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*Final Report*

**HF COMMUNICATION EFFECTS:  
PHASE-STABLE DATA REDUCTION TECHNIQUES  
AND SYSTEM TEST RESULTS**

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*Prepared for:*

U.S. ARMY ELECTRONICS COMMAND  
FORT MONMOUTH, NEW JERSEY

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By: R. A. SHEPHERD, B. C. TUPPER, J. B. LOMAX,  
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## FOREWORD

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A program of research was undertaken in 1961 with the objective of determining the effects of high-altitude nuclear explosions on HF radio communications, using naturally occurring disturbances to simulate nuclear disturbances. Before the program reached the field test phase, equipment and personnel were diverted to a nuclear field test program. After that activity, a revised program was put into effect with the objective of developing background information and techniques necessary for the prediction of HF communication performance in a disturbed environment. A program of research in high latitudes was undertaken, and this report is one of a series of final reports, having the general title "HF Communication Effects," which were prepared at the end of the program. Given below is a complete list of the reports, of which the first, "Executive Summary," discusses all programs; the others discuss specific details, as indicated by their titles.

### "HF Communication Effects," Final Reports:

"Executive Summary"	DASA 1724
"Experimental Justification of the Gaussian Channel Model"	DASA 1690
"Frequency Dispersion and Doppler Shift"	DASA 1697
"Ionospheric and Mode-of-Propagation Measurements"	DASA 1701
"Prediction of Propagation Parameters Affecting Error Rate"	DASA 1723
"Propagation in the High-Latitude Ionosphere"	DASA 1700
"Physics and Chemistry of the Ionosphere"	DASA 1702
"Phase-Stable Data Reduction Techniques and System Test Results"	DASA 1691
"Automatic Analysis of Oblique-Incidence Sounder Data"	DASA 1705

## ABSTRACT

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Data-reduction techniques are discussed for the phase-stable CW system developed by Stanford Research Institute under contract from the U.S. Army Electronics Command and the Defense Atomic Support Agency.

An experiment was conducted in which signals were received at a site near Palo Alto over a transauroral path from Thule, Greenland and over a mid-latitude path from Fort Monmouth, New Jersey.

Fixed-frequency, 7.366-Mc phase-stable data collected in analog form on magnetic tape during the field portion of the experiment were digitized and reduced on an IBM 7090 computer to plot form. Examples of distributions of amplitude, rate of change of amplitude, and rate of change of phase are presented. Power spectra of the received signal are shown.

Several special tests were conducted to determine the resolution of the equipment and the results of these tests are discussed. Equipment limitations that must be considered in interpreting the data from the phase-stable system are pointed out.

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## I BACKGROUND

The purpose of the simulation project was to provide information on propagation of HF radio signals in a nuclear environment, specifically, to determine the effects of high-altitude nuclear explosions on military HF communications.<sup>1\*</sup> Auroral and nuclear effects on the ionosphere have the same qualitative causative mechanism: the presence of charged particles in a magnetic field, resulting in field-aligned ionization. Quantitative information on the amount of aurora-producing solar radiation is not available for a specific ionospheric region affecting a point-to-point communication path. However, widespread solar-induced geomagnetic activity was compared with simultaneous HF propagation measurements under this project.

Recent work at SRI has been concerned with characterizing a radio channel by means of a fundamental quantity called the "channel-scattering function."<sup>2,3,4</sup> Briefly, this scattering function is the density of power scattered by the channel as a function of Doppler shift, time delay, and angular distribution. This physical description of the path is extremely useful in computing the time-, frequency-, and angle-dispersive channel effects on a communication system.

Spreading of a signal in time is the result of variations in the propagation time, attributable both to mode geometry and to scattering from irregularities not on the optimum ray path. Frequency spreading is the result of ionospheric layer movements and of variations with time in the electron density along the ray path, giving rise to Doppler frequency shifts of the various rays. Angular spreading is the variation of the azimuth and elevation angles of arrival, and the dispersion about these angles, of the various incoming modes.

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\* References are listed at the end of the report.

The simulation experiment was concerned with measuring two of these dispersive effects--time spreading and frequency spreading. The primary instrumentation of the simulation experiment consisted of a network of four oblique-incidence, step-frequency ionospheric sounders that sounded six paths. A phase-stable HF system was operated in parallel with two of these paths.<sup>1</sup> The complete network is shown in Fig. 1.

The most common step-frequency sounder display, called an ionogram, shows frequency and relative time delays of ionospheric modes. This display permits identification of mode structure. The ionogram provides time-delay characteristics of a signal at discrete frequencies; it does not describe the amplitude-time behavior of a signal at a given frequency. The combination of the oblique-incidence, step-frequency ionospheric sounder with a phase-stable system provided information in both the time domain and the frequency domain for the received HF signal. The dispersion of a signal in time and in frequency greatly influences the effectiveness of communication systems using either frequency-shift keying<sup>3</sup> or phase-shift keying.<sup>4</sup> These data will help in understanding HF propagation paths through auroral regions and the relationship of the received signal to influences such as geomagnetic activity.

There are five main blocks in the data reduction system as shown (see Fig. 2). The first block, containing the transmitting and receiving equipment, was discussed in Interim Report 3.<sup>1</sup> Following sections of this report describe data collection, analog-to-digital (A/D) conversion, and computer reduction of the data to plot form for analysis.

Phase-stable data were recorded on seven-track analog tape as shown in Fig. 3. Two data channels, PHASE and AMPLITUDE, were also recorded in parallel on a Sanborn strip-chart recorder. The strip chart was evaluated and compared with the corresponding ionogram. The data conversion and reduction was done by computer, and analog data were converted to digital form in proper format for input to an IBM 7090 computer.

Three programs were utilized for data reduction by the IBM 7090 computer. The calibration program converted digital voltage levels to

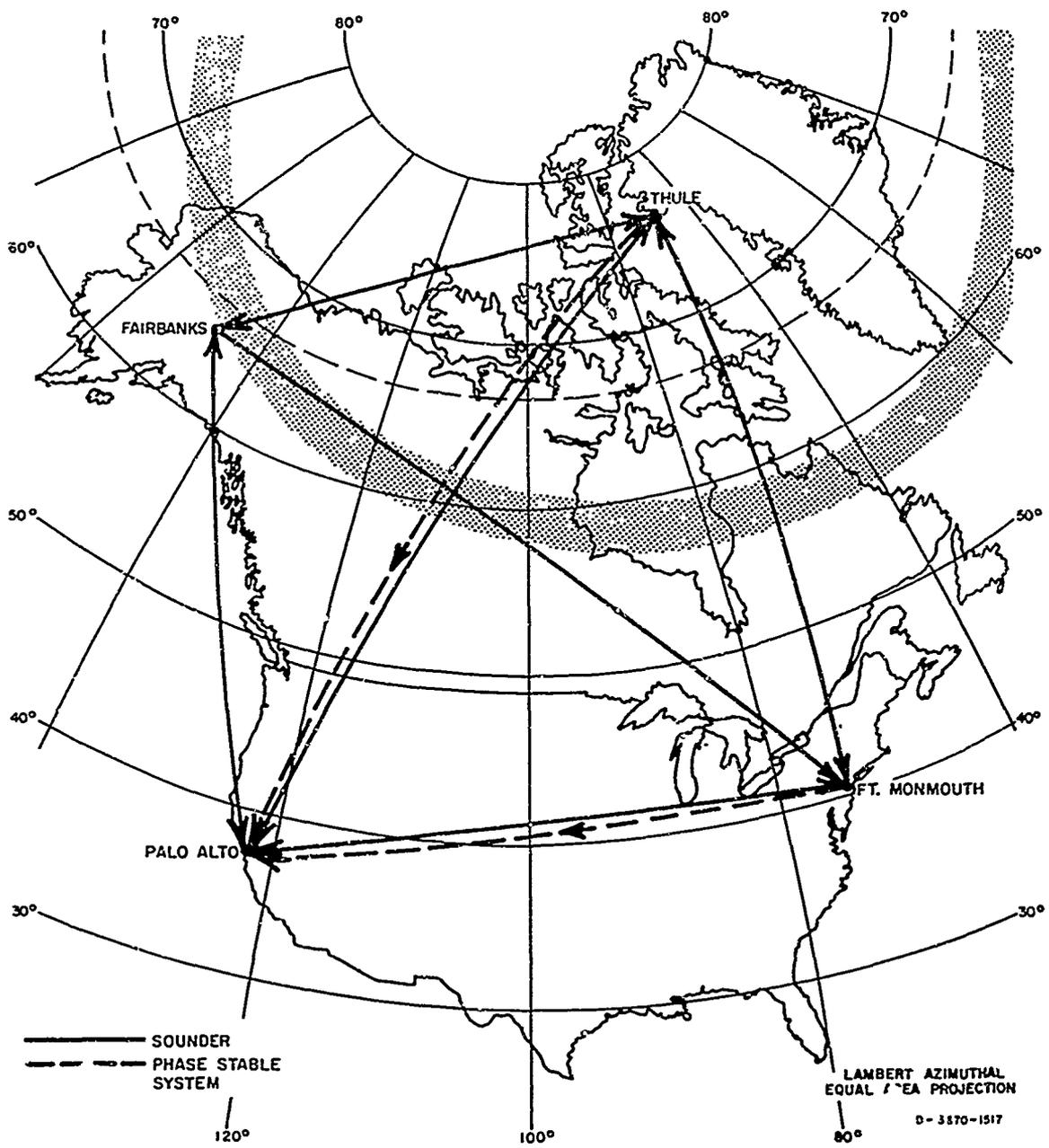


FIG. 1 LOCATIONS OF SOUNDER STATIONS AND THE PHASE-STABLE SYSTEM

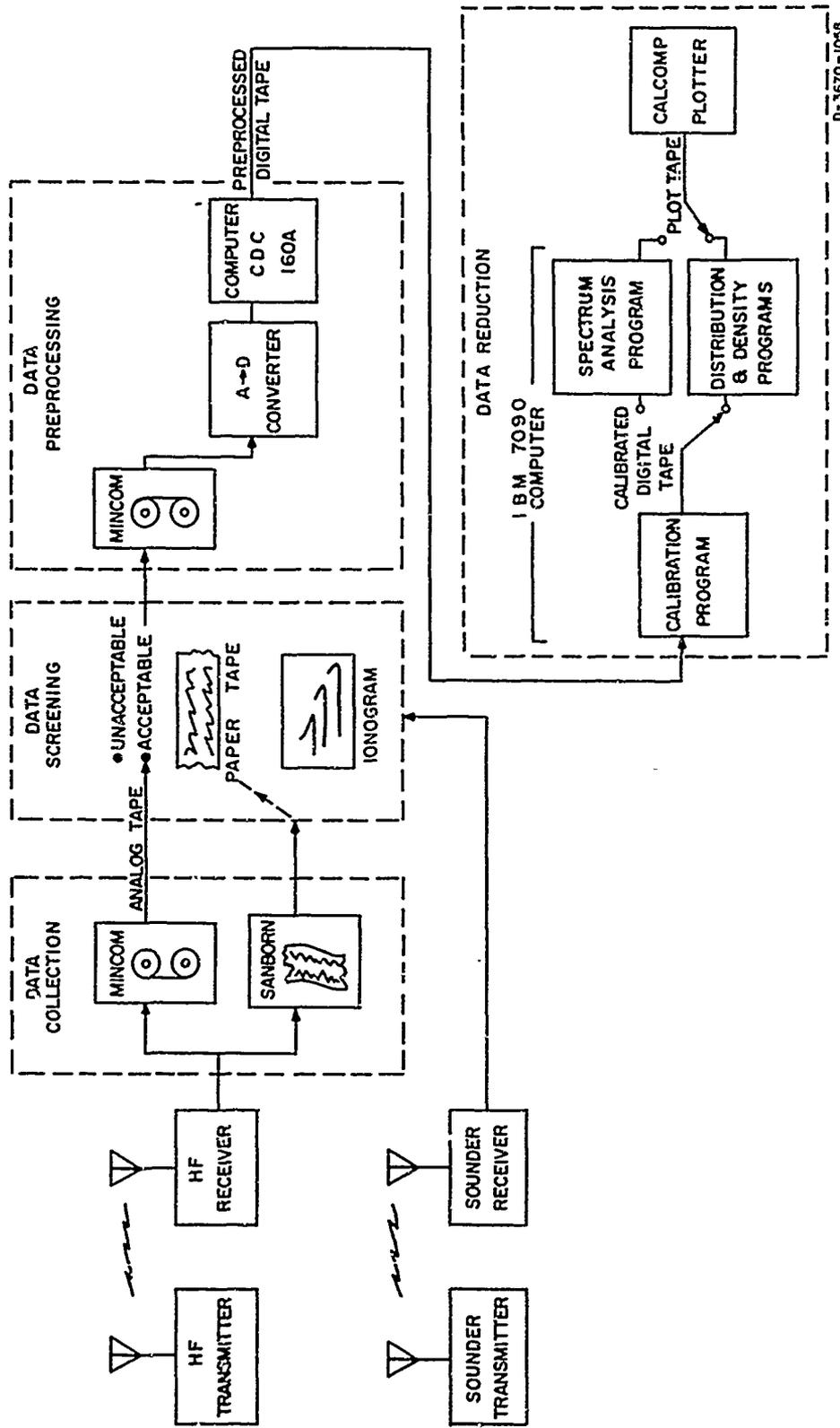
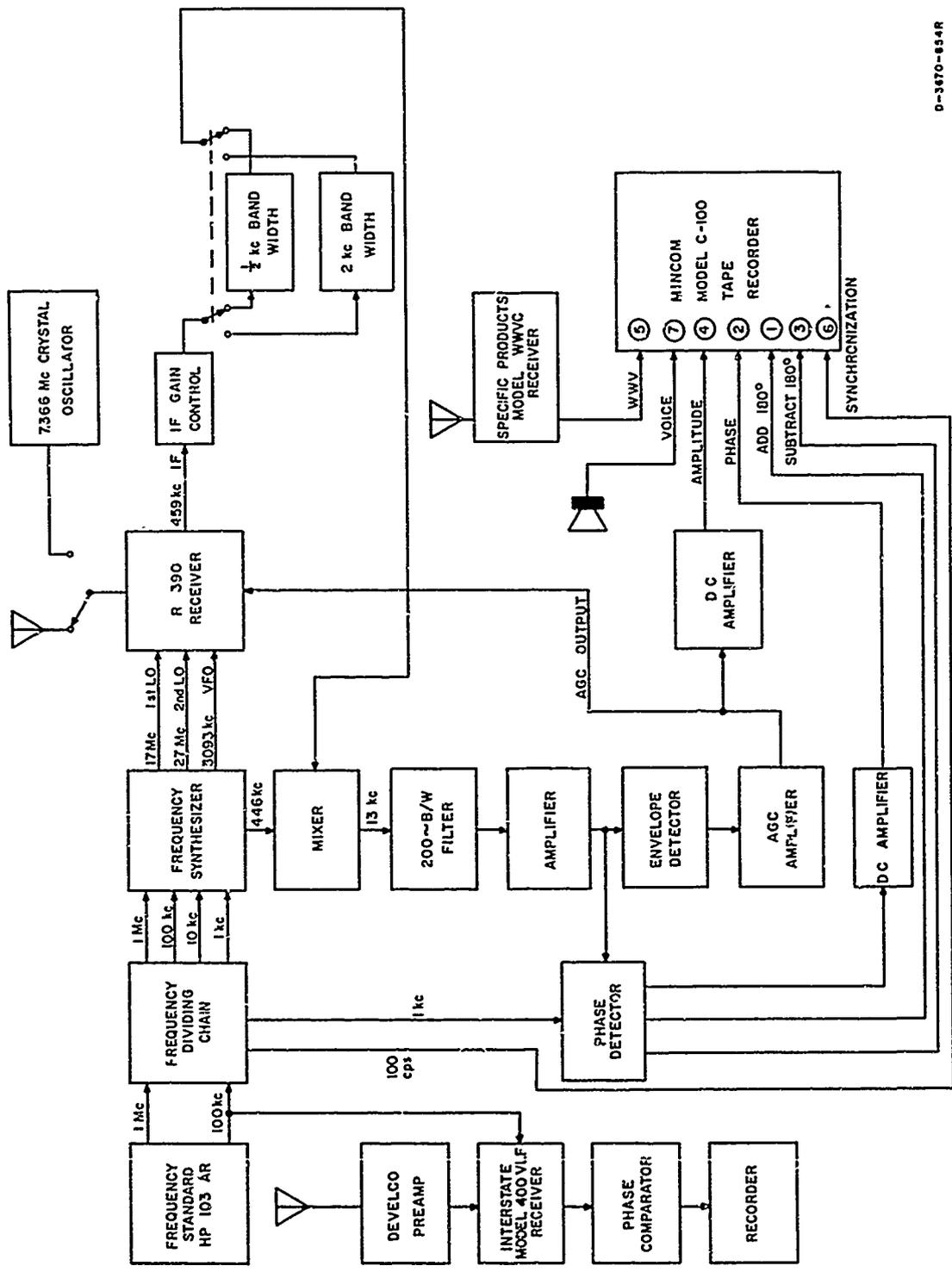


FIG. 2 BLOCK DIAGRAM — DATA COLLECTION AND REDUCTION SYSTEM



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FIG. 3 BLOCK DIAGRAM — PHASE-STABLE RECEIVING SYSTEM

actual received signal strength, expressed both in microvolts and in decibels above 1  $\mu\text{v}$ , and to phase angle in degrees. A second program derived amplitude and rate of change of amplitude densities and phase distributions. The power spectrum program compiled and plotted power density with respect to frequency.

During the data-collection phase, measurement periods of 5 minutes out of each hour were employed. The choice of a 5-minute sampling duration is a compromise between a time period which is long compared to a fading period, and a time period which is short compared to the period associated with significant ionospheric change. It implies an assumption of stationarity over the measuring interval. For most of the data obtained, this assumption appears to have been valid; however, there were several instances wherein the character of the signal was observed to change significantly during the measuring interval. Other instances were observed in which the fading period was commensurate with the measuring period. For the predominant number of measurements, however, the choice of a 5-minute data sample appears to have been a good one.

## II DATA HANDLING TECHNIQUES

### A. DATA COLLECTION

#### 1. Recorder Track Usage

Data from the phase-stable HF system were recorded on 2500-foot, 1/2-inch, 1.5-mil instrumentation tape with a Mincom C-100, seven-track recorder. Track utilization is shown in Table I.

Table I  
TAPE RECORDER TRACK USAGE

Track Number	Recording Mode	Function
1	Direct	Add 180°
2	FM	Phase
3	Direct	Subtract 180°
4	FM	Amplitude
5	Direct	WWV
6	Direct	100-cps pulses
7	Direct	Voice

Tracks 5 and 7 were used to record the time of day, to identify the transmitter, and to describe the calibration sequence. Track 6 contains a 100-cps synchronization pulse train derived from the frequency standard. The synch pulses were used to establish the 10-msec sample interval used in the preprocessing program described in Sec. B. Tracks 1 through 3 contain phase data in pulse and in Jc form. Track 4 contains amplitude data as a dc voltage proportional to receiver input signal. Operation of the AMPLITUDE, PHASE, and phase-associated ADD 180° and SUBTRACT 180° channels is discussed in Interim Report 3.<sup>1</sup> A Sanborn 322 dc Amplifier-Recorder was operated in parallel with the AMPLITUDE and PHASE channels of the tape recorder. The paper chart record allowed detection of interference and equipment malfunctions at either end of the phase-stable

HF link. Figure 4 shows typical data received at the SRI site near Palo Alto from Thule and Fort Monmouth.

## 2. Calibration

Amplitude and phase calibrations were performed prior to data transmission. A crystal oscillator provided a 7.366-Mc calibration signal for amplitude calibration. This amplitude calibration consisted of a sequence of 10-db steps from 10 db below 1  $\mu$ v to 100 db above 1  $\mu$ v. The phase calibration consisted of two dc voltage levels generated by signals 180° apart.<sup>1</sup> Following the amplitude and phase calibrations, 10 sec of background noise and interference were recorded. The calibration and noise recording are shown in Fig. 5.

## 3. Scheduling

Five minutes and 30 seconds of data were recorded hourly from each of the stations between the hours of 2300Z and 1500Z. A 15-hour schedule was maintained on a five-day-week basis from 17 December 1963 for Fort Monmouth and 22 January 1964 for Thule to 30 September 1964. The 15-hour data collection period commencing at 2300Z was selected as a compromise to include periods of peak signal level and diurnal sunset and sunrise effects on both paths. For a period of eight days commencing 18 March 1964, data were collected 24 hours a day.

Each of the phase-stable paths was sounded by a Granger Associates' (G/A) step-frequency sounder in the 4-minute interval between Thule and Fort Monmouth phase-stable transmissions as shown in Fig. 6. Sequential sounder and CW operation was adopted to eliminate sounder interference on the CW data. Generally, mode structure does not change appreciably in the interval between the sounder sweep and the data transmission. These paths were sounded in each direction until 15 June 1964, at which time operation of the Deal, New Jersey receiver site was discontinued.

## B. DATA PREPROCESSING

### 1. Channel Usage

The analog data tapes recorded at the receiver field site at Mountain View near Palo Alto were converted to a digital tape in a form which was

