MEMORANDUM FOR SGDTR
ATTN: MAJ PAUL SMITH

FROM: 59 MDW/SGVU

SUBJECT: Professional Presentation Approval

1. Your paper, entitled The Average Change in Facial Height Following Bilateral Sagittal Split Osteotomy Advancement in Class II Patients presented at/published to American Association of Orthodontists 117th Annual Session, San Diego, CA, 21-25 April 2017 in accordance with MDWI 41-108, has been approved and assigned local file #17092.

2. Pertinent biographic information (name of author(s), title, etc.) has been entered into our computer file. Please advise us (by phone or mail) that your presentation was given. At that time, we will need the date (month, day and year) along with the location of your presentation. It is important to update this information so that we can provide quality support for you, your department, and the Medical Center commander. This information is used to document the scholarly activities of our professional staff and students, which is an essential component of Wilford Hall Ambulatory Surgical Center (WHASC) internship and residency programs.

3. Please know that if you are a Graduate Health Sciences Education student and your department has told you they cannot fund your publication, the 59th Clinical Research Division may pay for your basic journal publishing charges (to include costs for tables and black and white photos). We cannot pay for reprints. If you are 59 MDW staff member, we can forward your request for funds to the designated wing POC.

4. Congratulations, and thank you for your efforts and time. Your contributions are vital to the medical mission. We look forward to assisting you in your future publication/presentation efforts.

LINDA STEEL-GOODWIN, Col, USAF, BSC
Director, Clinical Investigations & Research Support

Warrior Medics – Mission Ready – Patient Focused
INSTRUCTIONS

USE ONLY THE MOST CURRENT 59 MDW FORM 3039 LOCATED ON AF E-PUBLISHING

1. The author must complete page two of this form:
   a. In Section 2, add the funding source for your study (e.g., 59 MDW CRD Graduate Health Sciences Education (GHSE), SG5 O&M; SG5 R&D; Tri-Service Nursing Research Program (TNSRP); Defense Medical Research & Development Program (DMRP); NIH; Congressionally Directed Medical Research Program (CDMRP); Grants, etc.)
   b. In Section 2, there may be funding available for journal costs, if your department is not paying for figures, tables or photographs for your publication. Please state "YES" or "NO" in Section 2 of the form, if you need publication funding support.

2. Print your name, rank/grade, sign and date the form in the author's signature block or use an electronic signature.

3. Attach a copy of the 59 MDW IRB or IACUC approval letter for the research related study. If this is a technical publication/presentation, state the type (e.g. case report, QA/QI study, program evaluation study, informational report/briefing, etc.) in the "Protocol Title" box.

4. Attach a copy of your abstract, paper, poster and other supporting documentation.

5. Save and forward, via email, the processing form and all supporting documentation to your unit commander, program director or immediate supervisor for review/approval.

6. On page 2, have either your unit commander, program director or immediate supervisor:
   a. Print their name, rank/grade, title, sign and date the form in the approving authority's signature block or use an electronic signature.

7. Submit your completed form and all supporting documentation to the CRD for processing (59crdpubspres@us.af.mil). This should be accomplished no later than 30 days before final clearance is required to publish/present your materials. If you have any questions or concerns, please contact the 59 CRD/Publications and Presentations Section at 292-7141 for assistance.

8. The 59 CRD/Publications and Presentations Section will route the request form to clinical investigations, 502 ISG/JAC (Ethics Review) and Public Affairs (59 MDW/PA) for review and then forward you a final letter of approval or disapproval.

9. Once your manuscript, poster or presentation has been approved for a one-time public release, you may proceed with your publication or presentation submission activities, as stated on this form. Note: For each new release of medical research or technical information as a publication/presentation, a new 59 MDW Form 3039 must be submitted for review and approval.

10. If your manuscript is accepted for scientific publication, please contact the 59 CRD/Publications and Presentations Section at 292-7141. This information is reported to the 59 MDW/CPC. All medical research or technical information publications/presentations must be reported to the Defense Technical Information Center (DTIC). See 59 MDW 41-108, Presentation and Publication of Medical and Technical Papers, for additional information.

