**Optimal Guidance Trajectories for a Nanosat Docking with a Non-Cooperative Resident Space Object**

**AUTHOR(S)**
Parv Patel, Bogdan Udrea, Michael Nayak

**PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)**
Air Force Institute of Technology, 2950 Hobson Way, Wright Patterson AFB, OH 45433
Embry-Riddle Aeronautical University, 600 S Clyde Morris Blvd, Daytona Beach FL 32114
University of Southern California, 3620 South Vermont Avenue, Los Angeles, CA 90009

**SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)**
Air Force Institute of Technology, 2950 Hobson Way, Wright Patterson AFB, OH 45433

**DISTRIBUTION/AVAILABILITY STATEMENT**
Distribution A. Approved for public release. Distribution unlimited.

**ABSTRACT**
There has been an increasing interest in on-orbit autonomous servicing and repair of satellites as well as controlled active debris removal (ADR) in the space industry recently. One of the most challenging tasks for servicing/repair as well as for ADR is the rendezvous and docking with a non-cooperative tumbling resident space object (RSO). This paper presents a propellant optimal maneuver profile for a servicing spacecraft to perform proximity operations and eventually dock with a non-cooperative target. The strategy is to find an optimal trajectory which will guide the servicing spacecraft to approach the tumbling satellite such that the two vehicles will eventually have no relative motion. Therefore, a subsequent docking or capture operation can be safely performed. The research described here elaborates on the previous work that studied the considering a full six-degree-of-freedom model of both chaser and target. The current work expands the scope by adding new set of linearized equations of motion that capture the effect of the J2 geopotential disturbance force.

**SUBJECT TERMS**
Nanosatellite, laser rangefinder, ARAPAIMA, USAF, object imaging, shape reconstruction, space-based, ISR, space situational awareness, active debris removal, small satellite
Abstract—There has been an increasing interest in on-orbit autonomous servicing and repair of satellites as well as controlled active debris removal (ADR) in the space industry recently. One of the most challenging tasks for servicing/repair as well as for ADR is the rendezvous and docking with a non-cooperative tumbling resident space object (RSO). This paper presents a propellant optimal maneuver profile for a servicing spacecraft to perform proximity operations and eventually dock with a non-cooperative target. The strategy is to find an optimal trajectory which will guide the servicing spacecraft to approach the tumbling satellite such that the two vehicles will eventually have no relative motion. Therefore, a subsequent docking or capture operation can be safely performed. The research described here elaborates on the previous work that studied the minimum-control-effort for a 3-D docking to a tumbling object considering a full six-degree-of-freedom model of both chaser and target. The current work expands the scope by adding a new set of linearized equations of motion that capture the effect of the \( J_2 \) geopotential disturbance force.

Typically, Hill’s linearized equation of relative motion have been used for this analysis, but they fail to capture the effect of \( J_2 \) disturbance force on the chaser satellite. Firstly, the effects of the \( J_2 \) disturbance force is added to the linearized equations of motion by the addition of the \( J_2 \) terms. Secondly, minimum-control-effort optimality condition is examined and propellant optimal trajectories for a relative motion problem are then numerically solved, by using a direct collocation method based on the Gauss pseudospectral approach. The simulation results show the effect of errors caused by the oblateness of the earth (as described by the \( J_2 \) potential) on the described relative motion problem. Furthermore, effect of \( J_2 \) disturbance on the optimal trajectory is discussed for the minimum propellant-consumption optimality condition.

Table of Contents

1. INTRODUCTION ........................................ 1
2. RELATIVE MOTION MODELS ....................... 2
3. EFFECTS OF \( J_2 \) DISTURBANCE ................. 5
4. OPTIMIZATION PROBLEM FORMULATION ...... 7
5. SIMULATION RESULTS ............................... 8
6. CONCLUSION ......................................... 10
ACKNOWLEDGMENTS ................................. 10
REFERENCES .......................................... 11
BIOGRAPHY ............................................. 11

1. INTRODUCTION

There has been an increasing interest in satellite on-orbit autonomous servicing in the space industry recently. JAXA recently completed a technology demonstration mission ETS-7 [13]. NASA did an autonomous rendezvous mission through the Demonstration for Autonomous Rendezvous Technology (DART) in 2005 [8], where the mission was not completed due to more than expected propellant usage during rendezvous maneuvering. Air Force Research Laboratory’s (AFRL) Experimental Satellite Systems-10 and 11 (XSS-10 and XSS-11) have been developed in order to show the ability for a small sat to autonomously plan and rendezvous with a passive and cooperative Resident Space Object (RSO) in Low Earth Orbit (LEO) [1]. In addition, Defense Advance Research Projects Agency’s (DARPA) Orbital Express (OE) Advance Technology Demonstration Program validated the technology and techniques for on-orbit refueling and configuration of two satellites [3][4]. The existence of this programs demonstrates that there is a need for a robust and effective autonomous close proximity control algorithms for multiple spacecraft. The use of micro-satellites to inspect, service, repair, deorbit and refuel larger spacecrafts is a long-term goal.