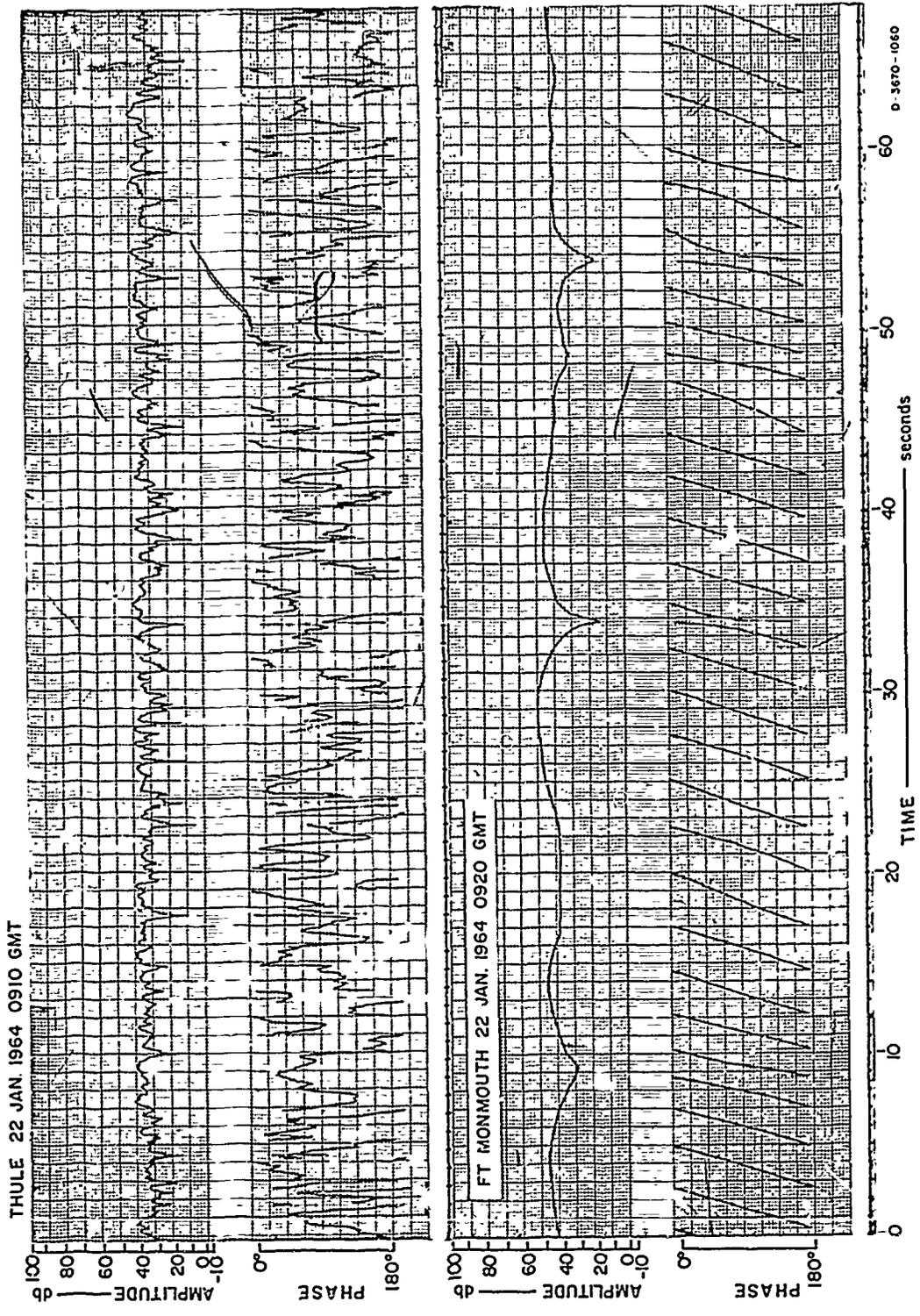


FIG. 4 SAMPLE WAVEFORMS FROM THULE AND FORT MONMOUTH — 1410 AND 1420 GMT, 22 JANUARY 1964

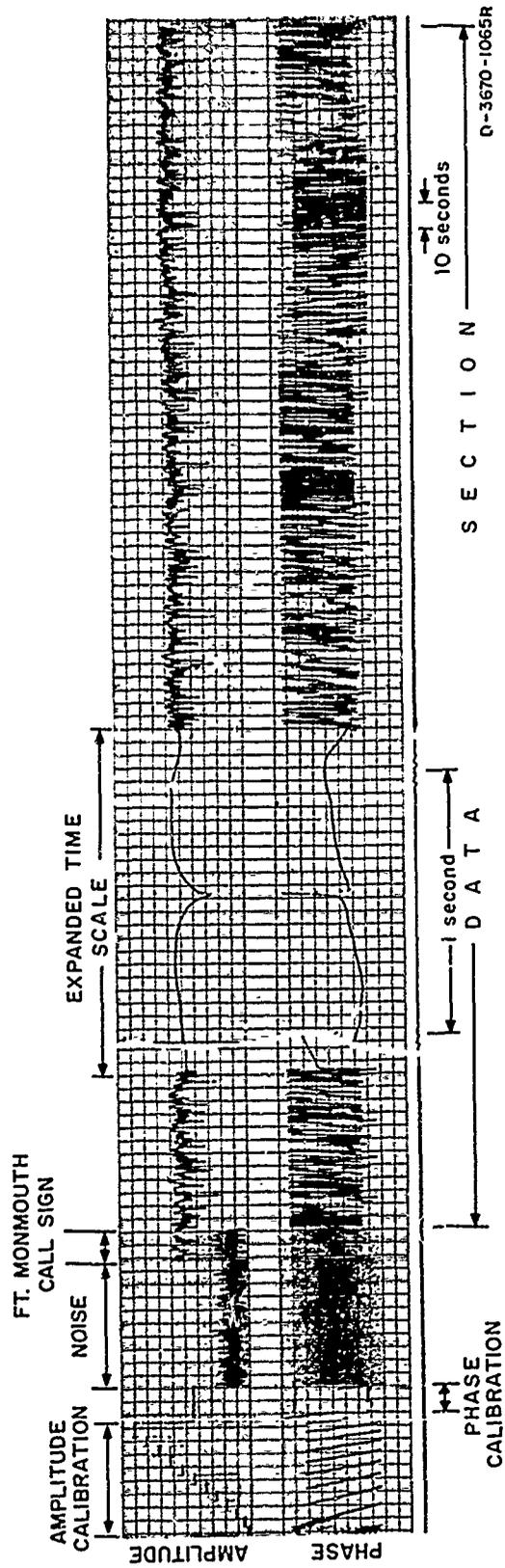


FIG. 5 SAMPLE RECORD FORMAT — PHASE STABLE HF SYSTEM

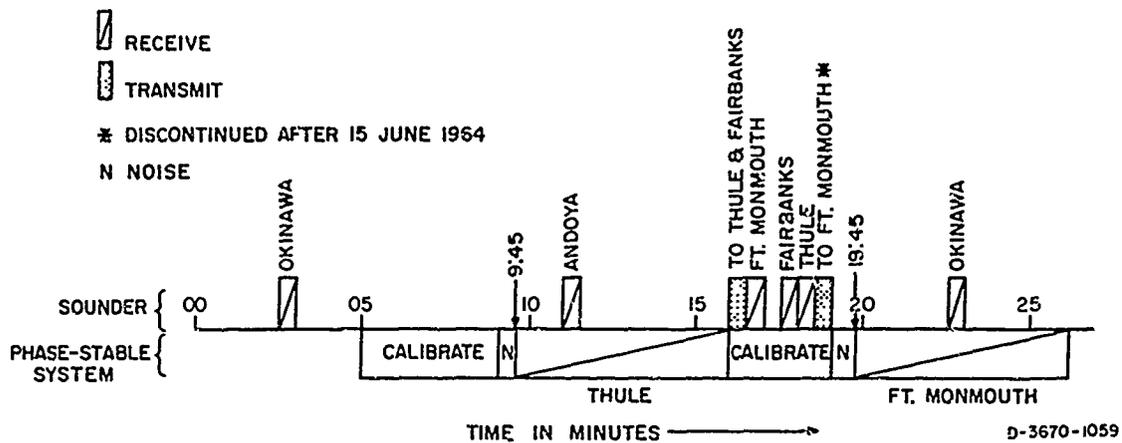


FIG. 6 SYSTEM DATA COLLECTION SCHEDULE

acceptable to a larger computer having the capacity for analysis. The Control Data Corporation 160A computer and associated A/D conversion equipment are shown in Figs. 7 and 8.

Data from the phase-stable HF system were recorded on seven-track instrumentation tape in analog form as discussed in Sec. II-1. Tape recorder channel utilization is given in Table I. Tape Channels 5 and 7 were not digitized because they were used for time of day, transmitter identification, and calibration narrative. Tape Channel, 1 through 4 contained data which were sequentially sampled, digitized, and rerecorded in digital form for computer analysis. Tape Channel 6, SYNCHRONIZATION, was used to initiate the sampling process. The output waveforms for the data and synchronization channels are shown in Fig. 9.

## 2. Phase and Amplitude Filtering

PHASE and AMPLITUDE were passed through identical low-pass filters of constant time delay having a bandwidth of 50 cps to the upper 3-db point. These filters were used to reduce noise spikes and multiples of 60-cps interference on the received carrier or generated within the receiving system.

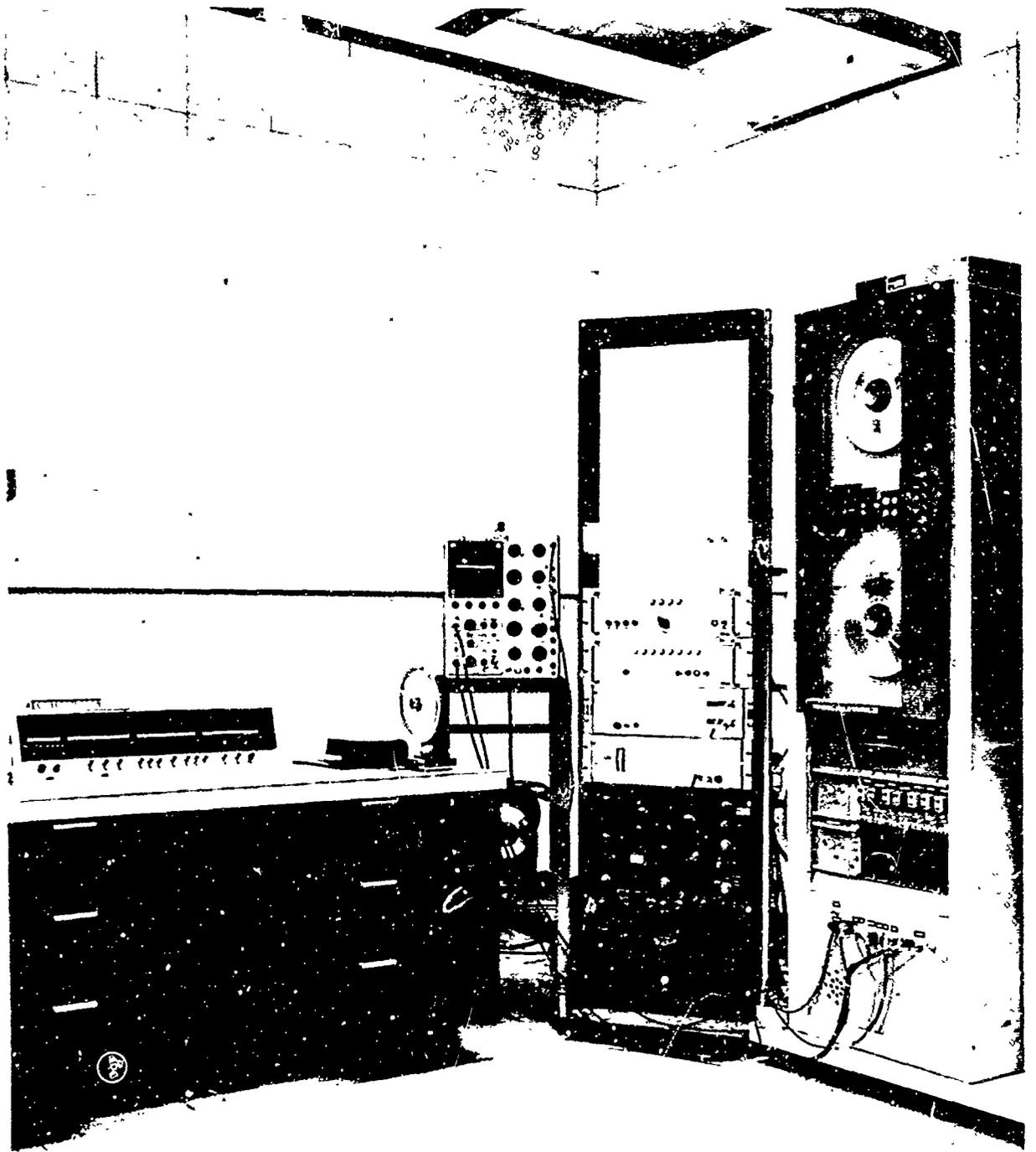
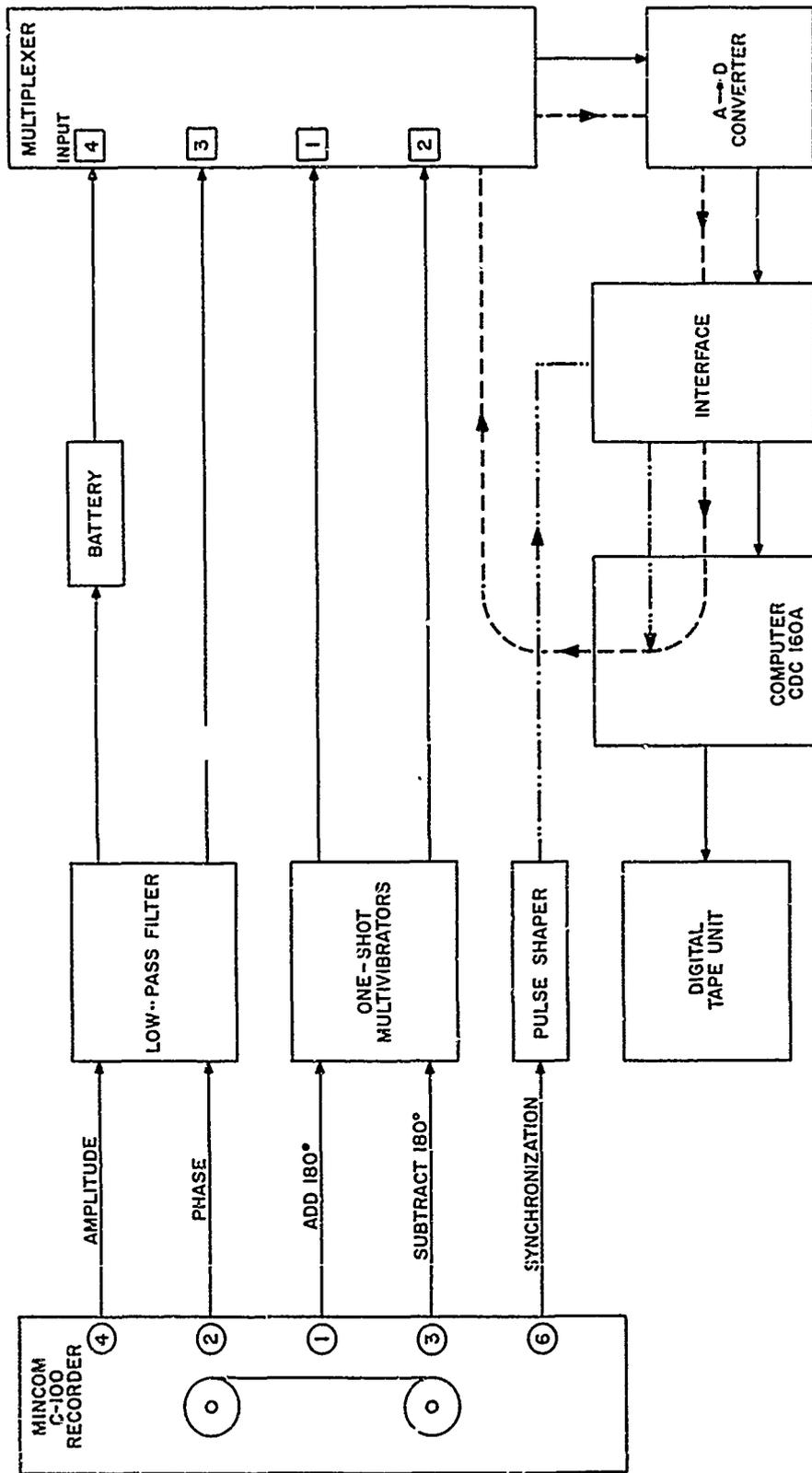
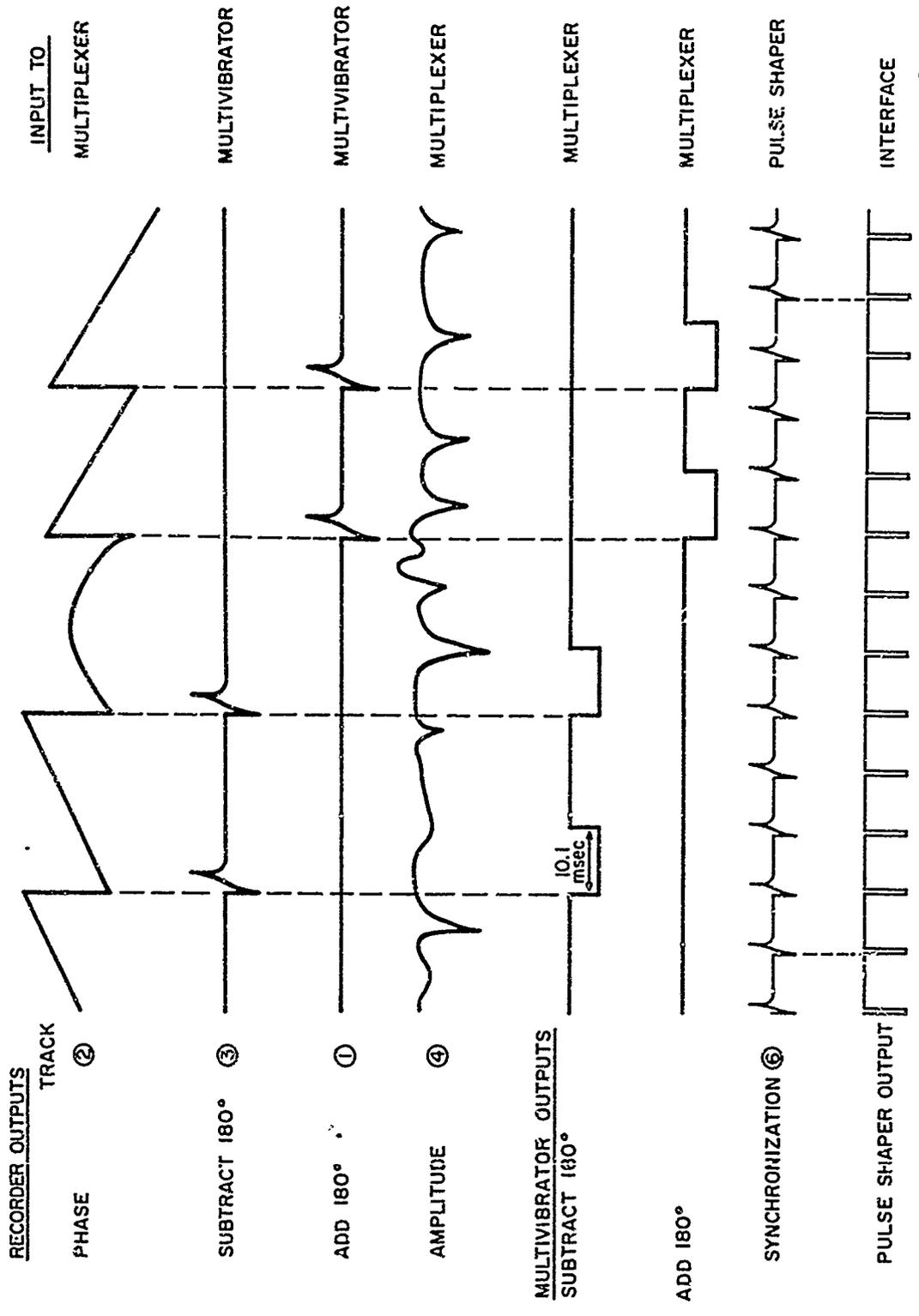


FIG. 7 PHOTOGRAPH OF ANALOG-TO-DIGITAL CONVERSION EQUIPMENT



— DATA CHANNEL  
 - - - SAMPLE CONTROL CHANNEL  
 - · - · - SAMPLE INITIATE CHANNEL

FIG. 8 BLOCK DIAGRAM — ANALOG-TO-DIGITAL CONVERSION OF PHASE STABLE DATA



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FIG. 9 TYPICAL DATA AND SAMPLE CONTROL WAVEFORMS

### 3. ADD 180° and SUBTRACT 180° Pulse Restoration

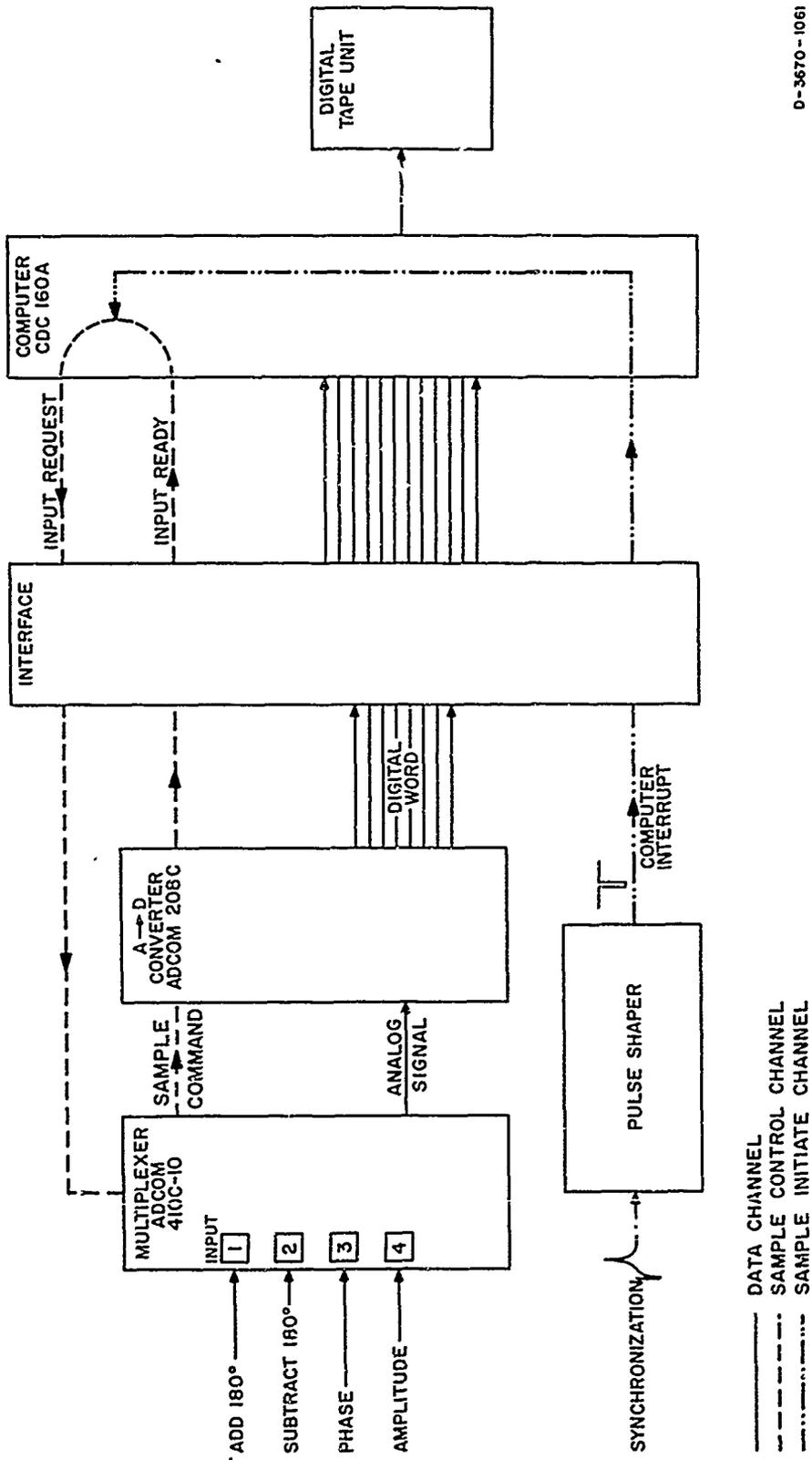
The Phase Detector, described in Interim Report 3, utilizes two auxiliary channels to indicate when the phase of the received signal increased or decreased through a predetermined 180-degree point.<sup>1</sup> Figure 9 shows the time relationship between the phase channel and the ADD 180° and SUBTRACT 180° auxiliary channels during playback. As the voltage for decreasing phase reaches the predetermined switching point, the PHASE channel is switched 180 degrees. The SUBTRACT 180° channel records this change in pulse form. The same procedure is used for voltage of increasing phase, the switching pulse being recorded on the ADD 180° channel. Multivibrators are used to restore the ADD 180° and SUBTRACT 180° pulses to lengths great enough to ensure that they are sampled during the digitizing process.

### 4. A/D Conversion Equipment

The A/D sampling and conversion process is shown in detail in Fig. 10. The equipment consists of the Control Data Corporation 160A computer and associated digital tape unit; General Radio Pulse Shaper unit; Adcom Corporation Multiplexer Model 410C-10 and A/D Converter Model 208C; and an SRI-built Interface Unit. Detailed descriptions of each unit can be found in the manufacturers' equipment manuals.

The Pulse Shaper is driven by the 100-cps SYNC PULSE which is recorded on analog tape. The SYNC PULSE triggers the Pulse Shaper which initiates the sampling sequence. The Interface Unit transforms control and data logic inputs from the A/D Converter to the proper level and polarity required by the Control Data 160A computer.

The Adcom 410C-10 Multiplexer is a high-speed electronic switch that passes signals to the Adcom Model 208C A/D Converter as analog voltages. The 208C converts the analog voltage to an eight-bit digital word, the highest bit reserved for sign. The output of the Converter passes through the interface unit into the computer. The voltage limits of the Converter are -1.28 to +1.28 dc, having a voltage range of 2.56 dc with an accuracy of  $\pm 5$  mv.



D-3670-1061

FIG. 10 BLOCK DIAGRAM — DATA SAMPLING CONTROL AND ANALOG-TO-DIGITAL CONVERSION

#### 5. Sampling Control and Synchronization

The process by which the four data channels were sampled and digitized is governed by the control loop (indicated by a dotted line in Figs. 8 and 10). A COMPUTER INTERRUPT pulse derived from the SYNCH PULSE initiates the sampling portion of the program in the 160A computer. The computer sends an INPUT REQUEST through the control loop, advancing the electronic switch in the Multiplexer to the first channel to be digitized. The Multiplexer sends a SAMPLE COMMAND to the A/D Converter. The Converter digitizes the analog signal of the first data channel; the computer takes the data into temporary storage, then sends a second INPUT REQUEST to the Multiplexer. The conversion process is repeated for the remaining three data channels. The computer then waits for the next INTERRUPT PULSE, 1 msec later and again samples. The total time required to sample four data channels is approximately 100  $\mu$ sec, 1 percent of the 10-msec sample interval.

#### 6. Output Tape--Heading and Calibration

The Control Data Corporation 160A computer was programmed to produce, from the analog data, a digital tape suitable in format for input and analysis by the larger IBM 7090 computer. Each 5-minute data record with its calibration was converted to this format and identified by a file number. Files consist of a heading block, AMPLITUDE and PHASE calibration, noise level, and the 5-minute data block. Heading block information, compiled from field site receiver logs, contains month, day, year, and the start time of the analog record. Also contained is the frequency of the transmitted carrier and the transmitter and receiver identification codes. Each of the calibration levels was sampled 100 times (1 sec). Background noise was sampled 1000 times (10 sec).

#### 7. Output Tape--Data

Following the heading and calibration, the computer accepted the actual data. There were 30,000 samples each of AMPLITUDE, PHASE, ADD 180°, and SUBTRACT 180° taken in a 5-minute period. Two data subroutines, limit testing and the ADD 180° and SUBTRACT 180° simplification, are of interest.

A limit test was performed on each AMPLITUDE and PHASE sample. Analog tape dropouts occasionally occurred on the two FM channels. These dropouts caused the output signal to exceed the voltage range of the A/D Converter. The limit test subroutine checked for values exceeding the A/D Converter voltage limits of  $\pm 1.28$  volts. The number and types of out-of-range excursions were noted and stored in counters which were examined at the end of each 5-minute data block. These counters indicated both total and maximum successive number of samples falling outside the A/D Converter limits. The relatively low number of dropouts observed on any given record has not precluded use of the data.

The ADD  $180^\circ$  and SUBTRACT  $180^\circ$  data channels were sampled to determine the absence or presence of the pulses, and a cumulative count of  $180^\circ$  shifts was generated. The integer count indicated the number and direction of  $180^\circ$  shifts relative to zero initial phase at the beginning of the data record. This coarse phase-drift counter, when combined with the PHASE channel, yielded total cumulative phase shift and was recorded on the digital tape with each AMPLITUDE-PHASE sample.

### C. DATA PROCESSING

The processing of the data by the IBM 7090 was accomplished by three programs: the calibration program, the density and distribution plotting program, and the spectrum analysis program. The first of these programs generated the input format required by the two successive computational programs.

