



# CHAIRMAN OF THE JOINT CHIEFS OF STAFF INSTRUCTION

---

J-8

DISTRIBUTION: A, B, C, J, S

CJCSI 5123.01A

8 March 2001

## CHARTER OF THE JOINT REQUIREMENTS OVERSIGHT COUNCIL

References: See Enclosure B

1. Purpose. This instruction implements the program established in reference a for the Joint Requirements Oversight Council (JROC). It establishes and empowers the JROC as an advisory council to the Chairman of the Joint Chiefs of Staff. This instruction delineates JROC composition and responsibilities and further defines the JROC role in the requirements and acquisition process.
2. Cancellation. CJCSI 5123.01, 2 May 1997, "Charter of the Joint Requirements Oversight Council," is canceled.
3. Applicability. This instruction applies to the Services, Joint Staff, combatant commands, Defense agencies, and joint activities and agencies reporting to the Chairman of the Joint Chiefs of Staff.
4. Policy. Reference a, by law, establishes the JROC. This instruction is the principal document within the JROC structure, to include the CJCSI 3137.01 and 3170.01 series, and provides overarching guidance. It is the foundation for all related and supporting JROC efforts within the joint requirements process, including (but not limited to) the Joint Requirements Board (JRB), Enhanced Joint Requirements Board (EJRB), Joint Requirements Panel (JRP), Joint Warfighting Capabilities Assessments (JWCA), Senior Warfighter Forums (SWarF), and JROC-directed Special Study Groups (SSG).
5. Responsibilities. As the capstone document within the JROC process, this instruction focuses solely on the responsibilities of the JROC proper, its direct support subpanels, the JRB, EJRB, and JRP, and its direct support staff, the J-8. It is beyond the scope of this instruction to

8 March 2001

outline the functions and responsibilities of every organization that interfaces with the JROC process. Other instructions and documents, such as those noted in Enclosure B, detail responsibilities and procedures for other organizations that support the JROC process.

## 6. Summary of Changes

a. This instruction incorporates process revisions stemming from the CJCS-directed evolution of the JROC. The goal of these revisions is to strengthen the JROC's strategic focus by:

(1) Enhancing JROC up-front influence of complex requirements integration, and development/validation of the operational view of integrated operational concepts/architectures and related products (e.g., Joint Operational Architecture (JOA)). Detailed information on requirements integration is provided in CJCSI 3170.01.

(2) Formalizing the integration of US Joint Forces Command's (USJFCOM) joint concept development and joint experimentation efforts into the JROC process. Additional information on joint concept development and experimentation integration processes are addressed in CJCSI 3010.02 and CJCSI 3137.01.

(3) Shifting the primary focus of the JWCA team support to the JROC to address more broad, Joint Vision 2020 (JV 2020)-oriented joint warfighting requirements. Detailed information on JWCA processes and procedures is stated in CJCSI 3137.01.

b. Other specific changes include:

(1) Adds representation by Reserve Components by granting them access to JROC meetings for the purpose of providing advice to the JROC on Guard and Reserve matters.

(2) Amplifies and expands the SWarFs role for the purpose of conducting independent analysis of alternatives (AoA) and program evaluation for issues before the JROC.

(3) Adds Joint Staff J-6 role in the process for certifying interoperability key performance parameters (KPPs) outlined in Capstone Requirements Documents (CRDs) and Operational Requirements Documents (ORDs). Also outlines the relationship between the Military Communications - Electronics Board (MCEB) and the JROC.

(4) Adds review of CRDs as an element of the requirements process.

(5) Adds “joint interest” to JROC function of reviewing Mission Need Statements (MNS) that have joint potential.

(6) Adds Joint Staff J-2 role in the process for certifying intelligence supportability.

(7) Adds JROC's review of Joint doctrine, organization, training, material, leadership and education, personnel, and facilities (DOTMLPF) decisions.

(8) Adds JROC Secretary function of developing charters for JROC-directed SSGs.

(9) Adds procedural description for JRP and EJRB membership and purpose.

(10) Adds overview and description of JROC Strategic Topics.

(11) Increases distribution list to include Service JROC points of contact (POC) and Service war colleges.

