.

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### Contents

1. INTRODUCTION	5
2. SIMPLE DERIVATION	6
3. RIGOROUS DERIVATION	7
3.1 Simple Magnetic Field	7
3.2 General Magnetic Field	10
4. OTHER ADIABATIC INVARIANTS	15
5. CONCLUSIONS	18
ACKNOWLEDGEMENTS	19
REFERENCES	20

## On the First and a Related Adiabatic Invariant With a Force in the Direction of the Velocity

### 1. INTRODUCTION

The adiabatic invariance of  $p_{\perp}^2 / 2mB$ , the first adiabatic invariant,  $p_{\perp}$  the component of momentum perpendicular to the magnetic field  $\vec{B}$  of a charged particle of rest mass  $m$ , has been known for three decades. Is this quantity an adiabatic invariant if the particle experiences a force  $f(\vec{v})\hat{v}$ ,  $\hat{v}$  the particle's velocity, for example, if it is slowing down? No. Is there a related quantity that is? Yes. To the best of the author's knowledge, Dong Lin<sup>1</sup> was the first person to point out that the closely related quantity

$$C \equiv \frac{\sin^2 \alpha}{B} \quad (1)$$

$\alpha$  the pitch angle, is an adiabatic invariant for this case. One may reason intuitively that a force in the  $\pm\hat{v}$  direction will not change  $\alpha$  and therefore not  $C$ , but will cause the guiding center to move across the field lines since the radius of gyration is proportional to  $v_{\perp} / \Omega \propto \sin^2 \alpha$  and Dubs<sup>3</sup> independently derived the adiabatic invariance of  $C$  nonrelativistically for this case by a relatively simple but not very rigorous method, a modification of that by Alfvén and Fältnammar.<sup>4</sup> A rigorous

(Received for publication 24 June 1980)

Because of the large number of references cited above, they will not be listed here. See References, page 21.

nonrelativistic method has also been found.<sup>3</sup> Is  $C$  an adiabatic invariant for relativistic particles slowing down? Yes. A modification of the method of Alfvén and Fälthammar<sup>4</sup> that shows this is presented in the next section. This is followed by a rigorous, longer method that results in the time derivative of  $C$ . Other adiabatic invariants are then examined briefly. A condensed version of this report has been submitted to a journal.<sup>5</sup>

## 2. SIMPLE DERIVATION

At any time  $t_0$ , choose the origin of a cylindrical coordinate system at the center of gyration of the particle of charge  $e$  and rest mass  $m$  with the  $z$  axis in the direction of  $\vec{B}$ . Assume a constant magnetic field gradient  $\frac{\partial B}{\partial z}$ . From  $\nabla \cdot \vec{B} = 0$ , neglecting  $\frac{\partial B_\phi}{\partial \phi}$  and  $\frac{\partial B_R}{\partial \phi}$ ,  $B_R = -\frac{R}{2} \frac{\partial B}{\partial z}$ .  $v_\perp = v_\phi$ ;  $ev_\phi$  and thus  $ep_\perp$  are negative. Let

$$\dot{X} \equiv \frac{dX}{dt} \quad (2)$$

$$\vec{p} = \vec{p}_\parallel + \vec{p}_\perp \quad (3)$$

$$\gamma \equiv \frac{1}{\sqrt{1 - v^2/c^2}} \quad (4)$$

$$E = \sqrt{m^2 c^4 + p^2 c^2} = m\gamma c^2 = \sqrt{m^2 c^4 + p_\parallel^2 c^2 + p_\perp^2 c^2} \quad (5)$$

$$\dot{E} = \frac{p \dot{p} c^2}{E} = m\gamma^3 v \dot{v} = v_\parallel \dot{p}_\parallel + v_\perp \dot{p}_\perp \quad (6)$$

$$\dot{p} = m\gamma^3 v \dot{v} \frac{m\gamma c^2}{m\gamma v c^2} = m\gamma^3 \dot{v}. \quad (7)$$

The component of force in the  $z$  direction is

$$\dot{p}_\parallel = -ev_\perp B_R + \dot{p} \frac{v_\parallel}{v} = \frac{ev_\perp R}{2} \frac{\partial B}{\partial z} + \dot{p} \frac{v_\parallel}{v} = -\frac{v_\perp}{2} \frac{p_\perp}{B} \frac{\partial B}{\partial z} + m\gamma^3 \frac{v_\parallel}{v} \dot{v} \quad (8)$$

5. Dubs, C.W. (1980) Adiabatic invariants for a charged particle slowing down, submitted to Journal of Geophysical Research.

since  $R$  nearly  $= -\frac{p_{\perp}}{eB}$ , the radius of gyration. Substituting this into the expression for  $\dot{E}$ :

$$m\gamma^3 v \dot{v} = -\frac{v_{\perp} p_{\perp}}{2B} \frac{dz}{dt} \frac{\partial B}{\partial z} + m\gamma^3 \frac{v_{\parallel}^2}{v} \dot{v} + v_{\perp} \dot{p}_{\perp} \quad (9)$$

$$-m\gamma^3 \frac{v^2 - v_{\parallel}^2}{v} \dot{v} + v_{\perp} \dot{p}_{\perp} - \frac{v_{\perp} p_{\perp}}{2B} \dot{B} = 0 \quad (10)$$

