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**Exhibit R-2, RDT&E Budget Item Justification:** PB 2011 United States Special Operations Command **DATE:** February 2010

<b>APPROPRIATION/BUDGET ACTIVITY</b> 0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide</i> BA 7: <i>Operational Systems Development</i>	<b>R-1 ITEM NOMENCLATURE</b> PE 1160279BB: <i>Small Business Innovative Research (SBIR)/S050</i>
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COST (\$ in Millions)	FY 2009 Actual	FY 2010 Estimate	FY 2011 Base Estimate	FY 2011 OCO Estimate	FY 2011 Total Estimate	FY 2012 Estimate	FY 2013 Estimate	FY 2014 Estimate	FY 2015 Estimate	Cost To Complete	Total Cost
Total Program Element	10.206	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	Continuing	Continuing
S050: <i>Small Business Innovative Research (SBIR)</i>	10.206	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	Continuing	Continuing

**A. Mission Description and Budget Item Justification**

The Small Business Innovative Research (SBIR) program element consists of a highly competitive three-phase award system that provides qualified small business concerns with the opportunity to propose high quality innovative ideas that meet specific research and development needs of USSOCOM. SBIR is a result of the Small Business Development Act of 1992. It was enacted by Congress in Public Law 97-219, reenacted by Public Law 99-443, and reauthorized by the SBIR Program Reauthorization Act of 2001. Starting in FY 1994, the SBIR program was refocused toward dual use and defense reinvestment efforts. Phase I projects evaluate the scientific technical merit and feasibility of an idea. Awards are up to \$0.100 million with a maximum six-month period of performance. Phase II projects expand the results of, and further pursue, the developments of Phase I. Awards are up to \$0.750 million with a maximum two-year period of performance. Phase III is for commercialization of the results of Phase II and requires the use of private or non-SBIR federal funding. DOD publishes government agency proposal projects twice per year for a consolidated DoD Request for Proposal. USSOCOM then awards its proposed SBIR projects.

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0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide</i>	PE 1160279BB: <i>Small Business Innovative Research (SBIR)/S050</i>
BA 7: <i>Operational Systems Development</i>	

**B. Program Change Summary (\$ in Millions)**

	<u>FY 2009</u>	<u>FY 2010</u>	<u>FY 2011 Base</u>	<u>FY 2011 OCO</u>	<u>FY 2011 Total</u>
Previous President's Budget	0.000	0.000	0.000	0.000	0.000
Current President's Budget	10.206	0.000	0.000	0.000	0.000
Total Adjustments	10.206	0.000	0.000	0.000	0.000
• Congressional General Reductions		0.000			
• Congressional Directed Reductions		0.000			
• Congressional Rescissions	0.000	0.000			
• Congressional Adds		0.000			
• Congressional Directed Transfers		0.000			
• Reprogrammings	0.000	0.000			
• SBIR/STTR Transfer	10.206	0.000			

**Change Summary Explanation**

Funding:

FY09: Increase of \$10.206 million is due to transfers from baseline accounts to fund the Small Business Innovative Research account.

FY10: None.

FY11: None.

Schedule: None.

Technical: None.

**C. Accomplishments/Planned Program (\$ in Millions)**

	<u>FY 2009</u>	<u>FY 2010</u>	<u>FY 2011 Base</u>	<u>FY 2011 OCO</u>	<u>FY 2011 Total</u>
Small Business Innovative Research	10.206	0.000	0.000	0.000	0.000

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<b>C. Accomplishments/Planned Program (\$ in Millions)</b>	FY 2009	FY 2010	FY 2011 Base	FY 2011 OCO	FY 2011 Total
<i>FY 2009 Accomplishments:</i> Continued FY09 projects.					
Accomplishments/Planned Programs Subtotals	10.206	0.000	0.000	0.000	0.000

**D. Other Program Funding Summary (\$ in Millions)**

N/A

**E. Acquisition Strategy**

N/A

**F. Performance Metrics**

N/A

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