In order to perform on-orbit service, the servicing spacecraft has to first rendezvous and dock with the satellite to be serviced in orbit. The tumbling of an uncontrolled resident space object (RSO) or the rotational and transnational motion of a non-cooperating RSO present challenges to the docking operations.

From a theoretical standpoint, the present paper elaborates on the previous work by Boyarko et al. [5][6][7], who studied the minimum-time and minimum-control problem for a rendezvous of a chaser satellite to a tumbling object considered a full six-degree-of-freedom model of both chaser and target. The current work expands the scope by adding the effect of \( J_2 \) geopotential disturbance force and investigates its effects on the proximity operations.

The majority of previous research pertaining to operations that focused on rendezvous with an uncontrolled RSO, the relative motion dynamics pertinent to proximity space operation only considers Hill’s equations, also known as Clohessy-Wiltshire equations. The reason being Hill’s equations are very simple to implement and have been successfully used to describe the relative motion of two satellite during rendezvous maneuvers. Although, the assumption made by Hill’s equations that is cited repeatedly as the main source of error is that the Earth is perfectly spherical. Because the Earth is not perfectly spherical, but rather an oblate spheroid and the dominant term of the series expansion of this effect is the \( J_2 \) term, modeling errors are introduced by the noncentral forces. To reduce the effect of the modeling errors that are present in Hill’s equations, much research has been done with varying success to incorporate the effects of the \( J_2 \) potential.

This papers implements the formulation of Schweighart and
Sedwick [11][12] which describes the linear combination of Hill’s equation with \( J_2 \) effect. The equations derived by Schweighart and Sedwick [11][12] provides insight into the relative motion of satellites under the influence of the \( J_2 \) potential. The period mismatch between the in-plane and cross-track motion produces an effect called tumbling in which the spacecraft formation appears to tumble around the orbital angular momentum vector. Additionally, small differences in the orbital inclination of the satellites in the formation cause differential drift in the longitude of the ascending node. This differential drift causes the spacecraft formation to break up over time. The paper is organized as follows. Section 2 presents the equations of relative motion that incorporate the \( J_2 \) effect of the spherical earth. The period mismatch between the in-plane and cross-track motion produces an effect called tumbling in which the spacecraft formation appears to tumble around the orbital angular momentum vector. Additionally, small differences in the orbital inclination of the satellites in the formation cause differential drift in the longitude of the ascending node. This differential drift causes the spacecraft formation to break up over time. The paper is organized as follows. Section 2 presents the equations of relative motion that incorporate the effect of the \( J_2 \) perturbation. The analysis of the relative motion with Hill’s equations and the derived time-averaged \( J_2 \) model with corrections along with the full \( J_2 \) effect is presented in Section 3. The set up of the optimization problem is presented in Section 4 and the results are presented and analyzed in Section 5.

\[ \dot{\mathbf{r}} = \mathbf{g}(r) + J_2(r) \]

where, \( \mathbf{g}(r) \) is the gravitational acceleration due to spherical Earth,

\[ \mathbf{g}(r) = -(\mu/r^2)\dot{r} \]

\( J_2(r) \) is the acceleration due to \( J_2 \) potential [9],

\[ J_2(r) = -(3/2)(J_2\mu R_e^2/r^4)(1 - 3\sin^2\theta)\dot{x} + (2\sin^2\theta\cos\theta)\dot{y} + (2\sin\theta\cos\theta\dot{z}) \]

\( r \) is the position vector of the satellite, and \( \dot{x} - \dot{y} - \dot{z} \) is the coordinate system described in Figure [1].

The models are developed by considering the relative motion between one satellite and a reference orbit. For now, the derivation only requires that the reference orbit is constant radius (circular) and the equation of motion for the reference
The orbit is given by the Newton’s law of motion in a central force field:

\[
\dot{r}_{\text{ref}} = g(r_{\text{ref}}) \tag{4}
\]

Furthermore, linearizing the gravitational terms in Equation (1) with respect to the defined reference orbit results in

\[
\dot{r} = g(r_{\text{ref}}) + g(r_{\text{ref}}) \cdot x + J_2(r_{\text{ref}}) \cdot \nabla J_2(r_{\text{ref}}) = \mathbf{x} \tag{5}
\]

where, the relative position of the satellite with respect to the reference orbit is given by \( \mathbf{x} \) as represented in Figure [3]

\[
\mathbf{x} = \mathbf{r} - r_{\text{ref}} \tag{6}
\]

**Figure 3. The Reference Orbit**

When a spherical coordinate system \((\hat{r} - \hat{\theta} - \hat{i})\) with the pole aligned with the ascending node is used, the gradient of the \(g(r)\) gravitational acceleration can be calculated. The result is:

\[
\nabla g(r) = \begin{bmatrix}
2(\mu/r^3) & 0 & 0 \\
0 & -(\mu/r^3) & 0 \\
0 & 0 & -(-\mu/r^3)
\end{bmatrix} \tag{7}
\]