#### 1. The Calibrating Program

##### a. Input

Data on the analog tape were reduced to 30,000 sets of digital numbers by the CDC 160A for input to the calibration program. Two of the three numbers of each set were digital voltages representing amplitude and phase. The third number was a cumulative count of the ADD  $180^\circ$  and SUBTRACT  $180^\circ$  pulses. The calibration program converted the amplitude voltage to an amplitude value, expressed both in decibels above

1  $\mu\text{v}$  and in microvolts. Phase voltage was converted to degrees and combined with the  $180^\circ$  counter to provide the phase angle in degrees.

b. Amplitude Calibration

The 100 samples of each amplitude calibration step were converted to microvolts, averaged, and stored in the computer. This information was then used to calibrate the subsequent amplitude readings. Linear interpolation (in decibels) was employed between adjacent calibration levels.

c. Phase Calibration

Two calibration phase angles,  $0^\circ$  and  $180^\circ$ , were each sampled 100 times during digitizing. The 100 samples for each angle were averaged to ensure that the calibration was representative. Phase calibration was applied to the data by interpolation. Combination of the interpolated angle from the PHASE channel with the  $180^\circ$  counter yielded the actual phase reading. The  $180^\circ$  counter was set at zero at the beginning of the 5-minute run. The final combined count might be either positive or negative.

Because of the time required for the phase voltage to change by the amount corresponding to  $180^\circ$ , the two phase observations immediately following a change in either  $180^\circ$  counter were erroneous; therefore, these phase angles were computed as extrapolations of the phase slope during the 100-msec period immediately preceding the counter change. This extrapolation eliminated sampling erroneous values of the phase-switching transient.

d. Noise Calibration

The noise recording was calibrated after averaging 1000 observations (10 sec), and was recorded on the calibrated tape in both decibels and microvolts. Signal-to-noise ratio was computed for each 5-minute record. This ratio was computed by averaging the 30,000 amplitude readings (in microvolts) and dividing by the average noise.

e. Output

Data were recorded on the calibrated tape as amplitude in decibels, amplitude in microvolts, and phase in degrees, as derived from the calibration. The calibrated output tape, consisting of a heading block, noise, and scaled data, was retained for analysis by the Distribution and Density Compilation Program, and the Power Spectrum Program.

2. The Data Reduction Programs

a. Objectives

It was the objective of the data reduction scheme to present the statistics of the received signal. The data from the two phase-stable links were plotted in several forms to give a comprehensive picture of the amplitude and phase variations of the CW signal. Each data point sampled yielded amplitude and phase at a specific time. These three parameters are descriptive of the characteristics of the received CW signal at the receiver input terminals. Typical plots of data for the Fort Monmouth to Palo Alto path will be discussed in detail; plots from the Thule to Palo Alto transauroral path for the same time will be presented for comparison.

b. Amplitude Plots

Four plots display amplitude information of the signal. Figures 11(a) and 11(b) show the amplitude densities of the Fort Monmouth and Thule signal, respectively. The amplitude density plot presents frequency of occurrence of amplitude in 1-db increments. Each of the 30,000 amplitude samples in a 5-minute record was rounded to the nearest whole decibel. The computer counted amplitude samples occurring within each 1-db increment and divided by the total number of samples. The count is expressed as a percentage indicating the occurrence of signal at each decibel level. In addition, the minimum and maximum signal strengths and average noise are recorded in the upper left corner. A set of plots covering the day would show diurnal signal level fluctuations. Figure 11(a) shows that the most commonly occurring signal

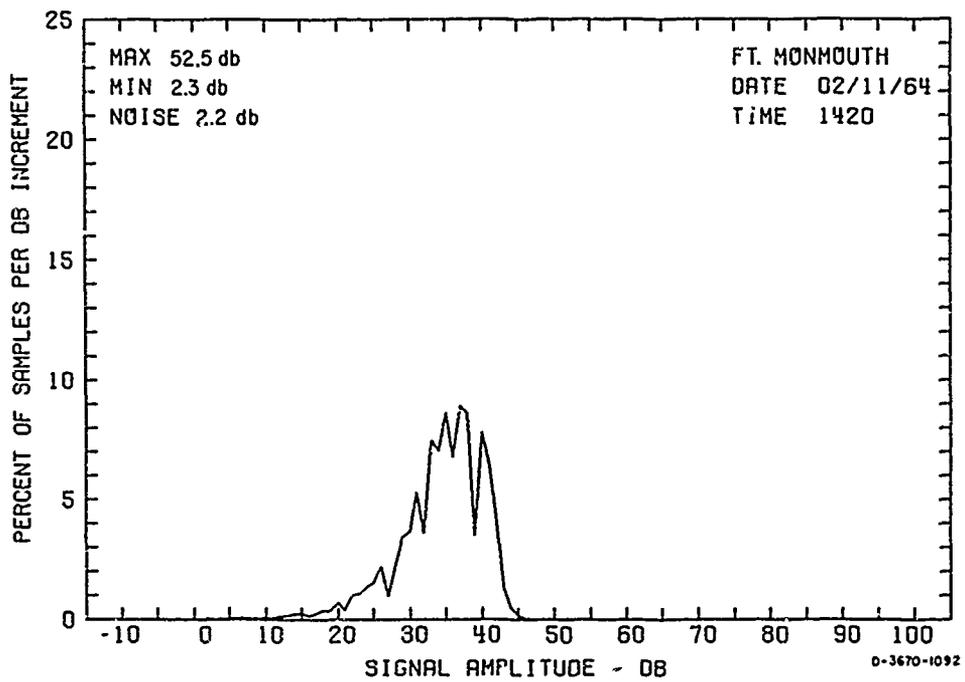


FIG. 11(a) AMPLITUDE DENSITY (db) — FORT MONMOUTH, 1420 GMT, 11 FEBRUARY 1964

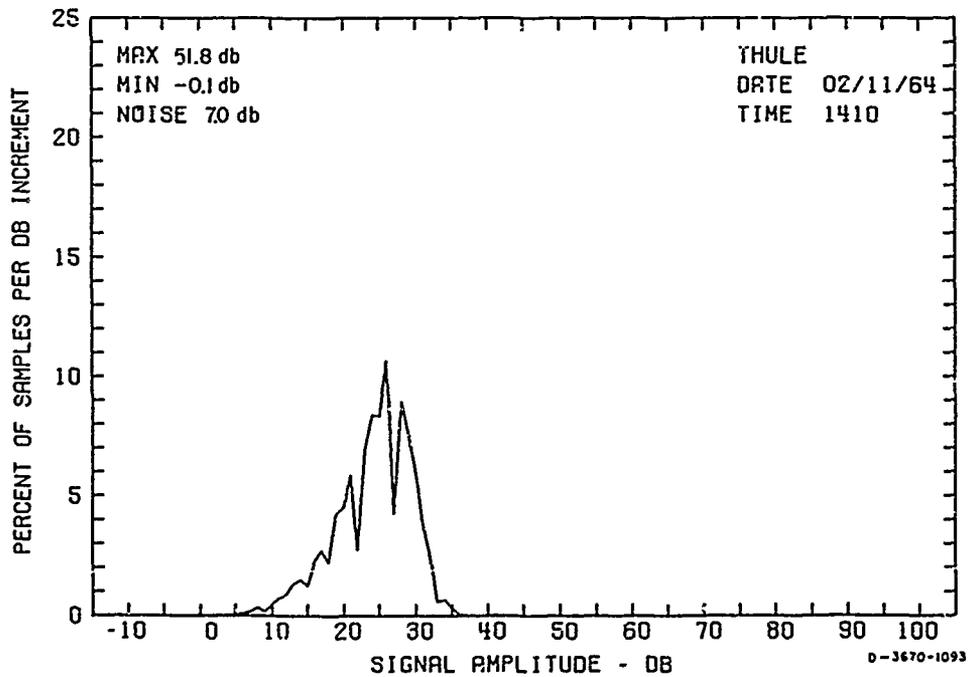


FIG. 11(b) AMPLITUDE DENSITY (db) — THULE, 1410 GMT, 11 FEBRUARY 1964

strength during the 5-minute period beginning at 1420Z is 36 db above 1  $\mu$ v; levels up to more than 40 db are present. Figure 11(b) is included for comparison.

Data for the amplitude distribution plots of Figs. 12(a) and 12(b) were compiled by the computer in a printout form and plotted by hand. The computer successively accumulated the number of occurrences of amplitude in each decibel increment as a percentage of total sample size. This value was subtracted from 100 percent, giving a percentage of the remaining 30,000 samples not yet counted. A plot of these percentages is an approximation to 1 minus the integral of the amplitude density. A Rayleigh distribution plotted on this special graph paper will be a straight line with a slope of minus  $45^\circ$ . This type of plot has been used by others in the study of fading.<sup>5,6</sup> To show the close approximation of the experimentally obtained distribution to a Rayleigh distribution, a Rayleigh distribution with the same median value (the level which is exceeded 50 percent of the time or 34.5 db in this example) has been drawn on Fig. 12(a) for comparison.

Figures 13(a) and 13(b) are amplitude-density plots with a linear abscissa. The ordinate is scaled in percent of samples per microvolt interval rather than in percent of samples per decibel interval. Table II gives the class intervals for the abscissa.

Table II  
CLASS INTERVALS FOR AMPLITUDE DENSITY IN MICROVOLTS

Between 0 and 10 $\mu$ v,	10 intervals of 1- $\mu$ v width
Between 10 and 100 $\mu$ v,	9 intervals of 10- $\mu$ v width
Between 100 and 1000 $\mu$ v,	18 intervals of 50- $\mu$ v width
Between 1000 and 10,000 $\mu$ v,	72 intervals of 125- $\mu$ v width

If the frequency of occurrence of signal within each of these class intervals were plotted directly, the result would be misleading, since the class interval is not constant throughout the range of signal levels. To correct this, the frequency of occurrence of signal within each class interval was normalized by division by the width of the class interval. That figure, divided by the total number of samples, is occurrence expressed in percent per microvolt interval. The density plot of Fig. 13(a) was drawn by connecting the percentages at the mean of each class interval. The computer selected an appropriate abscissa scale proportional to the range of amplitude data.

Figures 14(a) and 14(b), the amplitude change density plots, show how the amplitude changes with time. Decreasing and increasing amplitude change is shown on the abscissa. Amplitude changes for time intervals of 10, 20, 40, 80, and 160 msec are represented by separate curves. The plot shows the percentage of occurrence of amplitude changes within 0.5 db increments.

The amplitude change density plots were developed from the calibrated magnetic tape by the IBM 7090 computer. Each of the 30,000 amplitude samples was compared with the previous 10-msec, 20-msec, 40-msec, 80-msec, and 160-msec sample point to determine the amount and direction of amplitude change. Amplitude differences for Figs. 14(a) and 14(b) were rounded to the nearest one-half decibel, and in later plots, to the nearest whole decibel. The number of amplitude change samples falling into each increment (for a given time interval) was normalized by division by the total number of samples for that time interval. For example, since 29,999 amplitude comparisons were possible for the 10-msec interval, the 10-msec curve was normalized by division by 29,999; the 160-msec curve was normalized by division by 29,984.

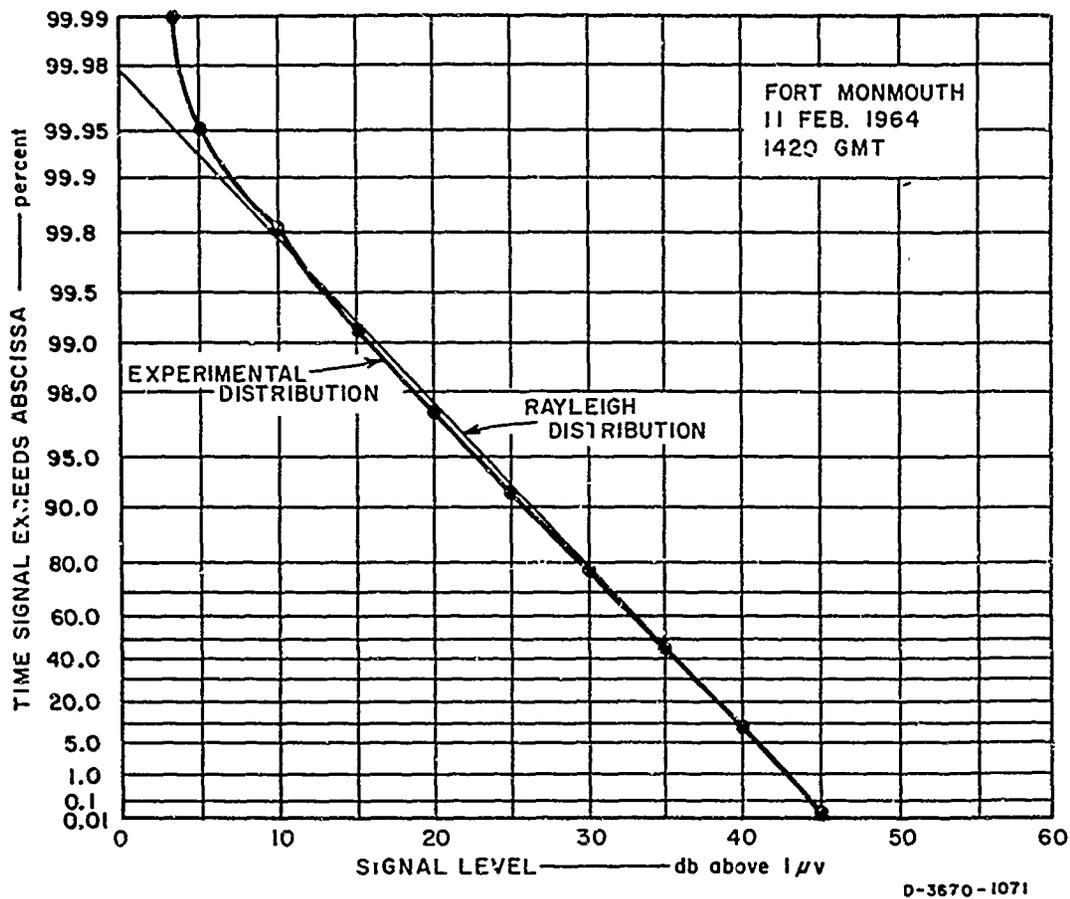


FIG. 12(d) AMPLITUDE DISTRIBUTION— FORT MONMOUTH, 1420 GMT, 11 FEBRUARY 1964

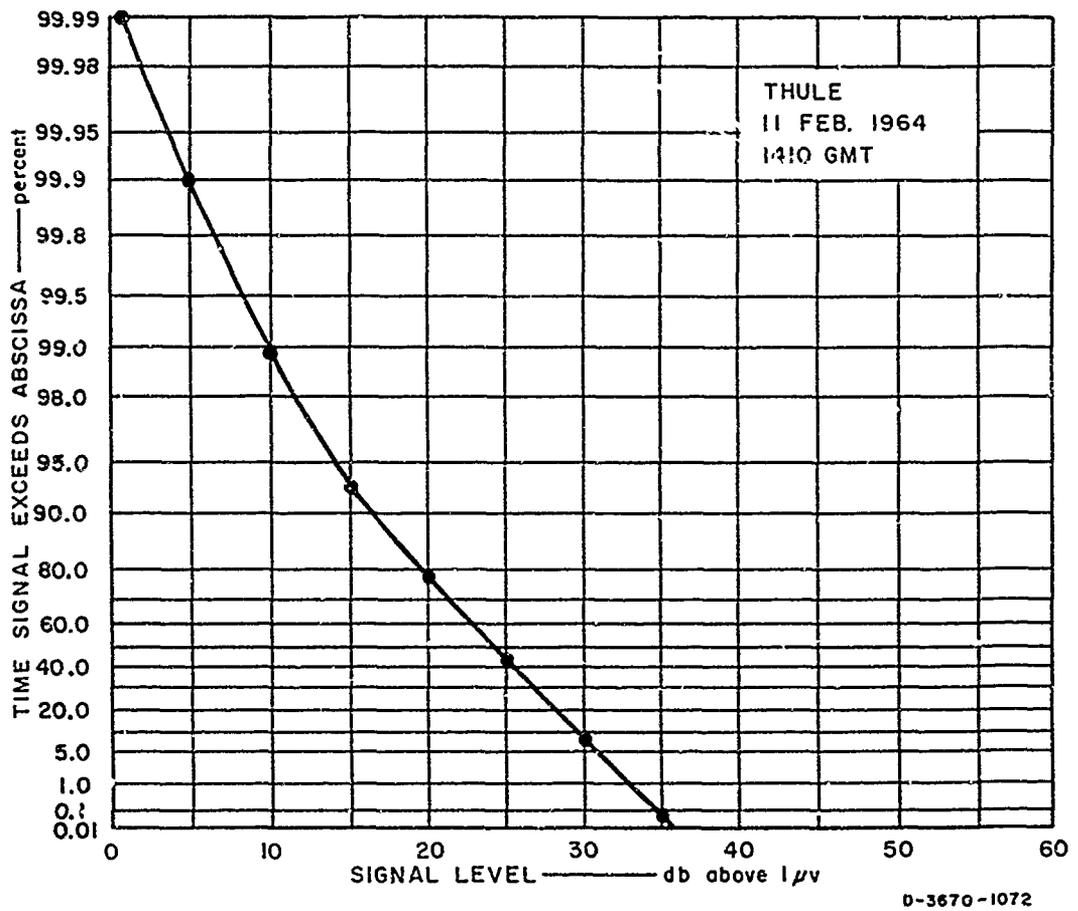


FIG. 12(b) AMPLITUDE DISTRIBUTION — THULE, 1410 GMT, 11 FEBRUARY 1964

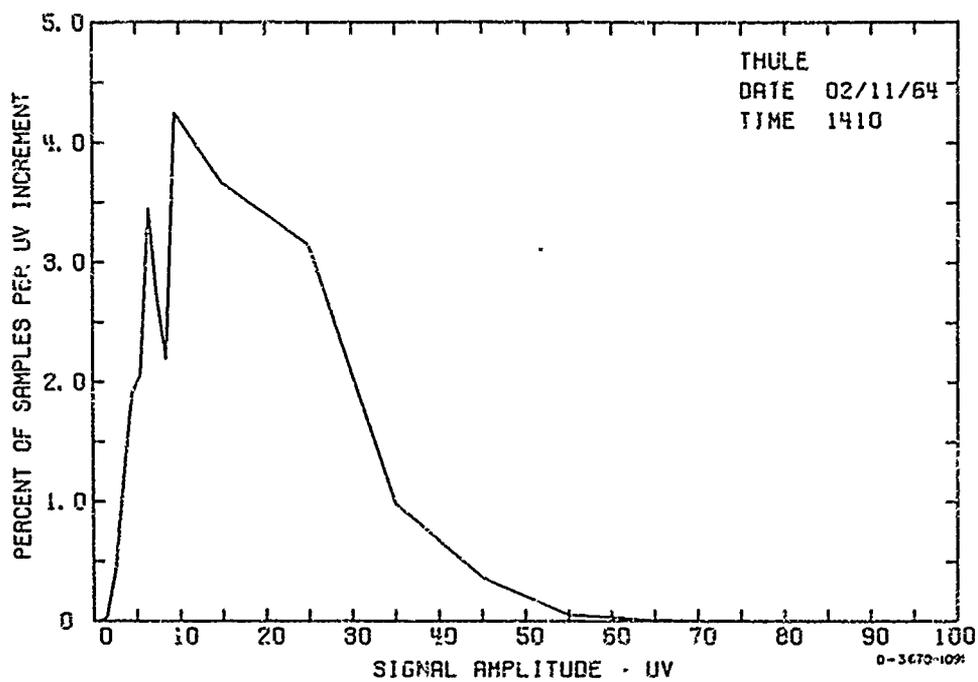


FIG. 13(a) AMPLITUDE DENSITY ( $\mu v$ ) — FORT MONMOUTH 1420 GMT  
11 FEBRUARY 1964

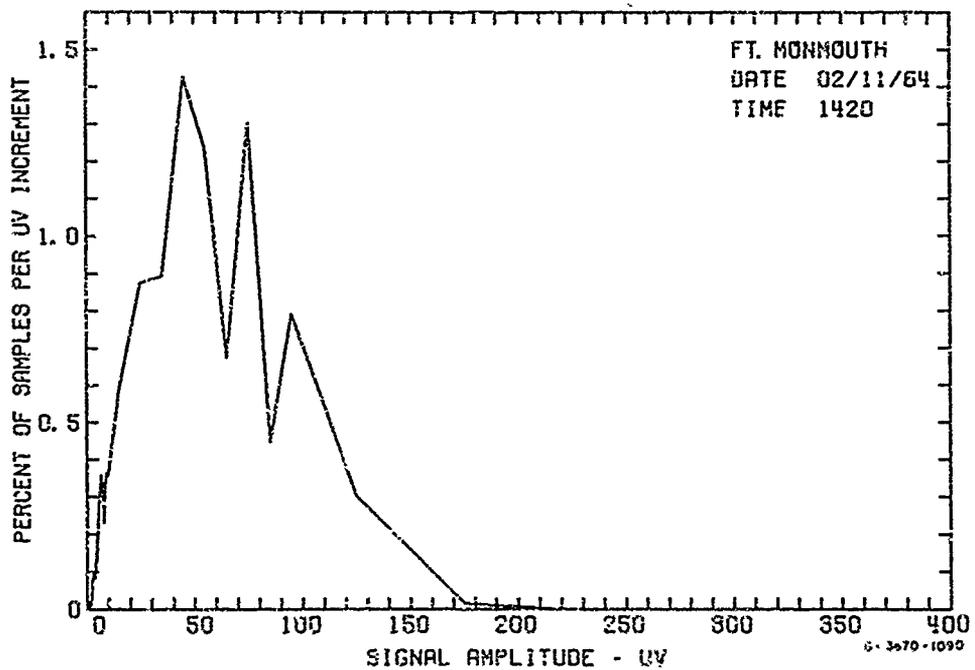


FIG. 13(b) AMPLITUDE DENSITY ( $\mu v$ ) — THULE 1410 GMT, 11 FEBRUARY 1964

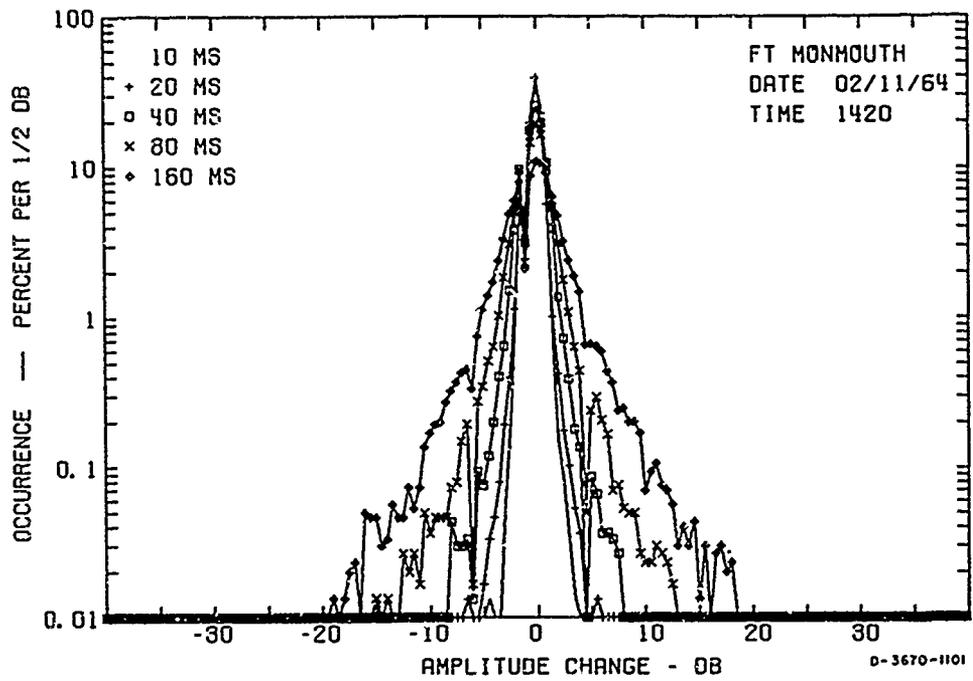


FIG. 14(a) AMPLITUDE CHANGE DENSITY — FORT MONMOUTH 1420 GMT, 11 FEBRUARY 1964

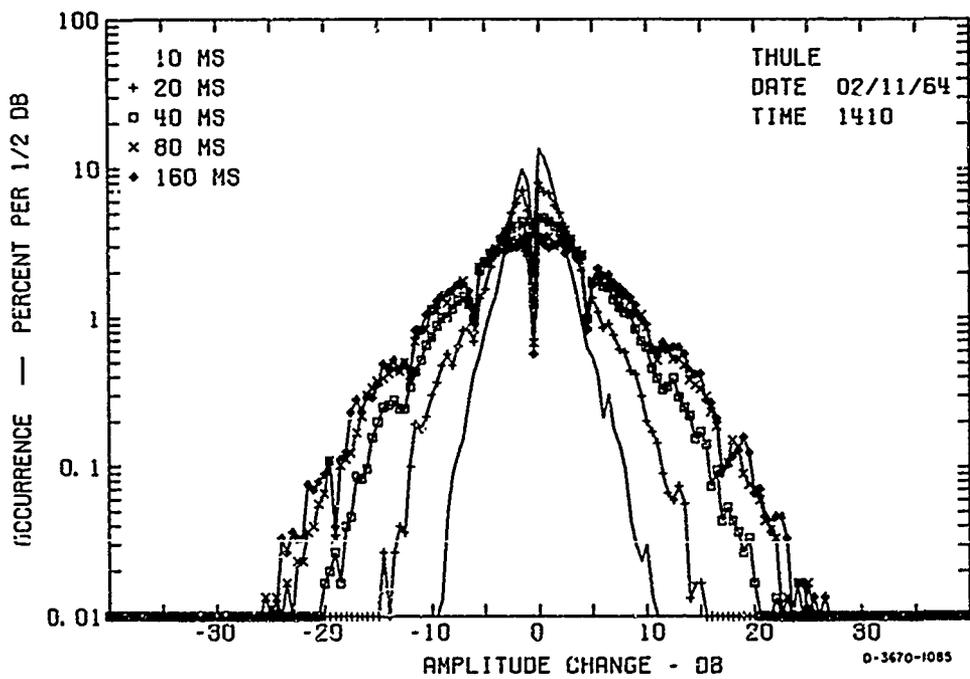


FIG. 14(b) AMPLITUDE CHANGE DENSITY — THULE 1410 GMT, 11 FEBRUARY 1964

### c. Phase Plots

The phase of the received signal varies with time. Diurnal changes in phase (or frequency), as the reflecting ionospheric layers rise in the evening and drop again with sunrise, are slow compared to phase shifts caused by the interference between two or more modes. The daily variations in frequency, which usually amount to less than one cycle per second, will not affect the operation of phase-shift communication systems as greatly as the more rapid phase shifts induced by mode interference. The time intervals for the phase-shift plots were chosen to display the latter. Figures 15(a) through 15(e) show magnitude, direction, and frequency of occurrence of phase shifts. The form of these plots is similar to that used by General Dynamics<sup>7</sup> and by Koch, Beery and Petrie.<sup>5</sup> In the computer, the amplitude corresponding to each phase sample was compared with the average received noise level and the signal-to-noise ratio was computed for each sample in the record. Separate phase distributions were plotted for five ranges of signal-to-noise ratio (S/N). The phase data for points for which the S/N was greater than 40 db was grouped and plotted. Similarly, those points for which the S/N were between 30 and 40 db, 20 and 30 db, 10 and 20 db, and less than 10 db were also grouped and plotted separately. This procedure shows behavior of phase change for various signal-to-noise-ratio ranges.