Multiplying by  $\frac{2}{v_{\perp} p_{\perp}}$ :

$$-2 \frac{\gamma^2}{v} \dot{v} + \frac{2}{p_{\perp}} \dot{p}_{\perp} - \frac{1}{B} \dot{B} = 0 \quad (11)$$

Substituting  $p_{\perp} = m\gamma v \sin \alpha$ :

$$-2 \frac{\gamma^2}{v} \dot{v} + \frac{2}{\gamma v} \frac{d(\gamma v)}{dt} + \frac{2}{\sin \alpha} \frac{d \sin \alpha}{dt} - \frac{1}{B} \frac{dB}{dt} - \frac{2}{\sin \alpha} \frac{d \sin \alpha}{dt} - \frac{1}{B} \frac{dB}{dt} = 0 \quad (12)$$

$$\frac{d}{dt} \left[ \ln \frac{\sin^2 \alpha}{B} \right] = 0 \quad (13)$$

$$\frac{\sin^2 \alpha}{B} = \text{constant} \quad (14)$$

### 3. RIGOROUS DERIVATION

#### 3.1 Simple Magnetic Field

Choose a cylindrical coordinate system as above. Assume a vector potential

$$\vec{A} = \hat{\phi} \frac{bR}{2} \left( 1 + \frac{z}{h} + \frac{2R}{3H} \right) \quad (15)$$

Let  $b\hat{B}$  be the magnetic field. The normalized magnetic field then is

$$\vec{B} = \frac{1}{b} \nabla \times \vec{A} = \hat{R} \frac{R}{2h} + \hat{z} \left( 1 + \frac{z}{h} + \frac{R}{H} \right) \quad (16)$$

( $\vec{B}$  is normalized from here through Eq. (63).) The constants  $b$ ,  $h$ , and  $H$  are seen to be the field at the origin and the  $B_z$  doubling distances respectively.

$$L = -mc^2 \sqrt{1 - v^2/c^2} + e\vec{A} \cdot \vec{v} \quad , \quad \vec{v} = \hat{R}\dot{R} + \hat{\phi}R\dot{\phi} + \hat{z}\dot{z} \quad . \quad (17)$$

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_i} \right) = \frac{\partial L}{\partial q_i} + Q_i \quad . \quad (18)$$

$Q_i$  represents the generalized component of the drag force  $f(v)\hat{v}$ . (See Section 3.2.)

$$\frac{dE}{dt} = m\gamma^3 \mathbf{v} \dot{\mathbf{v}} = \vec{f} \cdot \vec{v} = f(v)v \quad . \quad (19)$$

So,

$$f(v) = m\gamma^3 \dot{v} \quad . \quad (20)$$

(The latter equals  $\dot{p}$ , as it must.) Only the R and z Lagrange equations are needed:

$$\left\{ \begin{array}{l} \frac{d}{dt} [m\gamma\dot{R}] = m\gamma R\dot{\phi}^2 + e b R\dot{\phi}(\ ) + m\gamma^3 \dot{v} \frac{\dot{R}}{v} \end{array} \right. \quad (21a)$$

$$\left\{ \begin{array}{l} \frac{d}{dt} [m\gamma\dot{z}] = \frac{e b R^2 \dot{\phi}}{2h} + m\gamma^3 \dot{v} \frac{\dot{z}}{v} \end{array} \right. \quad (21b)$$

which yield

$$\left\{ \begin{array}{l} \ddot{R} = R\dot{\phi}^2 + \frac{e b R\dot{\phi}}{m\gamma}(\ ) + \frac{\dot{v}\dot{R}}{v} \end{array} \right. \quad (22a)$$

$$\left\{ \begin{array}{l} \ddot{z} = \frac{e b R^2 \dot{\phi}}{2m\gamma h} + \frac{\dot{v}\dot{z}}{v} \end{array} \right. \quad (22b)$$

The equations are now partially normalized with  $\epsilon = \frac{R_0}{h}$ ,  $E = \frac{R_0}{H}$ ,  $R = R_0 \rho$ ,  $z = R_0 \zeta$ ,  $\vec{v} = R_0 \vec{u}$ , where  $R_0$  is the initial value of R and of the gyroradius. (Note that E from here to the end of Section 3.1 means this instead of energy.)

