



CHAIRMAN OF THE JOINT CHIEFS OF STAFF INSTRUCTION

J-7

DISTRIBUTION: A, B, C, J

CJCSI 3113.01

1 April 1998

RESPONSIBILITIES FOR THE MANAGEMENT AND REVIEW OF THEATER ENGAGEMENT PLANS

References: See Enclosure D

1. Purpose. This instruction establishes responsibilities and procedures for the management and review of Theater Engagement Plans (TEPs) submitted to the Chairman of the Joint Chiefs of Staff for integration in to the global family of engagement plans. TEPs are produced by regional CINCs and executive agents as assigned in references a and c.
2. Cancellation. None.
3. Applicability. This instruction applies to the Joint Staff, Services, and the Defense agencies responsive to the Chairman in regard to the management and review of TEPs.
4. Policy. The CJCS reviews and approves the family of TEPs. TEP policy, procedures, and formats are contained in reference c. Additional policy guidance is provided in Enclosure A.
5. Responsibilities. Responsibilities for the management and review of TEPs are outlined in Enclosure B.
6. Guidelines. Guidelines for the review of TEPs are provided in reference d (a working document to be used until the approved version is distributed following TEPs first cycle "trial run"). Overarching planning principles can be found in reference e.

7. Effective Date. This instruction is effective upon receipt.

For the Chairman of the Joint Chiefs of Staff:



DENNIS C. BLAIR
Vice Admiral, U.S. Navy
Director, Joint Staff

Enclosures:

- A -- Policy Guidance
- B -- Responsibilities
- C -- TEP Review Process
- D -- References

ENCLOSURE A

POLICY GUIDANCE

1. General Standards. The national-level plan review ensures that planned engagement activities, in the aggregate, support national objectives; ensures that engagement activities in the various theaters are sustainable from a global perspective; and determines the acceptability of each TEP for integration into the global family of engagement plans.

2. Review Criteria. The review of all TEPs is conducted simultaneously. They are examined individually to ensure adequacy with JSCP prioritized regional objectives and those derived from other national-level policy documents. At the same time, TEPs are reviewed as a global family of plans for feasibility and acceptability.

a. The review for adequacy determines whether the scope and concept of planned activities are capable of satisfying the JSCP taskings and other objectives stated in national-level policy documents.

b. As a global family of TEPs, the review for feasibility determines whether, in the aggregate, the activities contained in TEP activity annexes can be accomplished using available resources and whether or not additional resources are required to meet proposed levels of engagement activity.

c. As a global family of plans, the review for acceptability ensures the plans proposed are worth the costs and expenditure required. It joins with the criteria of feasibility in ensuring that the global family of plans can be accomplished with assigned or available resources. The engagement activity annexes are assessed using this criteria to ensure that the engagement activities, in the aggregate, incorporate considerations of political and military supportability.

3. Procedures for Review of Changes to Theater Engagement Plans. On receipt by the Joint Staff, changes to TEPs will be referred to J-7, Conventional Weapons Policy Division (CWPD), for review according to the provisions of this publication.

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ENCLOSURE B

RESPONSIBILITIES

1. General. The Director for Operational Plans and Interoperability (J-7) is responsible for the theater engagement deliberate planning process, in collaboration with appropriate directorates of the Joint Staff. Specific responsibilities, though not all inclusive, are summarized in the following paragraphs.

a. The Directors, J-5 and J-8, provide strategic guidance, policy, and planning assumptions through the JSCP.

b. The Director, J-7, has overall responsibility for coordinating theater engagement deliberate planning, from theater engagement strategic concept review through approval and subsequent integration of each final TEP into a global family of engagement plans. J-7 will review TEPs and assess the degree to which they comply with applicable directives.