Phase change was computed and plotted as a family of curves for time intervals between 10 and 160 msec. The resolution on these plots is to the nearest whole degree. The phase change curve is discontinuous at zero degrees on this plot. Phase changes of exactly zero degrees were divided equally between the positive and negative values and plotted so that the total percentage of positive and negative phase changes would add to 100 percent. A computer printout provided cumulative phase drift over the entire 5-minute period and the number of samples falling into each signal-to-noise ratio interval. From the latter, it is possible to determine the percentage of time a given rate of phase change occurred within each signal-to-noise ratio group. For example, Fig. 15(c) indicates 0.47 percent of the time the phase shift was  $\geq +45^\circ/40$  msec when the S/N was between 20 and 30 db. In that range are 7399 samples.

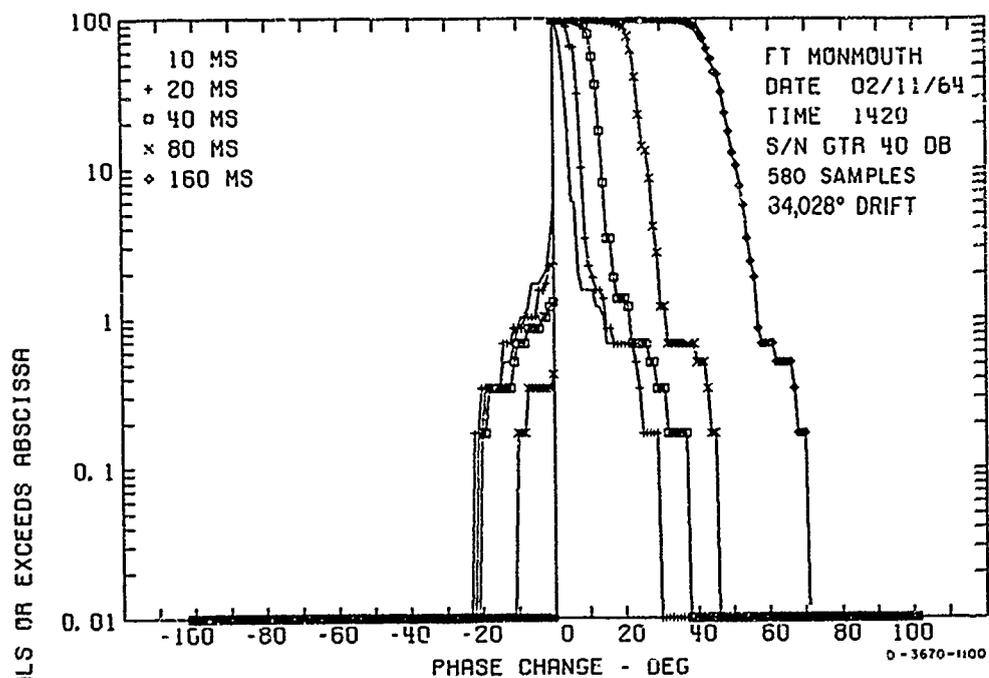


FIG. 15(a) PHASE DISTRIBUTION (S/N > 40 db) — FORT MONMOUTH  
1420 GMT, 11 FEBRUARY 1964

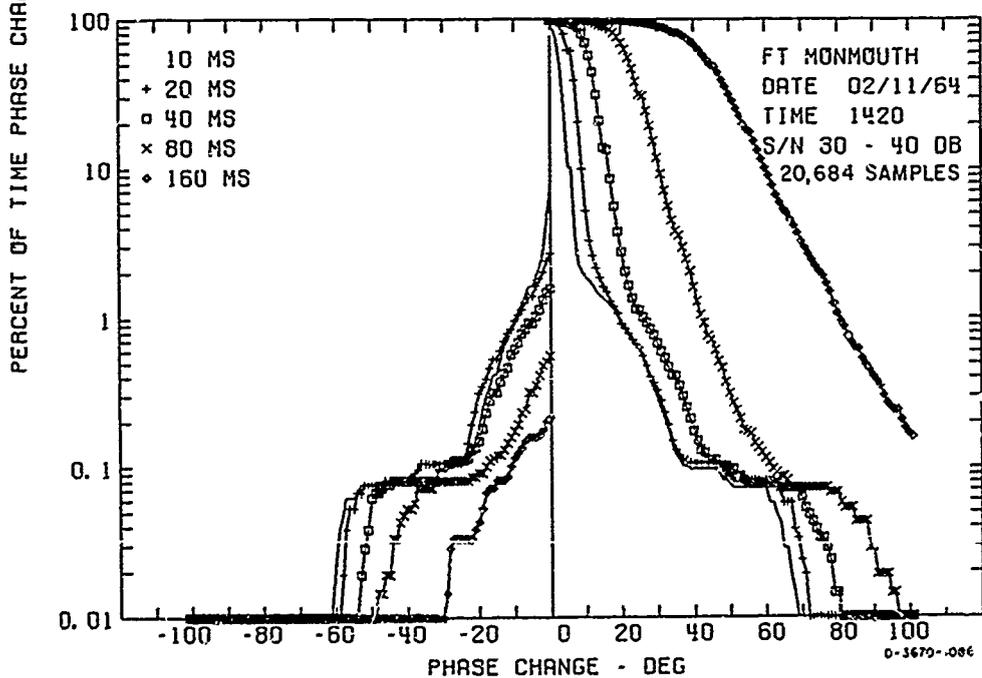


FIG. 15(b) PHASE DISTRIBUTION (S/N 30-40 db) — FORT MONMOUTH  
1420 GMT, 11 FEBRUARY 1964

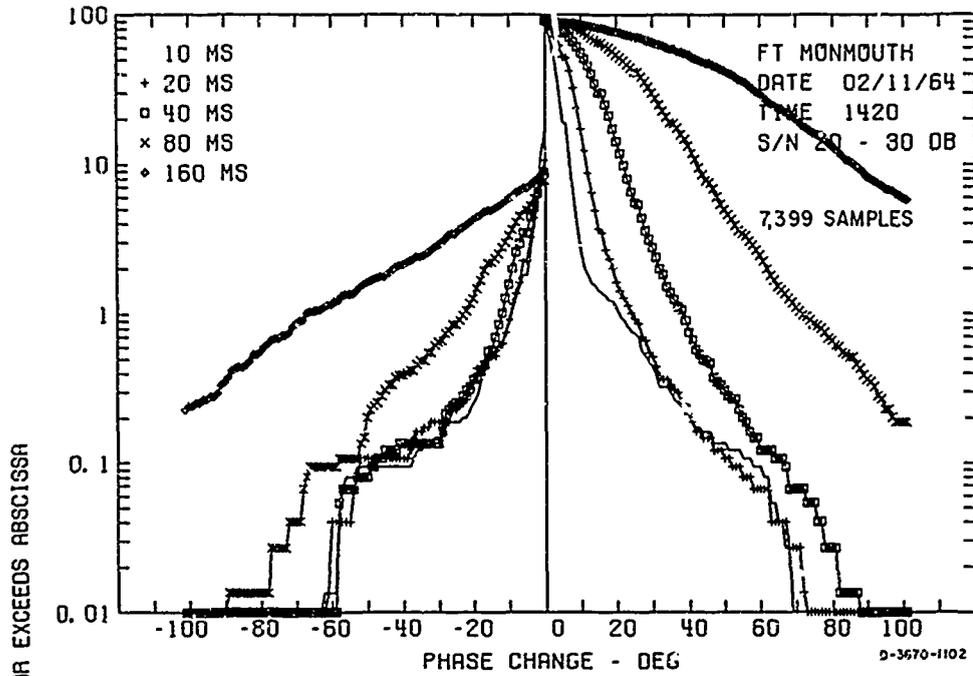


FIG. 15(c) PHASE DISTRIBUTION (S/N 20-30 db) — FORT MONMOUTH  
1420 GMT, 11 FEBRUARY 1964

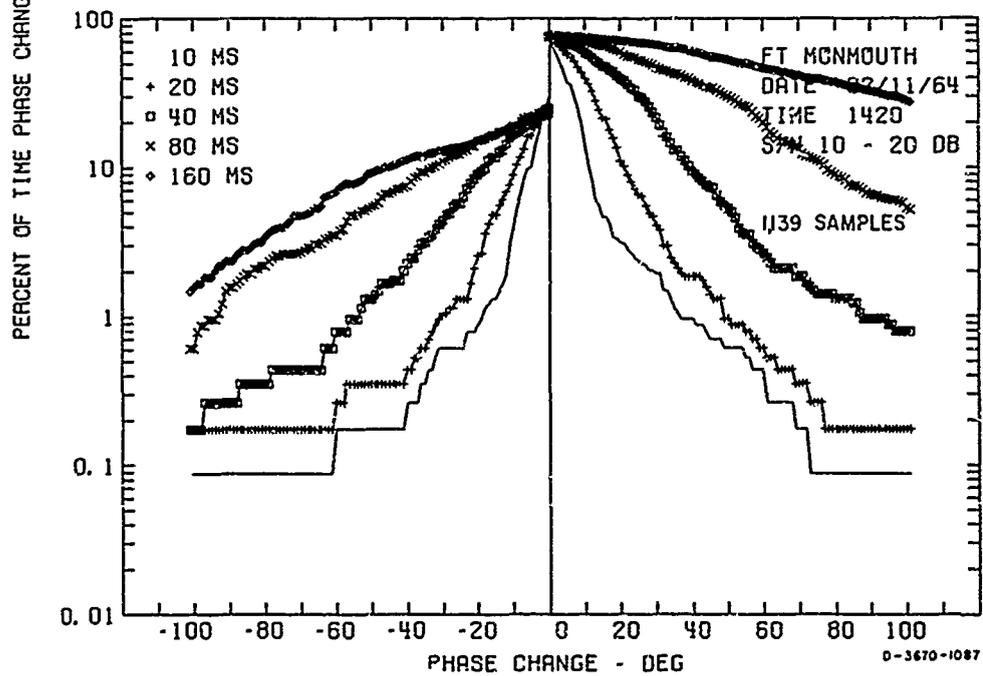


FIG. 15(d) PHASE DISTRIBUTION (S/N 10-20 db) — FORT MONMOUTH  
1420 GMT, 11 FEBRUARY 1964

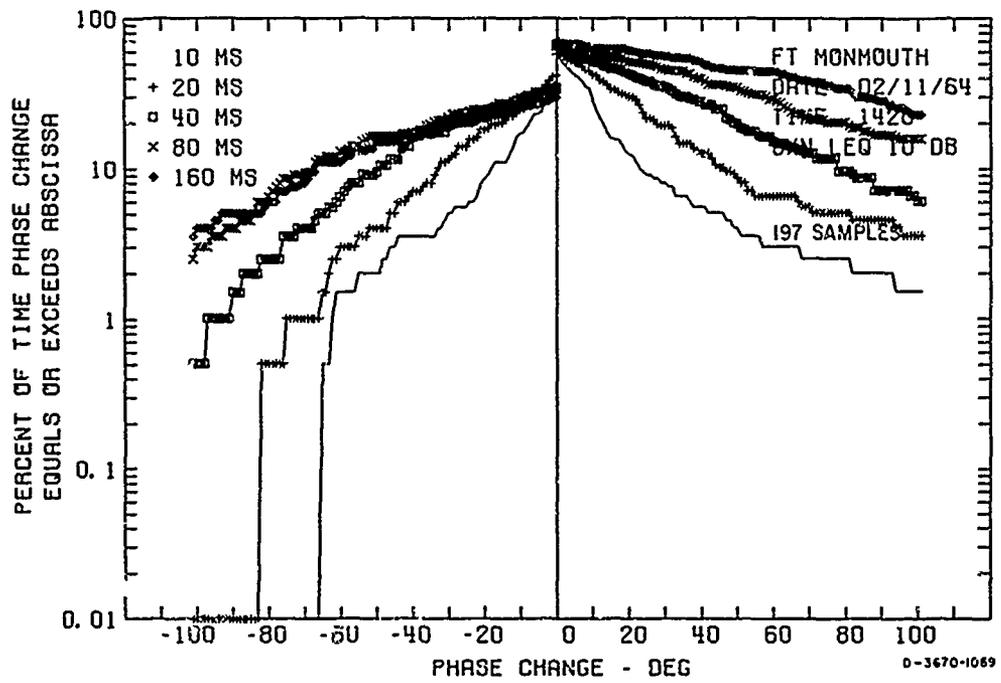


FIG. 15(e) PHASE DISTRIBUTION (S/N < 10 db) — FORT MONMOUTH 1420 GMT  
11 FEBRUARY 1964

Therefore, positive phase shifts greater than  $45^{\circ}/40$  msec occurred  $7399/29996 \times 0.47$  percent or 0.116 percent of the time during the entire 5-minute period.

Figures 16(a) through 16(c) show the same type of plot for the Thule transmission. Only three plots were compiled due to the low signal-to-noise ratio. These three plots contain 29,994 samples.

d. Power Spectrum Plots

Power spectra of the received phase-stable signal can be useful for computing error rates for FSK<sup>3</sup> and DPSK.<sup>4</sup> Models for error-rate determination require measurements of frequency and time distortion. The power spectrum shows frequency dispersion of the signal caused by layer movement and changes and irregularities in electron density. The mathematics for the computation of the power spectrum are given by Daly<sup>8</sup> and will not be treated here. Examples of power spectra from both paths are presented.

The power spectrum program computes the percent of the total power within frequency intervals of  $1/40$  cps between  $\pm 10$  cps of the received carrier frequency. Often only  $\pm 3$  cps is shown. Spectrum plots show the percentage of received power shifted by various amounts in frequency by reflections from the ionospheric layers. The plot's 0-cps is the carrier frequency of 7,366,000 cps.

Power spectra for 5 consecutive minutes of the Fort Monmouth signal are shown in Figs. 17(a) through 17(e). Examination of the ionogram taken by the sounder on the path at this time showed that the main modes contributing to the received signal were the 2F and the 3F. These modes correspond respectively to the two large spikes on the spectrum plots at about +0.49 cps and +0.9 cps. The power spectrum plots show the power received from the 2F and 3F modes varies from minute to minute. Power is represented by the area of the spike and not by its height alone.

The smaller peaks or lumps on the plots are probably due to weak higher-order modes, which are not observable on the ionogram. It is possible that the peak between the 2F and the 3F, which increases