$$(\ ) \equiv \left( 1 + \frac{z}{h} + \frac{R}{H} \right) = (1 + \epsilon\zeta + E\rho) \quad (23)$$

$$\ddot{\rho} = \rho\dot{\phi}^2 + \frac{e b \rho \dot{\phi}}{m\gamma}(\ ) + \frac{\dot{u}\dot{\rho}}{u} \quad (24a)$$

$$\ddot{\xi} = \frac{\epsilon \epsilon b \rho^2 \dot{\phi}}{2m\gamma} + \frac{\dot{u} \dot{\xi}}{u} \quad (24b)$$

$$D = b \frac{dC}{dt} = \frac{d}{dt} \left[ \frac{1 - \cos^2 \alpha}{B} \right] = \frac{d}{dt} \left[ \frac{1}{B} - \frac{(\vec{u} \cdot \vec{B})^2}{u^2 B^3} \right] \quad (25)$$

$$\vec{B} = \hat{R} \frac{\epsilon \rho}{2} + \hat{z} \xi \quad (26)$$

$$B^2 = (\xi)^2 + \frac{\epsilon^2 \rho^2}{4} \quad (27)$$

$$\vec{u} = \hat{R} \dot{\rho} + \hat{\phi} \rho \dot{\phi} + \hat{z} \dot{\xi} \quad (28)$$

$$\vec{u} \cdot \vec{B} = \dot{\xi} (\xi) - \frac{\epsilon}{2} \rho \dot{\rho} \quad (29)$$

$$\begin{aligned} D = \frac{d}{dt} & \left\{ \left[ (\xi)^2 + \frac{\epsilon^2 \rho^2}{4} \right]^{-1/2} - \left[ \dot{\xi} (\xi) - \frac{\epsilon}{2} \rho \dot{\rho} \right] u^{-2} \left[ (\xi)^2 + \frac{\epsilon^2 \rho^2}{4} \right]^{-3/2} \right\} \\ & - \frac{1}{B^3} \left[ (\xi) (\epsilon \dot{\xi} + E \dot{\rho}) + \frac{\epsilon^2}{4} \rho \dot{\rho} \right] - \frac{2}{u^2 B^3} \left[ \dot{\xi} (\xi) - \frac{\epsilon}{2} \rho \dot{\rho} \right] \\ & \left[ \ddot{\xi} (\xi) + \dot{\xi} (\epsilon \dot{\xi} + E \dot{\rho}) - \frac{\epsilon}{2} (\dot{\rho}^2 + \rho \ddot{\rho}) \right] \\ & + \frac{2\dot{u}}{u^3 B^3} \left[ \dot{\xi} (\xi) - \frac{\epsilon}{2} \rho \dot{\rho} \right]^2 + \frac{3}{u^2 B^5} \left[ \dot{\xi} (\xi) - \frac{3}{2} \rho \dot{\rho} \right]^2 \left[ (\xi) (\epsilon \dot{\xi} + E \dot{\rho}) + \frac{\epsilon^2}{4} \rho \dot{\rho} \right] \end{aligned} \quad (30)$$

Let M be  $u^2 B^3$  times the middle two of these four terms. Use the two equations of motion to eliminate  $\ddot{\rho}$  and  $\ddot{\xi}$ .

$$\begin{aligned} M = -2 \left[ \dot{\xi} (\xi) - \frac{\epsilon}{2} \rho \dot{\rho} \right] & \left[ \frac{\epsilon \epsilon b \rho^2 \dot{\phi}}{2m\gamma} (\xi) + \frac{\dot{\xi} \dot{u}}{u} (\xi) + \epsilon \dot{\xi}^2 + E \dot{\rho} \dot{\xi} - \frac{\epsilon}{2} \dot{\rho}^2 - \frac{\epsilon}{2} \rho^2 \dot{\phi}^2 \right. \\ & \left. - \frac{\epsilon \epsilon b \rho^2 \dot{\phi}}{2m\gamma} (\xi) - \frac{\epsilon \rho \dot{\rho} \dot{u}}{2u} - \frac{\dot{\xi} \dot{u}}{u} (\xi) + \frac{\epsilon \rho \dot{\rho} \dot{u}}{2u} \right] \end{aligned} \quad (31a)$$

$$= \left[ \dot{\xi} (\xi) - \frac{\epsilon}{2} \rho \dot{\rho} \right] \left[ \epsilon (u^2 - 3 \dot{\xi}^2) - 2 E \dot{\rho} \dot{\xi} \right] \quad (31b)$$

Note that all of the relativistic terms and all of the terms containing the change in the particle's speed cancel. So, D is the same with or without slowing down and with or without being derived relativistically. The result then is:

$$\begin{aligned}
 D = \frac{1}{u^2 B^5} \left\{ -\frac{3\epsilon^2}{4} \rho \left[ \dot{\rho}(u^2 + \dot{\zeta}^2)(1 + \epsilon \zeta + E\rho)^2 + \epsilon \rho \dot{\zeta}^3(1 + \epsilon \zeta + E\rho) \right. \right. \\
 \left. \left. + \frac{\epsilon^2}{4} \rho^2 \dot{\rho}(\rho^2 \dot{\phi}^2 - \dot{\zeta}^2) \right] + E \dot{\rho} \left[ -(\dot{\rho}^2 + \rho^2 \dot{\phi}^2)(1 + \epsilon \zeta + E\rho)^3 \right. \right. \\
 \left. \left. - 2\epsilon \rho \dot{\rho} \dot{\zeta}(1 + \epsilon \zeta + E\rho)^2 + \frac{\epsilon^2}{4} \rho^2 (2\dot{\rho}^2 - \rho^2 \dot{\phi}^2 - 3\dot{\zeta}^2)(1 + \epsilon \zeta + E\rho) \right. \right. \\
 \left. \left. + \frac{\epsilon^3}{4} \rho^3 \dot{\rho} \dot{\zeta} \right] \right\} . \quad (32)
 \end{aligned}$$

Note that, for  $E = 0$  ( $H = \infty$ ), D contains terms only in  $\epsilon^2$ ,  $\epsilon^3$ , and  $\epsilon^4$  divided by  $u^2 B^5$ , and, for  $\epsilon = 0$  ( $h = \infty$ ), D contains terms only in  $E$ ,  $E^2$ ,  $E^3$ , and  $E^4$  divided by  $u^2 B^5$ . Alternatively,  $D = 0$  ( $\epsilon^2 E^0$ ) +  $0$  ( $\epsilon^0 E$ ).