(12) Adds the Requirements Generation System (CJCSI 3170.01A), the JROC Administrative Guide (JROCM 098-00), the procedures for interoperability certification of MNSs, ORDs, and CRDs (contained in CJCSI 6212.01B), the JWCA Process (CJCSI 3137.01), the Joint Vision Implementation Master Plan (CJCSI 3010.02), and the responsibilities of the DOD Chief Information Officer (CIO) with regard to the JROC process (contained in title 10, United States Code (USC), section 2223, and title 40, USC, section 1425) as additional references under Enclosure B.

7. Releasability. This instruction is approved for public release; distribution is unlimited. DOD components (to include the combatant commands), other Federal agencies, and the public may obtain copies of this instruction through the Internet from the Chairman of the Joint Chiefs of Staff Directives Home Page--<http://www.dtic.mil/doctrine>. Copies are also available through the Government Printing Office on the Joint Electronic Library CD-ROM.

8 March 2001

8. Effective Date. This instruction is effective upon receipt.

A handwritten signature in black ink, appearing to read "Henry H. Shelton", with a long horizontal flourish extending to the right.

HENRY H. SHELTON  
Chairman  
of the Joint Chiefs of Staff

Enclosures:

A - Charter of the Joint Requirements Oversight Council

B - References

DISTRIBUTION

Distribution A, B, C, and J plus the following:

	<u>Copies</u>
Secretary of Defense .....	10
Chairman of the Joint Chiefs of Staff.....	5
Vice Chairman of the Joint Chiefs of Staff.....	4
Under Secretary of Defense (Acquisition, Technology, and Logistics) ..	4
DOD Chief Information Officer.....	4
Assistant Secretary of Defense (Command, Control, Communications, and Intelligence) .....	4
Director, Joint Staff.....	4
Joint Warfighting Capabilities Assessment Teams .....	8
Army JROC POC .....	5
Navy JROC POC .....	5
Air Force JROC POC.....	5
Marine Corps JROC POC.....	5
Reserve Component JROC POC .....	3
Defense Intelligence Agency JROC POC .....	2
National Defense University.....	2
National War College .....	2
Army War College.....	2
Naval War College .....	2
Air War College.....	2
Industrial College of the Armed Forces .....	2
Army Command and General Staff College .....	2
Naval Command and Staff College .....	2
Air Command and Staff College .....	2
Marine Corps Command and Staff College.....	2
Joint Forces Staff College .....	2
Joint Theater Air and Missile Defense Organization .....	1
Joint Combat Identification Assessment Division.....	1

(INTENTIONALLY BLANK)

8 March 2001

## ENCLOSURE A

## CHARTER OF THE JOINT REQUIREMENTS OVERSIGHT COUNCIL

1. Introduction. The Defense Reorganization Act of 1986 established the Chairman of the Joint Chief of Staff's statutory responsibility to advise the Secretary of Defense on requirements, programs, and budgets. In fulfilling this responsibility, the Chairman of the Joint Chiefs of Staff will:

- a. Assess military requirements for major acquisition programs.
- b. Advise the Secretary of Defense on requirements prioritization.
- c. Provide programmatic advice to the Secretary of Defense for the Defense Planning Guidance (DPG) via the Chairman's Program Recommendations (CPR).
- d. Provide advice to the Secretary of Defense on conformance of the Services' Program Objective Memorandums (POMs) to the priorities established in the strategic plans and by the CINCs' priority requirements via the Chairman's Program Assessment (CPA).
- e. Provide alternative program recommendations and budget proposals via the CPA.

2. The Joint Requirements Oversight Council (JROC)

a. Title 10, USC, section 181, directed the Secretary of Defense to establish the JROC. In addition to other matters assigned to it by the President or Secretary of Defense, the JROC shall:

(1) Assist the Chairman of the Joint Chiefs of Staff in identifying and assessing the priority of joint military requirements (including existing systems and equipment) to meet the national military strategy;

(2) Assist the Chairman in considering alternatives to any acquisition program that has been identified to meet military requirements by evaluating the cost, schedule, and performance criteria of the program and of the identified alternatives; and

(3) As part of its mission to assist the Chairman in assigning joint priority among existing and future programs meeting valid requirements, ensure that the assignment of such priorities conforms to and reflects resource levels projected by the Secretary of Defense through DPG.