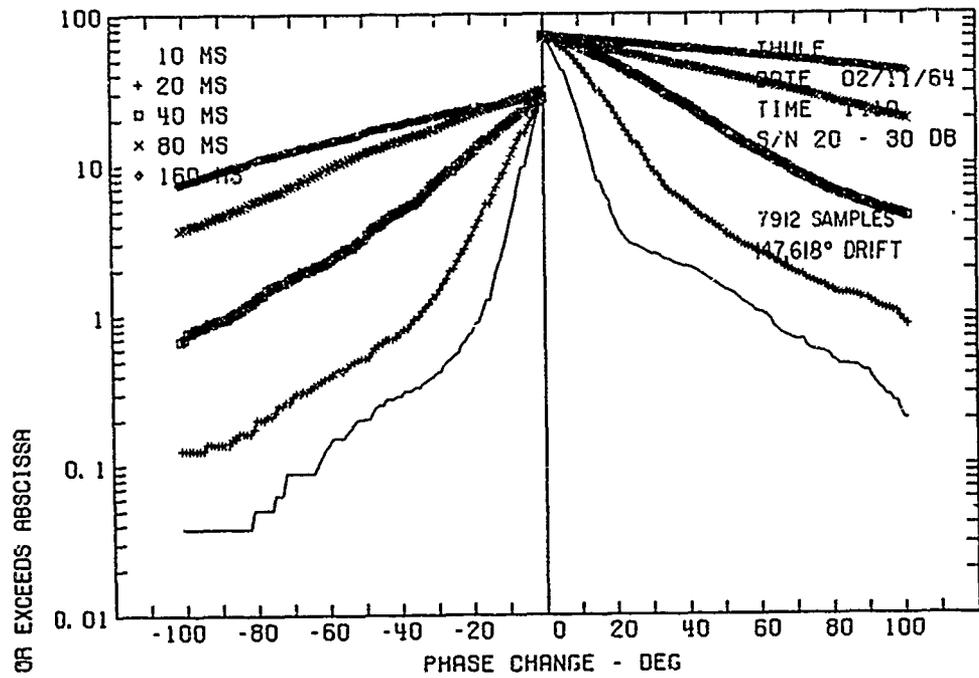


FIG. 16(a) PHASE DISTRIBUTION (S/N 20-30 db) — THULE 1410 GMT, 11 FEBRUARY 1964

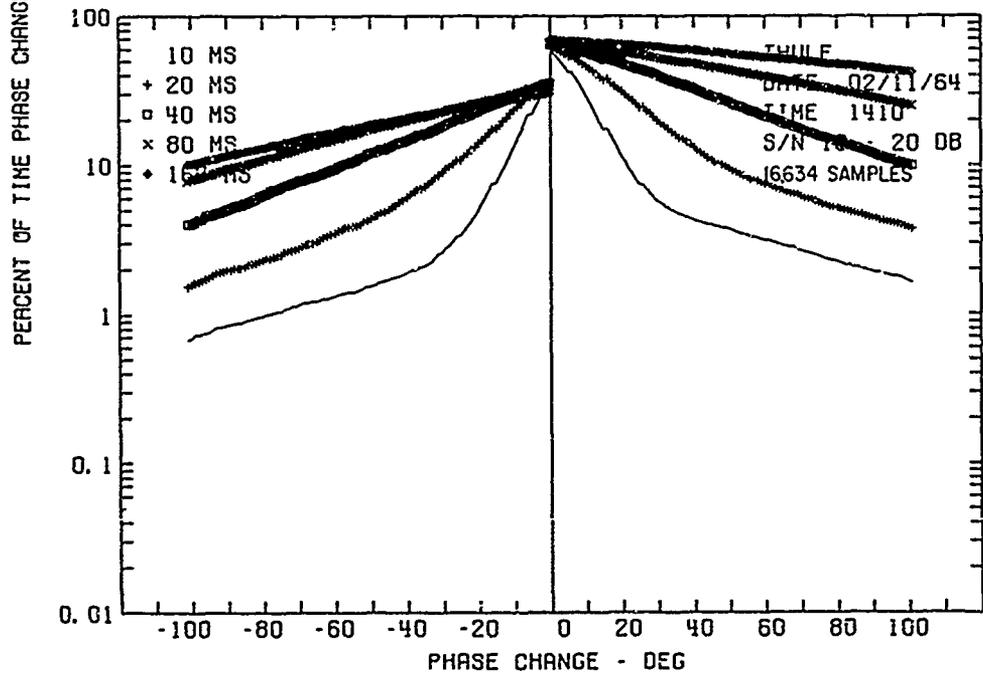


FIG. 16(b) PHASE DISTRIBUTION (S/N 10-20 db) — THULE 1410 GMT, 11 FEBRUARY 1964

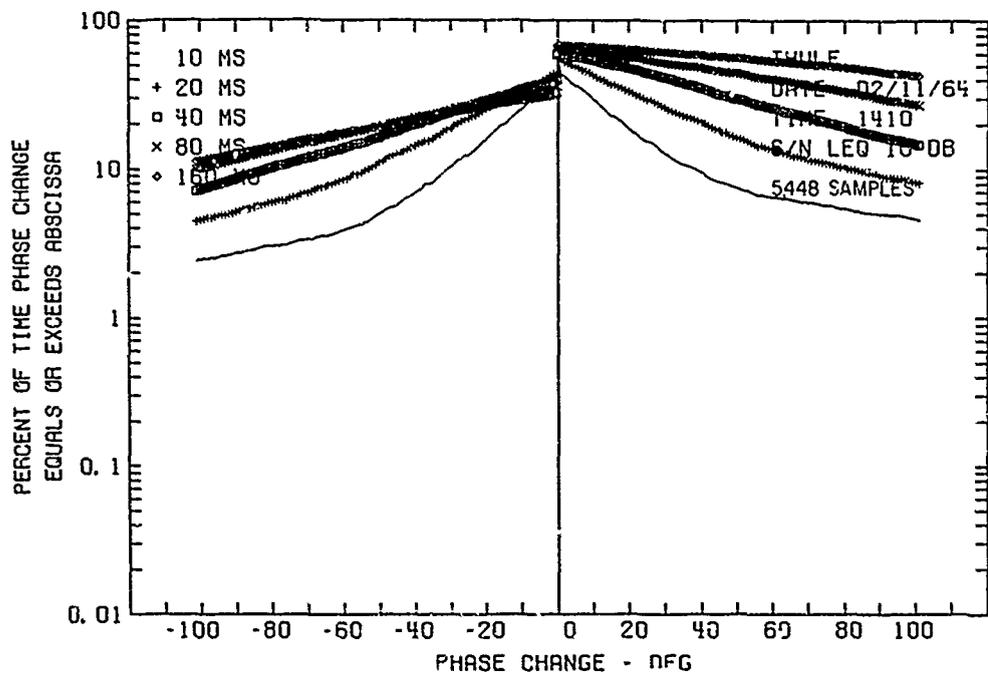


FIG. 16(c) PHASE DISTRIBUTION (S/N < 10 db) — THULE 1410 GMT,  
 11 FEBRUARY 1964

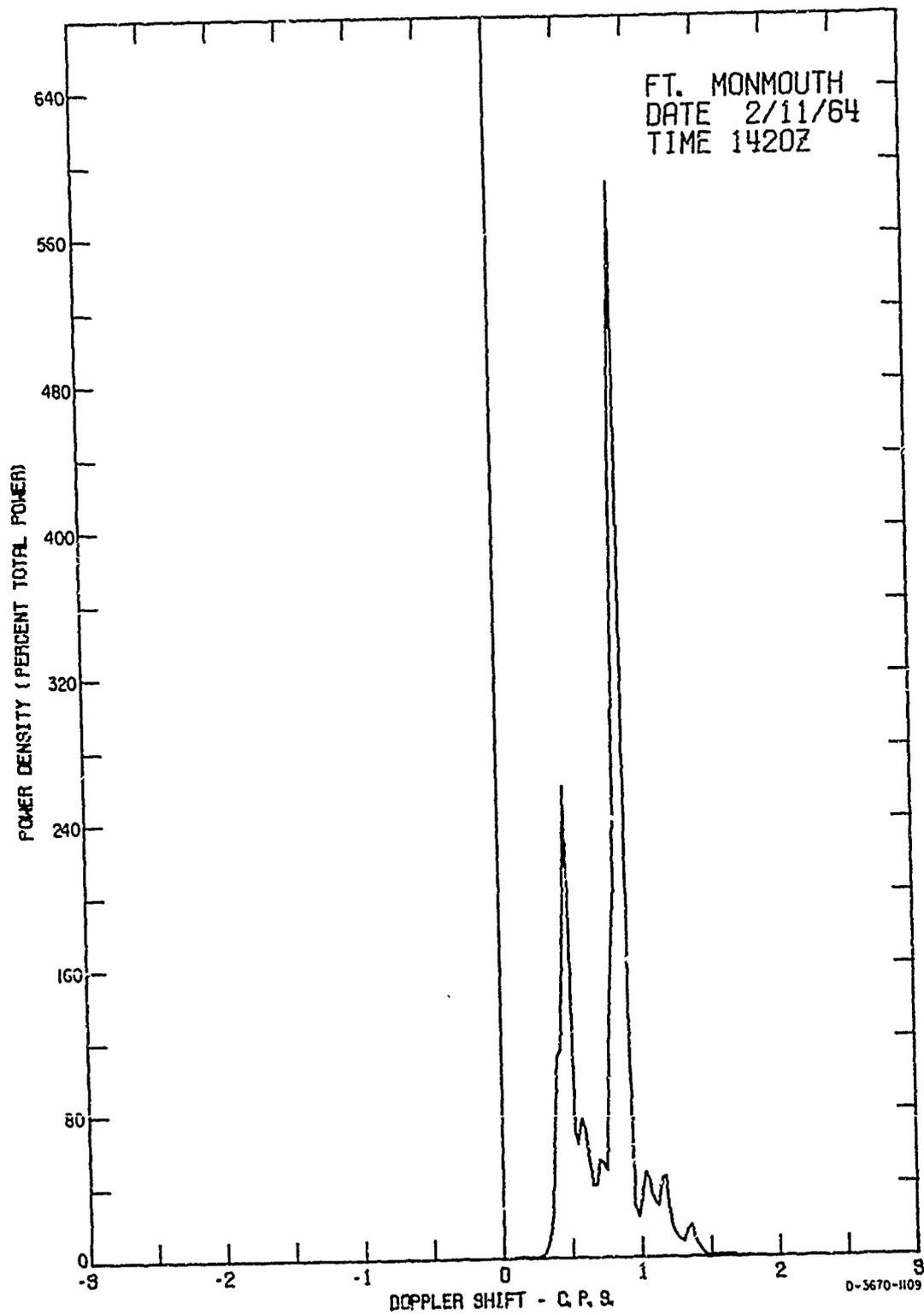


FIG. 17(a) POWER SPECTRUM — (FORT MONMOUTH) 1420 GMT, 11 FEBRUARY 1964

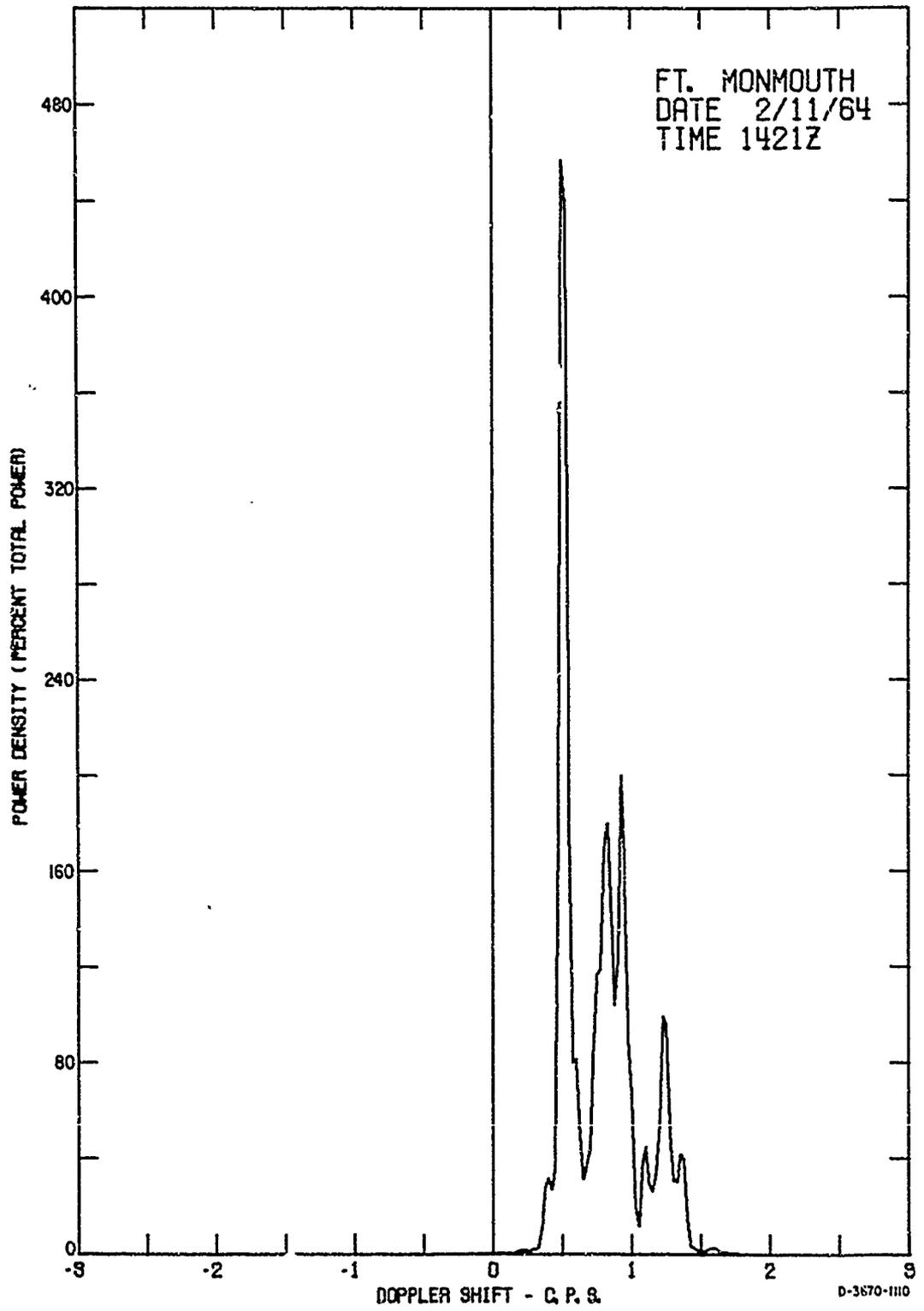


FIG. 17(b) POWER SPECTRUM -- (FORT MONMOUTH) 1421 GMT, 11 FEBRUARY 1964

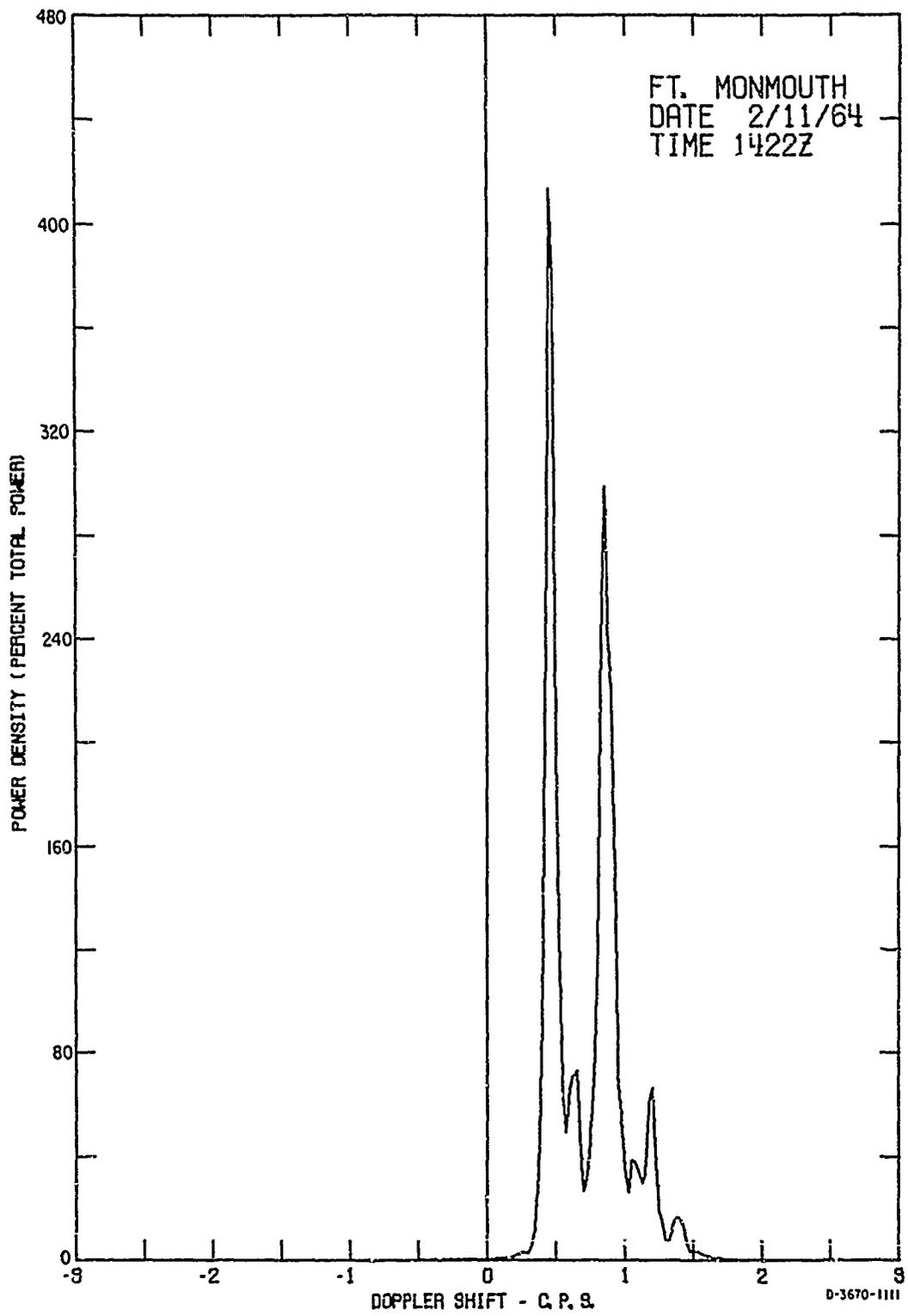


FIG. 17(c) POWER SPECTRUM — (FORT MONMOUTH) 1422 GMT, 11 FEBRUARY 1964

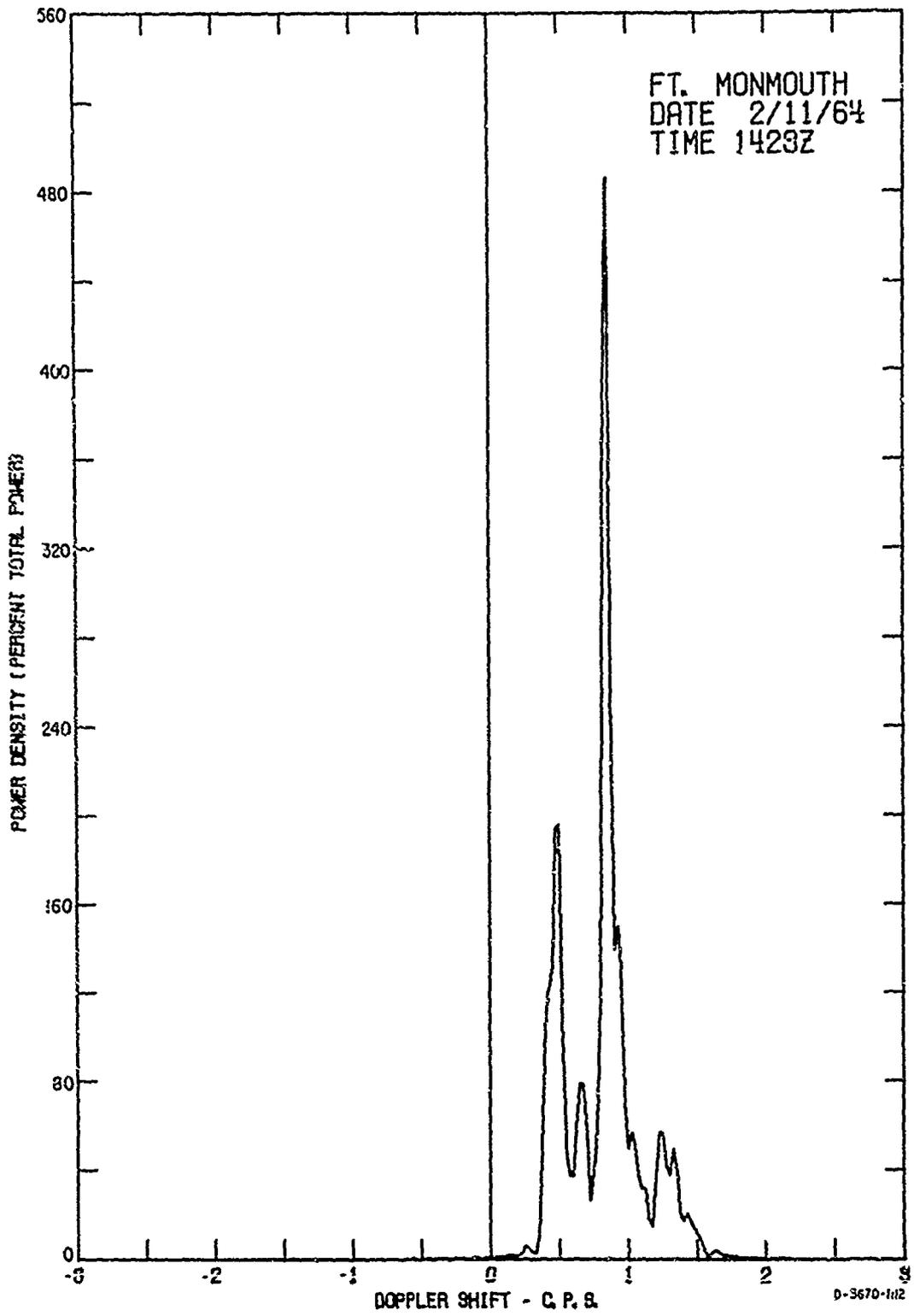


FIG. 17(d) POWER SPECTRUM — (FORT MONMOUTH) 1423 GMT, 11 FEBRUARY 1964

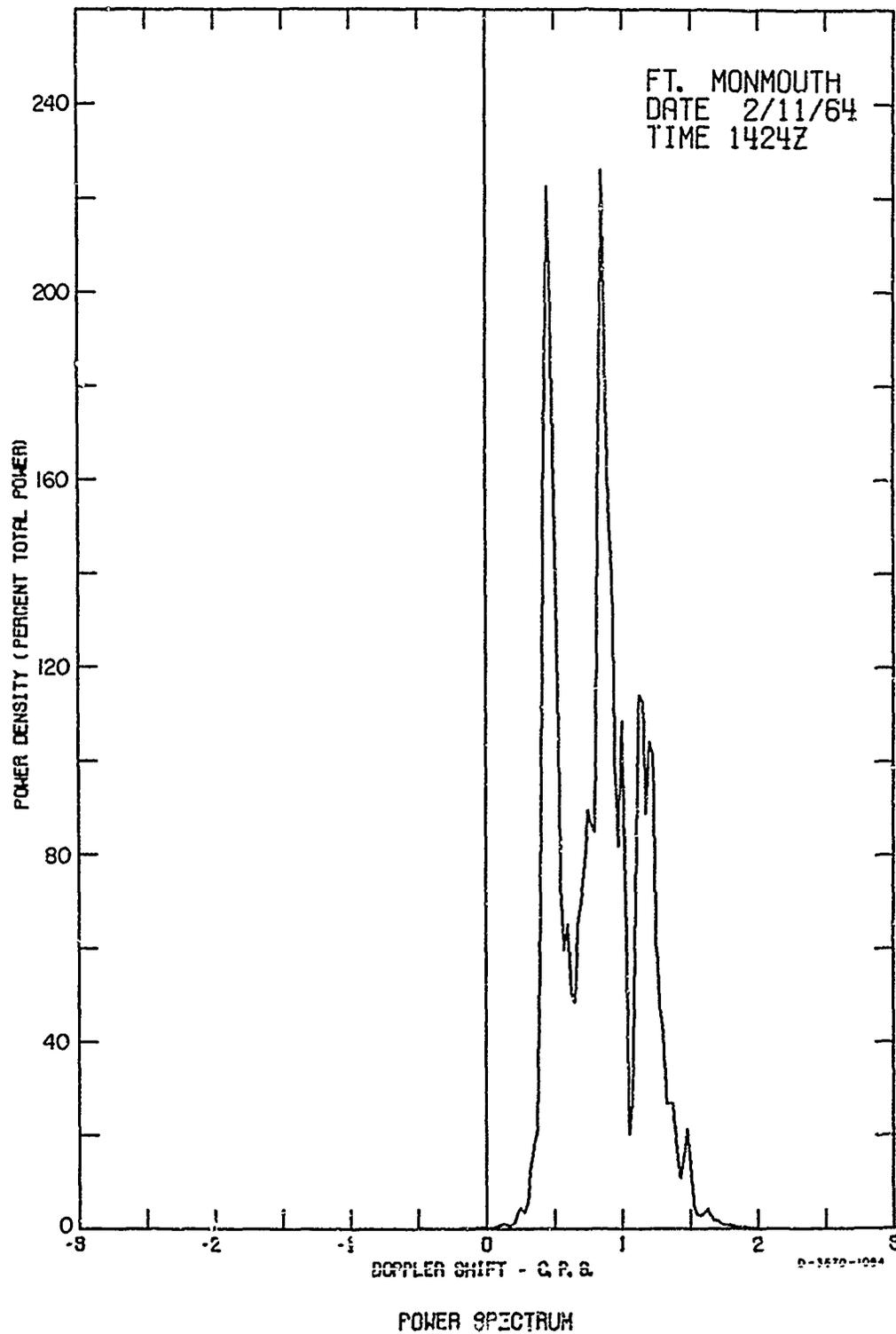


FIG. 17(e) POWER SPECTRUM — (FORT MONMOUTH) 1424 GMT, 11 FEBRUARY 1964

greatly in relative amplitude in the 1421Z spectrum, is a complex mode, as its Doppler shift is between that of the 2F and the 3F. At this time of day (1420 GMT or 0620 PST) the Doppler shift is positive as the ionosphere drops and the mode paths become shorter. Assuming a height for the F layer of 250 km, the layer height rate of change computed from each mode's Doppler shift indicates that the F layer was falling at about 15 meters per second in the first 2 minutes and about 15.5 meters per second in the last 3 minutes.

The power spectrum for one minute of the Thule signal is shown in Fig. 18. The Thule signal is spread from about 4 cps below the transmitted frequency of 7.366 Mc to about 6 or 8 cps above, whereas the Fort Monmouth signal was spread only from about 0.3 cps to 1.4 cps. This spreading is typical of the Thule to Falo Alto path, which passes through the auroral zone. This spectrum indicates the presence of many modes, each with its own Doppler shift. Ionograms for the Thule path usually have a spread appearance, unlike the clean modes which are associated with the Fort Monmouth path.

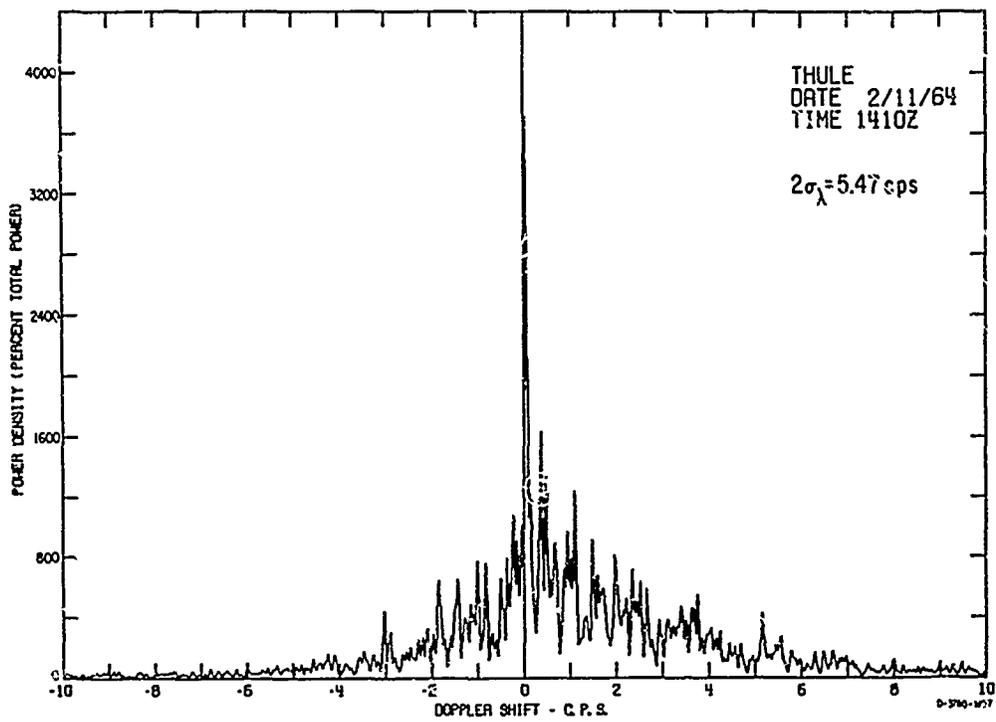


FIG. 18 POWER SPECTRUM — (THULE) 1410 GMT, 11 FEBRUARY 1964

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### III SYSTEM TESTS AND FREQUENCY ACCURACY

#### A. TEST OBJECTIVES

In order to determine the accuracy and reliability of the receiving and data processing system, data from several controlled-input tests have been reduced to plot form. By accurately controlling the input signal into the data collection system, errors induced by the various portions of the receiving and processing system can be identified and estimates of resolution made.

##### 1. Stable Phase and Amplitude Test--Receiver Excluded

The first of these controlled-input tests (Test 5001) was conducted to determine the resolution of the receiving system exclusive of the receiver itself. The test was made by connecting batteries to the AMPLITUDE and PHASE inputs of the tape recorder. This action removed the receiver and any possible variable signal source from the data collection system; consequently, Figs. 19 through 22 illustrate only errors induced by the record and playback analog tape recorders and by the computer data processing programs. The battery voltage for the AMPLITUDE channel was equivalent to an input signal of about 64 db above 1  $\mu$ v; the voltage for the PHASE channel to a phase angle of about 90°.

The amplitude density plots of Figs. 19 and 20 should show narrow spikes at very high percentage of occurrence since the amplitude of the signal should be invariant. The slight spreading and lowering of the spikes can be attributed to the record and playback tape recorders. A plot of the amplitude distribution shows that the signal is within  $\pm 1$  db of its median value about 98 percent of the time. The standard deviation  $\sigma$ , of the signal plus noise is about 0.3 db. According to the manufacturer's specifications, the signal-to-noise ratio of the recorder for maximum rms signal (that is, the peak values of the signal are at maximum frequency deviation) to rms noise at center carrier at 3-3/4 ips is 40 db. Center carrier for the tape recorder corresponds to a receiver

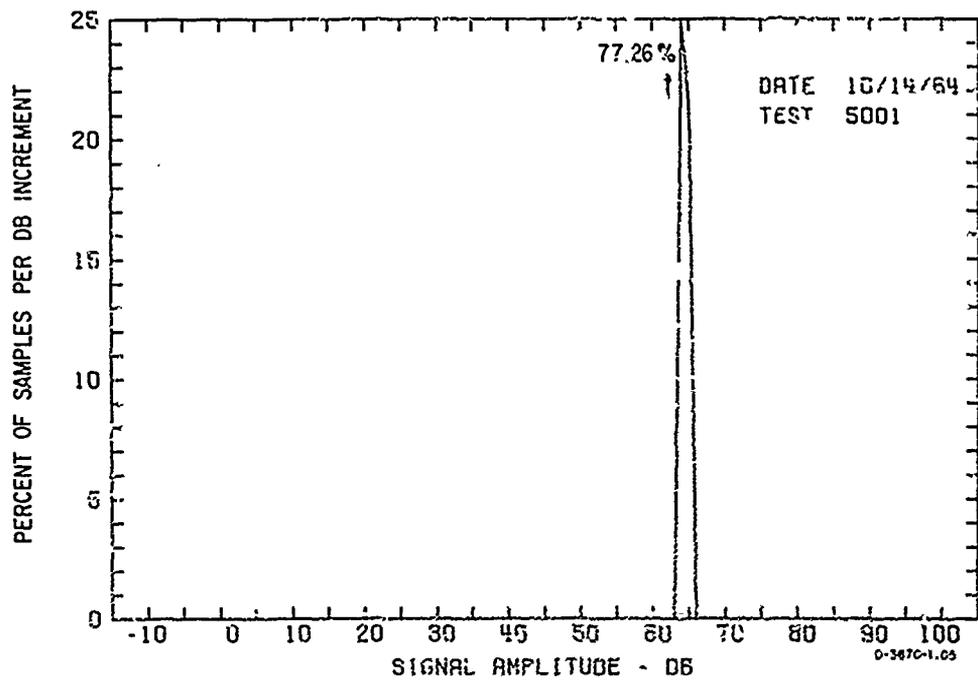


FIG. 19 AMPLITUDE DENSITY (db) — STABLE PHASE AND AMPLITUDE TEST (5001)

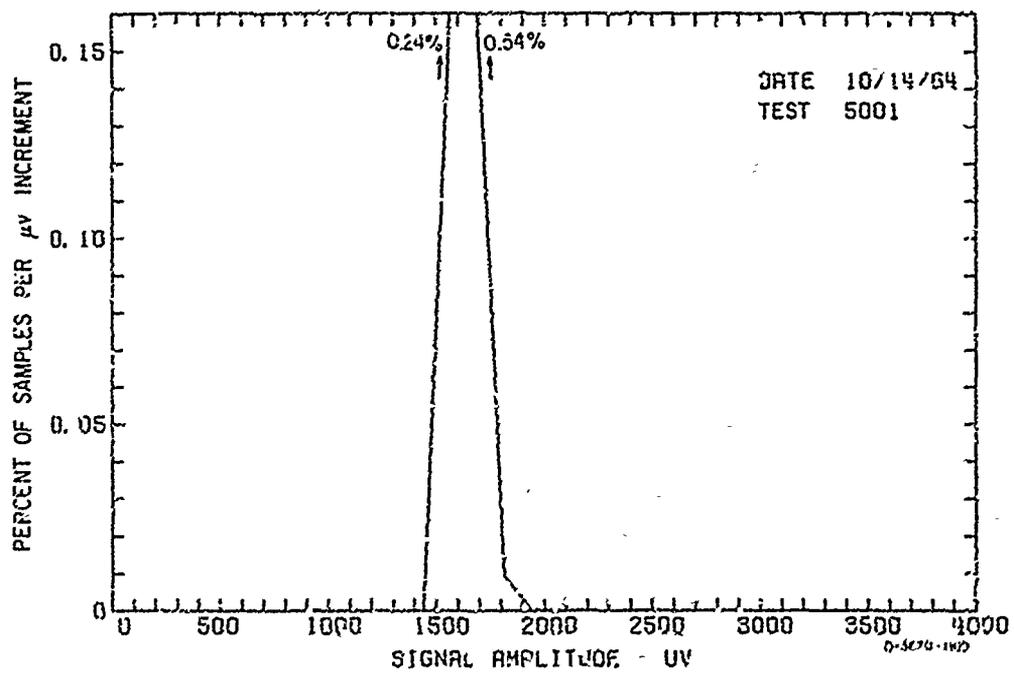


FIG. 20 AMPLITUDE DENSITY ( $\mu$ v) — STABLE PHASE AND AMPLITUDE TEST (5001)

input signal about 50 db below that which causes maximum rms frequency deviation, so the rms noise could be expected to be about 40 db down from this maximum, or about  $50 \times 0.01$  or 0.5 db. If band-limited noise statistics are applicable, we would then expect that the probability of the signal plus noise being within  $\pm 1$  db of the mean would be 95 percent. Therefore, the figure of signal variation within  $\pm 1$  db 98 percent of the time is within the specifications of the tape recorder, and the amplitude spreading is attributable to the internal noise of the tape recorder.