### 3.2 General Magnetic Field

Which of these results hold for any magnetic field? Repeat the last section, but with

$$\vec{A} = \frac{bR}{2} \hat{\phi} + \epsilon b \vec{a}(R, \phi, z) \quad , \quad \vec{a} = \vec{a}' + \nabla U \quad , \quad (33)$$

where  $\vec{a}'$  is an arbitrary function of  $R$ ,  $\phi$ , and  $z$  except that  $\nabla \times \vec{a}'$  vanishes at  $R = z = 0$ . Then  $b\vec{B} = \nabla \times \vec{A}$  is completely arbitrary, regardless of what function is chosen for the scalar  $U$ . To simplify the algebra, choose

$$U = - \int a'_R dR \quad , \quad (34)$$

holding  $\phi$  and  $z$  constant. Then  $a'_R = 0$ , and the normalized field is

$$\begin{aligned}
 \vec{B} = \frac{1}{b} \nabla \times \vec{A} = \hat{z} + \epsilon \nabla \times \vec{a} = \hat{z} + \epsilon \left[ \hat{R} \left( \frac{1}{R} \frac{\partial a_z}{\partial \phi} - \frac{\partial a_\phi}{\partial z} \right) + \hat{\phi} \left( -\frac{\partial a_z}{\partial R} \right) + \hat{z} \frac{1}{R} \frac{\partial (R a_\phi)}{\partial R} \right] \\
 \equiv \hat{R} \epsilon \beta_R + \hat{\phi} \epsilon \beta_\phi + \hat{z} (1 + \epsilon \beta_z) \quad . \quad (35)
 \end{aligned}$$

$$\beta_R = \frac{1}{R} \frac{\partial a_z}{\partial \phi} - \frac{\partial a_\phi}{\partial z} \quad (36)$$

$$\beta_\phi = - \frac{\partial a_z}{\partial R} \quad (37)$$

$$\beta_z = \frac{1}{R} \frac{\partial (Ra_\phi)}{\partial R} \quad (38)$$

As in the last section,

$$\frac{dE}{dt} = \vec{f} \cdot \vec{v} = m\gamma^3 \dot{v} \left( \hat{R} \frac{\dot{R}}{v} + \hat{\phi} \frac{R\dot{\phi}}{v} + \hat{z} \frac{\dot{z}}{v} \right) \cdot \vec{v} \quad , \quad \vec{v} = \hat{R}\dot{R} + \hat{\phi}R\dot{\phi} + \hat{z}\dot{z} \quad (39)$$

From mechanics (for example, Goldstein<sup>6</sup>), the generalized drag force is

$$Q_i = \vec{f} \cdot \frac{\partial \vec{v}}{\partial \dot{q}_i} \quad . \quad \text{So}$$

$$Q_R = m\gamma^3 \frac{\dot{v}\dot{R}}{v} \quad , \quad (40)$$

$$Q_\phi = m\gamma^3 \frac{\dot{v}R^2\dot{\phi}}{v} \quad , \quad (41)$$

$$Q_z = m\gamma^3 \frac{\dot{v}\dot{z}}{v} \quad . \quad (42)$$

$$L = -mc^2 \sqrt{1 - \frac{v^2}{c^2}} + e\vec{A} \cdot \vec{v}$$

$$= -mc^2 \sqrt{1 - \frac{\dot{R}^2 + R^2\dot{\phi}^2 + \dot{z}^2}{c^2}} + eb \left[ \left( \frac{R^2}{2} + \epsilon Ra_\phi \right) \dot{\phi} + \epsilon a_z \dot{z} \right] \quad . \quad (43)$$

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{R}} \right) = \frac{\partial L}{\partial R} + Q_R \quad . \quad (44)$$

6. Goldstein, H. (1950) Classical Mechanics, Addison-Wesley Press, Inc., p. 22.

$$\frac{d}{dt} (m\gamma \dot{R}) = m\gamma R \dot{\phi}^2 + e b \left[ R \dot{\phi} + \epsilon \left( \frac{\partial(Ra_\phi)}{\partial R} \dot{\phi} + \frac{\partial a_z}{\partial R} \dot{z} \right) \right] + m\gamma^3 \frac{\dot{v} \dot{R}}{v} . \quad (45)$$

$$m\gamma \ddot{R} + m\gamma^3 \frac{v \dot{v}}{c^2} \dot{R} = m\gamma R \dot{\phi}^2 + e b [R \dot{\phi} + \epsilon (R \dot{\phi} \beta_z - \dot{z} \beta_\phi)] + m\gamma^3 \frac{\dot{v} \dot{R}}{v} . \quad (46)$$

$$\ddot{R} = R \dot{\phi}^2 + \frac{e b}{m\gamma} [R \dot{\phi} + \epsilon (R \dot{\phi} \beta_z - \dot{z} \beta_\phi)] + \frac{\dot{v} \dot{R}}{v} . \quad (47)$$

In general, the equation for  $R \ddot{\phi}$  is needed.