8 March 2001

b. JROC Membership. The Chairman of the Joint Chiefs of Staff is the chairman of the JROC. The functions of the JROC Chairman are delegated to the Vice Chairman of the Joint Chiefs of Staff (VCJCS). Other members of the JROC are officers in the grade of general or admiral from the Army, Navy, Air Force, and Marine Corps. Service representatives are recommended by their Military Department Secretary and approved by the Chairman of the Joint Chiefs of Staff after consultation with the Secretary of Defense. In accordance with reference a, JROC permanent members are:

(1) The Chairman of the Joint Chiefs of Staff, who is the Chairman of the Council.

(2) An Army officer in the grade of general.

(3) A Navy officer in the grade of admiral.

(4) An Air Force officer in the grade of general.

(5) A Marine Corps officer in the grade of general.

c. Advisory Support to the JROC

(1) Each of the CINCs has a standing invitation to attend JROC sessions in an advisory role to the JROC Chairman on joint issues that address present and/or future joint warfighting capabilities or requirements.

(2) The two Assistants to the Chairman for National Guard and Reserve Matters have a standing invitation to attend JROC-related meetings in an advisory role to the JROC Chairman on Guard and Reserve issues.

(3) Joint Staff J-2 and DIA will serve the JROC in an advisory role to provide JROC members with current intelligence estimates and threat assessments regarding topics and issues before the JROC and certification of intelligence supportability.

(4) In accordance with the guidance outlined in the CJCSI 3170.01 series (reference l), the Joint Staff J-6 will serve the JROC in an advisory role to support the JROC's process for certification of joint interoperability. As Chairman of the MCEB, the Joint Staff J-6 may refer, after consultation with other MCEB principals, Acquisition Category (ACAT) II/III command, control, communications, and computer (C4) systems to the JROC for special oversight consideration. Also, the JROC, at its discretion, may refer any C4 issue, such as requirement

8 March 2001

clarification or interoperability, to the MCEB for a recommendation, analysis, or resolution.

(5) In consonance with the Clinger-Cohen Act of 1996 (reference f) and title 10, USC (reference e), the Director, Architecture and Interoperability in the Office of the DOD CIO, will serve the JROC in an advisory role on Information Technology including National Security Systems. In addition, the DOD CIO will support JROC responsibilities for developing and validating the operational view of integrated operational concepts/architectures and related products (e.g., JOA), as well as ensuring interoperability.

(6) Joint Staff directorates who are responsible for Joint DOTMLPF "functional process ownership" will serve the JROC in an advisory role to support the JROC's joint DOTMLPF decisions.

d. JROC Responsibilities. The JROC is responsible to the Chairman of the Joint Chiefs of Staff for performing the missions and functions set forth in this charter. The JROC will:

(1) Assist the Chairman in coordinating, among combatant commands, Service force providers, and other DOD components, the identification and assessment of joint requirements and priorities for current and future military capabilities, forces, programs, and resources, consistent with the National Military Strategy (NMS) and the total resource levels projected by the Secretary of Defense in the DPG and fiscal guidance.

(2) Assist the Chairman in providing up-front guidance, oversight, and validation on complex requirements integration.

(3) Assist the Chairman in developing and/or validating operational and mission area integrated architectures and operational concepts required by the NMS and to facilitate the realization of JV 2020 warfighting capabilities.

(4) Assist the Vice Chairman of the Joint Chiefs of Staff in his role as the Vice Chairman of the Defense Acquisition Board (DAB) by reviewing and approving military need and joint interoperability requirements for potential ACAT I programs, JROC Special Interest programs, and Major Acquisition Information Systems (MAIS) as may be directed by the Secretary of Defense or Chairman of the Joint Chiefs of Staff; and by considering cost, schedule, and performance and nonmaterial alternatives for acquisition programs identified to meet military needs (i.e., alternatives involving changes in doctrine, tactics, training, or organization).

8 March 2001

(5) Assist the Chairman in considering alternatives to any acquisition program identified to meet military requirements by evaluating performance, costs, and schedule of the acquisition program and of the identified alternatives.