Figure 21 shows the phase-change plot. Since the phase change should be zero, it can be seen that an error of  $3^\circ$  or more per time interval will occur only about 1 percent of the time. Center frequency on the phase channel corresponds to a phase angle about  $100^\circ$  below the angle which causes maximum rms frequency deviation, so the rms noise, according to the manufacturer's specification, is equivalent to  $1.0^\circ$ . The standard deviation of the phase-change distribution is about  $1.1^\circ$ . It appears that both the AMPLITUDE and the PHASE channels

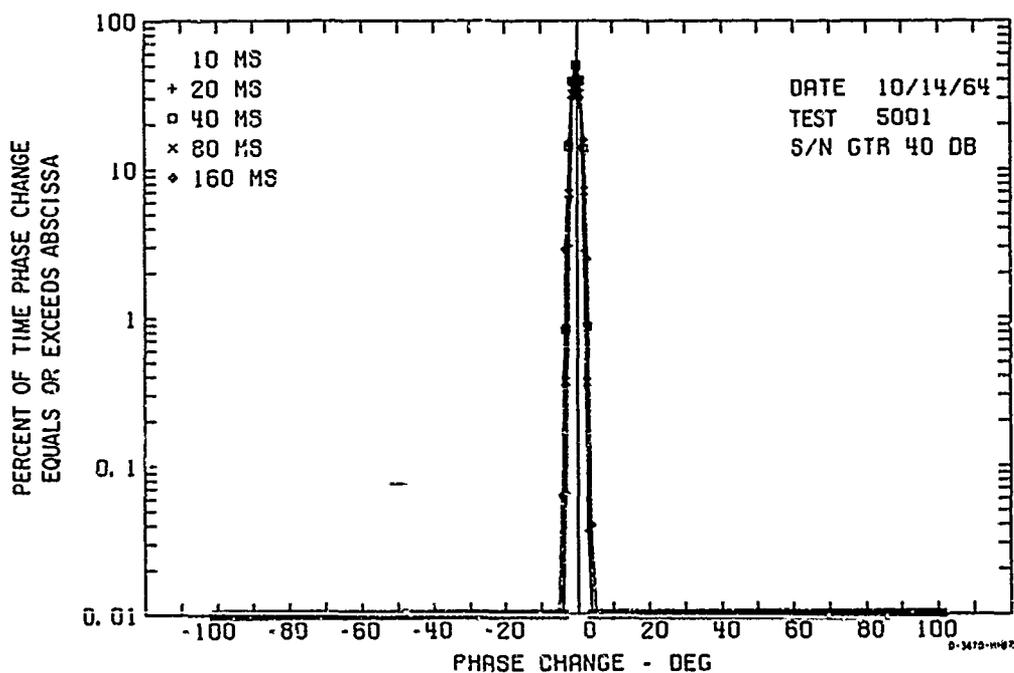


FIG. 21 PHASE DISTRIBUTION (S/N > 40 db) — STABLE PHASE AND AMPLITUDE TEST (5001)

have better signal-to-noise ratios than the manufacturer's specifications would indicate, even though the data used in the comparison were somewhat contaminated by noise inherent in the sampling and quantizing process.

A characteristic of the power spectrum program is that (since the signal is not sampled for an infinite time) even stable-frequency signals are plotted as having some width. Daly<sup>8</sup> shows the resolution of the program itself by simulating data possessing tones of 1 and 3 cps. As a measure of the resolution, his spikes can be approximated as triangles, and if this is done, then their base width is about 0.111 cps and we can never hope to realize a narrower spike. Frequency-stable signals at the carrier frequency are not plotted, because the program's inherent spread would make it misleading to do so. Instead, the amount of power exactly at the carrier frequency is given in printout form, and a continuous curve of the ac power may be drawn through the carrier frequency (0 cps) to show continuity of Doppler shifts only slightly above and below the carrier frequency.

The power spectrum for Test 5001 (Fig. 22) has a very large power component at the carrier frequency since the stable phase was simulated with a battery. This on-frequency component, which amounts to 99.69 percent of the total power, is not shown, and the figure indicates only an exaggerated view of the 0.31 percent of the total power which is offset in frequency by tape recorder variations. The tape recorder-induced wow or flutter component of about 1.75 cps can be seen on this spectrum. The power contained in this flutter component is so low in comparison with the power of the received data signal that it is detectable only on special tests and is not seen on other spectrum plots.

Figures 19 through 21 show that noise is introduced by the tape recorder in both the AMPLITUDE and the PHASE channels. Since both effects are occurring, the apportionment of the noise contribution between the two, as observed in the power spectrum of Fig. 22, is very difficult. It is not known which is the greatest contributor to the noise.

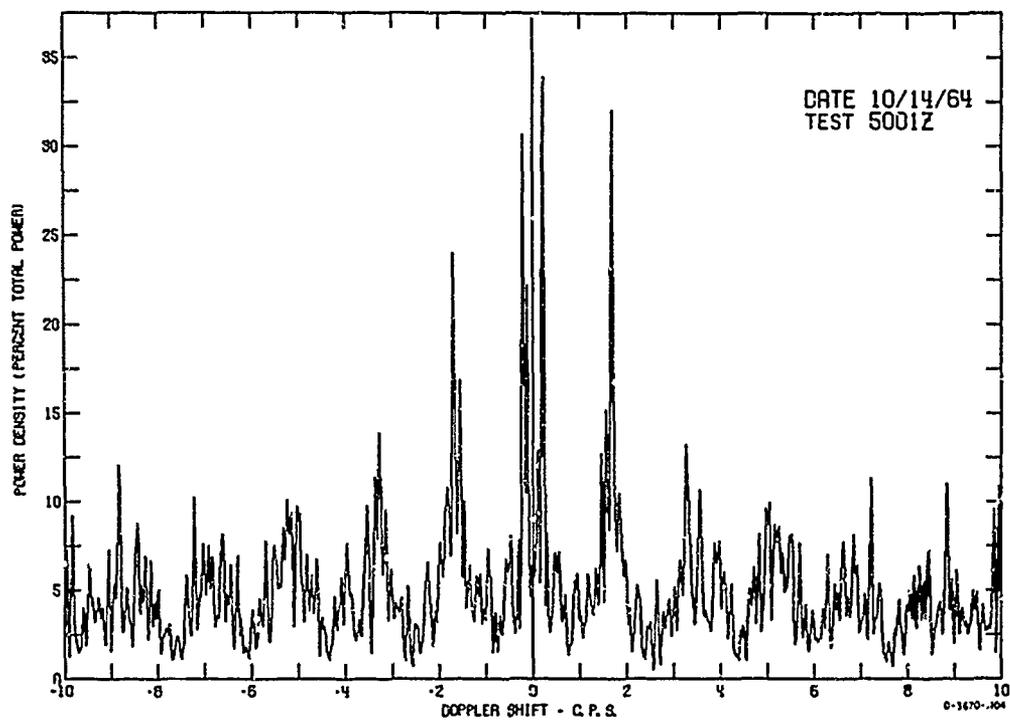


FIG. 22 POWER SPECTRUM — STABLE PHASE AND AMPLITUDE TEST (5001)

## 2. Stable Phase and Amplitude Test--Receiver Included

Controlled-input tests 5002 and 5003 are similar in purpose to Test 5001 except that the receiving system itself is also examined under conditions of stable phase and amplitude. These two tests use as an input to the receiver a 7,366,000-cps phase-stable signal from a Rohde and Schwarz XJA Frequency Synthesizer. The synthesizer was operating with its frequency locked to the local frequency standard. Therefore, there should be no phase drift. The signal levels into the receiver were at about 60 db and 20 db above 1  $\mu$ v for Tests 5002 and 5003, respectively. This allows comparison of receiver noise effects at two signal levels.

Figure 23, the amplitude density for the 60-db signal, is not significantly different from Fig. 19, indicating that at least at this high signal level, the receiver-frequency synthesizer combination does not add noise beyond that referred to in Test 5001. The standard deviation,  $\sigma$ , of the 60-db signal plus noise is estimated at about 0.4 db. Figure 24 shows the amplitude-spreading effects of the lower, 20-db, signal level. For this test, the signal plus noise is within  $\pm 1.7$  db of the median signal of 22.2 db 98 percent of the time. The signal plus noise is within  $\pm 1.0$  db of the median only about 84 percent of the time. The standard deviation,  $\sigma$ , of the 20-db signal plus noise is about 0.7 db. This means that with the 60-db signal, the receiver has added noise sufficient to spread the standard deviation of the signal plus noise an additional 0.1 db beyond the figure of Test 5001. With the 20-db signal, the increase in  $\sigma$  is, of course, greater.

The phase-change plots of Figs. 25 and 26 are shown for comparison with Fig. 21 and with each other. Since the first plot was made with the same high signal level as in Test 5001, it is interesting to note that the phase change is certainly no greater than when the receiver was not included. The receiver-frequency synthesizer combination added no noticeable phase noise over that determined in Test 5001 to be caused by the tape recorders.

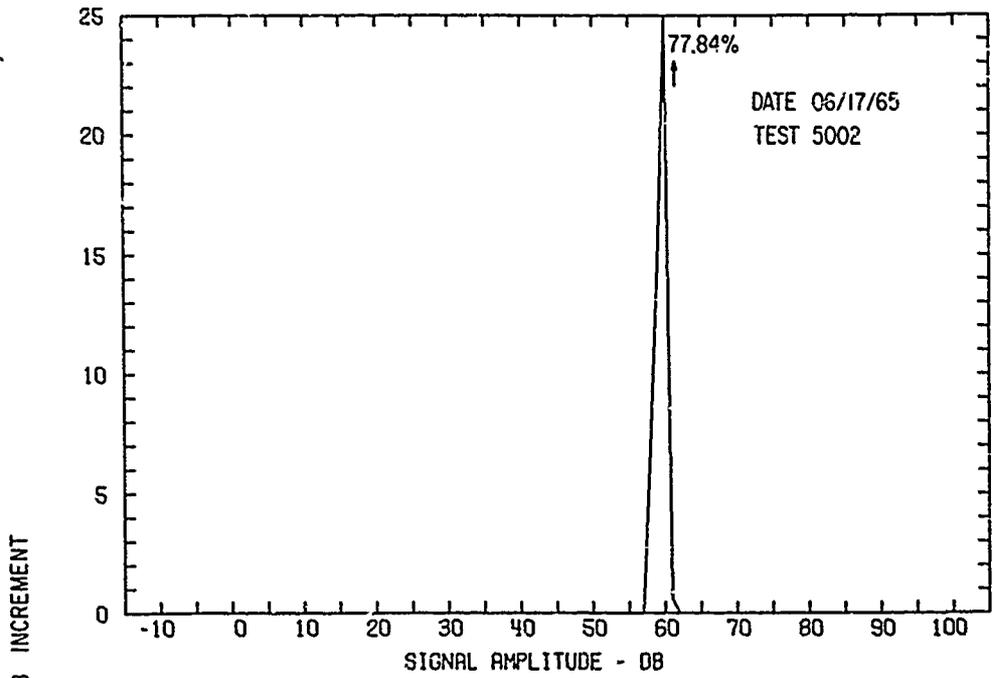


FIG. 23 AMPLITUDE DENSITY (db) — STABLE PHASE AND AMPLITUDE TEST (5002)

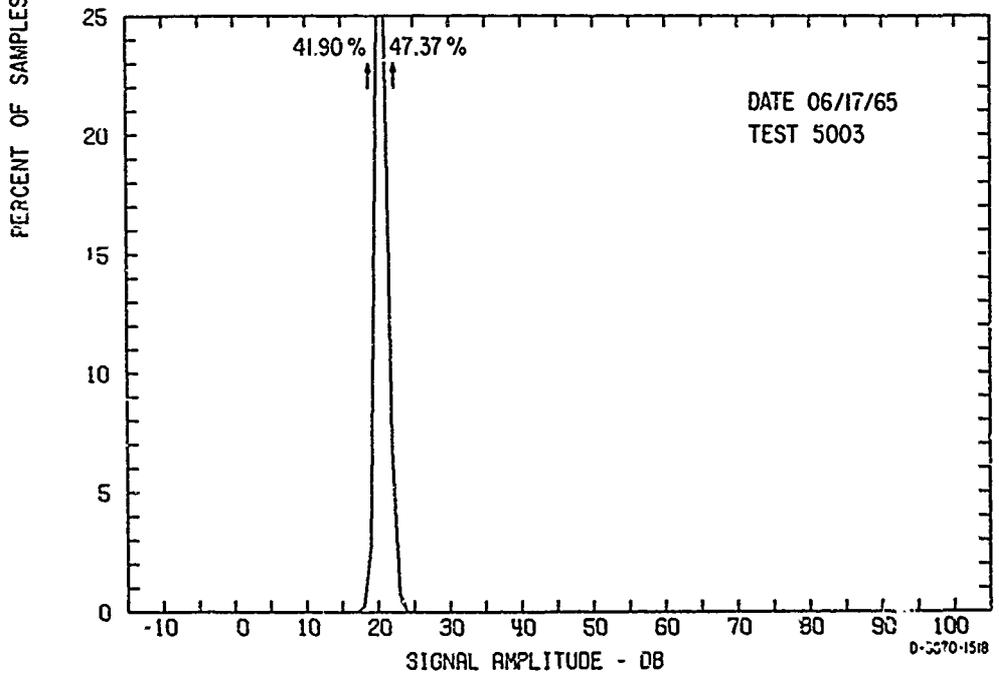


FIG. 24 AMPLITUDE DENSITY (db) — STABLE PHASE AND AMPLITUDE TEST (5003)

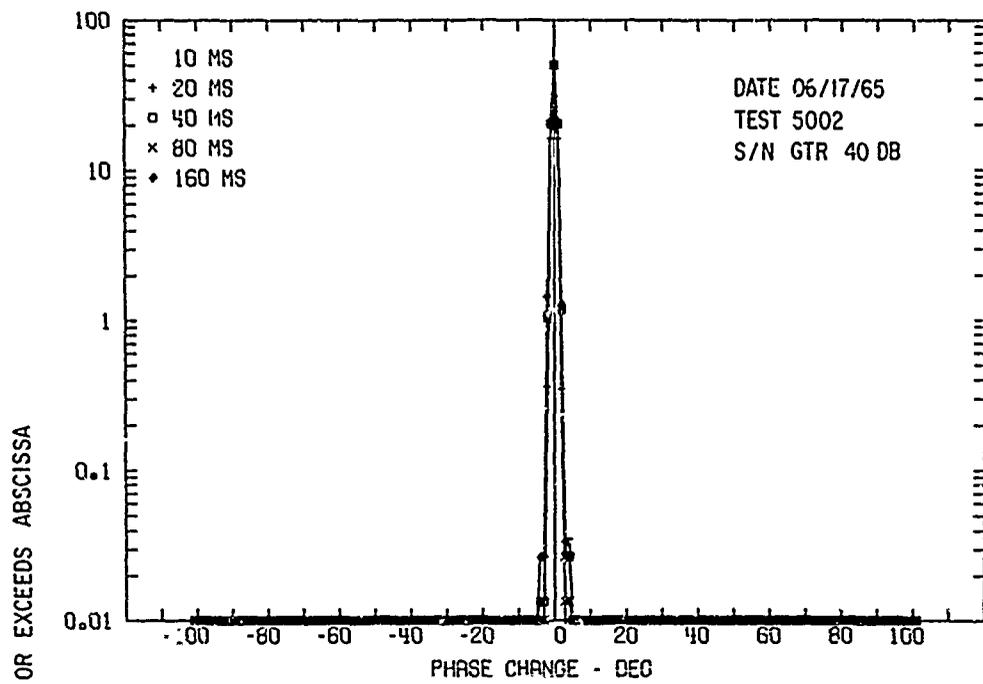


FIG. 25 PHASE DISTRIBUTION — STABLE PHASE AND AMPLITUDE TEST (5002)

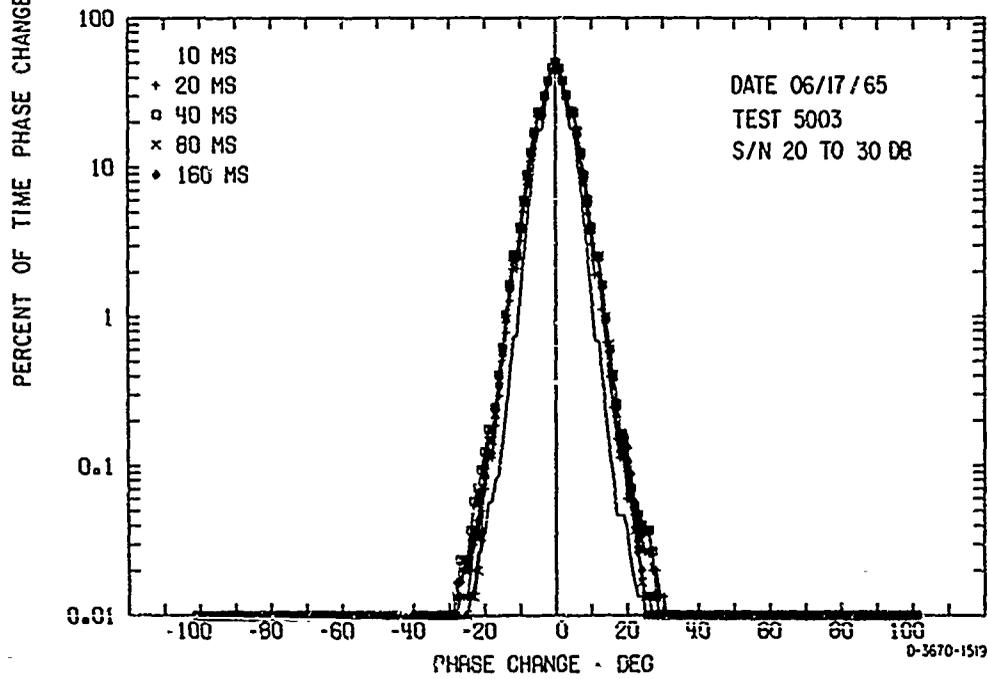


FIG. 26 PHASE DISTRIBUTION — STABLE PHASE AND AMPLITUDE TEST (5003)

Test 5003 (Fig. 26) shows that for the 20-db signal level, this is certainly not so. Evidently at this signal level, approximately 40 db down from the high level, the phase noise is appreciable. In fact, the probability of a phase change of 3 degrees or more increases by a factor of about 1500 from about 0.02 percent of the time to about 30 percent of the time. This plot reflects the randomness of the phase of the receiver noise, which is of much greater consequence when the signal is of the order of 20 db above 1  $\mu$ v rather than about 60 db. Positive and negative phase changes occur with approximately equal probability. Since the curves for all time intervals with the exception of the 10-msec interval are superimposed, it is evident that the phase changes are rapid and random. For a given phase change, the 10-msec curve shows a lower probability of occurrence. This is probably the result of the system not being capable of responding to some of the more rapid phase changes in as short a time as 10 msec.

The spectrum plot for Test 5002 (Fig. 27) shows the same sort of tape-recorder-induced spikes as does Fig. 22, although their spacing is not the same. Another noticeable effect on this spectrum is the dispersion of the signal in the immediate vicinity of the oscillator frequency, but far enough off that it is plotted as off-frequency power. This is probably caused by the noise of the receiver-frequency synthesizer combination. Figure 22 did not display this effect. Figure 27 shows only 0.13 percent of the total power, the remaining 99.87 percent being the on-frequency component.

When the signal level was decreased about 40 db for Test 5003, the percent of on-frequency power dropped to 98.81 percent of the total power, and the tape recorder spikes became obscured in the noise. Still apparent in Fig. 28, however, is the signal which is just off zero Doppler shift. This spectrum shows only part of the 1.19 percent of the total power which is off-frequency. Since it appears that the noise signal is not decreasing near the edges of the window  $\pm 10$  cps about zero Doppler shift, it can be concluded that this is an approximation to white noise and that it extends in frequency until attenuated by the bandpass filters.

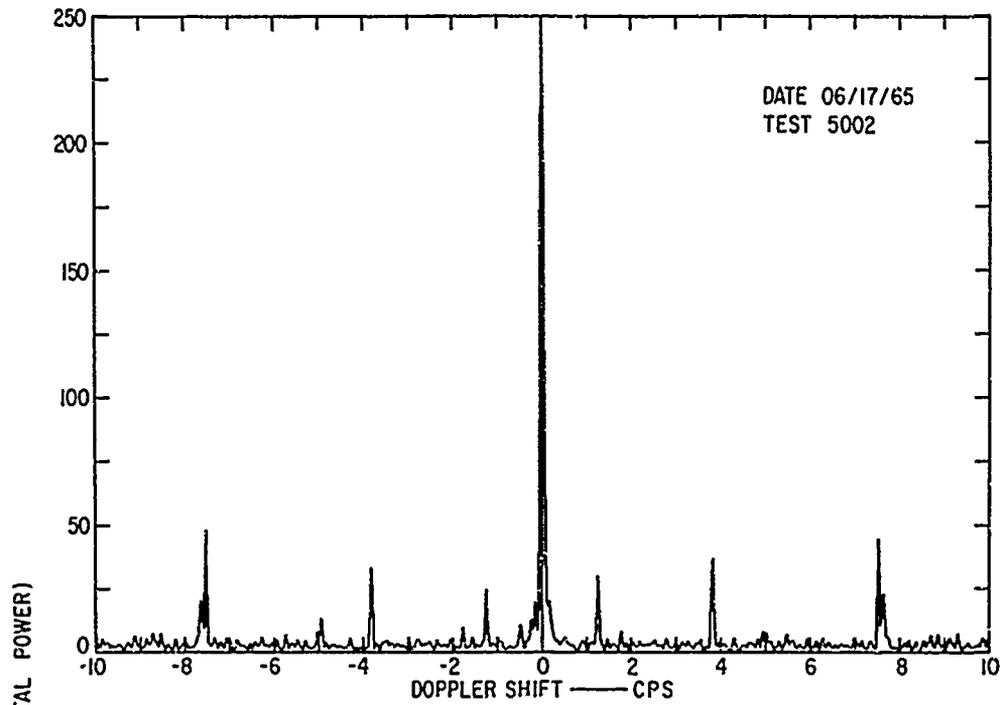


FIG. 27 POWER SPECTRUM — STABLE PHASE AND AMPLITUDE TEST (5002)

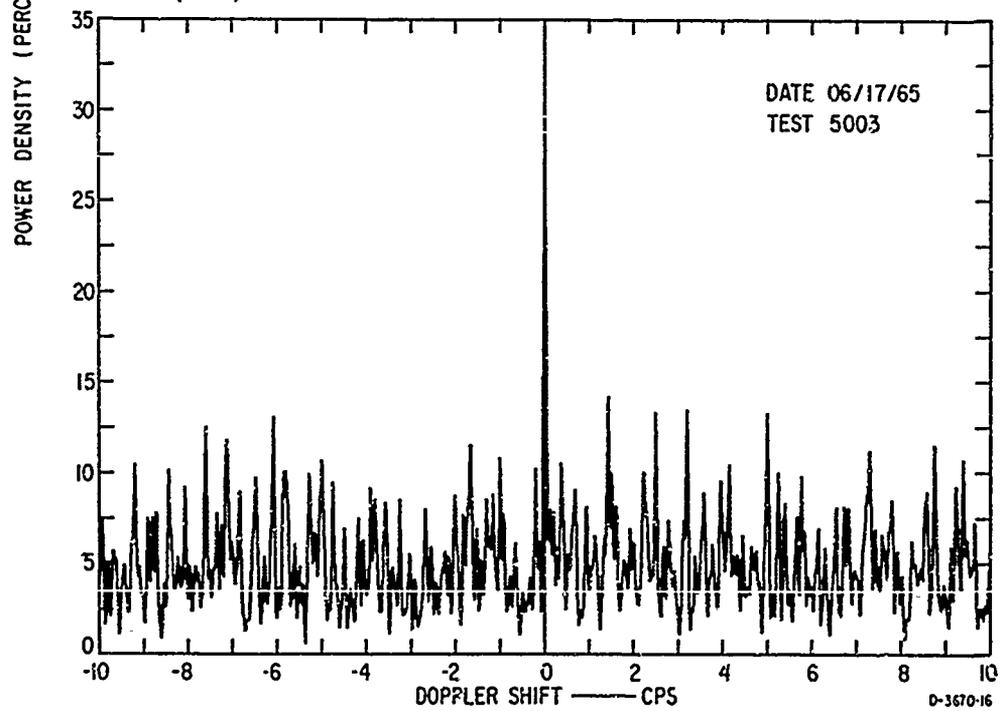


FIG. 28 POWER SPECTRUM — STABLE PHASE AND AMPLITUDE TEST (5003)

### 3. Stable Frequency and Amplitude Test

With the available system resolution from the previous test known, it is interesting to estimate the effects of the receiver by another study. In this stable frequency and amplitude test (Test 5102), the system is evaluated on other than a phase-stable signal.