The \(J_2\) disturbance in Equation (3) is given in \(\hat{\mathbf{r}} - \hat{\theta} - \hat{i}\) coordinates. However, the equation can be transformed directly to the \(\hat{\mathbf{r}} - \hat{\theta} - \hat{i}\) coordinate system without any loss of generality. Taking the gradient gives Equation (8)

\[
\nabla J_2(r) = \begin{bmatrix}
(1 - 3 \sin^2 i \sin^2 \theta) & \sin^2 i \sin 2\theta & -\frac{1}{2} - \sin^2 i(\frac{1}{2} - \frac{7}{4} \sin^2 \theta) \sin 2i \sin 2\theta \\
\sin^2 i \sin 2\theta & -\sin 2\cos \theta & -\frac{3}{4} \sin^2 i(\frac{1}{2} + \frac{5}{4} \sin^2 \theta) \\
\sin 2i \sin \theta & -\sin 2\cos \theta & \frac{3}{4} \sin^2 i(\frac{1}{2} + \frac{5}{4} \sin^2 \theta)
\end{bmatrix} \tag{8}
\]

The equation above can be further simplified by canceling out \(g(r_{\text{ref}})\) with \(r_{\text{ref}}\) as they are equal as seen in equation (4). In the following sections the above equation along with Hill’s Eqn. are presented as a comparison to the time averaged \(J_2\) equations, with reference orbit period and nodal drift corrections. These time averaged \(J_2\) equations with corrections are derived in the following subsections below.

**Time Averaged \(\nabla J_2\)**

Equation (11) is a linearized differential equation of motion with time varying coefficients. The ODE with time varying coefficient in Equation (11) is cast into an ODE with time varying coefficients. The ODE with time varying

\[
\frac{1}{2\pi} \int_0^{2\pi} \nabla J_2(r) d\theta = \frac{\mu}{r^3} \begin{bmatrix}
4s & 0 & 0 \\
0 & -s & 0 \\
0 & 0 & -3s
\end{bmatrix} \tag{12}
\]

where,

\[
s = (3J_2R_e^2/8r^2)(1 + 3 \cos 2i) \tag{13}
\]

Adding the time-averaged term and removing \(g(r_{\text{ref}})\) with \(r_{\text{ref}}\) Equation (11) becomes,

\[
\dot{x} + 2\omega \times \dot{x} + \omega \times x + \omega \times (\omega \times x) = g(r_{\text{ref}}) \cdot x + J_2(r_{\text{ref}}) + \frac{1}{2\pi} \int_0^{2\pi} \nabla J_2(r_{\text{ref}}) \cdot x d\theta \tag{14}
\]

By adding the time average of the gradient of the \(J_2\) disturbance, the periodic component of the gradient is lost. As the values of \(g(r_{\text{ref}})\) and \(J_2(r_{\text{ref}})\) and the gradients of these terms
are evaluated at the reference orbit, \( x \) must remain relatively small for the the first order term of the Taylor series expansion that is used in the derivation of the equations of motion to apply and therefore, the mean radii and orbital rates of the perturbed satellite and reference satellite must be similar.

Effect of the \( J_2 \) perturbation also causes a drift in the longitude of ascending node which varies with inclination. The \( J_2 \) perturbed satellite will experience this drift, but the reference orbit will not, thus the two orbits will gradually separate unless some correction to the orbital rate and nodal drift of the reference satellite is included in the model.

The fact that the \( \nabla J_2 \) term has been time averaged over a period in Equation (14) has the effect to cause errors in cross-track motion. It is seen that cross-track motion is due solely to that the satellite’s orbit and the associated reference are not coplanar. It is a periodic motion that is equal to zero when the two orbital planes intersect and is at a maximum 90\(^\circ\) away from the intersection of the planes. As this paper deals with docking application focusing where the satellite is in close vicinity with the reference orbit, both having similar inclinations, effects to the cross-track errors are not accounted in this paper. Although addition and studying the effect of these cross track errors will be a topic explored in future.

**Correcting the orbital period of the reference orbit**

Under the influence of the \( J_2 \) disturbance, the perturbed satellite will have a different orbital period than when unperturbed. Because of this discrepancy, the perturbed satellite drifts from the unperturbed reference orbit and eventually the linearized equations break down. To fix this problem, the period of the reference orbit must be adjusted to match the period of the satellites in the cluster.

The change in period due to the \( J_2 \) disturbance as given by Schweighart and Sedwick can be found from the average \( J_2 \) disturbance (not to be confused with the time average of the gradient of the \( J_2 \) term taken above). The equation of motion of the reference orbit, as seen in Equation (4), now becomes,

\[
\dot{r}_{\text{ref}} = g(r_{\text{ref}}) + \frac{1}{2\pi} \int_0^{2\pi} J_2(r_{\text{ref}})d\theta \tag{15}
\]

where the time averaged \( J_2 \) acceleration is given by Eq. (16) below,

\[
\frac{1}{2\pi} \int_0^{2\pi} J_2(r)d\theta = \begin{bmatrix} -n^2rs & 0 \\ 0 & 0 \end{bmatrix} \tag{16}
\]

This results in a non-zero value for acceleration in the radial \( r \) direction only. This can be visualized as an additional force acting to increase the Keplerian gravity term. Therefore, if a satellite is to remain in a circular orbit its orbital rate must be increased above that for Keplerian dynamics.