11. The Joint Ethics Regulation (JER) DoD 5500.07-R, Standards of Conduct, provides standards of ethical conduct for all DoD personnel and their interactions with other non-DoD entities, organizations, societies, conferences, etc. Part of the Form 3039 review and approval process includes a legal ethics review to address any potential conflicts related to DoD personnel participating in non-DoD sponsored conferences, professional meetings, publication/presentation disclosures to domestic and foreign audiences, DoD personnel accepting non-DoD contributions, awards, honoraria, gifts, etc. The specific circumstances for your presentation will determine whether a legal review is necessary. If you (as the author) or your supervisor check "NO" in block 17 of the Form 3039, your research or technical documents will not be forwarded to the 502 ISG/JAC for an ethics review. To assist you in making this decision about whether to request a legal review, the following examples are provided as a guideline:

- For presentations before professional societies and like organizations, the 59 MDW Public Affairs Office (PAO) will provide the needed review to ensure proper disclaimers are included and the subject matter of the presentation does not create any cause for DoD concern.

- If the sponsor of a conference or meeting is a DoD entity, an ethics review of your presentation is not required, since the DoD entity is responsible to obtain all approvals for the event.

- If the sponsor of a conference or meeting is a non-DoD commercial entity or an entity seeking to do business with the government, then your presentation should have an ethics review.

- If your travel is being paid for (in whole or in part) by a non-Federal entity (someone other than the government), a legal ethics review is needed. These requests for legal review should come through the 59 MDW Gifts and Grants Office to 502 ISG/JAC.

- If you are receiving an honorarium or payment for speaking, a legal ethics review is required.

- If you (as the author) or your supervisor check "YES" in block 17 of the Form 3039, your research or technical documents will be forwarded simultaneously to the 502 ISG/JAC for review to help reduce turn-around time. If you have any questions regarding legal reviews, please contact the legal office at (210) 671-5795/3366. DSN 473.

NOTE: All abstracts, papers, posters, etc., should contain the following disclaimer statement: "The views expressed are those of the [author(s)] (presenter(s)) and do not reflect the official views or policy of the Department of Defense or its Components"

NOTE: All abstracts, papers, posters, etc., should contain the following disclaimer statement for research involving humans: "The voluntary, fully informed consent of the subjects used in this research was obtained as required by 32 CFR 219 and DODI 3216.02_AFI 40-402."

NOTE: All abstracts, papers, posters, etc., should contain the following disclaimer statement for research involving animals, as required by AFMAN 40-001.01_IP: "The experiments reported herein were conducted according to the principles set forth in the National Institute of Health Publication No. 80-23, Guide for the Care and Use of Laboratory Animals and the Animal Welfare Act of 1966, as amended."
The Average Change in Facial Height Following Bilateral Sagittal Split Osteotomy Advancement in Class II Patients

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<th>11a.</th>
<th>PUBLICATION/JOURNAL (List intended publication/journal.)</th>
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- **11c.** POSTER (To be demonstrated at meeting: name of meeting, city, state, and date of meeting.)
  - American Association of Orthodontists 117th Annual Session, Orlando, FL, 21 Apr-25 Apr 2017

- **11d.** PLATFORM PRESENTATION (At civilian institutions: name of meeting, state, and date of meeting.)

- **11e.** OTHER (Describe: name of meeting, city, state, and date of meeting.)

12. HAVE YOUR ATTACHED RESEARCH/TECHNICAL MATERIALS BEEN PREVIOUSLY APPROVED TO BE PUBLISHED/PRESENTED?

- **YES** ☑️
- **NO** ☐

13. EXPECTED DATE WHEN YOU WILL NEED THE CRD TO SUBMIT YOUR CLEARED PRESENTATION/PUBLICATION TO DTIC

**DATE**

28 Mar 17

14. 59 MDW PRIMARY POINT OF CONTACT (Last Name, First Name, M.I., email)

95DTS/59DG/SGDTR

15. DUTY PHONE/PAGER NUMBER

2102929038

16. AUTHORSHIP AND CO-AUTHOR(S) List in the order they will appear in the manuscript.

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<th>LAST NAME, FIRST NAME AND M.I.</th>
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<th>SQUADRON/GROUP/OFFICE SYMBOL</th>
<th>INSTITUTION (If not 59 MDW)</th>
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<tr>
<td>a. Primary/Corresponding Author</td>
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<td>SGDTR</td>
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<td>b. Lee, David</td>
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17. IS A 502 ISG/JAC ETHICS REVIEW REQUIRED (JER DOD 5500.07-R)?