The amplitude of the input signal was set to about 62 db above 1  $\mu$ v at the frequency was offset about -0.65 cps to 7,365,999.35 cps. A Rohde-Schwarz Model XUA Frequency Synthesizer was used to generate this input. This synthesizer will lock on to an external frequency standard at 1-kc intervals but uses its own internal standard for other frequencies. The frequency drift of this standard is approximately 5 parts in  $10^8$  per day. The input signal simulates a single mode with no fading effects but with constant upward layer movement. The signal could be represented by a vector of constant amplitude and rotation rate.

Figures 29 and 30 are the amplitude-density plots for this signal. As was the case with Test 5002, the plots are both somewhat wider than those shown in Figs. 19 and 20, due in part to the added factor of receiver noise. The amplitude distribution, Fig. 31, on probability paper, shows that the output is normally distributed about a mean of 62.2 db with a standard deviation,  $\sigma$ , of 0.6 db. In this test, the addition of the unlocked frequency synthesizer caused the amplitude data to be spread so that 86 percent of the data fell within  $\pm 1.0$  db of the mean; 98 percent fell within  $\pm 1.5$  db of the mean. This is very similar to the results of Test 5003.

Another characteristic of Test 5102, in addition to the constant input signal amplitude, was the steadily decreasing phase angle, from which the phase angle distribution of Fig. 32 is compiled. The plot would theoretically contain a set of curves indicating only negative phase change. Since the phase drift was about -0.65 cps, the 160-msec curve should indicate a maximum phase shift of  $0.65 \times 360 \times 160/1000 = -37.5^\circ$  100 percent of the time, then abruptly drop to 0 percent for greater negative shifts. The 80-msec curve should indicate  $-18.75^\circ$ ; the 40-msec curve  $-9.37^\circ$ , etc.

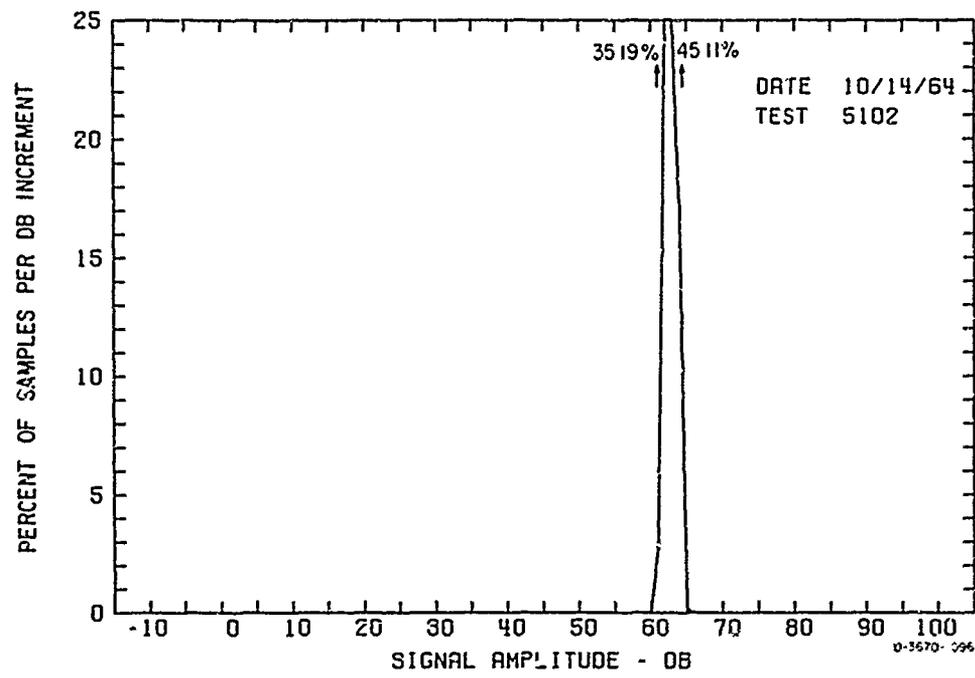


FIG. 29 AMPLITUDE DENSITY (db) — STABLE FREQUENCY AND AMPLITUDE TEST (5102)

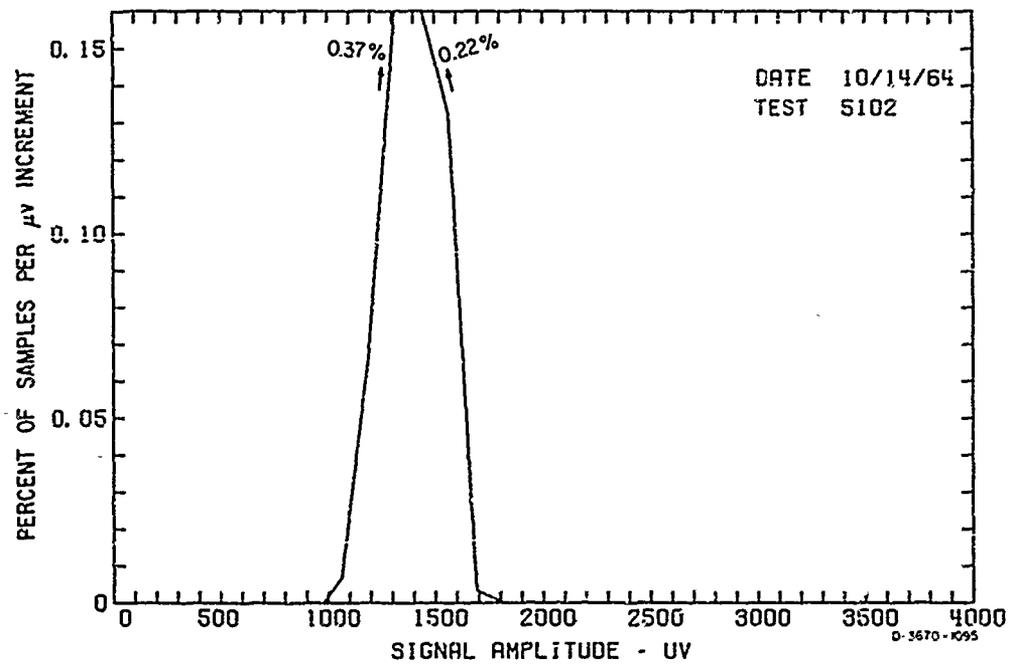


FIG. 30 AMPLITUDE DENSITY ( $\mu$ v) — STABLE FREQUENCY AND AMPLITUDE TEST (5102)

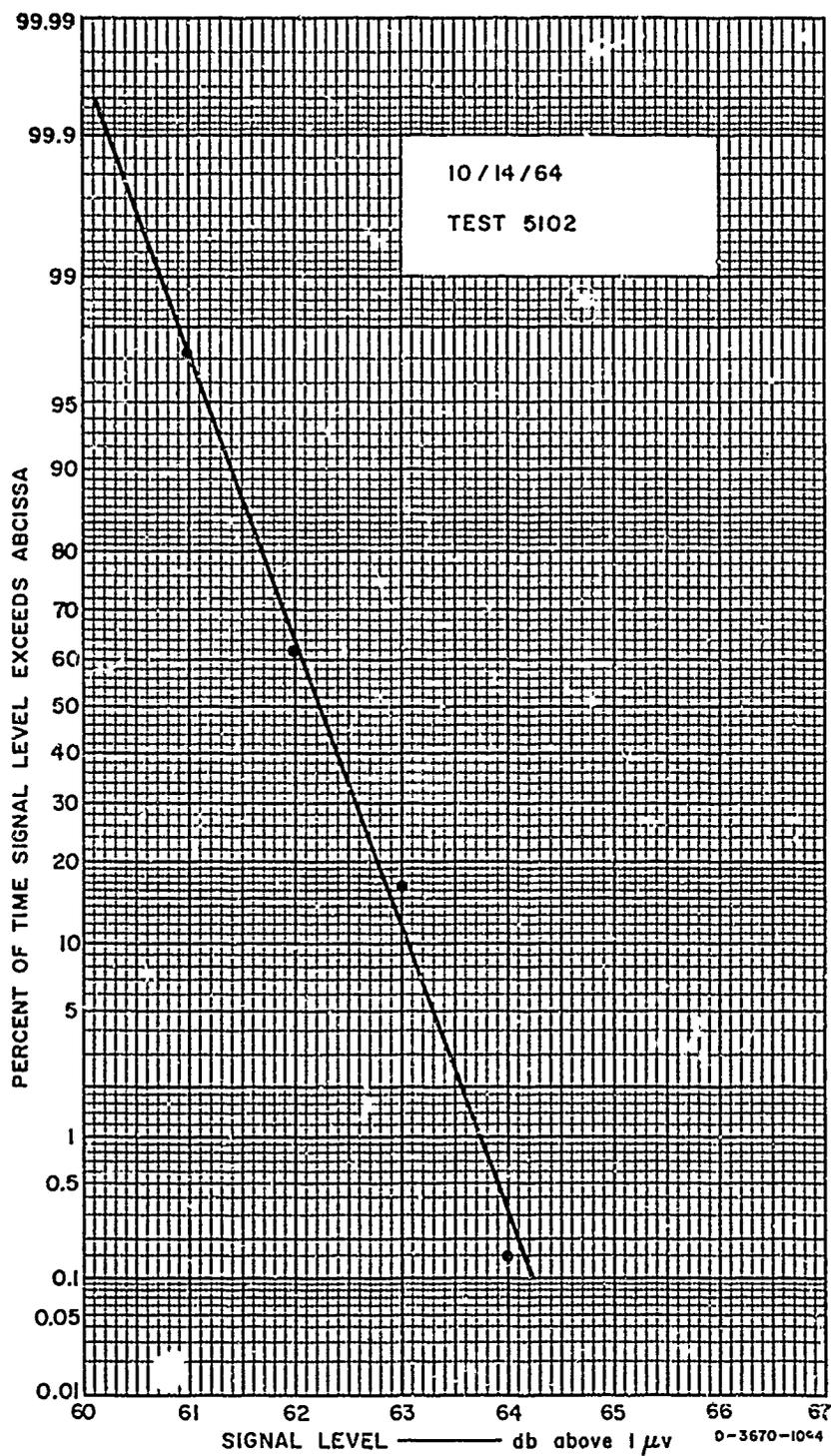


FIG. 31 AMPLITUDE DISTRIBUTION — STABLE FREQUENCY AND AMPLITUDE TEST (5102)

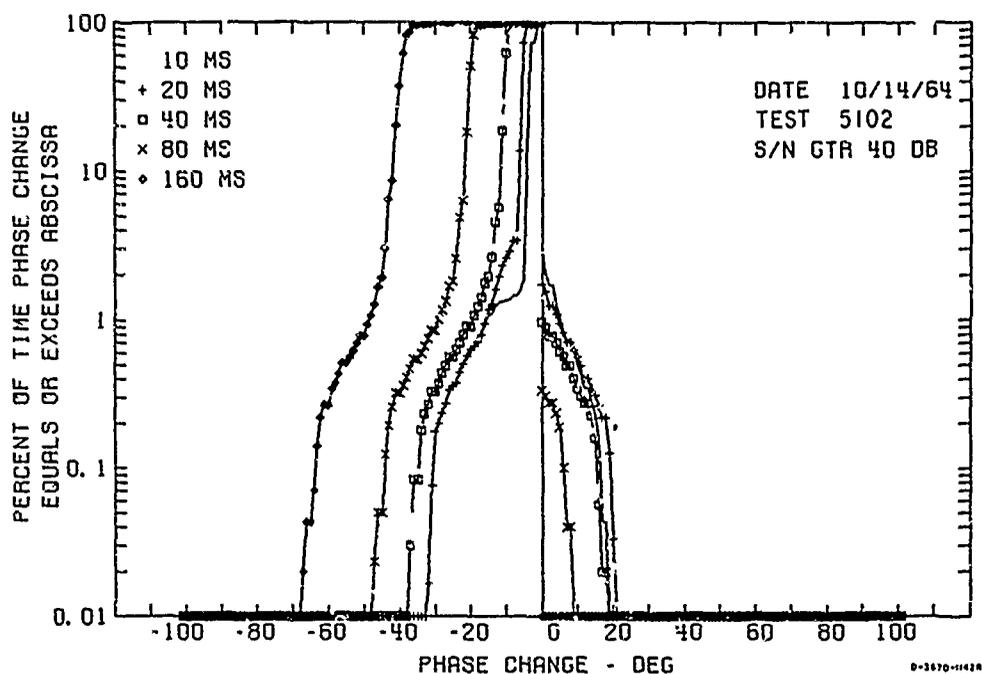


FIG. 32 PHASE DISTRIBUTION (S/N > 40 db) — STABLE FREQUENCY AND AMPLITUDE TEST (5102)

Two types of deviation from the expected behavior are apparent; the curves do not drop vertically on the negative side and some positive values of phase change exist. These discrepancies cannot be accounted for by the long-term stability of the synthesizer's internal standard, which is quoted at about 5 parts in  $10^8$  per day. This standard would allow a change in the drift of only about 0.07 degrees per 160 msec during the 5-minute period. The previous tests indicated that tape recorder noise and receiver noise (at this high signal level) are not sufficient to account for this discrepancy. There were, in the digitizing process, no occurrences of either amplitude or phase voltages which exceeded the digitizer limits, so it must be concluded that tape dropouts did not occur.

This leaves the non-locked frequency synthesizer and the phase distribution computer program itself. The phase extrapolation process described in Sec. II-C-1-c of this report is a possible source of error

in a test of this sort, since the extrapolated phase values may differ by a few degrees from the theoretically linear phase vs. time relationship of this special test. Furthermore, although Test 5002 showed the frequency synthesizer to be phase-stable when locked to an external standard, it is not known to what extent this phase stability still applies when the synthesizer is not locked. Another possibility is that of disturbances in line voltage during the test. Such disturbances have been noticed in the past--usually due to the starting or stopping of air-conditioning equipment--and they are known to cause transients in the phase and amplitude output of the receiver. They may very possibly also cause short-term variations in the output frequency of the frequency synthesizer.

The power spectrum shown in Fig. 33 shows one clean spike at  $-0.65$ -cps, the frequency offset of the input signal from the carrier. If this spike is approximated as a triangle, then its base width is  $0.155$  cps as compared with the best possible figure of  $0.111$  cps. From this we can conclude that the receiver and frequency synthesizer together resulted in only  $0.155$  minus  $0.111$  or  $0.044$  cps of frequency spreading as measured in this manner. Actually, the plot shows several small lumps near the bottom of the spike. These are almost certainly the frequency deviations which are seen on Fig. 32.

#### 4. Simulated Two-Mode Test

Test 5201 was designed to observe the behavior of the system in a relatively realistic situation. This test simulates a signal propagated by two modes of almost equal strength, one mode absolutely stable, the other mode having a slightly positive Doppler shift, as would occur if the reflection point for this mode were slowly dropping. This test was conducted by injecting two HF signals into the receiver. One signal was set to  $7.366$  Mc, the other about  $0.05$  cps high in frequency. The two-mode situation was discussed in Interim Report 3.<sup>1</sup> The theoretical amplitude and phase plots from Interim Report 3 are shown in Fig. 34(a) for comparison with the actual chart paper recording made during the test, as shown in Fig. 34(b). The theoretical waveform of Fig. 34(a) labeled  $A_1/A_2 \cong 1$  (approximately equal-strength modes) should be used

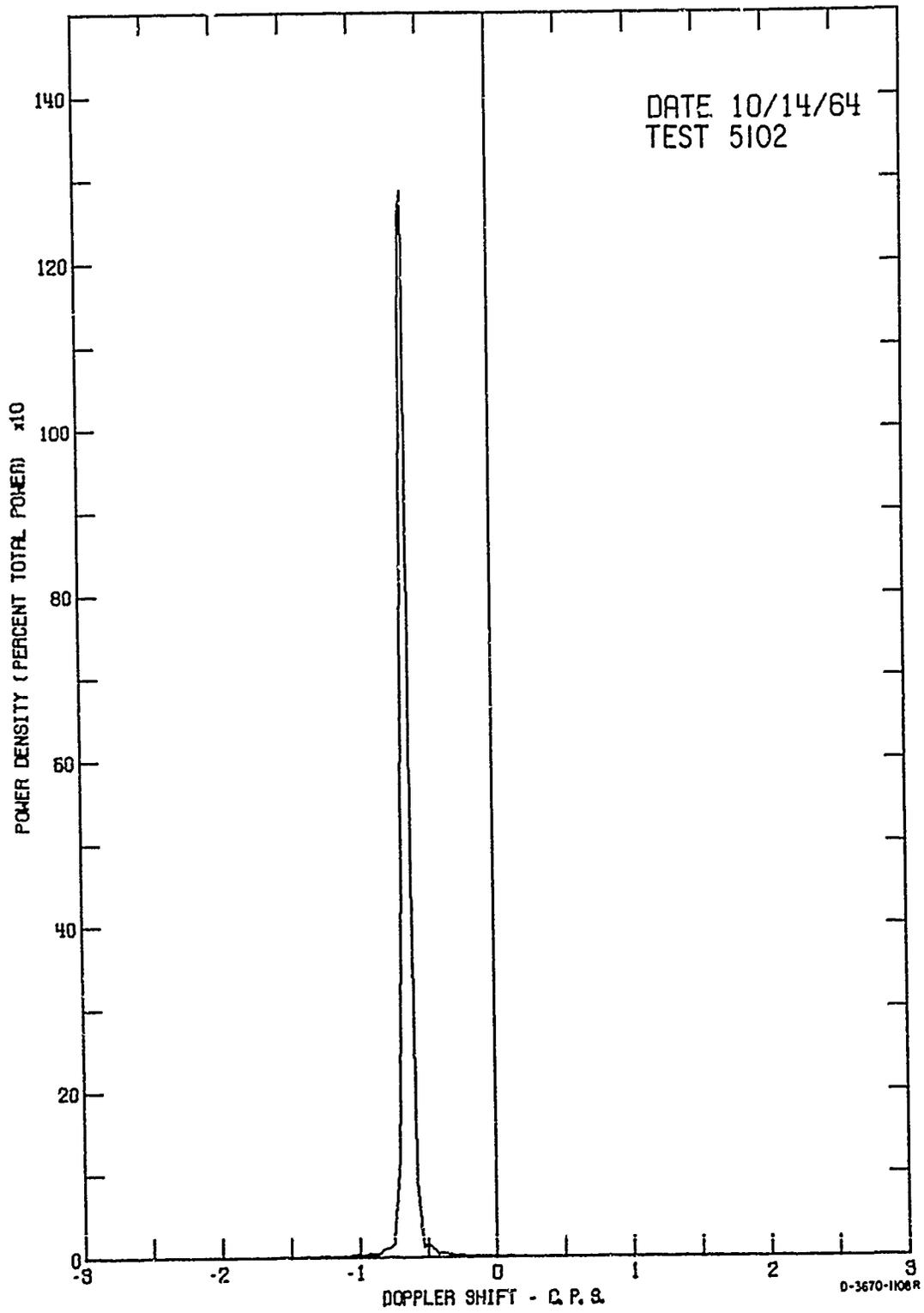


FIG. 33 POWER SPECTRUM — STABLE FREQUENCY AND AMPLITUDE TEST (5102)

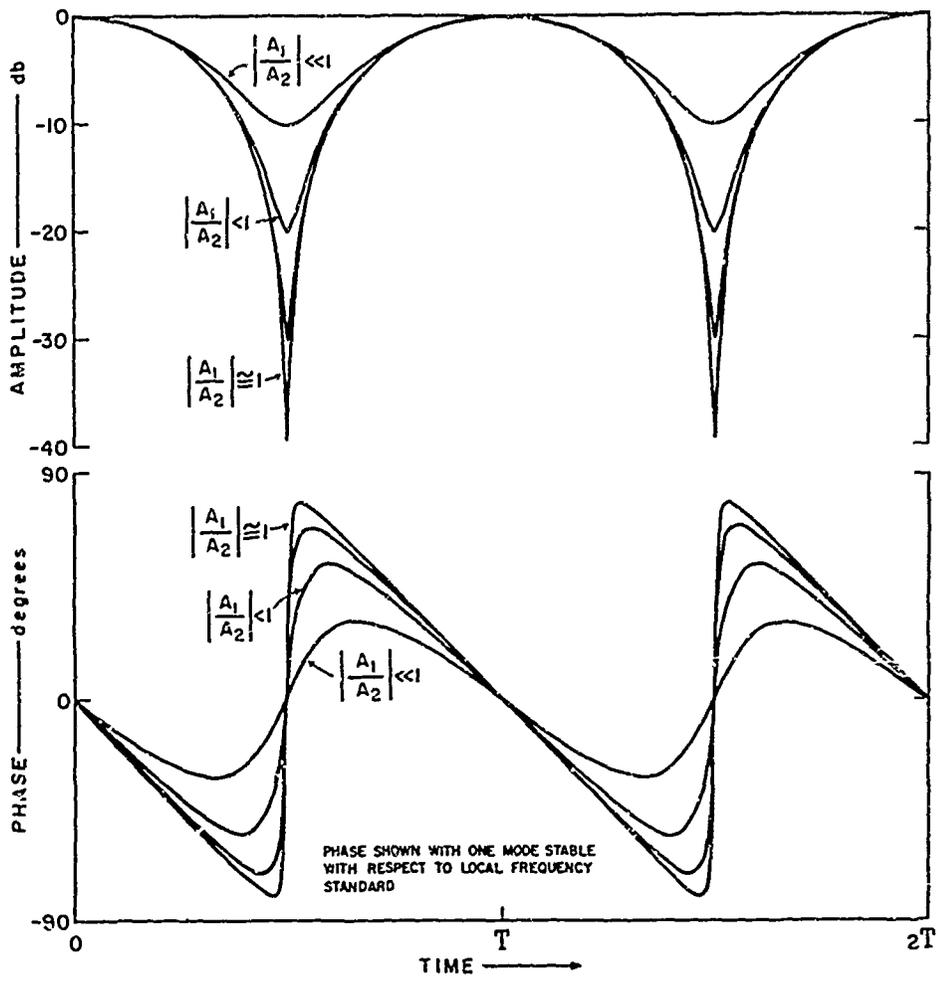
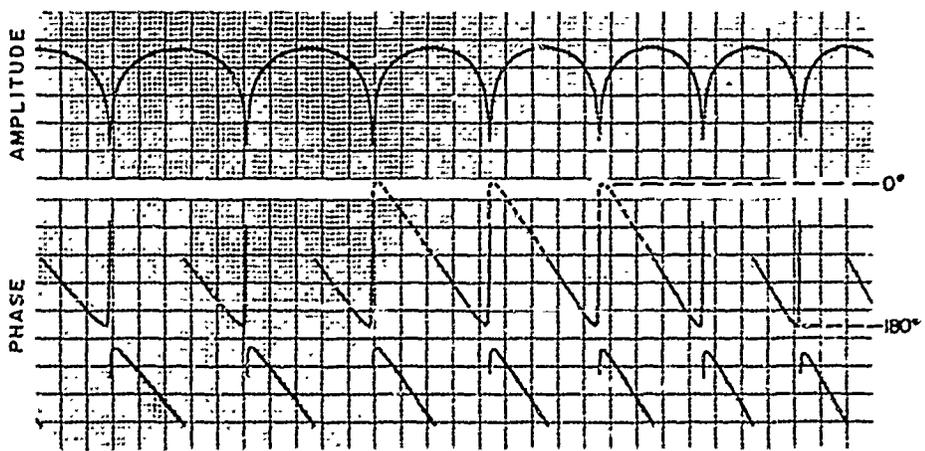


FIG. 34(a) THEORETICAL AMPLITUDE AND PHASE OF A TWO-MODE CW SIGNAL



D-3760-1158R

FIG. 34(b) SAMPLE WAVEFORMS — STABLE FREQUENCY AND AMPLITUDE TEST (5102)

for comparison. During analysis, it was determined that the off-frequency signal contained 48.8 percent of the total power and the on-frequency signal 51.2 percent. The operation of the phase detector causes a signal with constant offset frequency to be displayed as a sawtooth waveform, discontinuous at multiples of  $180^\circ$ . Adjusting for this  $180^\circ$  phase shift by dotted lines, the synthesized plots and the theoretical plots are identical.

Felperin<sup>9</sup> computed the amplitude density for two modes with some scatter as shown in Fig. 35. Figure 36, which shows the actual amplitude density as computed from the data, closely resembles the theoretical density plot. The jagged appearance of the experimental plot is probably caused by insufficient sampling. As in the computed case, two main peaks appear, corresponding to the sum and difference of the two input signal amplitudes. The difference between the two amplitudes (shown by the first peak) is somewhat less in the experimental case than in the computed case, although the sum, shown by the second and main peak, is approximately the same.