(6) At its discretion, review any requirements documents and ACAT II and below acquisition programs to resolve interoperability, contentious, or joint-interest issues.

(7) For ACAT I programs, JROC Special Interest programs, joint experimentation, and MAIS, consider, review, and, where appropriate, certify the nonmaterial joint DOTMLPF implications of material solutions for future joint warfighting deficiencies and capabilities.

(8) Assess the warfighting capabilities and deficiencies of combatant commands and Defense Agencies, and conduct other joint assessments of DOD programs, infrastructure, support functions, manpower, and/or quality-of-life matters as may be directed by the Secretary of Defense or Chairman of the Joint Chiefs of Staff.

(9) Perform other duties as assigned to support the Chairman's advice to the President, National Security Council, Secretary of Defense, Congress, Office of the Secretary of Defense, or others on matters concerning joint requirements and priorities; science, technology, and acquisition; programs, budgets, and resources; balancing warfighting and support needs, as well as current readiness needs and investments in future capabilities.

(10) Assist the Chairman in drafting his Annual JROC Strategic Guidance to the combatant commands, Services, and Joint Staff.

(11) Assist the Chairman in developing the CJCS Annual Guidance to USJFCOM for joint concept development and experimentation.

(12) Assist the Chairman by providing inputs for CJCS consideration prior to his approval of USJFCOM's annual Joint Experimentation Campaign Plan.

(13) Review acquisition programs and assessments at the request of the Secretary of Defense, Deputy Secretary of Defense, Under Secretary of Defense for Acquisition, Technology, and Logistics (USD(AT&L)), Under Secretary of Defense for Policy (USD(P)), Assistant Secretary of Defense for Command, Control, Communications, and Intelligence (ASD(C3I)), or DOD CIO.

8 March 2001

(14) Review, validate, and synchronize the Joint Warfighting Capability Objectives (JWCO), as developed in the USD(AT&L) Joint Warfighting Science and Technology Plan with the JV 2020 desired operational capabilities and 21st Century Challenges, in support of CJCS implementation efforts of JV 2020 and the Advanced Concept Technology Demonstration (ACTD) efforts.

e. JROC Functions

(1) Determine and oversee the processes and methods to be used in identifying, developing, assessing, validating, and prioritizing joint requirements.

(2) Determine the joint requirements necessary to achieve interoperability among joint, combined, and coalition forces.

(3) Review warfighting deficiencies that may necessitate Major Defense Acquisition Programs (MDAPs) and validate that such deficiencies cannot be satisfied by nonmaterial means.

(4) Review and approve joint requirements assessment methodologies.

(5) Establish and oversee the procedures by which joint requirements are validated.

(6) For ACAT I programs, JROC Special Interest programs, and MAIS, review and validate CRD and ORD KPPs and review and approve CRDs and ORDs. At its discretion, the JROC may delegate CRD and ORD approval authority to the requirement's sponsor.

(7) Oversee the process for certifying the Information Exchange Requirements (IERs) that comprise the Interoperability KPP in CRDs and ORDs.

(8) Assign to CINCs, joint components, Services, and DOD agencies responsibilities for developing CRDs and performing functions as a CRD lead.

(9) Direct the review of all MNSs and ORDs for the purpose of designating those that have joint interest and joint potential.

(10) Validate KPPs of the Acquisition Program Baseline (APB) prior to DAB reviews of MDAPs (including, unless otherwise directed by the Secretary of Defense, highly sensitive classified programs).

(11) Oversee the process for certifying intelligence supportability and threat validation.

(12) Assist the Chairman in preparation of his CPR and CPA.

(13) Review results of concept exploration and definition studies. Provide appropriate recommendation on alternatives and cost-performance trades to USD(AT&L) prior to program initiation review.

(14) Conduct program reviews between formal acquisition milestone phase decisions, as required, to ensure system performance meets original mission needs and to address synchronization of evolving requirements with and among current acquisition programs.

(15) Ensure Service-proposed capabilities, forces, programs, and budgets are linked to the NMS, DPG, JV 2020, Joint Operational Concepts/Architectures, and CINC-identified requirements.