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{\phi}} \right) = \frac{\partial L}{\partial \phi} + Q_\phi . \quad (48)$$

$$\frac{d}{dt} \left[ m\gamma R^2 \dot{\phi} + e b \left( \frac{R^2}{2} + \epsilon R a_\phi \right) \right] = e b \epsilon \left( \frac{\partial a_\phi}{\partial \phi} R \dot{\phi} + \frac{\partial a_z}{\partial \phi} \dot{z} \right) + m\gamma^3 \frac{\dot{v} R^2 \dot{\phi}}{v} . \quad (49)$$

$$\begin{aligned} m\gamma R^2 \ddot{\phi} + 2m\gamma R \dot{R} \dot{\phi} + m\gamma^3 \frac{v \dot{v}}{c^2} R^2 \dot{\phi} + e b \left( R \dot{R} + \epsilon \frac{d(Ra_\phi)}{dt} \right) \\ = e b \epsilon \left( \frac{\partial a_\phi}{\partial \phi} R \dot{\phi} + \frac{\partial a_z}{\partial \phi} \dot{z} \right) + m\gamma^3 \frac{\dot{v} R^2 \dot{\phi}}{v} . \end{aligned} \quad (50)$$

$$R \ddot{\phi} = -2 \dot{R} \dot{\phi} + \frac{e b}{m\gamma} \left[ -\dot{R} + \epsilon \left( \frac{\partial a_\phi}{\partial \phi} R \dot{\phi} + \frac{\partial a_z}{\partial \phi} \dot{z} \right) - \frac{d(Ra_\phi)}{dt} \right] + \frac{\dot{v} R \dot{\phi}}{v} \quad (51)$$

$$R \ddot{\phi} = -2 \dot{R} \dot{\phi} + \frac{e b}{m\gamma} [-\dot{R} + \epsilon (\dot{z} \beta_R - \dot{R} \beta_z)] + \frac{\dot{v} R \dot{\phi}}{v} . \quad (52)$$

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{z}} \right) = \frac{\partial L}{\partial z} + Q_z . \quad (53)$$

$$\frac{d}{dt} (m\gamma \dot{z} + e b \epsilon a_z) = e b \epsilon \left( \frac{\partial a_\phi}{\partial z} R \dot{\phi} + \frac{\partial a_z}{\partial z} \dot{z} \right) + m\gamma^3 \frac{\dot{v} \dot{z}}{v} . \quad (54)$$

$$m\gamma \ddot{z} + m\gamma^3 \frac{v \dot{v}}{c^2} \dot{z} + e b \epsilon \frac{da_z}{dt} = e b \epsilon \left( \frac{\partial a_\phi}{\partial z} R \dot{\phi} + \frac{\partial a_z}{\partial z} \dot{z} \right) + m\gamma^3 \frac{\dot{v} \dot{z}}{v} . \quad (55)$$

$$\ddot{z} = \epsilon \frac{eb}{m\gamma} \left( \frac{\partial a_\phi}{\partial z} R \dot{\phi} + \frac{\partial a_z}{\partial z} \dot{z} - \frac{\partial a_z}{\partial R} \dot{R} - \frac{\partial a_z}{\partial \phi} \dot{\phi} - \frac{\partial a_z}{\partial z} \dot{z} \right) + \frac{\dot{v}\dot{z}}{v} \quad (56)$$

$$\ddot{z} = \epsilon \frac{eb}{m\gamma} (-R \dot{\phi} \beta_R + \dot{R} \beta_\phi) + \frac{\dot{v}\dot{z}}{v} \quad (57)$$

$$B^2 = \epsilon^2 (\beta_R^2 + \beta_\phi^2) + (1 + \epsilon \beta_z)^2 \quad \vec{v} \cdot \vec{B} = \dot{z} + \epsilon (\dot{R} \beta_R + R \dot{\phi} \beta_\phi + \dot{z} \beta_z) \quad (58)$$

$$\begin{aligned} D &= \frac{d}{dt} \left\{ (B^2)^{-1/2} - [\dot{z} + \epsilon (\dot{R} \beta_R + R \dot{\phi} \beta_\phi) + \dot{z} \beta_z]^2 v^{-2} (B^2)^{-3/2} \right\} \\ &= - \frac{(1 + \epsilon \beta_z) \epsilon \dot{\beta}_z + \epsilon^2 (\beta_R \dot{\beta}_R + \beta_\phi \dot{\beta}_\phi)}{B^3} - \frac{2[\dot{z} + \epsilon (\dot{R} \beta_R + R \dot{\phi} \beta_\phi + \dot{z} \beta_z)]}{v^2 B^3} \\ &\quad - \frac{2[\dot{z} + \epsilon \beta_z] + \epsilon \dot{R} \beta_R + \epsilon R \dot{\phi} \beta_\phi}{v^2 B^3} - \frac{[\dot{z} + \epsilon \beta_z] (-2\dot{v})}{v^3 B^3} \\ &\quad - \frac{[\dot{z} + \epsilon \beta_z] \{ (1 + \epsilon \beta_z) \epsilon \dot{\beta}_z + \epsilon^2 (\beta_R \dot{\beta}_R + \beta_\phi \dot{\beta}_\phi) \}}{v^2 B^5} \quad (59) \end{aligned}$$