- **YES** ☑️
- **NO** ☐

18. AUTHOR'S PRINTED NAME, RANK, GRADE

PAUL SMITH, Maj, O-4

19. AUTHOR'S SIGNATURE

SMITH, PAUL A 1288435010

20. DATE

2 Feb 17

21. APPROVING AUTHORITY’S PRINTED NAME, RANK, TITLE

DAVID P. LEE, Col, USAF, DC

22. APPROVING AUTHORITY’S SIGNATURE

LEE, DAVID P 1025610047

23. DATE

2-Feb-17
**PROCESSING OF PROFESSIONAL MEDICAL RESEARCH/TECHNICAL PUBLICATIONS/PRESENTATIONS**

**1st ENDORSEMENT (59 MDW/SGVU Use Only)**

| TO: Clinical Research Division 59 MDWCRD | 24. DATE RECEIVED | 25. ASSIGNED PROCESSING REQUEST FILE NUMBER |
| Contact 292-7141 for email instructions. | February 10, 2017 | 17092 |

| 26. DATE REVIEWED | 27. DATE FORWARDED TO 502 ISG/JAC |
| February 13, 2017 |

| 28. AUTHOR CONTACTED FOR RECOMMENDED OR NECESSARY CHANGES: | 29. COMMENTS |
| NO | APPROVED | DISAPPROVED |

IRB approved minimal risk study with appropriate disclaimers.

| 30. PRINTED NAME, RANK/GRADE, TITLE OF REVIEWER | 31. REVIEWER SIGNATURE | 32. DATE |
| Kevin Kupferer GS13/Human Research Subject Protection Expert | KUPFERER KEVIN R 108667270 | February 13, 2017 |

| 33. DATE RECEIVED | 34. DATE FORWARDED TO 59 MDW/PA |
| 35. COMMENTS | APPROVED (In compliance with security and policy review directives.) | DISAPPROVED |

**2nd ENDORSEMENT (502 ISG/JAC Use Only)**

| 36. PRINTED NAME, RANK/GRADE, TITLE OF REVIEWER | 37. REVIEWER SIGNATURE | 38. DATE |
| 39. DATE RECEIVED | 40. DATE FORWARDED TO 59 MDW/PA |
| 41. COMMENTS | APPROVED (In compliance with security and policy review directives.) | DISAPPROVED |

**3rd ENDORSEMENT (59 MDW/PA Use Only)**

| 42. PRINTED NAME, RANK/GRADE, TITLE OF REVIEWER | 43. REVIEWER SIGNATURE | 44. DATE |
| Kevin Iinuma, SSgt/E-5, 59 MDW Public Affairs | IINUMA KEVIN MITSUJI 1296227 615 | February 14, 2017 |

| 45. DATE RECEIVED | 46. SENIOR AUTHOR NOTIFIED BY PHONE OF APPROVAL OR DISAPPROVAL |
| February 14, 2017 | YES | NO | COULD NOT BE REACHED | LEFT MESSAGE |

| 47. COMMENTS | APPROVED | DISAPPROVED |

**4th ENDORSEMENT (59 MDW/SGVU Use Only)**

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| 47. COMMENTS | APPROVED | DISAPPROVED |

**PREVIOUS EDITIONS ARE OBSOLETE**
The Average Change in Facial Height Following Bilateral Sagittal Split Osteotomoy Advancement in Cl II Patients

Application No: 1050
Application Type: Research
Author: Paul Smith - Contact Me
TORP
San Antonio, TX

Co-Author: David Lee - Contact Me
TORP
San Antonio, TX

Abstract:

Purpose: To evaluate the change in facial height in skeletal Class II patients following a Bilateral Sagittal Split Osteotomy advancement procedure treated in the Tri-Service Orthodontic Residency Program and the Oral and Maxillofacial Surgery Program. Methods: Pre-treatment and post-surgical lateral cephalometric radiographs reconstructed from 3D cone beam computed tomograms were obtained for 16 Class II subjects who underwent mandibular advancement surgery via bilateral sagittal split osteotomy. The lateral cephalograms were digitized and analyzed using Dolphin Imaging software. Results: Pending. Conclusion: Pending.

Disclaimer: The views expressed are those of the author(s)/presenter(s) and do not reflect the official views or policy of the Department of Defense or its Components.