Substituting Equation (15) in Equation (11), we get

\[
\dot{x} + 2\omega \times x + \dot{\omega} \times x + \omega \times (\omega \times x) = g(r_{\text{ref}}) \cdot x + J_2(r_{\text{ref}}) \\
\hspace{1cm} + \frac{1}{2\pi} \int_0^{2\pi} \nabla J_2(r_{\text{ref}})d\theta \cdot x - \frac{1}{2\pi} \int_0^{2\pi} J_2(r_{\text{ref}})d\theta \\
\hspace{1cm} - \frac{1}{2\pi} \int_0^{2\pi} J_2(r_{\text{ref}})d\theta - [J_2(r_{\text{ref}}) \cdot \hat{z}]\hat{z} \tag{17}
\]

As the rate of the reference orbit has changed, so has the average angular speed of the reference satellite, and the coordinate system which is based there. The new angular velocity can be found by equating the accelerations to give

\[
\omega = nc\hat{z}, \quad c = \sqrt{1 + s} \tag{18}
\]

where ”s” in Equation (15) and Equation (17) is given by,

\[
s = \frac{3J_2R_{\text{ref}}^2}{8r^2} (1 + \cos 2i) \tag{19}
\]

**Correcting the Reference Orbit for Nodal Drift**

Although the preceding equations of motion are a vast improvement over Hill’s equations when incorporating the \( J_2 \) disturbance, more can be done. Even though the orbital period of the reference orbit has been adjusted to match the period of the satellite under the influence of the \( J_2 \) potential, they still drift apart due to separation of the longitude of the ascending node. Schweighart and Sedwick worked out analytically a separation distance between the perturbed satellite and the reference orbit as \( r_{\text{ref}}\Delta\Omega \sin i \) over one period. Similarly, after two orbital periods, the satellite and the reference orbit will be separated by \( r_{\text{ref}}2\Delta\Omega \sin i \), and this process will continue to cause them to drift farther and farther apart. Although the equations of motion in their current form do capture the bulk of this motion, the increasing separation causes the linearization to break down after several periods. Because of this, the reference orbit must again be redesigned so that it tracks this secular motion.

This separation in longitude of ascending node as given by Schweighart and Sedwick, shows that only the normal component of the \( J_2 \) acceleration is responsible for the drift. Applying the normal component of the \( J_2 \) disturbance acceleration to the updated reference orbit [Equation (15)] gives us:

\[
\dot{r}_{\text{ref}} = g(r_{\text{ref}}) + \frac{1}{2\pi} \int_0^{2\pi} J_2(r_{\text{ref}})d\theta + [J_2(r_{\text{ref}}) \cdot \hat{z}]\hat{z} \tag{20}
\]

**Time-Averaged \( J_2 \) EQM with Corrections**

The final equation of motion which captures the effect of \( J_2 \) disturbance along with reference orbit period and nodal drift corrections is described below. As we added a component of the \( J_2 \) potential to the reference orbit for the correction of nodal drift, that component must be subtracted from the equations of motion of the satellite relative to the reference orbit [Equation (17)]. The resulting equations of motion in vector form are:

\[
\dot{x} + 2\omega \times x + \dot{\omega} \times x + \omega \times (\omega \times x) = g(r_{\text{ref}}) \cdot x \\
\hspace{1cm} + J_2(r_{\text{ref}}) + \frac{1}{2\pi} \int_0^{2\pi} \nabla J_2(r_{\text{ref}})d\theta \cdot x \tag{21}
\]

The above equations can be expanded by substituting the appropriate terms results in a set of differential equations of form,
\[ \ddot{x} - 2(nc)\dot{y} - (5c^2 - 2)n^2 x = -3n^2 J_2 (R_e^2 / r_{\text{ref}}) \times \left[ \frac{1}{2} - 3\sin^2 r_{\text{ref}}\sin^2(kt)/2 - (1 + \cos 2i_{\text{ref}})/8 \right] \]
\[ \ddot{y} + 2(nc)\dot{x} = -3n^2 J_2 (R_e^2 / 2r_{\text{ref}}) \sin^2 i_{\text{ref}}\sin(2kt) \]
\[ \ddot{z} + (3c^2 - 2)n^2 z = 0 \]

where \( k \) is defined as,
\[ k = nc + \frac{3\sqrt{2}J_2 R_e^2}{2r_i^{7/2}} \cos^2 i \]

The above differential equations are used for solving the optimal control problem described in this paper. Prior to setting up the simulation, the section below goes over the effect of \( J_2 \), with and without corrections as compared to Hill’s equation, to highlight the motivation of this study and understand the effect of the errors on the relative position of the perturbed satellite.

