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**Exhibit R-2, RDT&E Budget Item Justification:** PB 2011 Defense Advanced Research Projects Agency **DATE:** February 2010

<b>APPROPRIATION/BUDGET ACTIVITY</b> 0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide</i> BA 2: <i>Applied Research</i>			<b>R-1 ITEM NOMENCLATURE</b> PE 0602304E: <i>COGNITIVE COMPUTING SYSTEMS</i>								
<b>COST (\$ in Millions)</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Estimate</b>	<b>FY 2011 Base Estimate</b>	<b>FY 2011 OCO Estimate</b>	<b>FY 2011 Total Estimate</b>	<b>FY 2012 Estimate</b>	<b>FY 2013 Estimate</b>	<b>FY 2014 Estimate</b>	<b>FY 2015 Estimate</b>	<b>Cost To Complete</b>	<b>Total Cost</b>
Total Program Element	122.810	144.236	90.143	0.000	90.143	88.462	87.592	86.773	91.963	Continuing	Continuing
COG-02: <i>COGNITIVE COMPUTING</i>	81.549	99.825	54.641	0.000	54.641	46.460	44.090	48.022	48.212	Continuing	Continuing
COG-03: <i>COLLECTIVE COGNITIVE SYSTEMS AND INTERFACES</i>	41.261	44.411	35.502	0.000	35.502	42.002	43.502	38.751	43.751	Continuing	Continuing

**A. Mission Description and Budget Item Justification**

(U) The Cognitive Computing Systems program element is budgeted in the Applied Research budget activity because it is developing the next revolution in computing and information processing technology that will enable computational systems to have reasoning and learning capabilities and levels of autonomy far beyond those of today's systems. The ability to reason, learn and adapt will raise computing to new levels of capability and powerful new applications.

(U) The Cognitive Computing project will develop core technologies that enable computing systems to learn, reason and apply knowledge gained through experience, and respond intelligently to things that have not been previously encountered. These technologies will lead to systems demonstrating increased self-reliance, self-adaptive reconfiguration, intelligent negotiation, cooperative behavior and survivability with reduced human intervention.

(U) The Collective Cognitive Systems and Interfaces Project will dramatically improve warfighter and commander effectiveness and productivity using advanced cognitive approaches that enable faster, better informed, and more highly coordinated actions than those of our enemies. This will be accomplished by developing revolutionary methods that increase our information processing capabilities, enhance our situational awareness, and enable more cohesive group action by our forces. Critical technical areas addressed in this project include automated coordinated decision support, information sharing, and ensured communications.

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**B. Program Change Summary (\$ in Millions)**

	<u>FY 2009</u>	<u>FY 2010</u>	<u>FY 2011 Base</u>	<u>FY 2011 OCO</u>	<u>FY 2011 Total</u>
Previous President's Budget	144.869	142.840	0.000	0.000	0.000
Current President's Budget	122.810	144.236	90.143	0.000	90.143
Total Adjustments	-22.059	1.396	90.143	0.000	90.143
• Congressional General Reductions		-0.604			
• Congressional Directed Reductions		0.000			
• Congressional Rescissions	-6.989	0.000			
• Congressional Adds		2.000			
• Congressional Directed Transfers		0.000			
• Reprogrammings	-11.000	0.000			
• SBIR/STTR Transfer	-4.070	0.000			
• TotalOtherAdjustments	0.000	0.000	90.143	0.000	90.143

**Congressional Add Details (\$ in Millions, and Includes General Reductions)**

**Project:** COG-02: *COGNITIVE COMPUTING*

Congressional Add: *BioButanol Production Research*

	<u>FY 2009</u>	<u>FY 2010</u>
	0.000	2.000
Congressional Add Subtotals for Project: COG-02	0.000	2.000
Congressional Add Totals for all Projects	0.000	2.000

**Change Summary Explanation**

FY 2009

Decrease reflects Omnibus Reprogramming action for the H1N1 vaccine development, SBIR/STTR transfer, and the Section 8042 rescission of the FY 2010 Appropriation Act.

FY 2010

Increase reflects the congressional adds (as identified above) offset by the Section 8097 Economic Assumption.