(16) Oversee broad mission area assessments. Review and approve plans and recommendations for correcting joint warfighting deficiencies of the combatant commands while ensuring interoperability, reducing parallel and duplicate development efforts, and promoting economies of scale.

(17) Conduct risk assessments and establish joint priorities within and among key warfighting and support areas.

(18) Assist the Vice Chairman in formulating positions to be taken in discussion or correspondence with appropriate DOD components and/or the combatant commands on issues before the DAB.

(19) Establish and oversee the supporting structures and processes necessary to accomplish the JROC's assigned missions and responsibilities, to include:

- (a) The Joint Requirements Board.
- (b) The Joint Requirements Panel.
- (c) The Enhanced Joint Requirements Board.
- (d) JWCA teams and their domains (reference k).
- (e) Senior Warfighter Forums.

(f) Special Study Groups.

(20) Provide oversight of the JWCA process.

(21) Meet periodically with combatant commands to ensure current and future warfighting deficiencies and requirements are identified, well defined, and given emphasis in the establishment of joint requirements and programmatic priorities.

(22) Provide guidance to ensure development and validation of the operational view of integrated operational concepts/architectures and related products (e.g., JOAs), requirements integration, and operational concepts in support of the NMS and realization of JV 2020 warfighting capabilities.

(23) Maintain a central and integrated database and knowledge management system for:

(a) Requirements integration and documentation, including MNSs, CRDs, and ORDs.

(b) Decisions on the operational view of integrated operational concepts/architectures and related products.

(c) Operational concept decisions.

(d) Joint DOTMLPF review issues.

(e) Any other JROC validation or support decisions.

(24) Charter and task SSGs to address operational concept definitions, joint potential, and joint requirements issues.

(25) Review and validate all JWCA efforts, including:

(a) Approval of strategic assessment topics.

(b) Approval of individual JWCA assessment topics.

(c) Approval of all other JWCA assessment efforts, to include Joint Monthly Readiness Review (JMRR), SWarF, and Quadrennial Defense Review (QDR) support.

(d) Approval of the resources identified and utilized to support JROC/JWCA efforts.

8 March 2001

(26) Nominate topics for JROC consideration and advise the JROC Chairman on issues requiring council review.

(27) Establish a process to collect, evaluate, and prioritize recommended issues to the Chairman of the Joint Chiefs of Staff for inclusion in his annual guidance for USJFCOM to consider in developing the annual Joint Experimentation Campaign Plan.

(28) Ensure the DOTMLPF recommendations resulting from joint concept development and experimentation are integrated into the JROC's deliberations on identifying, developing, validating, and prioritizing joint requirements.

### 3. The Joint Requirements Board

a. JRB Membership. The JRB is comprised of general/flag officers (G/FO) from each of the Services, designated by their respective JROC permanent member. The JROC Secretary chairs the JRB. The Chief, Joint Requirements Division (JRD), J-8, is designated as the JRB Secretary.

b. JRB Functions. As the JROC supports the Chairman of the Joint Chiefs of Staff in carrying out his responsibilities, the JRB functions to assist the JROC in carrying out its duties and responsibilities. Functions of the JRB are to:

(1) Assist the JROC in overseeing the requirements generation process, to include mission-need determination, review and validation/approval of MNSs, CRDs, ORDs, KPPs, and operational view of integrated operational concepts/architectures and related products.

(2) Assist the JROC in integration and oversight of the JWCA process.

(3) Review JWCA insights, findings, and recommendations as well as provide appropriate guidance, suggestions, and direction prior to final JROC review.

(4) Nominate topics for JROC consideration and advise the JROC Chairman on issues requiring council review.

8 March 2001

#### 4. The Enhanced Joint Requirements Board

a. EJRB Membership. The EJRB is cochaired by the JROC Secretary and a representative from USD(AT&L). Other members of the EJRB are the JRB principals from each of the Services and designated representation from USD(Comptroller), USD(Policy), DOD General Counsel, ASD(C3I), and DOD CIO. The Chief of the JROC Secretariat Branch attends EJRB sessions as the recorder. Board facilitation will be handled through the OSD Special Access Program Coordination Office and J-8/JRD.

b. EJRB Functions. The EJRB is a special advisory body to the Deputy Secretary of Defense and the Vice Chairman. The EJRB conducts executive-level review of selected programs and developments and provides recommendations to senior DOD leadership through the appropriate channels. The EJRB performs the following functions:

(1) Reviews, validates, integrates, and makes recommendations on appropriate action(s) concerning selected programs and developments.