2. Plan Management and Review. The Joint Theater Engagement Planning Community (JTEPC) reviews JSCP-tasked TEPs for adequacy, feasibility, and acceptability. For the purposes of TEP, the JTEPC includes the Joint Staff, Office of the Chairman of the Joint Chiefs of Staff Legal Counsel (OCJCS/LC), Services, US Special Operations Command, US Transportation Command, supported and other supporting CINCs (as required), DIA, DISA, DSAA, and other Defense agencies as required. Additional key staff responsibilities are as follows:

a. Joint Secretariat. Issues directives, receives delivery of plans from CINCs and executive agents, and transfers these plans to J-7 CWPD for distribution to plan review participants.

b. J-7 Conventional War Plans Division

(1) Coordinates the review process for all CINC TEPs tasked by the JSCP. This includes the review of the theater engagement strategic concept and the final plan review after the inclusion of the activities annexes.

(2) Serves as the office of primary responsibility for all TEPs unless specifically designated otherwise.

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(3) Maintains and disseminates information on the current status of planning tasks assigned by JSCP or other directives.

(4) Acts as liaison to the Under Secretary of Defense for Policy for the policy review of JSCP-tasked TEPs.

(5) Maintains and provides guidance to the Joint Staff, Services, and Defense agencies for the review of plans through reference c.

(6) Reviews TEPs according to the procedures prescribed in references c and d.

(7) Reviews TEPs for conformance to applicable policies regarding content, completeness, format, coordination, and distribution.

(8) Ensures plans submitted for review are referred to all appropriate directorates, Services, and Defense agencies for comment.

(9) Prepares and coordinates a report of the plan review to the Chairman, including execution critical and substantive comments of all reviewing Joint Staff directorates, Services, and Defense agencies.

(10) Maintains appropriate files and records pertaining to the review of plans.

(11) Develops and maintains files for briefing TEPs to the CJCS and the National Command Authorities. Such files should contain a briefing text, visual aids, and other pertinent information.

(12) Provides advice and assistance to the combatant commands on theater engagement planning matters.

(13) Upon CJCS approval of the global family of TEPs, J-7 CWPDP will prepare the CJCS assessment of the TEP planning effort for submission to the Secretary of Defense as required in reference c.

d. J-2 Directorate for Joint Staff Support (J2J). Coordinates DIA review of plans. Serves as the point of contact (POC) for Joint Staff coordination between J-7 CWPDP and DIA.

e. J-3 Joint Operations Division. Serves as the POC for reviewing projected engagement activities to identify any potential conflict with ongoing or projected operations.

f. J-33 Special Operations Division. Collaborates with J-7 CWPD on the review of TEP activities specifically associated with counterterrorism, counterproliferation, and civil affairs.

g. J-39 Information Strategy Division. Collaborates with J-7 CWPD on the review of TEP activities specifically associated with psychological operations.

h. J-39 Capabilities Division. Through the Secretary, Joint Staff, arranges for the distribution of certain TEPs to the CIA, if required.

i. J-38 Defense and Space Operations Division. Collaborates with J-7 CWPD on the review of TEPs or portions of TEPs pertaining to the use of satellite or other space systems, except communication and navigation systems.

j. J-4, Sustainability, Mobilization, Plans, and Exercises Division. Serves as the J-4 POC during the development and review of applicable portions of TEPs. Focus for individual TEPs and the global family of TEPs will be on the use and number of reserve forces, assessment and appropriate use of logistic support, required movement and transportation, and the use of support forces (to include engineering, medical, and mobility forces).

k. J-5 Strategy Division. Serves as the Joint Staff OPR for the development and approval of the JSCP, which tasks the development of TEPs. In collaboration with J-5's regionally oriented divisions, this division reviews all JSCP-tasked TEPs for integration into the global family of engagement plans. Provides plans for review to all appropriate J-5 divisions. For the trial FY 99 TEP review cycle, augments J-7 CWPD personnel, as required, to support simultaneous reviews of TEP strategic concepts and TEP final plans.

l. J-5 Politico-Military Affairs Deputy Directorates and Global Directorate. Manages and monitors all J-5 TEP reviews for politico-military aspects.

m. J-6K Information Assurance Division. Serves as the Joint Staff POC for and reviews all applicable portions of TEPs concerning information assurance.