FY 2011

Not Applicable

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<b>COST (\$ in Millions)</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Estimate</b>	<b>FY 2011 Base Estimate</b>	<b>FY 2011 OCO Estimate</b>	<b>FY 2011 Total Estimate</b>	<b>FY 2012 Estimate</b>	<b>FY 2013 Estimate</b>	<b>FY 2014 Estimate</b>	<b>FY 2015 Estimate</b>	<b>Cost To Complete</b>	<b>Total Cost</b>
COG-02: <i>COGNITIVE COMPUTING</i>	81.549	99.825	54.641	0.000	54.641	46.460	44.090	48.022	48.212	Continuing	Continuing

**A. Mission Description and Budget Item Justification**

(U) The Cognitive Computing project will develop core technologies that enable computing systems to learn, reason and apply knowledge gained through experience, and to respond intelligently to new and unforeseen events. These technologies will lead to systems with increased self reliance, cooperative behavior, and the capacity to reconfigure themselves and survive with reduced programmer intervention. These capabilities will make the difference between mission success and mission degradation or failure, even in the event of cyber-attack or component attrition resulting from kinetic warfare or accidental faults and errors. Systems that learn and reason will reduce the requirement for skilled system administrators and dramatically reduce the overall cost of system maintenance. As the military moves towards a dynamic expeditionary force, it is critical for systems to become more self sufficient.

**B. Accomplishments/Planned Program (\$ in Millions)**

	<b>FY 2009</b>	<b>FY 2010</b>	<b>FY 2011 Base</b>	<b>FY 2011 OCO</b>	<b>FY 2011 Total</b>
Personalized Assistant that Learns (PAL)  (U) The Personalized Assistant that Learns (PAL) program enables intelligence in information processing systems so that critical DoD systems can better support the warfighter. PAL systems will have embedded learning capabilities that will allow them to retain prior learned knowledge, apply this knowledge to new scenarios and ultimately provide faster and more effective assistance. Overall, the ability to learn will enable the performance of a PAL system to improve over time. Cognitive systems technologies developed in this program will be applied and demonstrated in ongoing and future Command and Control Systems programs.  (U) The PAL program is creating the first comprehensive system that will dramatically empower commanders to understand all aspects of the current military situation, radically reduce manpower and labor required in command posts and in the field, and automate the massive number of administrative and analytical tasks characteristic of today's command centers. PAL capabilities will result in the ability	27.344	26.275	16.298	0.000	16.298

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<b>B. Accomplishments/Planned Program (\$ in Millions)</b>								
				<b>FY 2009</b>	<b>FY 2010</b>	<b>FY 2011 Base</b>	<b>FY 2011 OCO</b>	<b>FY 2011 Total</b>
<p>(U) The Integrated Learning program is creating a new computer learning paradigm in which systems learn complex workflows from warfighters while the warfighters perform their regular duties. The effort is focused on military planning tasks such as air operations center planning and military medical logistics. With this learning technology, it will be possible to create many different types of military decision support systems that learn by watching experts rather than relying on expensive and error prone hand-encoded knowledge. The new learning paradigm differs from conventional machine learning in that it does not rely on large amounts of carefully crafted training data. Rather, in the new paradigm the learner works to “figure things out” by combining many different types of learning, reasoning, and knowledge. Such a cognitive system will ultimately need the capability to build and update its own internal model of the world and the objects in it without human input.</p> <p><i>FY 2009 Accomplishments:</i></p> <ul style="list-style-type: none"> <li>- Modified the integrated learning systems so they can incorporate new software components dynamically and utilize the new capabilities while learning.</li> <li>- Created control algorithms for the systems that manage credit-and-blame assignment on a component-by-component basis so that if conflicts arise the system can reason about which piece of conflicting information is more likely to be accurate.</li> <li>- Created control algorithms that reason about the costs/benefits of resolving a particular conflict and direct system performance accordingly.</li> <li>- Evaluated systems by having them compete against expert humans.</li> </ul> <p><i>FY 2010 Plans:</i></p> <ul style="list-style-type: none"> <li>- Expand the scope of the problems being learned so the systems learn multi-user task models.</li> <li>- Modify the integrated learning systems to be able to abstract the details of the process it is learning and learn general process or meta process knowledge.</li> <li>- Extend capabilities of the integrated learning systems so they can share information (low-level data, mid-level hypothesis, and high-level conclusions) with other learners.</li> <li>- Evaluate systems by having them compete against expert humans.</li> </ul>								