### 3. Effects of \( J_2 \) Disturbance

This section highlights the effect of \( J_2 \) perturbations on the relative motion of the perturbed satellite with respect to the reference orbit. Moreover, error related to reference orbital period and nodal drift are highlighted. Finally, the effect of disturbance of \( J_2 \) potential is studied in comparison to Hill’s equation of motion.

Numerical simulations are performed using fixed-step \texttt{ode5} integrator to plot relative motion trajectories. The orbital parameters chosen for the reference orbit where the target is placed are: \( i_{\text{ref}} = 70^\circ \), the apogee and perigee altitude is given by \( r_a = 919\text{-km} \) and \( r_p = 902\text{-km} \) respectively. The rationale for choosing the above orbital parameters is discussed in Sec. 4 where the optimization problem for this study is formulated.

The following Figure [4] demonstrates the effect of the \( J_2 \) geopotential disturbances at \( 70^\circ \) inclination. The purpose to acquire the \( J_2 \) effect on the chaser from both the equatorial and polar regions, a logical choice of starting from \([-1, 0, 0]\) km is employed as the initial condition for the relative position, thus in order to attempt to only simulate the \( J_2 \) effect on the pure in-track motion. The chaser is assumed to be at rest initially. All the simulations below for this section are propagated for the time span of two orbital period of the reference orbit which comes to 3.44 hrs.

It is seen in Figure [4] the considerable relative position error created by the \( J_2 \) disturbance and the importance for its implementation while studying the optimal trajectory design for rendezvous and proximity operations. Additionally, it is noted that the \( J_2 \) model without corrections corresponding to Equation (11) adds sizable cross-track errors as a result of orbital period mismatch between the target and the chaser orbits and, the nodal drift caused by the separation of the longitude of the ascending node.

The relative position errors between the commonly used Hill’s equations and the time-averaged \( J_2 \) with corrections model is shown in Figure [5]. As seen in the figure, the error in the in-track motion (\( x \)) tops out at \( \sim 15 \text{ km} \) and error propagation in time looks periodic. Similarly, for the radial motion (\( y \)) the error due to the \( J_2 \) seems to be increasing sharply with time. Finally, for the cross-track motion (\( z \)) even though the error is not significant compared to in-track and radial motion, the profile shows an increasing deviation with time. With periodic errors in the in-track motion and the increasing errors in both the radial and cross-track direction, a significant effect of \( J_2 \) perturbation on the chaser is seen.

**Figure 4.** Relative motion trajectories (\( i_{\text{ref}} = 70^\circ \)).

**Figure 5.** Relative position errors between Hill’s Eqns. and Time-averaged \( J_2 \) with corrections Eqns. (\( i_{\text{ref}} = 70^\circ \)).
Figure [6] presents similar relative position errors between the time-averaged $J_2$ model with corrections and, the $J_2$ equations without any corrections [Equation (11)] to highlight the effect caused by the orbital period mismatch and the separation in the ascending node causing the nodal drift. As expected, the position error in all three directions is significant. As a consequence, the implementation of the corrections reduces error in the $z$ direction which causes the motion of the chaser to create heavy cross-track deviations from the nominal as seen in Figure [4].

Figure 6. Relative position errors between Time-averaged $J_2$ with corrections Eqns. and $J_2$ without corrections Eqns. [Eqn. (11)] ($i_{ref} = 70^\circ$).

Figure 7. Relative motion trajectories ($i_{ref} = 0^\circ$).

An interesting study would be to analyze the same three models at 0° inclination to validate the physics of the derived equations. Figure [7] shows the relative motion trajectories under the influence of the $J_2$ disturbance force. It is seen that the relative motion of the chaser under the time-averaged $J_2$ with corrections model resembles with that of Hill’s equations, as logically there would not be any effect of $J_2$ across the equator. Although a significant error is seen in the relative position given by the $J_2$ equations without corrections.

Figure [8] and Figure [9] illustrates the errors in the relative position of the spacecrafts between $J_2$ model with corrections with Hill’s Equations and $J_2$ model without corrections [Equation (11)] respectively.

Figure 8. Relative position errors between Hill’s Eqns. and Time-averaged $J_2$ with corrections Eqns. ($i_{ref} = 0^\circ$).

Figure 9. Relative position errors between Time-averaged $J_2$ corrections Eqns. and $J_2$ without corrections Eqns. [Eqn. (11)] ($i_{ref} = 0^\circ$).
Figure [8] shows that the position errors between $J_2$ corrections model with Hill’s equation are very small. The insignificant error noticed corresponds to the curvilinear co-ordinated system used for the derivation of the time-averaged $J_2$ equations. Validating the time-averaged $J_2$ corrections model once again at $0^\circ$ inclination proves that the model used for the optimization problem formulation in the following section is a generalized case of Hill’s Equation with $J_2$ perturbation incorporated in it.

Figure [9] further shows the inability of the full $J_2$ model without correction [Equation (11)] to fully capture the orbital period mismatch and nodal drifts errors. As expected, the position errors in all three directions are significant.

From the study conducted in this section we see the importance of implementation of $J_2$ in the linear equations of relative motion for the study of the optimization operations.