(2) Combines the JRB with selected OSD Directors to permit a more thorough examination of selected issues.

(3) Nominates topics for JROC consideration and advises the JROC Chairman on issues requiring council review.

#### c. EJRB Procedures

(1) Senior DOD officials nominate issues to be reviewed by the EJRB.

(2) EJRB meetings are called as needed by the EJRB cochairmen.

(3) Additional participants, both internal and external to the Department of Defense, may be included at the discretion of the EJRB cochairmen, subject to the provisions of the established DOD regulations.

#### 5. The Joint Requirements Panel

a. JRP Membership. The JRP is chaired by the J-8, Deputy Director for Resources and Requirements (J-8/DDRR). The remaining JRP membership is comprised of senior nongeneral/flag officers from each of the Services (normally the Service JROC POC), designated by the JROC permanent member of the Service concerned, as well as the J-8/JRD Chief and a representative from DIA/Joint Staff (J-2).

8 March 2001

b. JRP Functions. As the JRB functions to assist the JROC in carrying out its duties and responsibilities, the JRP functions to assist the JRB in carrying out its duties and responsibilities associated with the joint requirements development process. Functions of the JRP are to:

(1) Assist the JROC and JRB in overseeing the requirements generation process, to include mission-need determination, review and validation/approval of MNSs, CRDs, ORDs, KPPs, and operational view of integrated operational concepts/architectures and related products.

(2) Complete a review of select MNSs and ORDs (submitted by sponsoring DOD components) to determine whether the program has joint and/or Service impacts. Provide recommendations to the JRB if the MNS or ORD should be considered for designation as a JROC Special Interest item.

(3) Coordinate/integrate Service participation in JWCA team efforts.

(4) Review JWCA insights, findings, and recommendations on the operational view of integrated operational concepts/architectures and related products, and provide appropriate recommendations to the JRB.

(5) Nominate topics for JRB/JROC consideration and advise the JRB and JROC Chairman on issues requiring JROC review.

6. JROC Chairman. The JROC Chairman performs the following functions:

a. Calls the JROC meetings.

b. Develops the agenda for JROC meetings, organizes JROC work, and ensures prompt prosecution of JROC business.

c. Oversees the JWCA process to ensure proper focus in accordance with JROC responsibilities.

d. Acts on behalf of the JROC in maintaining liaison with appropriate DOD components.

e. Serves as the Vice Chairman of the DAB.

f. Schedules briefings by the appropriate DOD component on issues that may require JROC resolution or recommendations.

8 March 2001

g. Records, with the support of the JROC Secretary, decisions and recommendations of the JROC through JROC memorandums.

h. Responsible for all internal and external reports.

7. JROC Secretary. The JROC Chairman appoints the Director, Force Structure, Resources, and Assessment, Joint Staff (DJ-8), as the JROC Secretary. The functions of the Secretary are to:

a. Function as the Chairman of the JRB.

b. Support the JROC Chairman and the JROC in executing JROC responsibilities.

c. Promulgate JROC decisions.

d. Develop and promulgate JROC administrative procedures.

e. Provide necessary continuity and Joint Staff point of contact for the JROC.

f. Appoint a JROC Recorder to record JROC actions and maintain JROC historical records.

g. Coordinate oversight of the JWCA process and coordinate other issues requiring JROC review.

h. Conduct JROC prebriefs to ensure format, content, and presentation are appropriate.

i. Coordinate the actions of the Joint Staff in their support of the JROC Chairman.

j. Maintain liaison with the combatant commands.

k. Develop charters for SSGs approved by the JROC.

l. Maintain a central and integrated database and knowledge management system directly supporting the JROC/JWCA process.

m. Perform all other JROC/JWCA process oversight, facilitation, and integration functions as directed by the JROC.