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<b>B. Accomplishments/Planned Program (\$ in Millions)</b>					
	<b>FY 2009</b>	<b>FY 2010</b>	<b>FY 2011 Base</b>	<b>FY 2011 OCO</b>	<b>FY 2011 Total</b>
<p>Bootstrapped Learning</p> <p>(U) The Bootstrapped Learning program will provide computers with the capability to learn complex concepts the same way people do: from a customized curriculum designed to teach a hierarchy of concepts at increasing levels of complexity. Learning each new level depends on having successfully mastered the previous level's learning. In addition, the learning program will be "reprogrammable" in the field using the same modes of natural instruction used to train people without the need for software developers to modify the software code. At each level, a rich set of knowledge sources (such as training manuals, examples, expert behaviors, simulators, and references and specifications that are typically used by people learning to perform complex tasks) will be combined and used to generate concepts and a similar set of knowledge sources for the next level. This will enable rapid learning of complex high-level concepts, a capability which is essential for autonomous military systems that will need to understand not only what to do but, why they are doing it, and when what they are doing may no longer be appropriate.</p> <p><i>FY 2009 Accomplishments:</i></p> <ul style="list-style-type: none"> <li>- Developed a single system capable of being instructed to perform in three diverse domains.</li> <li>- Demonstrated the ability of a system to repeatedly acquire new knowledge that drives future learning and cumulatively adds to the system's knowledge.</li> <li>- Validated through simulation that diagnosis, configuration and control of critical, autonomous military hardware can be addressed with bootstrapped learning technology.</li> </ul> <p><i>FY 2010 Plans:</i></p> <ul style="list-style-type: none"> <li>- Establish incontrovertible system generality by demonstrating learning performance in a "surprise" domain that is completely unknown to the learning system developers.</li> <li>- Enhance system capabilities to include instructible situational awareness.</li> </ul>	9.081	8.650	0.000	0.000	0.000
Machine Reading and Reasoning Technology	7.807	18.638	0.000	0.000	0.000

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**B. Accomplishments/Planned Program (\$ in Millions)**

	FY 2009	FY 2010	FY 2011 Base	FY 2011 OCO	FY 2011 Total
<p>(U) The Machine Reading and Reasoning Technology program will develop enabling technologies to acquire, integrate, and use high performance reasoning strategies in knowledge-rich domains. Such technologies will provide DoD decision makers with rapid, relevant knowledge from a broad spectrum of sources that may be dynamic and/or inconsistent. To address the significant challenges of context, temporal information, complex belief structures, and uncertainty, new capabilities are needed to extract key information and metadata, and to exploit these via context-capable search and inference (both deductive and inductive). Machine reading addresses the prohibitive cost of handcrafting information by replacing the expert, and associated knowledge engineer, with un-supervised or self-supervised learning systems that “read” natural text and insert it into AI knowledge bases especially encoded to support subsequent machine reasoning. Machine reading requires the integration of multiple technologies: natural language processing must be used to transform the text into candidate internal representations, and knowledge representation and reasoning techniques must be used to test this new information to determine how it is to be integrated into the system’s evolving models so that it can be used for effective problem solving. These concepts and technology development efforts will continue in PE 0602305E, Project MCN-01 beginning in FY 2011.</p> <p><i>FY 2009 Accomplishments:</i></p> <ul style="list-style-type: none"> <li>- Initiated research into techniques for reasoning with ambiguous and conflicting information found in texts.</li> <li>- Extended knowledge representation to support machine reading of large (e.g. open source web) amounts of material with the goal of encoding and querying at broad but shallow semantic levels.</li> <li>- Produced domain representations that enable semi-supervised approaches to knowledge acquisition.</li> </ul> <p><i>FY 2010 Plans:</i></p> <ul style="list-style-type: none"> <li>- Demonstrate the ability of a system to acquire and organize factual information directly from unstructured narrative text in multiple domains.</li> </ul>					