4. Optimization Problem Formulation

This section develops a model of target-chaser rendezvous. The curvilinear coordinate system described in Section 2 is used for the model formation. We start from the arbitrary relative position and would like to bring the two spacecraft together for docking.

Using the notations described in the beginning of this paper based on the above model, the dynamics of the two system can now be described as follow. The translational kinematics and dynamics of a chaser spacecraft in the orbit frame centered at the target vehicle are given by Equation (21),

$$
\ddot{x} = 2(nc)\dot{y} + (5c^2 - 2)n^2 x - 3n^2 J_2 (R_e^2 / r_{ref})
\times \left[ \frac{1}{2} - 3 \sin^2 i_{ref} \sin^2 (kt) / 2 - (1 + \cos 2i_{ref}) / 8 \right] + f_x
$$

$$
\ddot{y} = -2(nc)\dot{x} - 3n^2 J_2 (R_e^2 / 2r_{ref}) \sin^2 i_{ref} \sin(2kt) + f_y
$$

$$
\ddot{z} = -(3c^2 - 2)n^2 z + f_z
$$

Equation (24) hence defines a 6-state system of differential equations of relative motion governing the docking problem dynamics. Combined into the state vector $\mathbf{X}$ these states are,

$$
\mathbf{X} = [x, y, z, \dot{x}, \dot{y}, \dot{z}]
$$

The governing dynamics assumes three normalized controls:

$$
\mathbf{u} = \begin{bmatrix}
\frac{f_x}{f_{x_{max}}} & \frac{f_y}{f_{y_{max}}} & \frac{f_z}{f_{z_{max}}}
\end{bmatrix}
$$

For simplicity, it is assumed that $f_{i_{max}} = 1\text{mN}^2$ for $i = x, y, z$. Once again, these controls are three normalized components of a translational force acting on a chaser $f_i$ ($i = x, y, z$), expressed in Hill’s coordinate frame. All three controls are bounded: $\mathbf{u}_{min} \leq \mathbf{u} \leq \mathbf{u}_{max}$. The details of the thrust bounds are discussed in the subsection along with chaser mass and its thrust parameters.

Using the controls the two spacecrafts are brought together from some initial condition, given by 6 initial value of states $x_i(t_0), \ i = 1, ..., 6$ to docking conditions described by a final state, which for this problem is assumed to be a null i.e. $\mathbf{X}_f = \mathbf{0}$.

The goal of the optimization problem is to minimize propellant consumption during a maneuver of finite time of duration $t_f$. The objective function is then:

$$
J(\mathbf{u}) = \int_0^{t_f} (\ |u_1(t)| + |u_2(t)| + |u_3(t)| ) dt
$$

Optimization Problem Setup

A sample maneuvering scenario is considered with the chaser center of mass starting at a distance of 1 km behind, 1 km below, and 1 km sideways from the target center of mass. Hence the initial values of the state for computer simulations discussed in the following sections is:

$$
\mathbf{X}_0 = [-1000, -1000, -1000, 0, 0, 0]
$$

The problem is further simplified by neglecting the geometrical structure of the both chaser and target. For the time being the final state is at the CoM of the target with null relative velocity vector.

$$
\mathbf{X}_f = [0, 0, 0, 0, 0, 0]
$$

Baseline parameters are selected from a proposed controlled active debris removal (ADR) mission Curimba by Udrea and Nayak [14]. The authors describes Agena-D RBs as one of the potential target for the mission. Hence, the orbital parameters for this upper stage debris corresponds to the reference orbit chosen for this problem. As most of the Agena-D RBs are populated at approximately $70^\circ$ the reference inclination for this problem is assumed $70^\circ$. Additionally, the apogee and the perigee altitude selected for the reference orbit where our target spacecraft is placed resembles that of the Agena-D RB which corresponds to $r_a = 919$ km and $r_p = 902$ km respectively. Furthermore, a time constraint is added to the simulation by fixing the final time to one-orbital period of the reference orbit, as for the ADR mission it requires the chaser to rendezvous with the target with the shortest amount of time.

The mission proposes a preliminary design of the chaser by considering a 12U CubeSat. This CubeSat is a three-axis stabilized spacecraft of $240 \times 240 \times 360$ mm and a mass of 24 kg. It is known that the CubeSat has a miniaturized system consisting of 16 solenoid-fed thrusters placed such that they generate positive and negative torques about all three body axes with dual redundancy. The thruster arrangement also generates positive and negative forces about two of the three body axes. For the purpose of this work it has been assumed that the force along the third body axis is available when required. Future research will include constraints on the generation of force about the third body axis to take into account the finite slew time.