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n. J-6U Unified Command C4 Support Division. Reviews all TEPs for C4-related issues.

o. J-8 Forces Division. Reviews all TEPs for force structure implications.

p. OCJCS/LC. Reviews all TEPs for legal issues.

3. Service and Supporting Agency Responsibilities. The Services and Defense agencies will assist J-7 CWPD in reviewing TEPs. Guidance for review of deliberate TEPs is contained in reference d. Comments will be submitted in categories defined in reference d and in line-out/line-in format presented in reference g.

ENCLOSURE C

THEATER ENGAGEMENT PLAN REVIEW PROCESS

The process for review of theater engagement strategic concepts and completed TEPs is described below.

1. CINCs and Executive Agents submit the appropriate copies of their TEPs to the Joint Secretariat. The Joint Secretariat refers the plan to J-7 CWPD for review.

2. Following referral, J-7 CWPD publishes and provides a directive to the Joint Staff Directorates, Services, Defense agencies, and other members of the JTEPC. J-7 CWPD ensures that the appropriate number of TEP copies are distributed to the agencies in the JTEPC.

3. J-7 CWPD and the JTEPC review the plan according to guidance found in reference d.

a. The Services provide comments to the Secretary, Joint Staff, via planners memo. SJS provides them to J-7 CWPD.

b. Joint Staff directorates, combat support agencies (Defense Security Assistance Agency, Defense Logistics Agency), and other reviewing agencies provide comments directly to J-7 CWPD.

4. J-7 CWPD will integrate theater engagement strategic concepts and completed TEPs into the global family of concepts/plans as follows:

a. J-7 CWPD will establish a Regional Working Group (RWG) for each TEP. This group will, as a minimum, consist of representatives from J-7 CWPD, J-5 STRAT, J-5 PMA, and the CINCs. The RWG will consolidate and analyze the review comments and issues from the JTEPC staffing, then send a package of comments/issues back to the JTEPC for review and synchronization. The goal of the RWG is to identify, coordinate, and resolve as many issues as possible before the Integration Group meets.

b. J-7 CWPD will establish an Integration Group with action officers and planners from J-7 CWPD, J-5 STRAT, J-8 FD, and the Services to integrate strategic concepts/TEPs into the global family of strategic concepts/TEPs. (A representative from OUSD (P) will also be invited to attend in order for the Integration Group to obtain and initial policy

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perspective.) This group, led by J-7 CWPD, will develop and present to the DepOpsDepts/OpsDepts a CJCS decision briefing.

c. Following presentation to the DepOpsDepts/OpsDepts, the global family of strategic concepts/TEPs will be briefed to CJCS for final approval and forwarded to USD(P) for policy review.

5. J-7 CWPD will forward results of the review to the supported CINC or executive agent by CJCS memorandum (or message) stating that the TEP is either adequate or requires further refinement prior to integration into the family of TEPs. A revised TEP incorporating those execution critical comments deemed acceptable by the CINC or executive agent will be submitted to the Chairman within 30 days of receipt of the review results. Those substantive and administrative comments deemed appropriate by a CINC or executive agent should be incorporated when the TEP is submitted in its entirety.

ENCLOSURE D

REFERENCES

- a. CJCSI 3110.01 Series, "Joint Strategic Capabilities Plan"
- b. Title 10, United States Code, Armed Forces, as amended through December 31, 1996
- c. CJCSM 3113.01 Series, "Theater Engagement Planning"
- d. CJCSM 31XX.XX, DRAFT, "Procedures for the Review of Theater Engagement Plans" (a working document to be used until the approved version is distributed following TEPs first cycle "trial run")
- e. Joint Pub 5-0, 13 April 1995, "Doctrine for Planning Joint Operations"
- f. JSI 5711.01 Series, "Action Processing"
- g. JSM 5711.01 Series, "Joint Staff Correspondence Preparation"
- h. CJCSI 3110.03 Series, "Logistics Supplement to the Joint Strategic Capabilities Plan"

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