where [ ] is  $\dot{z} + \epsilon (\dot{R} \beta_R + R \dot{\phi} \beta_\phi + \dot{z} \beta_z)$ . Using Eqs. (47), (52), and (57),  $\frac{1}{2} v^2 B^3$  times the third and fourth terms is

$$\begin{aligned} &- [ ] \left\{ \epsilon \frac{eb}{m\gamma} (-R \dot{\phi} \beta_R + \dot{R} \beta_\phi) (1 + \epsilon \beta_z) + \frac{\dot{v}\dot{z}}{v} (1 + \epsilon \beta_z) + \epsilon R \dot{\phi}^2 \beta_R \right. \\ &\quad + \epsilon \frac{eb}{m\gamma} (R \dot{\phi} \beta_R + \epsilon R \dot{\phi} \beta_R \beta_z - \epsilon \dot{z} \beta_R \beta_\phi) + \epsilon \frac{\dot{v}\dot{R}}{v} \beta_R - 2\epsilon \dot{R} \dot{\phi} \beta_\phi \\ &\quad + \epsilon \frac{eb}{m\gamma} (-\dot{R} \beta_\phi + \epsilon \dot{z} \beta_R \beta_\phi - \epsilon \dot{R} \beta_\phi \beta_z) + \epsilon \frac{\dot{v}R\dot{\phi}}{v} \beta_\phi \\ &\quad \left. - \frac{\dot{v}\dot{z}}{v} - \epsilon \left( \frac{\dot{v}\dot{R}}{v} \beta_R + \frac{\dot{v}R\dot{\phi}}{v} \beta_\phi + \frac{\dot{v}\dot{z}}{v} \beta_z \right) \right\} \\ &= - [ ] \epsilon \{ R \dot{\phi}^2 \beta_R - 2 \dot{R} \dot{\phi} \beta_\phi \} \quad (60) \end{aligned}$$

Note two things: First, the  $\dot{v}$  terms cancel, so D is unchanged by forces in the  $\hat{v}$  direction and thus of any processes producing only slowing down. Second, the terms containing  $\gamma$  cancel, so D is the same with a relativistic derivation as it is with a nonrelativistic one. So

$$\begin{aligned}
 D = & - \frac{(1 + \epsilon \beta_z) \epsilon \dot{\beta}_z + \epsilon^2 (\beta_R \dot{\beta}_R + \beta_\phi \dot{\beta}_\phi)}{B^3} \\
 & - \frac{2[\dot{z} + \epsilon(\dot{R}\beta_R + R\dot{\phi}\beta_\phi + \dot{z}\beta_z)] \epsilon (\dot{R}\dot{\beta}_R + R\dot{\phi}^2\beta_R - \dot{R}\dot{\phi}\beta_\phi + R\dot{\phi}\dot{\beta}_\phi + \dot{z}\dot{\beta}_z)}{v^2 B^3} \\
 & + \frac{3[\dot{z} + \epsilon(\dot{R}\beta_R + R\dot{\phi}\beta_\phi + \dot{z}\beta_z)]^2 \{(1 + \epsilon \beta_z) \epsilon \dot{\beta}_z + \epsilon^2 (\beta_R \dot{\beta}_R + \beta_\phi \dot{\beta}_\phi)\}}{v^2 B^5} . \quad (61)
 \end{aligned}$$

$$D = -2\epsilon \dot{z} \frac{\dot{R}\dot{\beta}_R + R\dot{\phi}^2\beta_R - \dot{R}\dot{\phi}\beta_\phi + R\dot{\phi}\dot{\beta}_\phi}{v^2} + O(\epsilon^2) . \quad (62)$$

Thus, in general, D is of first order in  $\epsilon$ .