It is known from the preliminary design provided by the authors that the propellant used will be 1,1- difluorethane which can generate about 70 s of specific impulse. As for the first mission design iteration they assumed that each thruster
produces 50 mN of thrust force [14]. Knowing now the thrust force for individual thrusters (50 mN) and the chaser mass (24 kg), control bounds can be calculated for the optimal problem for the Equation (26). By these means the control bounds thus will be calculated by $\pm F_T/m_{\text{chaser}}$, where $F_T$ is the total thrust produced by the chaser spacecraft thrusters. Solving for the control bounds for the defined rendezvous scenario and the assumed chaser parameters gives us: $-8.33\text{ mm/s}^2 \leq u \leq 8.33\text{ mm/s}^2$

The above described optimal control problem is solved numerically, by the Gauss Pseudospectral Optimization Solver (GPOPS) [10]. The GPOPS is an open source code that implements a direct collocation method based on the Gauss Pseudospectral approach for solving optimal control problems.

Propellant-optimal trajectories are simulated with time-averaged $J_2$ with corrections model. To validate the correctness of the derived time-averaged $J_2$ equations, the trajectories with $0^\circ$ inclination are simulated and compared with Hill’s equations along with its control profiles. It should be seen that for this specific test condition, the optimal trajectories in both case should be perfectly similar along with its thrust profile. Moreover, the effects of the correction errors on the optimal trajectories is also shown. The simulation results obtained including the optimal control profiles are discussed below.

5. SIMULATION RESULTS

The minimum-propellant-control solution with the optimal controls defined in Equation (24) is here presented first. Additionally, the effect of the optimal trajectories with respect to the inclination is discussed, it also shows the effect of $J_2$ at different inclinations and how the optimal trajectories are influenced by it. Moreover, Equation (11) which incorporates only the differential $J_2$ without corrections is studied to see how the change in the reference orbital period and nodal drift errors affect the optimal solution. Finally, optimization results obtained with Hill’s equations only are presented and compared.

Minimum Solution for Defined Rendezvous Scenario

For the minimum-propellant-control rendezvous scenario set in Section 4 the pseudospectral method yields the solution shown in Figure [10]. Additional planar views of the optimal trajectory is shown in figure [11].

Figure 10. Optimal trajectory for time-averaged $J_2$ with corrections model ($\iota_{\text{ref}} = 70^\circ$).

The green marker shows the initial position of the chaser with respect to the target. The final maneuver time as discussed in the previous section is fixed at one orbital period of the reference orbit which when calculated comes to $t_f = 1.72$ hr and ends at the red marker. It is to be noted that the blue markers on the trajectory are the points where the thrust is applied and it is assumed that the thrust applied is impulsively.

Figure 11. Planar view of the optimal trajectory for time-averaged $J_2$ with corrections model ($\iota_{\text{ref}} = 70^\circ$).

Figure [12] and Figure [13] shows the time history of the relative position and velocity of the optimal trajectory respectively. Along with that, the control profile for the three normalized components of the thrust force is displayed in Figure [14]. It is clearly seen that as there is no significant cross-track motion, the $f_z$ control is not very active. On the other hand because of the large amplitude of the motion in the radial direction ($r$) and the two rapid consequential change in the radial directions makes the $f_y$ control very active as it makes out on the thrust bounds.

Figure 12. Position profiles for time-averaged $J_2$ with corrections model ($\iota_{\text{ref}} = 70^\circ$).
Minimum Propellant Solution for Hill’s Equation

This section draws differences in the optimality between the Hill’s Equations and the time-averaged $J_2$ with corrections equation. The following figure shows the optimal trajectory for the Hill’s equations. As expected, a solution similar to Hohmann transfer is achieved. It can be hereby seen that the use of the Hill’s equations for the study of optimal trajectories for rendezvous and proximity operations presents very conservative results.

Figure 15. Optimal trajectory for the Hill’s Equation and time-averaged $J_2$ with corrections ($i_{ref} = 0\degree$).

The above Figure [15] shows the propellant-optimal trajectories of the time-averaged $J_2$ with correction model for $0\degree$ inclination along with the linearized Hill’s equations of motion. Comparing the plotted optimal trajectories validates the correctness of the derived time-averaged $J_2$ correction equations. An insignificant error noticed in the relative position is in the same order of magnitude as seen in Figure [8] corresponding to the curvilinear coordinated system used for the derivation of the time-averaged $J_2$ equations.

Figure 16. Minimum propellant control profiles for the Hill’s Equation.

Figure [16] and Figure [17] shows a plot of the resulting control history of the three applied thrust forces corresponding to the optimal trajectory shown in Figure [15] using the linearized Hill’s equations of motion and the time-averaged...
Figure 17. Minimum propellant control profiles for the time-averaged $J_2$ with corrections model ($i_{ref} = 0^\circ$).

$J_2$ with corrections model for $0^\circ$ inclination. Comparing the identical thrust profiles furthermore validates the correctness of the derived time-averaged $J_2$ equation used for this study.

Figure [18] adds to the study by showing the effect of the differential $J_2$ model without corrections for $70^\circ$ inclination on the optimal trajectory profile. This simulated orbit is alongside plotted with optimal trajectories of the time-averaged $J_2$ with corrections model ($i_{ref} = 70^\circ$) and the Hill’s equations so as to fully understand the sizable errors in the optimal positions caused by the orbital period mismatch between the target and the chaser orbits and the nodal drift caused by the separation of the ascending node.