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<b>B. Accomplishments/Planned Program (\$ in Millions)</b>								
				<b>FY 2009</b>	<b>FY 2010</b>	<b>FY 2011 Base</b>	<b>FY 2011 OCO</b>	<b>FY 2011 Total</b>
<p><i>FY 2010 Plans:</i></p> <ul style="list-style-type: none"> <li>- Develop the capability to simulate a system of one million thalamocortical neurons with spike time dependent plasticity connected to an ape-inspired robot.</li> <li>- Investigate the ability of the robot and simulated neural system to organize its visual system and associate sensory inputs and motor output.</li> <li>- Improve and extend neural system models to include capabilities to make decisions on the basis of reward in the environment and internal value systems.</li> </ul> <p><i>FY 2011 Base Plans:</i></p> <ul style="list-style-type: none"> <li>- Demonstrate an autonomous robot with a simulated neural system capable of mentally rotating images in order to grasp complex three dimensional objects.</li> </ul>								
Accomplishments/Planned Programs Subtotals				81.549	97.825	54.641	0.000	54.641
				<b>FY 2009</b>	<b>FY 2010</b>			
Congressional Add: BioButanol Production Research				0.000	2.000			
<p><i>FY 2010 Plans:</i></p> <ul style="list-style-type: none"> <li>- Continue to investigate bio-butanol production capabilities.</li> </ul>								
Congressional Adds Subtotals				0.000	2.000			
<b>C. Other Program Funding Summary (\$ in Millions)</b>								
N/A								
<b>D. Acquisition Strategy</b>								
N/A								

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**E. Performance Metrics**

Specific programmatic performance metrics are listed above in the program accomplishments and plans section.

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<b>COST (\$ in Millions)</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Estimate</b>	<b>FY 2011 Base Estimate</b>	<b>FY 2011 OCO Estimate</b>	<b>FY 2011 Total Estimate</b>	<b>FY 2012 Estimate</b>	<b>FY 2013 Estimate</b>	<b>FY 2014 Estimate</b>	<b>FY 2015 Estimate</b>	<b>Cost To Complete</b>	<b>Total Cost</b>
COG-03: <i>COLLECTIVE COGNITIVE SYSTEMS AND INTERFACES</i>	41.261	44.411	35.502	0.000	35.502	42.002	43.502	38.751	43.751	Continuing	Continuing

**A. Mission Description and Budget Item Justification**

(U) The Collective Cognitive Systems and Interfaces project will dramatically improve warfighter and commander effectiveness and productivity using advanced cognitive approaches that enable faster, better informed, and more highly coordinated actions than those of our enemies. This will be accomplished by developing revolutionary methods that increase our information processing capabilities, enhance our situational awareness, and enable more cohesive group action by our forces. Critical technical areas addressed in this project include automated decision support, information sharing, and ensured communications. Cognitive decision support tools reason about tasks, timings, and interactions so that when plans change or the enemy does not respond as anticipated, U.S. forces can quickly adapt. The quality of such decisions and the effectiveness of our actions depend critically on our ability to take full advantage of all available information in a rapid and flexible manner. This requires the capability to share information and to automatically integrate distributed information bases for broad tactical battlespace awareness. Finally, team cohesion requires effective and reliable communication in difficult environments such as urban settings where radio signal propagation is complex. Here the approach is to develop cognitive communications management and control algorithms that reason about channel conditions, higher-level application connectivity requirements and related factors, and decide (often as a group) what parameters each radio will use. The suite of programs under this project will significantly advance the military's ability to successfully deal with complex situations in operational environments.

**B. Accomplishments/Planned Program (\$ in Millions)**

	<b>FY 2009</b>	<b>FY 2010</b>	<b>FY 2011 Base</b>	<b>FY 2011 OCO</b>	<b>FY 2011 Total</b>
Advanced Soldier Sensor Information System and Technology (ASSIST)*  *Formerly a part of Collaborative Cognition.  (U) The Advanced Soldier Sensor Information System and Technology (ASSIST) effort will develop an integrated information system that exploits soldier-worn sensors to augment the soldier's ability to capture, report, and share information in the field. This includes an integrated system using advanced technologies for processing, digitizing and analyzing information captured and collected by soldier-worn	11.633	9.450	7.000	0.000	7.000