Perhaps the simplest way to see that D is independent of slowing down processes is as follows.

$$\begin{aligned}
 D = \frac{d}{dt} \left[ \frac{1}{B} - \left( \frac{\hat{B}}{B^{1/2}} \cdot \hat{v} \right)^2 \right] &= \frac{d}{dt} \left( \frac{1}{B} \right) - 2 \left( \frac{\hat{B}}{B^{1/2}} \cdot \hat{v} \right) \left[ \frac{d}{dt} \left( \frac{\hat{B}}{B^{1/2}} \right) \cdot \hat{v} \right. \\
 & \left. + \frac{\hat{B}}{B^{1/2}} \cdot \frac{d\hat{v}}{dt} \right] . \quad (63)
 \end{aligned}$$

Since  $\hat{B}$  (unnormalized from here on) is a function only of R,  $\phi$ , and z, the only thing in this expression which could depend on slowing down is  $\frac{d\hat{v}}{dt}$ .

$$\frac{d\mathbf{p}}{dt} = m\gamma v \frac{d\hat{v}}{dt} + m \frac{d(\gamma v)}{dt} \hat{v} = e\hat{v} \times \vec{B} + f\hat{v} . \quad (64)$$

Dotting  $\hat{v}$  into this:

$$f = m \frac{d(\gamma v)}{dt} . \quad (65)$$

since  $\frac{d\hat{v}}{dt}$  must be perpendicular to  $\hat{v}$ . So

$$\frac{d\hat{v}}{dt} = \frac{e\vec{v} \times \vec{B}}{m\gamma v} \quad (66)$$

the same as it would without slowing down. Since this is the only term in D containing  $\gamma$  but it contributes nothing to D, the latter is seen again to be independent of whether or not it is derived relativistically.

If there be a component of drag force perpendicular to  $\vec{v}$ , write the drag force as  $\vec{f} = f_v \hat{v} + f_n \hat{n}$ ,  $\hat{n} \cdot \hat{v} = 0$ . Carrying out the same procedure,

$$\frac{d\hat{v}}{dt} = \frac{e\vec{v} \times \vec{B} + f_n \hat{n}}{m\gamma v} \quad (67)$$

and

$$\hat{B} \cdot \frac{d\hat{v}}{dt} = \frac{f_n}{m\gamma v} \hat{B} \cdot \hat{n} \quad (68)$$

instead of zero in the expression for D.

#### 4. OTHER ADIABATIC INVARIANTS

More generally, consider a purely field-geometric quantity,  $g(B, \mu)$ ,  $\mu = \cos \alpha$ .

$$\frac{dg}{dt} = \frac{\partial g}{\partial B} \frac{dB}{dt} + \frac{\partial g}{\partial \mu} \left[ \frac{d\hat{B}}{dt} \cdot \hat{v} + \hat{B} \cdot \frac{d\hat{v}}{dt} \right] .$$

By the same reasoning (p. 14, last paragraph),  $g$  is seen to be independent of slowing down and of relativity. Further, consider

$$G = \int_{s_m}^{s'_m} g(B, \mu) ds \quad ,$$

where  $s$  is the distance along a field line quite close to the locus of guiding centers, and  $s_m$  and  $s'_m$  are mirror point values. For  $C = \frac{1}{B_m} = \text{const.}$ ,  $B_m$  the mirror point value of  $B$ ,  $s_m$  and  $s'_m$  are constant. Then

$$E_1 = \int_{\mathcal{C}} \mathcal{E} \cdot d\mathbf{l}$$

where  $\mathcal{E}$  is the electric field,  $\mathcal{E} = -\nabla\phi - \dot{\mathbf{A}}$ , and  $\mathcal{C}$  is a closed curve. The integral is taken over the curve at a fixed time, and the time is chosen such that the flux of the magnetic field through the curve is zero.

$$\frac{dE_1}{dt} = \dots$$

$$= -\int_{\mathcal{C}} \nabla \cdot \mathbf{T} \cdot d\mathbf{l}$$

is the divergence of the stress tensor,  $\mathbf{T}$ , which is the stress tensor of the electromagnetic field. So the change in the energy of the electromagnetic field is

$$\frac{\Delta E_1}{E_1} = \frac{\Delta}{E_1} \int_{\mathcal{C}} \mathcal{E} \cdot d\mathbf{l} = \frac{\Delta}{E_1} \int_{\mathcal{C}} \mathcal{E} \cdot d\mathbf{l} \approx \frac{\Delta}{E_1} \int_{\mathcal{C}} \mathcal{E} \cdot d\mathbf{l}$$

where  $T_{10}$  is the time component.

Consider  $S_1 = S_2$  as an example of a constant frame. Let  $\mathbf{e}_1$  and  $\mathbf{e}_2$  be the two adiabatic invariant.

$$I = \int_{\mathcal{C}} \left[ 1 - \frac{B(\mathbf{x})}{E_1} \right]^{1/2} d\mathbf{l}$$

Thus, if these quantities are invariant with all slowing down, the relations (1) and (2) show them to be invariant with slowing down.

Note that, in general,  $M, J \ll 2\pi D$ , and even  $k$  cannot be regarded as constant with slowing down. For example, suppose that

$$\hat{A} = \hat{\phi} \frac{bE}{2} \left[ 1 + \frac{v^2}{b^2} \right]^{-1/2} \approx \hat{\phi} \frac{bE}{2} \left[ 1 - \frac{v^2}{b^2} \right]$$

the particle is slow enough to neglect relativistic effects, and that  $C$  is adiabatically invariant. Then:

$$\frac{1}{b} \ddot{B} = -\dot{R} \frac{Rz}{h^2} + \dot{z} \left[ 1 + \frac{z^2}{h^2} \right].$$