Figure 18. Optimal guidance trajectory for different models ($i_{ref} = 70^\circ$)

**Effect of Inclination on the Perturbed Optimal Trajectory**

As the effect of $J_2$ potential is directly proportional to the inclination of the target of the reference orbit. A generalized plot below in Figure [19] that shows the $J_2$ perturbation effect on the optimal trajectories as the inclination of the reference orbit is increased.

It is seen that at lower inclinations the optimal route of the chaser is very similar to that of the Hill’s equation as seen in Figure [15], which should be the case as we already discussed that the effect of $J_2$ is not very significant at inclinations near to equator ($0^\circ$). For all the simulation plotted in Figure [19], the same rendezvous scenario is considered with fixed final maneuver time of one orbital period.

Figure 19. Optimal trajectory for time-averaged $J_2$ with corrections model for different inclination.

### 6. CONCLUSION

In this paper, the optimal close range rendezvous problem for a ADR spacecraft to approach a tumbling upper stage for capture is formulated and analyzed. A set of new linearized differential equations have been incorporated for describing the relative motion of satellites in the presence of $J_2$ potential. Effects on the relative position of the satellite due to time-averaged $J_2$ with corrections model was shown to advocate its implementation for the proposed optimal propellant rendezvous problem. The minimum-propellant-control problem was formulated and addressed using the direct collocation pseudospectral methods. Differential $J_2$ without corrections model was all analyzed to show the significant errors in the relative position due to the orbital period mismatch and nodal drifts. The desired optimal trajectory of the chaser spacecraft with respect to the tumbling resident space object is sought such that the desired final state matches its position and velocity under its defined tolerances. The effect of $J_2$ potential is examined on the optimality condition for a defined rendezvous scenario.

Further study is required and will incorporate the the full 6dof model of the chaser spacecraft, where both the rotational and translational dynamics will be considered. Moreover, to make the capture scenario more realistic collision-avoidance condition will be imposed in form of a path constraint. Along with that docking/capture-enabling conditions will also be incorporated by matching the chaser’s and target’s docking-station position and velocity vectors. Moreover, optimal-time and optimal-energy conditions will also be studies for the rendezvous scenario to truly find the optimal trajectory for target capture. A nominal validation benchmark will be introduced by using GMAT (General Mission Analysis Tool), an open-source pace mission design tool created by NASA Goddard to optimize and propagate the trajectories under the influence of $J_2$ disturbance. To fully incorporate the environmental perturbations that affects the proximity operations, the authors would like to add aerodynamic drag forces into the relative equations of motion model. Its combined effect along with $J_2$ geopotential disturbance will be studied. Optimal trajectories will be planned with all the above constraints defined.

### ACKNOWLEDGMENTS

The work presented above benefited greatly by the help of Dr. Anil Rao, Associate Professor at University of Florida, Gainesville for all his time and input with any concerns and questions related to GPOPS-II.
REFERENCES


BIography

Parv Patel received his B.S. in Aerospace Engineering from Embry-Riddle Aeronautical University, Daytona Beach, FL concentrating in both Astronautics and Propulsion. He further received his M.S. in Astronautical Engineering from the University of Southern California, Los Angeles, CA. He is currently pursuing a Ph.D. degree in Astronautical Engineering at University of Southern California. Parv has worked as a Simulation Test Engineer at Gulfstream Aerospace Corp. Savannah, GA. His research interest include near-Earth object exploration, modeling gravitational fields, interplanetary space mission design, invariant manifolds and spacecraft controls.

Dr. Bogdan Udrea received his Dipl. Eng. in Aeronautical Engineering from the Polytechnic Institute of Bucharest, Romania in 1990 and his Ph.D. in Aeronautics and Astronautics from the University of Washington in 1999. He is the founder and CEO of VisSidus Technologies, Inc., a company that develops technologies for outer space sustainability. Bogdan has a day job as an Associate Professor of Aerospace Engineering at the Embry-Riddle Aeronautical University and has previously worked as a Control and Navigation Systems Engineer at the European Space Agency. His research interests include spacecraft dynamics and control and space mission design with emphasis on proximity operations, formation flying, near-Earth object exploration and on-board autonomy.

Michael Nayak received his B.S. and M.S. in Aerospace Engineering from Embry-Riddle Aeronautical University, Daytona Beach, FL. He is currently pursuing a Ph.D. degree in Earth and Planetary Science at the University of California, Santa Cruz. He is also an active duty Air Force officer, the Chief Scientist of the ARAPAIMA Program, and founder of the aerospace consulting firm Red Sky Research, LLC. Mikey has served as Lead Flight Director and Mission Manager for the Air Force Research Laboratory’s Tactical Satellite III and has managed operations for the NASA Earth Science pathfinder CloudSat. He is the recipient of a National Defense Science and Engineering Graduate (NDSEG) Fellowship and the 2013 General Samuel C. Phillips award for the Space and Missile System Center’s Outstanding Young Engineer/Scientist.