The power spectrum shown in Fig. 37 shows only the off-frequency mode approximately 0.05 cps above 7.366 Mc. The on-frequency signal, which was the output of the frequency synthesizer operating in its frequency-locked mode of operation, is not shown, as was discussed in Sec. III-1. Since no trace of this signal can be seen on the spectrum, it must be concluded that it was extremely stable and did not deviate from center frequency. The off-frequency signal was the output of the calibration oscillator. Its frequency deviation is even smaller than that of the frequency synthesizer in unlocked operation, as that frequency synthesizer was used in the previous test. For this off-frequency spike, the equivalent-triangle base width is only 0.125 cps as compared with a best possible figure of 0.111 cps. Therefore it appears that the frequency spreading of the previous test (where the base width was 0.155 cps) was caused either by the unlocked frequency synthesizer or by some transient effect, because the system is otherwise unchanged.

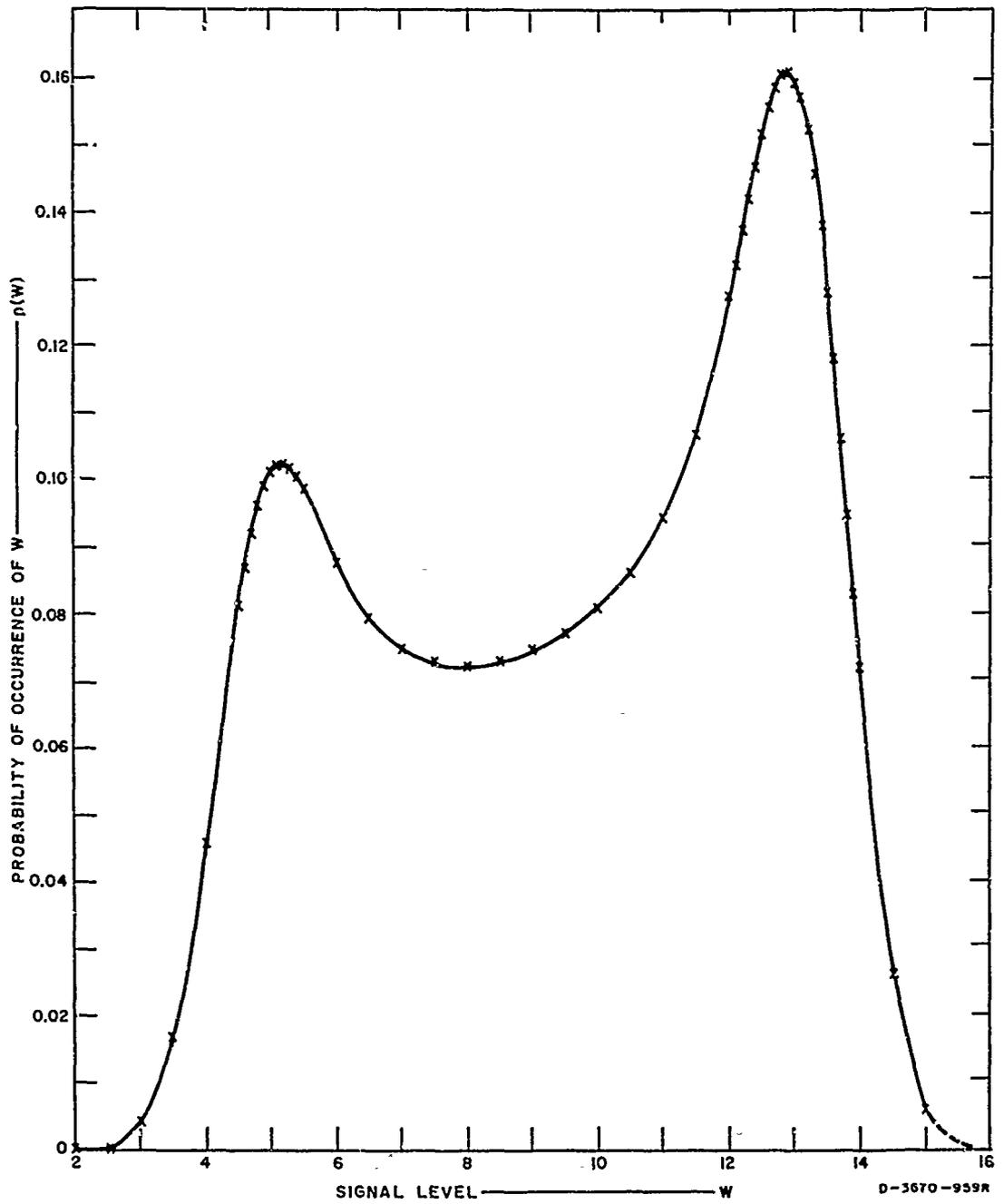


FIG. 35 AMPLITUDE DENSITY-THEORETICAL TWO-MODE GENERAL CASE — SMALL SCATTER

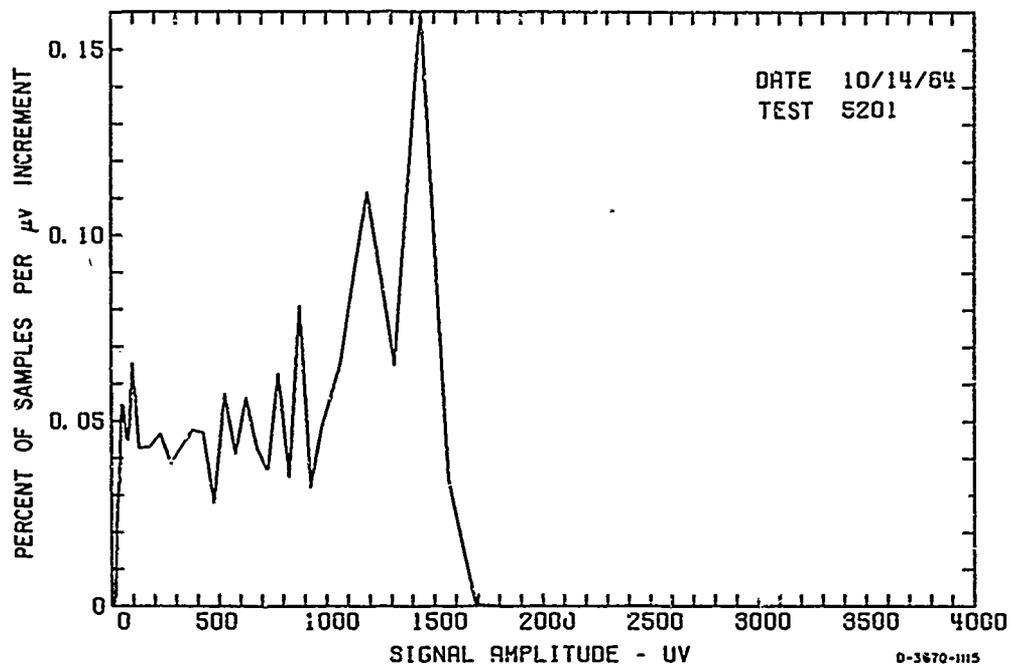


FIG. 36 AMPLITUDE DENSITY ( $\mu\text{v}$ ) — TWO-MODE TEST (5201)

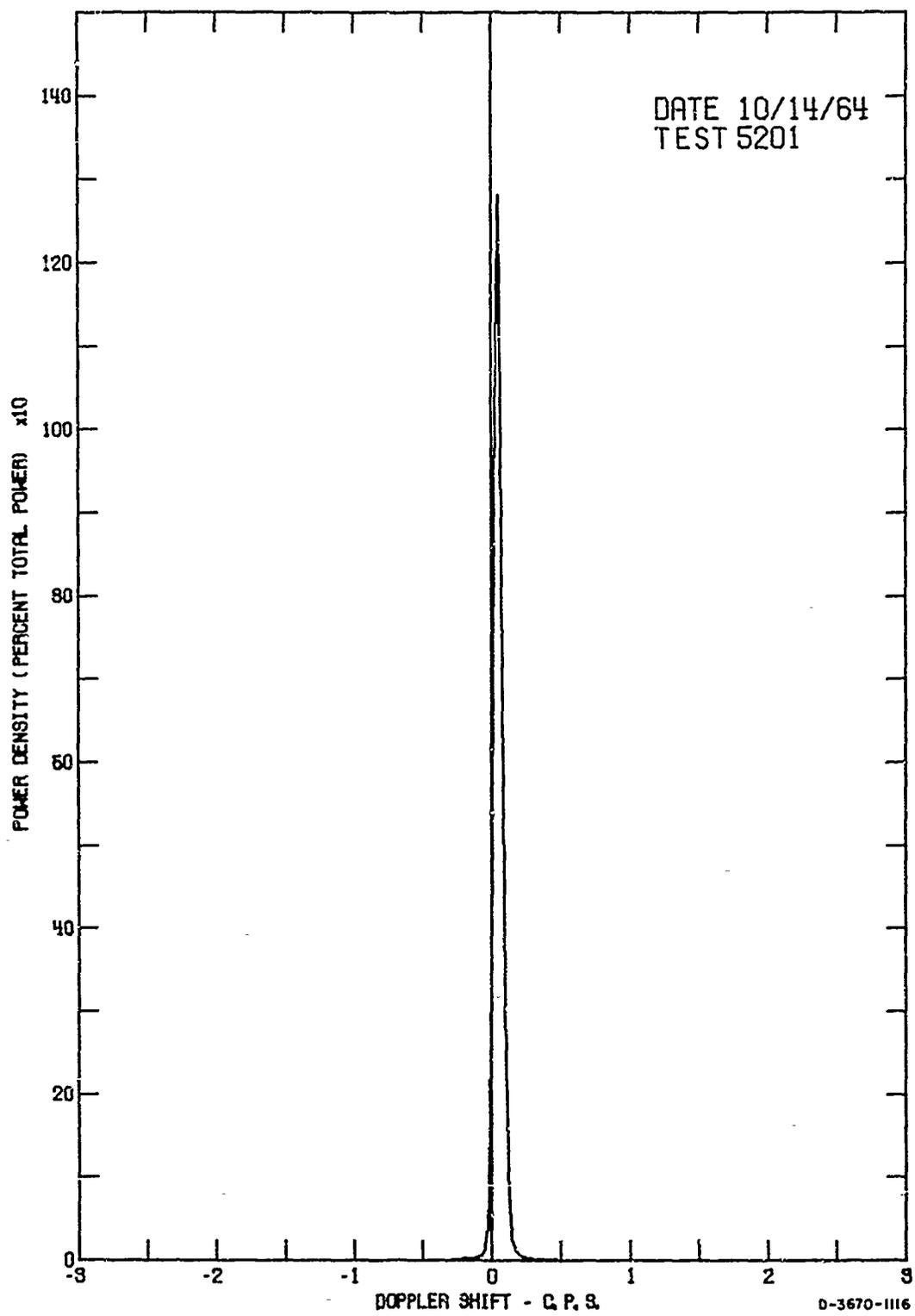


FIG. 37 POWER SPECTRUM TWO-MODE TEST (5201)

The phase change plot for the two-mode test (5201), Fig. 38, shows that the slow phase drift between nulls in the fading pattern appears on the positive side. The rapid negative phase shift at the null of the fade is apparent on the plot as a high occurrence of large negative phase shift.

#### B. Frequency Accuracy of the Phase-Stable System

To minimize the frequency difference between site standards, a phase comparator was designed for comparison of the frequency of a local frequency standard with a VLF standard-frequency transmission. A VLF reference was used because of the relatively constant phase and long-range characteristics of VLF propagation. The phase difference between a sub-multiple of the local frequency standard and the reference VLF transmission was recorded on a 7-day circular chart by the phase comparator. From this chart, shown in Fig. 39, the rate of frequency drift can be calculated and the standard correction can be determined. In practice, the frequency of the standard was over-corrected each day by one-half the daily frequency drift. This procedure allowed the standard to drift through zero frequency error between corrections.<sup>1</sup>

A frequency difference between the transmitter and receiver site frequency standards will shift the Doppler frequency spikes on the power spectrum plot. A frequency difference of less than 1/40 cps will not cause apparent Doppler offset as the power spectrum estimates are made every 1/40 cps.<sup>8</sup> However, if the difference between site frequency standards approaches the 1/40-cps resolution value, spikes on the power spectrum will be shifted by the frequency difference between standards. This effect is shown in Fig. 40.

Frequency difference between the standards of both transmitter sites and the receiver site near Palo Alto was calculated from correction data for February and June, 1964, to determine if any error due to frequency offset occurred on system plots. Maximum daily frequency difference is plotted in Fig. 41. If the frequency of the standard at the transmitting site was higher than that of the receiver site standard, the signal would have an apparent positive Doppler shift. The magnitude

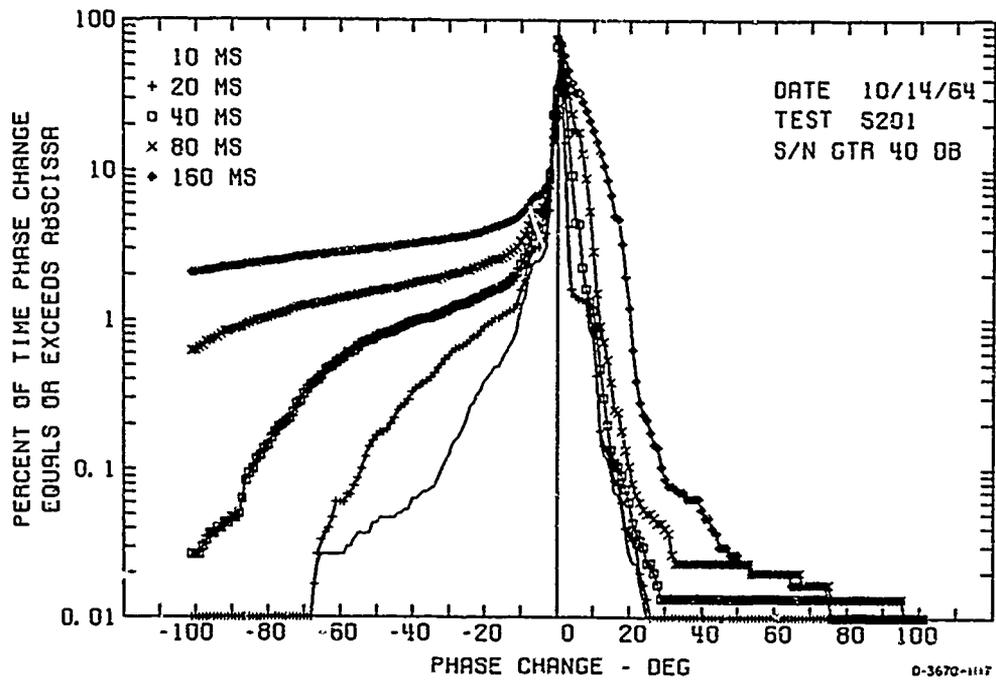


FIG. 38 PHASE DISTRIBUTION (S/N > 40 db) — TWO-MODE TEST (5201)

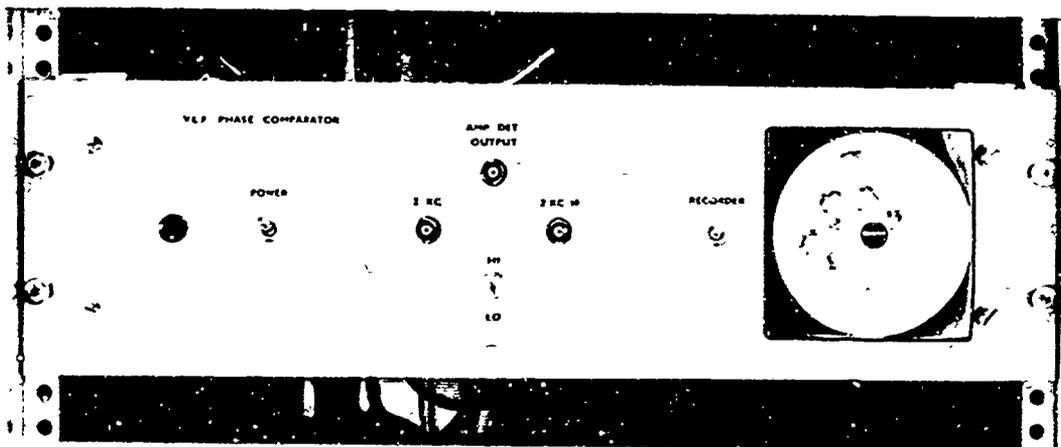
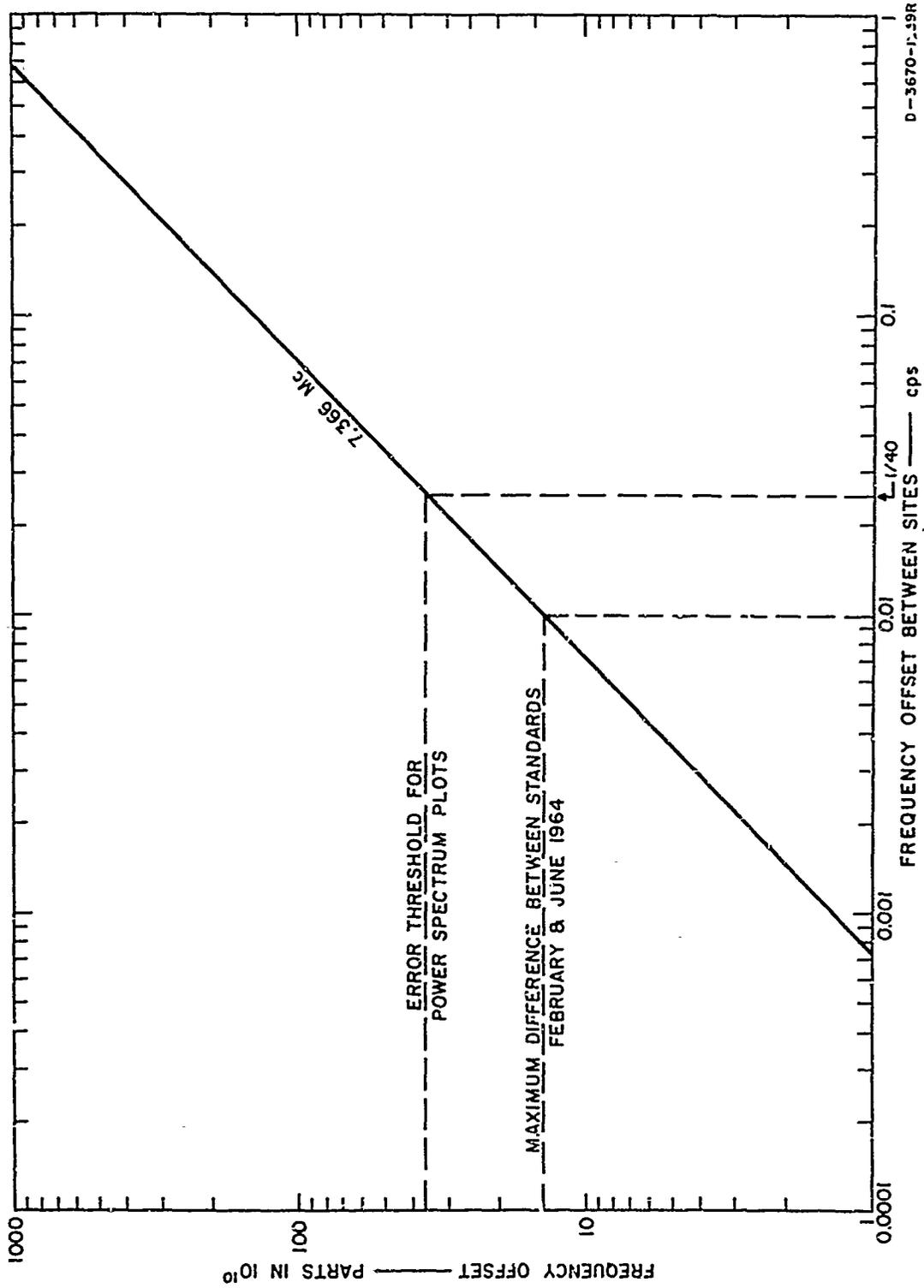
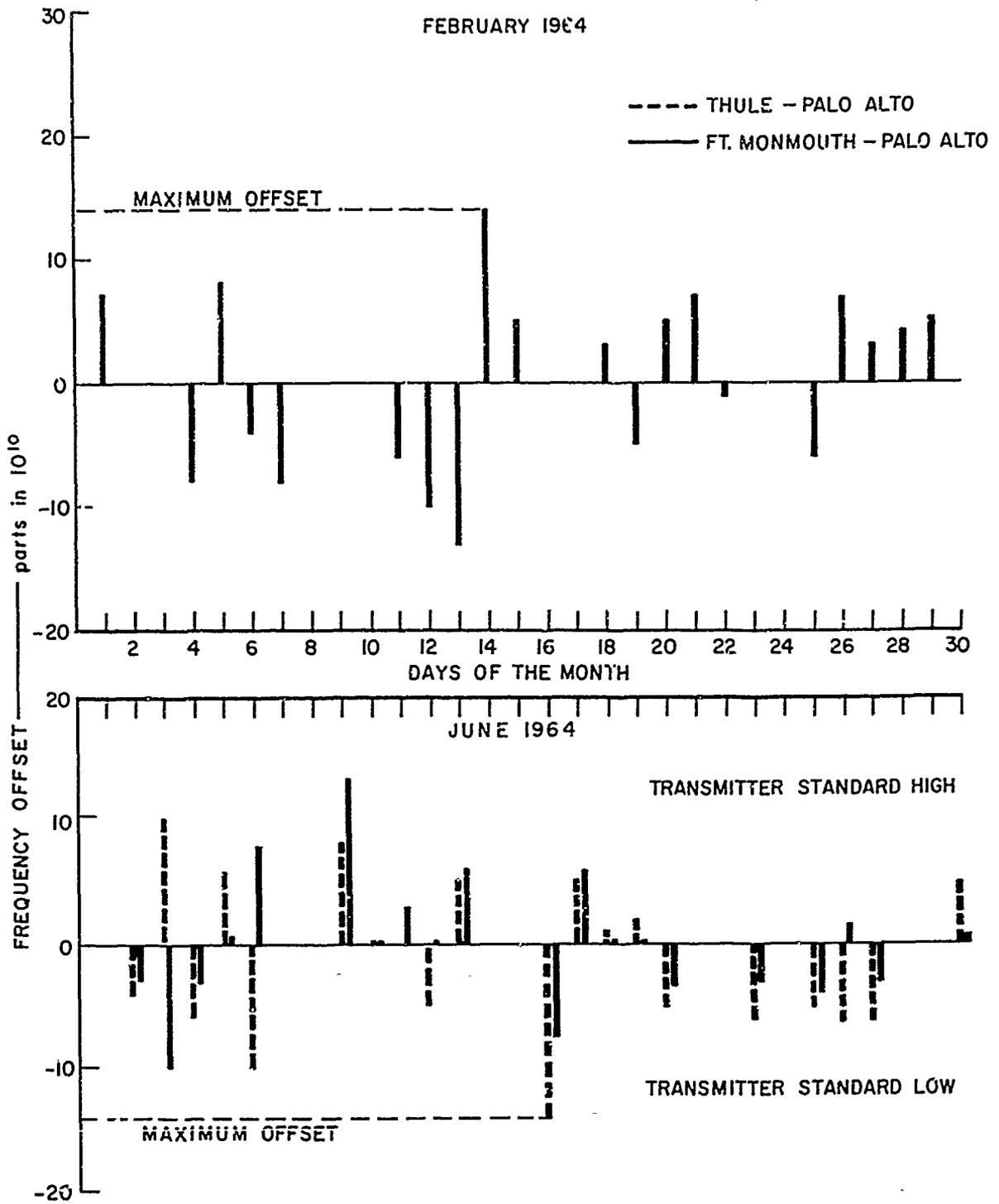


FIG. 39 VLF PHASE COMPARATOR



D-3670-1-39R

FIG. 40 RELATIONSHIP OF FREQUENCY STANDARD OFFSET TO POWER SPECTRUM ERROR



D-3670-1240R

FIG. 41 MAXIMUM DAILY FREQUENCY OFFSET BETWEEN SITES — FEBRUARY AND JUNE 1964

of the 1/40-cps error threshold is indicated on Fig. 40 as  $\pm 36$  parts in  $10^{10}$ . During the two months plotted, all sites except Thule used the WWVL VLF transmission as a reference for correction; Thule used GBR, Great Britain. The average daily error of GBR with respect to WWVL was +2.03 and +2.15 parts in  $10^{11}$  for February and June, respectively.

The maximum frequency difference between site frequency standards for these months was -14 parts in  $10^{10}$ , the average difference being much smaller. From Fig. 40, this maximum difference contributed a system error of -0.01 cps, which is well within the 1/40-cps tolerance of the power spectrum plot. Consequently, the magnitude of the maximum daily frequency difference found during the two months studied did not cause Doppler frequency offset.

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13. ABSTRACT Data-reduction techniques are discussed for <sup>a</sup> the phase-stable CW system developed by Stanford Research Institute under contract from the U.S. Army Electronics Command and the Defense Atomic Support Agency. → An experiment was conducted in which signals were received at a site near Palo Alto over a transauroral path from Thule, Greenland and over a mid-latitude path from Fort Monmouth, New Jersey.  Fixed-frequency, 7.366-Mc phase-stable data collected in analog form on magnetic tape during the field portion of the experiment were digitized and reduced on an IBM 7090 computer to plot form. Examples of distributions of amplitude, rate of change of amplitude, and rate of change of phase are presented. Power spectra of the received signal are shown.  Several special tests were conducted to determine the resolution of the equipment and the results of these tests are discussed. Equipment limitations that must be considered in interpreting the data from the phase-stable system are pointed out. ↗		

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