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<b>B. Accomplishments/Planned Program (\$ in Millions)</b>								
				<b>FY 2009</b>	<b>FY 2010</b>	<b>FY 2011 Base</b>	<b>FY 2011 OCO</b>	<b>FY 2011 Total</b>
<p>versioning, and records management, resulting in the warfighter's ability to take full advantage of all available pertinent information in a rapid and flexible manner.</p> <ul style="list-style-type: none"> <li>The Data Integration and Exploitation System that Learns (DIESEL) effort will address a significant problem facing the warfighter: the lack of interoperability of stovepiped information systems. DIESEL will create a new suite of intelligent information integration tools that will learn to automatically understand heterogeneous information systems and integrate them into the existing information environment. The result will be more complete and reliable information as the basis for better decision-making for warfighters.</li> </ul> <p><i>FY 2009 Accomplishments:</i> Digital Object Storage and Retrieval (DOSR)</p> <ul style="list-style-type: none"> <li>Developed and refined concepts for the repository architecture.</li> <li>Prototyped subsystems that address access control and security in a networked environment and support a public/private key infrastructure (PKI) as a means of authentication.</li> <li>Prototyped subsystems that address the intelligent search and access of heterogeneous information.</li> <li>Prototyped subsystems to support intermittently connected operations.</li> </ul> <p>Data Integration and Exploitation System that Learns (DIESEL)</p> <ul style="list-style-type: none"> <li>Demonstrated preliminary ideas for learning-based entity resolution, data source modeling, and schema mapping technologies.</li> <li>Evaluated automated alignment and translation technology through tests with realistic military information systems and a variety of new data sources.</li> <li>Designed an automated system to evaluate the accuracy of new, unknown data sources such as confiscated hard drives with questionable provenance.</li> </ul> <p><i>FY 2010 Plans:</i> Digital Object Storage and Retrieval (DOSR)</p>								

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<b>B. Accomplishments/Planned Program (\$ in Millions)</b>								
				<b>FY 2009</b>	<b>FY 2010</b>	<b>FY 2011 Base</b>	<b>FY 2011 OCO</b>	<b>FY 2011 Total</b>
<p>services and libraries will be developed to facilitate shared capabilities such as map viewing, apps management, and collection of logs, usage statistics and user feedback. Apps, together with handhelds and networks, will be tested in different training environments as well as in deployed environments. Performance and usage will be carefully tracked and user feedback collected to guide rapid enhancement of apps. The effort will create a vibrant apps development community by aggressively reaching out to non-traditional performers and will explore new models for software acquisitions based on end-user empowerment. The effort will leverage the resources, experience, and lessons-learned derived from the Tactical Ground Reporting System (TIGR).</p> <p><i>FY 2010 Plans:</i></p> <ul style="list-style-type: none"> <li>- Launch a series of user conferences.</li> <li>- Establish innovation and collaboration tools.</li> </ul> <p><i>FY 2011 Base Plans:</i></p> <ul style="list-style-type: none"> <li>- Develop initial set of middleware services and tools.</li> <li>- Develop initial apps suite available on BETA repository.</li> <li>- Perform operational evaluation testing with military and commercial networks.</li> </ul>								
<p><b>Healing Heroes</b></p> <p>(U) Healing Heroes will bring the power of social networking, modern information technology, and machine learning to bear on the medical problems facing America's veterans by creating the infrastructure for a social networking site where veterans can share their medical experiences and find mutual support. In addition, Healing Heroes will connect active duty service members, veterans, and their families to the military medical establishment to facilitate the flow of information between caregivers and patients. Natural language processing and advanced machine learning techniques will be implemented to quickly alert caregivers to any emerging physical or mental health crisis based on a patient's medical history and the content and nature of their social interaction. Healing Heroes will be implemented using strong information security to ensure its confidentiality, integrity, and availability.</p>				0.000	6.000	9.000	0.000	9.000

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<b>B. Accomplishments/Planned Program (\$ in Millions)</b>								
				<b>FY 2009</b>	<b>FY 2010</b>	<b>FY 2011 Base</b>	<b>FY 2011 OCO</b>	<b>FY 2011 Total</b>
<p><i>FY 2010 Plans:</i></p> <ul style="list-style-type: none"> <li>- Develop core Healing Heroes functional and security services.</li> <li>- Implement initial Healing Heroes infrastructure in preparation for 1000 member alpha test/user trial.</li> </ul> <p><i>FY 2011 Base Plans:</i></p> <ul style="list-style-type: none"> <li>- Perform 1000member alpha test/user trial.</li> <li>- Complete development of Healing Heroes functional and security services.</li> <li>- Implement complete Healing Heroes infrastructure in preparation for 10,000 member beta test/user trial.</li> </ul>								
Accomplishments/Planned Programs Subtotals				41.261	44.411	35.502	0.000	35.502
<b>C. Other Program Funding Summary (\$ in Millions)</b>								
N/A								
<b>D. Acquisition Strategy</b>								
N/A								
<b>E. Performance Metrics</b>								
Specific programmatic performance metrics are listed above in the program accomplishments and plans section.								

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