A treatment like that in Section 3.1 leads to

$$\ddot{z} = \frac{e b R^2 \dot{\phi} z}{m h^2} - \nu \dot{z}.$$

Suppose that at  $t = 0$ :  $v = v_0$ ,  $z = 0$ ,  $\dot{z} = \dot{z}_0$ , and  $R = R_0$ , the radius of gyration.

$$f = m \frac{dv}{dt} = -m\nu v,$$

so

$$v = v_0 e^{-\nu t}.$$

$$R \left| \frac{mv}{eB} \right| = \dot{\phi} = \frac{eB}{m} \cdot R^2 \dot{\phi} = \frac{mCv^2}{e} = \frac{mCv_0^2}{e} e^{-2\nu t}.$$

Therefore,

$$\ddot{z} + \nu \dot{z} + k^2 e^{-2\nu t} z = 0, \quad k^2 = \frac{bCv_0^2}{h^2}.$$

The solution is:

$$z = \frac{\dot{z}_0}{k} \sin \left[ \frac{k}{t} (1 - e^{-\nu t}) \right], \quad \dot{z} = \dot{z}_0 e^{-\nu t} \cos \left[ \frac{k}{t} (1 - e^{-\nu t}) \right].$$

$$J = \int_{\text{1 cycle}} p \, ds = \int_{\text{1 period}} p \, \dot{z} \, dt = m \int_{t_1}^{t_2} \dot{z}^2 \, dt = m \dot{z}_0^2 \int_{t_1}^{t_2} dt e^{-2\nu t} \cos^2 \left[ \frac{k}{t} (1 - e^{-\nu t}) \right].$$

$$t_1 = t - \frac{1}{\nu} \ln \left( 1 + \frac{\pi t}{k} e^{\nu t} \right), \quad t_2 = t + \frac{1}{\nu} \ln \left( 1 + \frac{\pi t}{k} e^{\nu t} \right).$$

Let

$$\psi = \frac{k}{v} (1 - e^{-vt}) .$$

Then

$$J = \frac{m_z^2 \omega}{k} \int_{\psi_1}^{\psi_2} \left( 1 - \frac{v\psi}{k} \right) \cos^2 \psi \, d\psi \quad , \quad \psi_1 = \frac{k}{v} (1 - e^{-vt}) - \pi \quad ,$$

$$\psi_2 = \frac{k}{v} (1 - e^{-vt}) + \pi \quad .$$

Integrating:

$$J = \frac{\pi m_z^2 \omega}{k} \left\{ e^{-vt} + \frac{v}{2k} \sin \left[ \frac{2k}{v} (1 - e^{-vt}) \right] \right\} \quad .$$

$$M = \frac{v_1^2}{2mB} = \frac{mv_z^2 C}{2} e^{-2vt} \quad .$$

$$K = \frac{J}{2 \sqrt{2mM}} = \frac{\pi m_z^2 \omega}{2k v_1 \sqrt{C}} \left\{ 1 + \frac{v}{2k} e^{vt} \sin \left[ \frac{2k}{v} (1 - e^{-vt}) \right] \right\} \quad .$$

All three of these quantities are seen to vary with time. So, even though they are adiabatic invariants with parallel components of force, they are not with slowing down. K will be essentially invariant for  $t \rightarrow \infty^{-1}$ , but increases exponentially with  $t$  at large times unless  $k/v$  is a half integer times  $\pi$ .

### 5. CONCLUSIONS

The first adiabatic invariant,  $v_1^2/2mB$ , is not invariant if the particle is slowing down. The closely related quantity  $C = \frac{2m\omega^2 v}{B}$ , however, is an adiabatic invariant for any energy particle in any magnetic field, even with an additional force of the form  $f(v)\hat{v}$ . This is not surprising since a force in the  $v\hat{v}$  direction should not change  $v$  and thus not  $C$ , although it would cause the center of gyration to move across the field (toward the particle if it is being slowed down) since the gyroradius is proportional to  $v_1$ .  $C$  is not invariant with a parallel component of electric field

present.  $D \equiv b \frac{dC}{dt}$ ,  $b$  a constant, is shown to be independent of  $f$  and thus of slowing down for all magnetic fields. It is also shown to be the same whether derived relativistically or not. In general,  $D$  is zero to the first order of the gradients. For the simplest magnetic field with a parallel gradient of  $B_z, \frac{b}{h}$ , and a perpendicular gradient of  $B_z, \frac{b}{H}$ ,  $D = 0 (\epsilon^2 E^0) + 0 (\epsilon^0 E)$ , where  $\epsilon = R_0^{-1} h$ ,  $E = R_0^{-1} H$ ,  $R_0$  is the initial gyroradius, and  $\vec{B} = b\hat{z}$  at the origin.

The adiabatic invariance of  $C$  with a force on the particle in the  $\pm\vec{v}$  direction, among other cases, is applicable to trapped and auroral protons between nonforward scatterings. Examples of processes which may cause charged particles to slow down without large angle scattering are elastic and inelastic scattering, ionization, Bremsstrahlung, and Čerenkov radiation.

In general, field geometric quantities:  $C$ , half bounce path length,  $I$ , and  $\Phi$  remain adiabatically invariant with slowing down, but  $M$ ,  $J$ , and  $K$  do not.

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