## 8. JROC Capabilities

a. JWCA Process

8 March 2001

(1) JWCA Teams. The mission of the JWCA process is to enable the JROC to serve as the architect of the future joint force. JWCA teams will assist the JROC in identifying the joint requirements, operational view of integrated operational architectures, and operational concepts to realize JV 2020, and support the Chairman in executing his title 10, section 153, responsibilities. The JWCA teams provide assessments and recommendations that enhance requirements integration; promote the operational view of integrated operational concepts/architectures and related products to advance future joint, combined, and coalition warfighting and other operations; and when appropriate, propose suitable topics for joint concept development and experimentation to the JROC for recommendation to the Chairman of the Joint Chiefs of Staff. When directed by the JROC, the JWCA teams will also accomplish assessments focused on advancing near-term joint operational requirements. Additional specific focus and priorities for the JWCA teams will be derived from the Chairman's JROC Guidance Memorandum and directly from the JROC.

(2) JWCA Sponsors. The JROC Chairman appoints the directors of the Joint Staff directorates as JWCA sponsors. Individual JWCA sponsorship within a Joint Staff directorate will be at the G/FO level. Functions of the JWCA sponsors include:

(a) Identifying critical deficiencies and strengths in joint warfighting capabilities and providing specific program recommendations.

(b) Providing the JROC with assessment methodologies, findings, and recommendations to satisfy warfighting requirements and provide operational efficiencies.

(c) Establishing JWCA teams to conduct assessments. Team membership will vary depending on the issue but will normally consist of:

1. JROC permanent members' representatives.
2. Joint Staff directorate representatives.
3. Combatant command representatives.
4. DIA representatives.
5. Others, as designated by the JROC Chairman.

8 March 2001

(3) JWCA sponsors will assign a subordinate Joint Staff G/FO from their directorate to oversee JWCA teams.

(4) JWCA sponsors will assign a Joint Staff officer, in the grade of O-6, to serve as the full-time team lead to direct and guide each JWCA team.

(5) JWCA sponsors will ensure each JWCA team is adequately staffed and resourced to support JROC priorities and the JWCA functions outlined in the CJCSI 3137.01 series (reference k).

b. JROC Strategic Topics. Strategic assessments represent the principal mechanism by which the JROC focuses JWCA efforts on significant issues with the greatest potential impact on future joint warfighting. These assessments will enable the JROC to make definitive decisions and recommendations on future operational concepts and architectures and individual or family of system requirements. Based on these published decisions and standards, the JROC will validate the compliance of future requirements.

(1) Strategic assessments represent the JROC's highest priority efforts and will receive the highest priority for JROC resources. These assessments fall into three general categories: operational concepts and architectures, future system requirements, and bridge or future/legacy system requirements. These assessments can provide an opportunity for the CJCS to influence joint experimentation efforts and facilitate their eventual impact on DOD decision-making processes.

(2) The JROC will solicit strategic topics from the CINCs, Services, Joint Staff, and OSD based on the Chairman of the Joint Chiefs of Staff's current strategic guidance. Additionally, the normal timeline links development of assessment efforts with JWCA resource allocation. Because strategic topics represent a considerable commitment of JROC resources, the JROC will solicit strategic topics based on JWCA availability, not necessarily on an annual basis. Details on strategic topic processes and procedures are provided in CJCSI 3137.01 Series (reference k).

c. JROC Special Study Groups. The JROC Chairman, in consultation with the JROC, may establish SSGs to complete requirements determination studies, evaluate mission needs, or perform other studies as designated. JROC SSGs are established at the GO/FO level with support from an action officer working group. The JROC Chairman will appoint the SSG Chairman. SSG charters are normally developed by the JROC Secretary and approved by the JROC. Membership of an SSG will vary depending on the issue, but will normally consist of:

8 March 2001

- (1) A representative designated by each permanent JROC member.
- (2) Joint Staff directorate representatives, as appropriate.
- (3) Combatant command representatives, as appropriate.
- (4) A DIA representative.
- (5) Others, as designated by the JROC Chairman.

d. SWarF. The JROC Chairman, in consultation with the JROC, may direct the initiation of a SWarF to organize, analyze, prioritize, and build joint consensus on a complex resource and requirements issue for JROC validation and approval. If a SWarF already exists for a specific program or has previously conducted a program study, the JROC may choose to use the SWarF's existing AoA and overall evaluation rather than direct the initiation of a new SWarF. The JROC tasking memorandum and strategic planning directive will identify the SWarF lead, specific issue(s) to be addressed, fiscal guidelines, assignment of the appropriate acquisition and technical expertise to frame the issue(s), and the timeline to report recommendations to the JROC. The JROC will assign CINCs to lead SWarFs according to their missions and responsibilities.

## 9. JROC Communications

a. JROC communications are separate, unique, and do not follow normal Joint Staff or Service channels. The JROC process includes the JWCA process; accordingly, JWCA communications will use the same procedures outlined below. Direct communications are necessary to facilitate and expedite JROC information flow. Communications between the JROC and the office of the USD(AT&L) are direct. These channels are normally via memorandums from the JROC Chairman to the USD(AT&L) and return, or from the JROC Secretary to the DAB Executive Secretary and return. Likewise, communications from the JROC to the Services are direct. Service principals will identify a Service POC who has direct access to that principal to assist and facilitate JROC matters. Priority communications will be direct from the JROC Chairman or the JROC Secretary to the Service principal and return. Routine communications will be from the JROC Secretariat or the JWCA Team Leader to the Service POC and return.

b. JROC Information Availability and Releasability

(1) The JROC Secretariat is the approval authority for release of all official JROC information and documents. All JROC predecisional documents and briefings are not available for release without coordination of the JROC Secretariat and J-8/JRD.

(2) The JROC Secretariat will maintain all minutes and memoranda associated with the JROC process, with the exception of EJRB-related materials. Non-EJRB minutes and memoranda will be accessible to the Services, DIA, and the Joint Staff. Office of the Secretary of Defense, combatant commands, and other DOD Agencies will be provided these materials upon request. EJRB-related materials will only be available for review by properly accessed EJRB/JROC members.

(3) When the Secretary of Defense approves a recommendation of the Chairman of the Joint Chiefs of Staff, oversight information (information and materials comprising analysis and justification that are prepared to support a recommendation that is made to, and approved by, the Secretary of Defense) with respect to the recommendation produced as a result of JROC activities will be made available in a timely fashion to the Congressional defense committees.

(4) Other JROC information may be released to Congress and other non-DOD entities only after complete case-by-case review and coordination with the JROC Secretariat, JROC, Services, and applicable Joint Staff offices (e.g., Legal Counsel, Public Affairs, and Legislative Assistant), as appropriate.

10. Process. For specific details on the JROC process, briefing formats, and administrative guidelines, see the JROC Administrative Guide (reference n).

(INTENTIONALLY BLANK)

ENCLOSURE B

REFERENCES

- a. Title 10, United States Code, section 181, "Joint Requirements Oversight Council"
- b. Title 10, United States Code, section 163, "Role of Chairman of Joint Chiefs of Staff"
- c. Title 10, United States Code, section 153, "Chairman: functions"
- d. Title 10, United States Code, section 154, "Vice Chairman"
- e. Title 10, United States Code, section 2223, "Information technology: additional responsibilities of Chief Information Officers"
- f. Title 40, United States Code, section 1425, "Agency Chief Information Officer"
- g. DOD Directive 5000.1, Series, "The Defense Acquisition System"
- h. DoD Instruction 5000.2, Series "Operation of the Defense Acquisition System"
- i. DOD Regulation 5000.2-R, Series, "Mandatory Procedures for Major Defense Acquisition Programs (MDAPs) and Major Automated Information System (MAIS) Acquisition Programs"
- j. CJCSI 3010.02, Series, "Joint Vision Implementation Master Plan"
- k. CJCSI 3137.01, Series, "The Joint Warfighting Capabilities Assessment Process"
- l. CJCSI 3170.01, Series, "Requirements Generation System"
- m. CJCSI 6212.01, Series, "Interoperability and Supportability of National Security Systems, and Information Systems"
- n. JROCM 098-00, 25 May 2000, "JROC Administrative Guide"

(INTENTIONALLY BLANK)